Y. Teranishi Nagoya Math. J. Vol. 98 (1985), 139-156

RELATIVE INVARIANTS AND *b*-FUNCTIONS OF PREHOMOGENEOUS VECTOR SPACES

 $(G \times GL(d_1, \cdots, d_r), \tilde{\rho}_1, M(n, C))$

YASUO TERANISHI

Introduction

Let G be a connected linear algebraic group, ρ a rational representation of G on a finite-dimensional vector space V, all defined over C.

A polynomial f(x) on V is called a relative invariant, if there exists a rational character $\chi : G \to C^{\times}$ satisfying

 $f(\rho(g) \cdot x) = \chi(g)f(x)$, for any $g \in G$ and $x \in V$.

The triplet (G, ρ, V) is called a prehomogeneous vector space (abbrev. P.V.), if there exists a proper algebraic subset S of V such that V - S is a single G-orbit. The algebraic set S is called the singular set of (G, ρ, V) and any point in V - S is called a generic point of (G, ρ, V) .

Let $GL(d_1, \dots, d_r)$ be a parabolic subgroup of the general linear group GL(n, C) defined by (1.1) in Section 1, $\rho: G \to GL(n, C)$ be an *n*-dimensional representation of G. In this paper, we shall be concerned with the triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$, where $\tilde{\rho}_1$ is defined by

 $\rho_1(g, a)x = \rho(g)xa^{-1} \quad ((g, a) \in G \times GL(d_1, \cdots, d_r), \ x \in M(n, C)).$

Assume that $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$ is a P.V. We shall introduce the *b*-function of $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$, after M. Sato, in Section 3. Theorem 3.1 gives an explicit form of the *b*-function. In Section 4, we shall be concerned with triplets $\{(G \times B_n, \tilde{\rho}_1, M(n, C))\}$ where *G* is a semi-simple connected linear algebraic group, B_n is the upper triangular group and ρ is an irreducible representation on an *n*-dimensional vector space *V*. We shall determine all prehomogeneous vector space $\{(G \times B_n, \tilde{\rho}_1, M(n, C)\}$, and construct their relative invariants.

Received May 7, 1984.

NOTATIONS. C, C^{\times} and Z_{+} are the complex number field, the group of non-zero complex numbers and the set of non-negative integers, respectively. GL(n, C), B_n and B_n^- are the complex general linear group, the complex upper triangular group and the complex lower triangular group.

§1. A generalization of castling transform

Let G be a connected linear algebraic group, V an m-dimensional vector space, and ρ a rational representation of G on V, all defined over the complex number field C. By choosing a basis of V, we may identify V with C^m . Let d_1, \dots, d_r be positive integers and set

$$n=d_1+\cdots+d_r ext{ and } d^{\scriptscriptstyle (i)}=d_1+\cdots+d_i \hspace{0.2cm} (1\leq i\leq r)$$
 .

We denote by $GL(d_1, \dots, d_r)$ the parabolic subgroup of the general linear group GL(n, C) consists of all matrices of the form

(1.1)
$$g = \begin{pmatrix} g_{11} & g_{12} \cdots g_{1r} \\ 0 & g_{22} \cdots g_{2r} \\ \vdots & \vdots \\ 0 & 0 & \cdots & g_{rr} \end{pmatrix}$$

where $g_{ii} \in GL(d_i, C)$ $(1 \leq i \leq r)$.

We may identify the vector space $\stackrel{n}{\oplus} V$ with the vector space M(m, n, C) consists of all m by n matrices and identify the vector space M(m, n, C) with it's dual vector space by the inner product

$$(x, y) = \operatorname{Tr} {}^{t}y \cdot x \quad (x, y \in M(m, n, C)).$$

Let $\tilde{\rho}_1$, $\tilde{\rho}_2$, $\tilde{\rho}_1^*$ and $\tilde{\rho}_2^*$ denote representations of $G \times GL(d_1, \dots, d_r)$ on M(m, n, C) defined as follows:

$$egin{array}{ll} ilde{
ho}_1(g,\,a)x &=
ho(g)xa^{-1} \ ilde{
ho}_2(g,\,a)x &=
ho(g)x^ta \ ilde{
ho}_1^*(g,\,a)x &= {}^t
ho(g)^{-1}x^ta \ ilde{
ho}_2^*(g,\,a)x &= {}^t
ho(g)^{-1}xa^{-1} \end{array}$$

where $g \in G$ and $a \in GL(d_1, \dots, d_r)$.

LEMMA 1.1. The following conditions are equivalent. (i) The triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(m, n, C))$ is a P.V.

(ii) The triplet $(G \times GL(d_r, \dots, d_1), \tilde{\rho}_2, M(m, n, C))$ is a P.V.

Proof. Put $A = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \in GL(n, C)$, then it is easy to check that

 $x_0 \in M(m, n, C)$ is a generic point of the triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(m, n, C))$ if and only if $x_0 \cdot A$ is a generic point of $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_2, M(m, n, C))$. Q.E.D.

LEMMA 1.2. There exists a one-to-one correspondence between relative invariants of $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(m, n, C))$ and $(G \times GL(d_r, \dots, d_1), \tilde{\rho}_2, M(m, n, C))$.

Proof. For a polynomial f(x) on M(m, n, C), define the polynomial $\Phi(f)$ by

(1.2)
$$\Phi(f)(x) = f(x \cdot A) \, .$$

Then the mapping $f \mapsto \Phi(f)$ gives a one-to-one correspondence between relative invariants. Q.E.D.

LEMMA 1.3. When m > n, the following conditions are equivalent.

(i) The triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(m, n, C))$ is a P.V.

(ii) The triplet $(G \times GL(m - n, d_r, \dots, d_2), \tilde{\rho}_2^*, M(m, m - d_1, C))$ is a P.V.

Proof. For a matrix x in M(m, n, C), denote by x^i the *i*-th column vector of x $(1 \leq i \leq n)$. Let W denote an algebraic variety whose points are matrices x in M(m, n, C) such that column vectors x^1, x^2, \cdots and x^n are linearly independent. Then the group $G \times GL(d_1, \cdots, d_r)$ acts on W, and $(G \times GL(d_1, \cdots, d_r), W)$ has an open orbit if and only if the triplet $(G \times GL(d_1, \cdots, d_r), \tilde{\rho}_1, M(m, n, C))$ is a P.V., since the Zariski closure of W is M(m, n, C). Let $\operatorname{Flag}(d_1, \cdots, d_r)$ be the flag variety defined by

$$ext{Flag}(d_1, \cdots, d_r) = egin{cases} (V_1, V_2, \cdots, V_r); & V_i \in ext{Grass}_{d_1 + \cdots + d_i}(C^m) ext{ and} \ V_1 \subset V_2 \subset \cdots \subset V_r \end{pmatrix}$$

where $\operatorname{Grass}_{d}(C^{m})$ is the Grassmann variety consists of all *d*-dimensional subspaces of C^{m} .

For a matrix x in the variety W, let $\mu(x)$ denote the flag (V_1, \dots, V_n) in $\operatorname{Flag}(d_1, \dots, d_r)$ such that V_i is the subspace of C^m spanned by the first $d_1 + \dots + d_r$ column vectors of the matrix x $(1 \leq i \leq r)$. Then the mapping $\mu: W \mapsto \operatorname{Flag}(d_1, \dots, d_r)$ is surjective, $G \times GL(d_1, \dots, d_r)$ equivalent

morphism. Since $GL(d_1, \dots, d_r)$ acts on $\operatorname{Flag}(d_1, \dots, d_r)$ trivially and it acts on each fibre homogeneously, the triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(m, n, C))$ is a P.V. if and only if $\operatorname{Flag}(d_1, \dots, d_r)$ is G-prehomogeneous.

For a flag (V_1, V_2, \dots, V_r) in Flag (d_1, \dots, d_r) , let $(\tilde{V}_1, \tilde{V}_2, \dots, \tilde{V}_r)$ be the flag in Flag $(m - n, d_r, \dots, d_2)$ defined by

$$ilde{V}_i = \{y \in M(m, n, C) | (y, x) = 0 ext{ for any } x ext{ in } V_{r-i+1} \}.$$

Then G acts on the flag variety $\operatorname{Flag}(m-n, d_r, \dots, d_2)$ contragrediently and $\operatorname{Flag}(m-n, d_r, \dots, d_2)$ is G-prehomogeneous if and only if $\operatorname{Flag}(d_1, \dots, d_r)$ is G-prehomogeneous.

Since the triplet $(G \times GL(m-n, d_r, \dots, d_2), \tilde{\rho}_2^*, M(m, m-d_1, C))$ is a P.V. if and only if the flag variety $\operatorname{Flag}(m-n, d_r, \dots, d_2)$ is G-prehomogeneous, we obtain our assertion. Q.E.D.

Remark. This construction is a natural generalization of the castling transform in the theory of prehomogeneous vector space [2].

LEMMA 1.4. When m > n, there is a one-to-one correspondence between relative invariants of the triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(m, n, C))$ and relative invariants of the triplet $(G \times GL(m - n, d_r, \dots, d_2), \tilde{\rho}_2, M(m, m - d_1, C))$.

Proof. Let $f(x^1, \dots, x^n)$ be a relative invariant of the triplet $(G \times GL$ $(d_1, \dots, d_r), \tilde{\rho}_1, M(m, n, C)$, where x^i is the *i*-th column vector of an $m \times n$ matrix x $(1 \le i \le n)$. For $x = (x^1, \dots, x^n) \in M(m, n, C)$, put

$$X_{i_1 \cdots i_k} = \det egin{pmatrix} x_{i_1}^{i_1} \cdots x_{i_1}^k \ dots \ x_{i_k}^{1} \cdots x_{i_k}^{k} \end{pmatrix} \ \ \ (1 \leq k \leq n \ \ ext{and} \ \ 1 \leq i_1 < \cdots < i_k \leq m) \ .$$

Then by the first main theorem for the group $GL(d_1, \dots, d_r)$, there exists a polynomial F satisfying

$$f(x^{1}, \dots, x^{n}) = F(X_{i_{1}\dots i_{d_{1}}}, X_{j_{1}\dots j_{d_{1}+d_{2}}}, \dots, X_{k_{1}\dots k_{d_{1}+\dots+d_{r}}})$$

(1 \le i_{1}, \dots, i_{d_{1}}, j_{1}, \dots, j_{d_{1}+d_{2}}, k_{1}, \dots, k_{d_{1}+\dots+d_{r}} \le m),

since f(x) is a relative invariant of the group $GL(d_1, \dots, d_r)$.

For $x = (x^1, \dots, x^n)$ in M(m, n, C), let $\omega_k = x^1 \wedge \dots \wedge x^k$ and, for $\tilde{x} = (\tilde{x}^1, \dots, \tilde{x}^{m-d_1})$ in $M(m, m - d_1, C)$, let $\tilde{\omega}_k = \tilde{x}^1 \wedge \dots \wedge \tilde{\omega}^{m-k}$ where $k \in \{d_1, d_1 + d_2, \dots, d_1 + \dots + d_r\}$.

Then it follows that

$$egin{aligned} &\omega_k = \sum\limits_{i_1 < \cdots < i_k} X_{i_1 \cdots i_k} e_{i_1} \wedge \cdots \wedge e_{i_k}\,, \ & ilde{\omega}_k = \sum\limits_{j_1 < \cdots < j_{m-k}} ilde{X}_{j_1 \cdots j_{m-k}} e_{j_1} \wedge \cdots \wedge e_{j_{m-k}} \; (e_i = {}^t (0 \cdots \overset{i}{1} \cdots 0), \; 1 \leq i \leq m)\,, \end{aligned}$$

and we have

$$\sum_{i_1 < \cdots < i_k} \operatorname{sgn} \begin{pmatrix} 1 \cdots k, \, k+1 \cdots m \\ i_1 \cdots i_k, \, j_1 \cdots j_{m-k} \end{pmatrix} X_{i_1 \cdots i_k} \tilde{X}_{j_1 \cdots j_{m-k}} = \operatorname{det}(x^1, \, \cdots x^k, \, \tilde{x}^1, \, \cdots \tilde{x}^{m-k})$$

where

$$\operatorname{sgn} \begin{pmatrix} 1 \cdots k, \, k+1 \cdots m \\ i_1 \cdots i_k, \, j_1 \cdots j_{m-k} \end{pmatrix}$$

denotes the signature of the permutation $\binom{1\cdots k,\,k+1\cdots m}{i_1\cdots i_k,\,j_1\cdots j_{m-k}}$ if

 $\{i_1\cdots i_k, j_1\cdots j_{m-k}\}=\{1,2\cdots m\}$

and zero, if otherwise.

Thus if we put

$$X'_{i_1\cdots i_k} = \operatorname{sgn} inom{1\cdots k, \, k+1\cdots m}{i_1\cdots i_k, j_1\cdots j_{m-k}} ilde{X}_{j_1\cdots j_{m-k}},$$

we have

$$\sum\limits_{i_1<\cdots< i_k} X_{i_1\cdots i_k} X'_{i_1\cdots i_k} = \det(x^1,\,\cdots,\,x^k,\, ilde x^1,\,\cdots,\, ilde x^{m-k})$$
 .

We define a polynomial $\tilde{f}(\tilde{x})$ on $M(m, m - d_1, C)$ by

$$\tilde{f}(\tilde{x}) = F(X'_{i_1\cdots i_{d_1}}X'_{j_1\cdots j_{d_1+d_2}}\cdots X'_{k_1\cdots k_{d}+\cdots+d_r}).$$

Then \tilde{f} is a relative invariant of the triplet $(G \times GL(m-n, d_r \cdots d_2), \tilde{\rho}_2^*, M(m, m-d_1, C))$, and the mapping $f \mapsto \tilde{f}$ gives a one-to-one correspondence between relative invariants of them. Q.E.D.

Remark. From the construction, f is irreducible if and only if \tilde{f} is irreducible.

By Lemma $1.1 \sim 1.4$, we have the following proposition.

PROPOSITION 1.1. When m > n, the following 4 conditions are equivalent and there are one-to-one correspondences among relative invariants of them.

- (1) The triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(m, n, C))$ is a P.V.
- (2) The triplet $(G \times GL(d_r, \dots, d_1), \tilde{\rho}_2, M(m, n, C))$ is a P.V.

https://doi.org/10.1017/S0027763000021425 Published online by Cambridge University Press

- (3) The triplet $(G \times GL(d_2, \dots, d_r, m-n), \tilde{\rho}_1^*, M(m, m-d_1, C)$ is a P.V.
- (4) The triplet $(G \times GL(m-n, d_r, \dots, d_2), \tilde{\rho}_2^*, M(m, m-d_1, C))$ is a P.V.

The following two propositions are shown in a similar manner.

PROPOSITION 1.2. Let G be a connected linear algebraic group and ρ a linear representation of G on an n-dimensional vector space. Then the following 4 conditions are equivalent and there are one-to-one correspondences among their relative invariants

- (1) The triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$ is a P.V.
- (2) The triplet $(G \times GL(d_r, \dots, d_i), \tilde{\rho}_2, M(n, C))$ is a P.V.
- (3) The triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1^*, M(n, C))$ is a P.V.
- (4) The triplet $(G \times GL(d_r, \dots, d_1), \tilde{\rho}_2^*, M(n, C))$ is a P.V.

PROPOSITION 1.3. When m > n, the following 4 conditions are equivalent.

- (1) The triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(m, n, C))$ is a P.V.
- (2) The triplet $(G \times GL(d_r, \dots, d_1), \tilde{\rho}_2, M(m, n, C))$ is a P.V.
- (3) The triplet $(G \times GL(d_1, \dots, d_r, m-n), \tilde{\rho}_1^*, M(m, C))$ is a P.V.
- (4) The triplet $(G \times GL(m-n, d_r, \dots, d_1), \tilde{\rho}_2^*, M(m, C))$ is a P.V.

COROLLARY. When m > n, the following conditions are equivalent.

- (1) The triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(m, n, C))$ is a P.V.
- (2) The triplet $(G \times GL(d_1, \dots, d_r, m-n), \tilde{\rho}_1, M(m, C)$ is a P.V.

Let G be a connected linear algebraic group, ρ a representation on an *n*-dimensional vector space V. Then, by Proposition 1.1, triplet $(G \times GL(1), \rho \otimes \Box, V)$ is a P.V. if and only if $(G \times GL(1, n-1), \tilde{\rho}_1, M(n, C))$ is a P.V. We shall devote ourselves to investigate triples $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$.

§2. Relative invariants

A sequence $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n)$ of non-negative integers in decreasing order;

$$\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_n$$

is called a partition, and the sum $|\lambda| = \lambda_1 + \cdots + \lambda_n$ is called the weight of λ .

For a partition λ , we denote by V_{λ} the vector space consists of all polynomials f(x) on the vector space M(n, C) such that f(x) satisfies; for any matrix t in the group B_n ,

$$f(x \cdot t) = t_1^{\lambda_1} t_2^{\lambda_2} \cdots t_n^{\lambda_n} f(x)$$

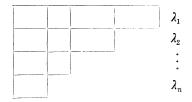
where

$$t=egin{pmatrix} t_1&*\&&\cdot\&&\cdot\&&t_n\end{pmatrix}\in B_n\,.$$

Let f(x) be a polynomial on M(n, C), and for any element g in GL(n, C), set;

$$g \cdot f(x) = f(g^{-1}x) \, .$$

Then by the mapping $f \mapsto g \cdot f$, the vector space V_{λ} can be considered as a GL(n, C)-module. As is well known, V_{λ} is a irreducible GL(n, C)-module corresponding to the Young diagram $Y(\lambda)$:



We set:

Let f(x) be a relative invariant of the triplet $(G \times GL(d_1, \dots, d_r), M(n, C))$. Then f(x) has the form

$$f(x) = F(\cdots, X_{i_1\cdots i_d(\nu)}, \cdots)(\det x)^{m_r},$$

where F is a homogeneous polynomial in $X_{i_1\cdots i_d(\nu)}$ $(1 \leq i_1, \cdots, i_d(\nu) \leq n, 1 \leq \nu < r)$ and m_r is a non-negative integer.

Denoting by m_{ν} the homogeneous degree of F with respect to $X_{i_1\cdots i_d(\nu)}$, for each ν , define a partition $\lambda = (\lambda_1, \cdots, \lambda_n)$ as follows:

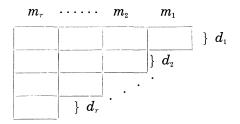
$$\lambda_1 = \cdots = \lambda_{d^{(1)}} = m_1 + \cdots + m_r,$$

$$\lambda_{d^{(1)}+1} = \cdots = \lambda_{d^{(2)}} = m_2 + \cdots + m_r,$$

$$\cdots \cdots \cdots,$$

$$\lambda_{d^{(r-1)}+1} = \cdots = \lambda_n = m_r.$$

Then the relative invariant f(x) is contained in the vector space V_{λ} . Thus to a relative invariant f(x), there corresponds the unique Young diagram $Y(\lambda)$:



Let

$$(2.1) f^*(x) = (\det x)^{m_r} F(X_{i_1 \dots i_{d(1)}}, X_{j_1 \dots j_{d(2)}}, \dots, X_{k_1 \dots k_{d(r-1)}})$$

be a relative invariant of the triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$, and define the polynomial $f^*(x)$ by

$$(2.2) f^*(x) = (\det x)^{m_r} F(X'_{i_1\cdots i_{d(1)}}, X'_{j_1\cdots j_{d(2)}}, \cdots, X'_{k_1\cdots k_{d(r-1)}})$$

Then $f^*(x)$ is a relative invariant of the triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1^*, M(n, C))$. Since the mapping $f \mapsto f^*$ is one-to-one, we have the following proposition.

PROPOSITION 2.1. The mapping $f \mapsto f^*$ gives a one-to-one correspondence between the relative invariants of the triplets $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$ and $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1^*, M(n, C))$.

In general, let a triplet (G, ρ, V) be a P.V., S the singular set, S_1, \dots, S_k the irreducible components of S with codimension one and P_1, \dots, P_k irreducible polynomials defining S_1, \dots, S_k , respectively. It is known that the polynomials P_1, \dots, P_k are algebraically independent relative invariants and any relative invariant P(x) is of the form.

$$P(x) = c \cdot P_1(x)^{l_1} \cdots P_k(x)^{l_k} \ (c \in C, \ (l_1, \ \cdots, \ l_k) \in Z_+^{k+1}).$$

Polynomials P_1, \dots, P_k are determined up to constant factors, and the set $\{P_1, \dots, P_k\}$ is called a complete system of irreducible relative invariants of (G, ρ, V) .

Let $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$ be a P.V., $\{P_0, \dots, P_k\}$ a complete system of irreducible relative invariants of $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$. Then, by Proposition 1.2, the triplet $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1^*, M(n, C))$ is a P.V. It is easy to verify that the set $\{P_0^*, \dots, P_k^*\}$ is a complete system of

irreducible relative invariants of $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1^*, M(n, C))$. Denote by χ_0, \dots, χ_k the rational characters of the group $G \times GL(d_1, \dots, d_r)$ corresponding to P_0, \dots, P_k , respectively.

Since det x is an irreducible relative invariant of $(G \times GL(d_1, \dots, d_r))$. $\tilde{\rho}_1, M(n, C)$, from now on, we set:

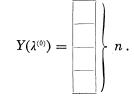
$$P_{\scriptscriptstyle 0}(x) = P_{\scriptscriptstyle 0}^*(x) = \det x$$
 .

We denote by $X_{\rho}(G \times GL(d_1, \dots, d_r))$ the group of rational characters corresponding to relative invariants of $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$. The group $X_p(G \times GL(d_1, \dots, d_r))$ is a free abelian group of rank k + 1generated by χ_0, \dots, χ_k .

Denote by $Y(\lambda^{(0)}), \dots, Y(\lambda^{(k)})$ the Young diagrams corresponding to relative invariants P_0, \dots, P_k , respectively. Since each P_i is irreducible, the partition $\lambda^{(i)}$ is of the form

$$\lambda^{(i)} = (\lambda^{(i)}_1, \lambda^{(i)}_2, \cdots, \lambda^{(i)}_{n-1}, 0) \quad (1 \leq i \leq k) \,.$$

The Young diagram $Y(\lambda^{(0)})$ is given by



Denote by $\chi_0^*, \dots, \chi_k^*$ the rational characters defined as follows:

 $\chi_0^* = \chi_0 \text{ and } \chi_i^* = \chi_i^{-1} \cdot \chi_0^{\lambda_i^{(i)}} \quad (1 \le i \le k) \,.$

From the construction of the mapping $f \mapsto f^*$, it follows that:

$$P_i^*(\tilde{\rho}_1^*(g) \cdot x) = \chi_i^*(g)^{-1} P_i^*(x) \quad (0 \le i \le k)$$

where $g \in G \times GL(d_1, \cdots, d_r)$.

For a character χ in $X_{\ell}(G \times GL(d_1, \dots, d_r))$, let

 $\delta(\chi) = (\delta(\chi)_0, \cdots, \delta(\chi)_k), \text{ and } \delta^*(\chi) = (\delta^*(\chi)_0, \cdots, \delta^*(\chi)_k)$

be the elements in Z^{k+1} such that

$$\chi = \prod_{i=0}^k \chi_i^{\delta(\chi)_i} = \prod_{i=0}^k \chi_i^{*^{\delta*(\chi)_i}}.$$

From (2.2), we have

(2.3)
$$\delta(\chi)_0 = \delta^*(\chi)_0 + \sum_{i=1}^k \lambda_1^{(i)} \delta^*(\chi)_i$$

and

(2.4)
$$\delta(\chi)_i = - \ \delta^*(\chi)_i \quad (1 \le i \le k) \, .$$

§3. The *b*-functions

For a rational character χ in $X_{\rho}(G \times GL(d_1, \dots, d_r))$, set

$$P(x)^{\chi} = \prod_{i=0}^{k} P_i(x)^{\delta(\chi)_i}$$
 and $P^*(x)^{\chi} = \prod_{i=0}^{k} P_i^*(x)^{\delta^*(\chi)_i}$.

If $\delta^*(\chi)_i \ge 0$ for all *i* (i.e., $P^*(\chi)^{\chi}$ is a polynomial), we can introduce a partial differential operator $P^*(\text{grad})^{\chi}$ in $C[\partial/\partial x_{ij}]$ such that

$$P^*(\text{grad})^x \exp(x, x^*) = P^*(x) \exp(x, x^*)$$
.

Similarly, if $P(x)^{x}$ is a polynomial, we can introduce $P(\text{grad})^{x}$ in $C[\partial/\partial x_{ij}^{*}]$ such that

$$P(\operatorname{grad})^{\chi} \exp(x, x^*) = P(x) \exp(x, x^*)$$

For $s = (s_0, \dots, s_k) \in C^{k+1}$, set

$$P^s = \prod_{i=0}^k P_i^{s_i}$$
 and $P^{*s} = \prod_{i=0}^k P_i^{*s_i}$.

We consider P^s (resp. P^{**}) as a function on the universal covering space of M(n, C) - S (resp. $M(n, C) - S^*$).

LEMMA 3.1. (i) If $\delta^*(\chi)_i \ge 0$ for all *i*, there exists a polynomial $b_{\chi}(s)$ in $s = (s_0, \dots, s_k)$ which satisfies, for all $s \in C^{k+1}$,

(2.5)
$$P^*(\operatorname{grad})^{\chi} \cdot P^s(x) = b_{\chi}(s) P^{s-\delta(\chi)}.$$

(ii) If $\delta(\chi)_i \ge 0$ for all *i*, there exists a polynomial $b_{\chi}^*(s)$ in $s = (s_0, \dots, s_k)$ which satisfies, for all $s \in C^{k+1}$,

$$P(\operatorname{grad})^{\mathfrak{x}} \cdot P^{**}(\mathfrak{x}) = b_{\mathfrak{x}}^{*}(s) P^{**-\delta^{*}(\mathfrak{x})}.$$

Proof. Denoting by F(x) the left hand side of (2.5), we have:

$$F(\tilde{\rho}_1(g)\cdot x) = \chi^{-1}(g)\chi(g)^s F(x)$$

and

$$P(\tilde{\rho}_{1}(g)\cdot x)^{s-\delta(\chi)} = \chi^{-1}(g)\chi(g)^{s}P(x)^{s-\delta(\chi)} \quad (g \in G \times GL(d_{1}, \cdots, d_{r})).$$

This shows that $P^{-s+\delta(\chi)}F(x)$ is an absolute invariant, and hence must be a constant $b_{\chi}(s)$ depending only upon s and χ . It is clear that $b_{\chi}(s)$ is a polynomial in s. The proof of the part (ii) is similar. Q.E.D.

From the definitions of $b_{x}(s)$ and $b_{x}^{*}(s)$, it follows that:

(i) If χ and ψ are characters in $X_{\rho}(G \times GL(d_1, \dots, d_r))$ such that $\delta^*(\chi)_i \geq 0$ and $\delta^*(\chi)_i \geq 0$ $(0 \leq i \leq k)$, then

$$b_{\chi\psi}(s) = b_{\chi}(s)b_{\psi}(s+\delta(\chi))$$
.

(ii) If χ and ψ are characters in $X_{\rho}(G \times GL(d_1, \dots, d_r))$ such that $\delta(\chi)_i \geq 0$ and $\delta(\chi)_i \geq 0$ ($0 \leq i \leq k$), then

$$b_{x\psi}^{*}(s) = b_{x}^{*}(s)b_{\psi}^{*}(s + \delta^{*}(\chi))$$
.

By the co-cycle properties of $b_{\chi}(s)$ and $b_{\chi}^*(s)$, $b_{\chi}(s)$ and $b_{\chi}^*(s)$ can be defined for arbitrary character χ in $X_{\rho}(G \times GL(d_1, \dots, d_r))$.

Let $\lambda^{(1)}, \dots, \lambda^{(k)}$ be the partitions corresponding to P_1, \dots, P_k , respectively. For $s = (s_0, s_1, \dots, s_k) \in C^{k+1}$, put

$$egin{aligned} & \hat{r}(s) = \Gamma(s_0 + s_1 \lambda_1^{(1)} + \cdots + s_k \lambda_1^{(k)} + n) \ & imes \Gamma(s_0 + s_1 \lambda_2^{(1)} + \cdots + s_k \lambda_2^{(k)} + n - 1) \ & \cdots \ & imes \Gamma(s_0 + s_1 \lambda_{n-1}^{(1)} + \cdots + s_k \lambda_{n-1}^{(k)} + 2) \ & imes \Gamma(s_0 + 1) \ , \end{aligned}$$

and

$$egin{aligned} &\mathcal{T}^*(s) = \varGamma(s_0 + s_1\lambda_1^{(1)} + \cdots + s_k\lambda_1^{(k)} + n) \ & imes \varGamma(s_0 + s_1(\lambda_1^{(1)} - \lambda_{n-1}^{(1)}) + \cdots + s_k(\lambda_1^{(k)} - \lambda_{n-1}^{(k)}) + n - 1) \ &\cdots \ & imes \varGamma(s_0 + s_1(\lambda_1^{(1)} - \lambda_2^{(1)}) + \cdots + s_k(\lambda_1^{(k)} - \lambda_2^{(k)}) + 2) \ & imes \varGamma(s_0 + 1) \,. \end{aligned}$$

Now we can state the main theorem of the present paper.

THEOREM 3.1. Let $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$ be a P.V., $\{P_0, \dots, P_k\}$ a complete system of relative invariants and $\lambda^{(1)}, \dots, \lambda^{(r)}$ the partitions corresponding to P_1, \dots, P_r . Then the b-functions $b_{\chi}(s)$ and $b_{\chi}^*(s)$ are given by

(3.1)
$$b_{\chi}(s) = \frac{\gamma(s)}{\gamma(s - \delta(\chi))}$$

and

(3.2)
$$b_{\chi}^{*}(s) = \frac{\gamma^{*}(s)}{\gamma^{*}(s - \delta^{*}(\chi))}.$$

Proof. Let B_n^- denote the group consisting of lower triangular n by n matrices, and ρ the representation on the vector space C^n defined by

$$ho(g)v = g \cdot v \ (g \in B_n^-, v = {}^t(v_1, \cdots, v_n) \in C^n)$$

Then the triplet $(B_n^- \times B_n, \tilde{\rho}_1, M(n, C))$ is a P.V., and relative invariants and the *b*-function are known ([2], p. 150). In this case, Theorem 3.1 is true and we shall reduce the problem to this case.

For a polynomial f(x) in V, we denote by $f^*(x)$ the polynomial defined in (2.2).

For any partition $\lambda = (\lambda_1, \dots, \lambda_n)$, denoting by π_{λ} the projection

$$V_{\lambda_1} \otimes \cdots \otimes V_{\lambda_n} \longrightarrow V_{\lambda}$$
,

we shall introduce two GL(n, C)-homomorphisms θ_1 and θ_2

$$arPhi_i; \, V_{\lambda} \otimes V_{\lambda'} \longrightarrow V_{\lambda+\lambda'} \quad (i=1,2) \, ,$$

where $\lambda + \lambda'$ denotes the partition $(\lambda_1 + \lambda'_1, \lambda_2 + \lambda'_2, \dots, \lambda_n + \lambda'_n)$.

For any f in V_{λ} and f' in $V_{\lambda'}$, $\Theta_i(f \otimes f')$ are defined as follows;

$$\Theta_1(f(x)\otimes f'(x))=f(x)\cdot f'(x)$$

and

$$arPhi_2(f(x)\otimes f'(x))=\pi_{\lambda_1+\lambda_n}\,\left((\det x)^{\lambda_1}f^*(\operatorname{grad})f'(x)
ight).$$

The decomposition of the SL(n, C)-module $V_{\lambda} \otimes V_{\lambda'}$ into irreducible components contains $V_{\lambda+\lambda'}$ with multiplicity one. The Schur's lemma says that Θ_1 and Θ_2 must be agree up to a constant.

On the other hand, a complete system of relative invariants of $(B_n^- \times B_n, \tilde{\rho}_1, M(n, C))$ is given by $\{\mathcal{A}_1(x), \dots, \mathcal{A}_n(x)\}$ where

$${\it {\it \Delta}}_i = \det egin{pmatrix} x_1^1 \cdots x_i^1 \ dots & dots \ x_i^1 \cdots x_i^i \end{pmatrix} \ \ (1 \leq i \leq n) \, .$$

For relative invariant polynomials f and f' of the P.V. $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$, let λ and λ' denote the corresponding partitions, respectively.

Put, for i = 1, 2, ..., n,

$$m_i = \lambda_i - \lambda_{i+1}$$
 (with $\lambda_{n+1} = 0$)

https://doi.org/10.1017/S0027763000021425 Published online by Cambridge University Press

and

$$m_i'=\lambda_i'-\lambda_{i+1}' \quad ext{(with } \lambda_{n+1}'=0) \ .$$

Then $\prod_{i=1}^{n} \mathcal{J}_{i}^{m_{i}}(x)$ and $\prod_{i=1}^{n} \mathcal{J}_{i}^{m_{i}'}(x)$ are contained in V_{λ} and $V_{\lambda'}$, respectively. Therefore we have

$$\frac{f^*(\operatorname{grad}) \cdot f'(x)}{f(x) \cdot f'(x)} = \frac{\prod\limits_{i=1}^n \varDelta_i^*(\operatorname{grad})^{m_i} \cdot \prod\limits_{i=1}^n \varDelta_i(x)^{m_i'}}{\prod\limits_{i=1}^n \varDelta_i(x)^{m_i + m_i'}}$$

Thus we can reduce the proof to the case of the P.V. $(B_n^- \times B_n, \tilde{\rho}_1, M(n, C))$, and we obtain (3.1). (3.2) is shown in a similar manner. Q.E.D.

COROLLARY. Let (G, ρ, V) be a P.V., $\{P_1, \dots, P_k\}$ a complete system of relative invariants of (G, ρ, V) , and d_1, \dots, d_k degrees of P_1, \dots, P_k , respectively. Then $(G \times GL(1, n - 1), \tilde{\rho}_1, M(n, C))$, $n = \dim V$, is a P.V., and the b-functions $b_{\chi}(s)$ and $b_{\chi^*}(s)$ of $(G \times GL(1, n - 1), \tilde{\rho}_1, M(n, C))$ are given by

$$b_{\chi}(s) = rac{\gamma(s)}{\gamma(s-\delta(\chi))}$$
 and $b_{\chi}^{*}(s) = rac{\gamma^{*}(s)}{\gamma^{*}(s-\delta^{*}(\chi))}$

where

$$arphi(s)=arphi(s_{\scriptscriptstyle 0}+d_{\scriptscriptstyle 1}s_{\scriptscriptstyle 1}+\cdots+d_{\scriptscriptstyle k}s_{\scriptscriptstyle k}+n)arphi(s_{\scriptscriptstyle 0}+n-1)\cdotsarphi(s_{\scriptscriptstyle 0}+1)$$

and

$$arepsilon^{*}(s) = arepsilon(s_{0}+d_{1}s_{1}+\cdots+d_{k}s_{k}+n)arepsilon(s_{0}+d_{1}s_{1}+\cdots+d_{k}s_{k}+n-1) \ \cdots arepsilon(s_{0}+d_{1}s_{1}+\cdots+d_{k}s_{k}+2)arepsilon(s_{0}+1) \,.$$

§4. Prehomogeneous vector spaces $(G \times B_n, \tilde{\rho}_1, M(n, C))$

Let G be a connected linear semi-simple algebraic group $(G \neq \{e\})$, $\rho: G \rightarrow GL(V)$ an *n*-dimensional irreducible representation, all defined over C. Let $(G \times B_n, \tilde{\rho}_1, M(n, C))$ be a P.V. Then, dim $(G \times B_n) \ge \dim M(n, C)$, and hence we have:

$$\dim G \geq \frac{1}{2}n(n-1).$$

Since G is semi-simple, we may assume that a triplet (G, ρ, V) is of the form:

$$egin{aligned} G &= G_1 imes G_2 imes \cdots imes G_k \,, \
ho &=
ho_1 \otimes
ho_2 \otimes \cdots \otimes
ho_k \,, \end{aligned}$$

and

$$V=V(d_{\scriptscriptstyle 1})\otimes V(d_{\scriptscriptstyle 2})\otimes \cdots \otimes V(d_{\scriptscriptstyle k}) \hspace{1em} ext{with} \hspace{1em} d_{\scriptscriptstyle 1}\geq d_{\scriptscriptstyle 2}\geq \cdots \geq d_{\scriptscriptstyle k}\geq 2\,,$$

where each G_i is a connected simple algebraic group, ρ_i is an irreducible representations of G_i on the d_i -dimensional *C*-vector space $V(d_i)$ $(1 \le i \le k)$. Therefore if $(G \times B_n, \tilde{\rho}_i, M(n, C))$ is a P.V. we have

(4.1)
$$\sum_{i=1}^k \dim G_i \geq \frac{1}{2} d_1 \cdots d_k \quad (d_1 \cdots d_k - 1).$$

LEMMA 4.1. Assume that a triplet $(G \times B_n, \tilde{\rho}_1, M(n, C))$ is a P.V. Then we have k = 1 or $(G, \rho, V) = (SL(2) \times SL(2), \Box \otimes \Box, V(4))$.

Proof. The image $\rho_i(G_i)$ of the simple algebraic group G_i is contained in $SL(d_i)$. By (4.1), we have

$$\sum_{i=1}^k \left(d_i^2 - 1
ight) \geq rac{1}{2} \, d_1 \cdots d_k \quad \left(d_1 \cdots d_k - 1
ight).$$

If $k \geq 3$, this inequality implies that

$$1 > d_1^2 (2^{2k-3} - 2^{k-2} - k) + k$$
 .

It is easy to show that $2^{2^{k-3}} > 2^{k-2} + k$ for $k \ge 3$. This is impossible and hence we have $k \le 2$. When k = 2, we have $d_1^2 + d_2^2 > \frac{1}{2}d_1d_2(d_1d_2 - 1)$. This inequality implies that $d_1 = d_2 = 2$.

The following lemma can be easily proved.

LEMMA 4.2. Let G be a semi-simple linear algebraic group and $\rho: G \rightarrow GL(V)$ be an irreducible representation on an n-dimensional vector space V(n) satisfying

$$\dim G \geq \frac{1}{2}n(n-1).$$

Then $(G, \rho, V(n))$ is one of:

- (1) $(SL(n), \Box, V(n))$
- (2) (SL(2), , V(3))
- (3) (SL(4), -, V(6))
- (4) $(SL(2) \times SL(2), \Box \otimes \Box, V(4))$

- (5) $(Sp(m), \Box, V(n)) (n = 2m)$
- (6) $(SO(n), \Box, V(n)) (n \ge 3)$
- (7) (Sp(2), , V(5))
 (for classical group, we write the Young diagram corresponding to ρ).

The image of the representation \square of Sp(2) is SO(5) and the kernel is $\{\pm 1\}$. Therefore we may identify the triplet $(Sp(2), \square, V(5))$ with the triplet $(SO(5), \square, V(5))$. Similarly, we identify the triplets $(2) \sim (4)$ with the triplet (6), n = 3, 6, 4, respectively.

Case 1. $(G, \rho, V) = (SL(n), \Box, V(n)).$

The triplet $(SL(n), \square, M(n, C))$ is a trivial P.V. (See [2], and the singular set S is given by

$$S = \{X \in M(n, C); \text{ det } X = 0\}.$$

The Young diagram corresponding to the relative invariant $\det X$ is



By Theorem 1, the b-function is given by

$$b(s) = s(s+1)\cdots(s+n-1).$$

Remark. The *b*-function of det X is well known (See [2])

Case 2. $(G, \rho, V) = (SO(n), \Box, V(n)).$

The triplet $(SO(n), \square, M(n, C))$ is a P.V., and the *b*-function of it is known (See [2]).

We shall determine the *b*-function by Theorem 3.1. For a $x = [x^1, \dots, x^n] \in M(n, C)$, put

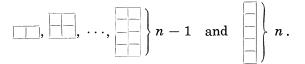
$$P_{i}(x) = \det \begin{pmatrix} (x^{1}, x^{1}), \cdots, (x^{1}, x^{n}) \\ \vdots & \vdots \\ (x^{n}, x^{1}), \cdots, (x^{n}, x^{n}) \end{pmatrix} \quad (1 \le i \le n-1)$$

where $(x^k, x^l) = {}^t x^k \cdot x^l$, and $P_0(x) = \det x$.

Then the singular set S is $S_0 \cup \cdots \cup S_{n-1}$ with

 $S_i = \{x \in M(n, C); P_i(x) = 0\} \ (0 \le i \le n-1).$

Thus the Young diagrams corresponding to relative invariants P_1, \dots, P_n , and P_0 are, respectively,



By Theorem 1, we have:

$$b_{\chi}(s) = \tilde{\gamma}(s)/\tilde{\gamma}(s - \delta \chi)$$

where

$$egin{aligned} & \mathcal{T}(s) = \varGamma(s_{\scriptscriptstyle 0} + 2s_{\scriptscriptstyle 1} + \ \cdots + 2s_{\scriptscriptstyle n-1} + n) \ & imes \varGamma(s_{\scriptscriptstyle 0} + 2s_{\scriptscriptstyle 2} + \ \cdots + 2s_{\scriptscriptstyle n-1} + n - 1) \cdots \varGamma(s_{\scriptscriptstyle 0} + 1) \,. \end{aligned}$$

Case 3. $(G, \rho, V) = (Sp(m), \Box V(n))$ (n = 2m)

Denote by [x, y] the skew symmetric bilinear form on $V(n) \times V(n)$ defined as follows.

$$[x, y] = x_1 y_1' - x_1' y_1 + \cdots + x_m y_m' - x_m' y_m$$

with $x = {}^t(x_1, x_1', \cdots, x_m, x_m')$ and $y = {}^t(y_1, y_1', \cdots, y_m, y_m')$.

For $x = (x^1, \dots, x^n) \in M(n, C)$. Put

$$P_k(x) = \operatorname{Pff}([x^i, x^j])_{1 \le i \le 2k \atop 1 \le j \le 2k} \ (1 \le k \le m-1)$$
 ,

and

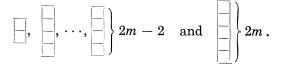
 $P_0(x) = \det(x)$

where Pff denotes the Pfaffian.

It is easy to show that, if a point x_0 of M(n, C) satisfies $\prod_{i=0}^{m-1} P_i(x) \neq 0$, there exists a $(g, T) \in Sp(m) \times B_n$ such that $gx_0T^{-1} = 1_n$. Therefore the triplet $(Sp(m), \Box, M(n, C))$ is a P.V., and the singular set S is

$$egin{aligned} S &= S_{\scriptscriptstyle 0} \cup S_{\scriptscriptstyle 1} \cup \cdots \cup S_{\scriptscriptstyle m} \ & ext{with} \quad S_{\scriptscriptstyle i} &= \{X \in M(n,\,C) | P_{\scriptscriptstyle i}(x) = 0\} \quad (0 \leq i \leq m) \,. \end{aligned}$$

The Young diagrams corresponding to relative invariants P_1, \dots, P_{m-1} and P_0 are, respectively,



 \mathbf{Put}

$$\begin{split} \varUpsilon(s) &= \varGamma(s_0 + s_1 + \cdots + s_{m-1} + n) \varGamma(s_0 + s_1 + \cdots + s_{m-1} + n - 1) \ & imes \varGamma(s_0 + s_2 + \cdots + s_{m-1} + n - 2) \varGamma(s_0 + s_2 + \cdots + s_{m-1} + n - 3) \ & imes \varGamma(s_0 + s_{m-1} + 4) \varGamma(s_0 + s_{m-1} + 3) \ & imes \varGamma(s_0 + 2) \cdot \varGamma(s_0 + 1) \,. \end{split}$$

Then, by Theorem 1, the *b*-function $b_x(s)$ is given by

$$b_{\gamma}(s) = \tilde{\gamma}(s)/\tilde{\gamma}(s - \delta(\chi))$$
.

Now we obtain the following theorem.

THEOREM 4.1. Let $(G \times B_n, \tilde{\rho}_1, M(n, C))$ be a P.V., where G is a semisimple connected linear algebraic group, B_n the group consists of all $n \times n$ complex triangular matrix, $\rho : G \to GL(V)$ an irreducible representation on an n-dimensional vector space, all defined over C. Let $\{P_0, \dots, P_k\}$ be a complete set of irreducible relative invariants of $(G \times B_n, \tilde{\rho}_1, M(n, C))$. Then (G, ρ, V) is one of the following P.V.'s,

In the next article, we shall be concerned with zeta-functions associated with prehomogeneous vector spaces $(G \times GL(d_1, \dots, d_r), \tilde{\rho}_1, M(n, C))$.

References

- [1] H. Weyl, Classical Groups, Princeton University Press, 1964.
- [2] M. Sato, Theory of prehomogeneous vector space (note by T. Shintani in Japanese), Sugaku no ayumi, 15 (1970), 85-157.
- [3] M. Sato and T. Kimura, A classification of Irreducible Prehomogeneous Vector Spaces and Their Relative Invariants, Nagoya Math. J., 65 (1977), 1-155.

Department of Mathematics Faculty of Science Chikusa-ku, Nagoya 464 Japan