

## ON SEPARABLE CYCLIC EXTENSIONS OF RINGS

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### Abstract

The quaternion algebra of degree 2 over a commutative ring as defined by S. Parimala and R. Sridharan is generalized to a separable cyclic extension  $B[j]$  of degree  $n$  over a noncommutative ring  $B$ . A characterization of such an extension is given, and a relation between Azumaya algebras and Galois extensions for  $B[j]$  is also obtained.

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### 1. Introduction

Parimala and Sridharan (1977) studied a quaternion algebra  $B[j]$  (a free ring extension of degree 2) over a commutative ring  $B$  with 1, where  $j^2 = -1$ ,  $\rho(a)j = ja$  for each  $a$  in  $B$  and  $\rho$  is an automorphism of  $B$  of order 2. One of the present authors (Szeto (1980)) generalized such a ring extension  $B[j]$  from degree 2 to a cyclic extension of any degree  $n$ , and from a commutative ring  $B$  to a noncommutative ring  $B$  (Szeto and Wong (to appear)). It was shown that if  $B$  is a commutative Galois extension over  $A$ , the subring of  $B$  consisting of all elements fixed by  $\rho$ , then  $B[j]$  is an Azumaya  $A$ -algebra (Szeto (1980), Theorem 3.2 and Lemma 3.4). In the present paper, for  $B[j]$  over a not necessarily commutative ring  $B$ , we shall give a necessary and sufficient condition for the separability of  $B[j]$  of degree  $n$  over  $B$  in terms of the elements of  $B$ , and generalize the above result to a noncommutative Galois extension  $B$  over  $A$ .

### 2. Preliminaries

Throughout, we assume that  $B$  is a ring with 1,  $\rho$  an automorphism of  $B$  of order  $n$ ,  $A (= B^\rho)$ , the subring of  $B$  consisting of all elements fixed by  $\rho$ , and  $B[j]$  a free ring extension of  $B$  with basis  $\{1, j, \dots, j^{n-1}\}$  such that (1)  $j^n = b$  for some unit  $b$  in  $A$ , and (2)  $ja = \rho(a)j$  for each  $a$  in  $B$ . Now we recall some basic definitions as given by Auslander and Goldman (1960). Let  $T$  be a ring extension of a subring  $S$  with 1. Then  $T$  is called a separable extension over  $S$  if there exist elements  $u_i, v_i$  in  $T$ ,  $i = 1, \dots, m$ , for some integer  $m$ , such that  $\sum u_i v_i = 1$ , and for all  $a$  in  $T$ ,  $a(\sum u_i \otimes v_i) = (\sum u_i \otimes v_i)a$  in  $T \otimes_S T$ . Such an element  $\sum u_i \otimes v_i$  is called a separable idempotent for  $T$ . If  $S$  is in the center of  $T$ , the separable extension  $T$  is called a separable  $S$ -algebra. Moreover, the separable  $S$ -algebra is called an Azumaya  $S$ -algebra if  $S$  is the center of  $T$ .

Let  $G$  be a finite automorphism group of a ring  $T$  and  $S$  the subring of the fixed elements under each element in  $G (= T^G)$ . Then  $T$  is called a Galois extension of  $S$  with Galois group  $G$  if there exist elements,  $u_i, v_i$  in  $T$ ,  $i = 1, \dots, m$ , for some integer  $m$ , such that  $\sum u_i v_i = 1$  and  $\sum u_i \sigma(v_i) = 0$  for each non-identity  $\sigma$  in  $G$ .

### 3. Separable extensions

In this section, we shall characterize the separability of  $B[j]$  over  $B$  by describing the full set of separable idempotents for  $B[j]$ . We denote the set  $\{c$  in  $B: ac = c\rho^k(a)$  for each  $a$  in  $B\}$  by  $B(\rho^k)$ , for each  $k = 1, \dots, n - 1$ .

**THEOREM 3.1.** *The cyclic extension  $B[j]$  is separable over  $B$  if and only if there exist a system of elements  $b_{pq}$  in  $B$  for  $p, q = 0, 1, \dots, n - 1$  such that*

- (1)  $\rho(b_{pq}) = b_{p+1, q-1}$  for  $p = 0, \dots, n - 2$  and  $q = 1, \dots, n - 1$ ;  
 $b\rho(b_{n-1, q}) = b_{0, q-1}$  for  $q > 0$ ;  
 $\rho(b_{p0}) = bb_{p+1, n-1}$  for  $p < n - 1$ ;  
 $\rho(b_{n-1, 0}) = b_{0, n-1}$ ;
- (2)  $b_{pq}$  is in  $B(\rho^{p+q})$ ;
- (3)  $\sum\{b_{pq}: p + q = k \text{ and } 0 < k < n\} = -b\sum\{b_{pq}: p + q = k(\text{mod } n) \text{ and } k > n\}$ ;  
 $b_{00} + b\sum\{b_{pq}: p + q = n\} = 1$ .

**PROOF.** Let  $B[j]$  be a separable extension over  $B$  with a separable idempotent  $u = \sum\{b_{pq}(j^p \otimes j^q): p, q = 0, \dots, n - 1\}$ . Then we have that (a)  $ju = uj$ , (b)  $cu = uc$  for each  $c$  in  $B$ , and (c)  $\sum\{b_{pq}j^{p+q}: p, q = 0, \dots, n - 1\} = 1$  b the

definition of a separable idempotent for  $B[j]$ . Equation (a) implies that  $\sum \rho(b_{pq})(j^{p+1} \otimes j^q) = \sum b_{pq}(j^p \otimes j^{q+1})$ . Noting that  $\{j^p \otimes j^q: p, q = 0, \dots, n - 1\}$  is a basis for  $B[j] \otimes_B B[j]$ , we have condition (1). Equation (b) gives  $\sum cb_{pq}(j^p \otimes j^q) = \sum b_{pq}\rho^{p+q}(c)(j^p \otimes j^q)$ , so  $cb_{pq} = b_{pq}\rho^{p+q}(c)$  for each  $c$  in  $B$ . Hence  $b_{pq}$  is in  $B(\rho^{p+q})$ . In equation (c), since  $j^n = b$ ,  $(\sum_{p+q=k, k < n} b_{pq} + \sum_{p+q=k \pmod n, k > n} b_{pq})j^{p+q} = 1$  for  $k = 0, \dots, n - 1$ . Hence,

$$\sum_{0 < p+q=k < n} b_{pq} = (-b) \left( \sum_{\substack{p+q=k \pmod n \\ k > n}} b_{pq} \right) \text{ and } b_{00} + b \left( \sum_{p+q=n} b_{pq} \right) = 1.$$

Conversely, equations (1), (2) and (3) imply (a), (b) and (c) by direct verifications. Thus  $B[j]$  is separable over  $B$ .

By using conditions (1), (2) and (3) in Theorem 3.1, the set  $\{b_{pq}: q \neq 0\}$  is determined by the set  $\{b_{p0}\}$ . Thus a system with less than  $n^2$  elements  $b_{pq}$  can be made to determine a separable idempotent.

**THEOREM 3.2.** *The cyclic extension  $B[j]$  over  $B$  is separable if and only if there exists a system of elements  $\{b_{p0}$  in  $B: p = 0, \dots, n - 1\}$  such that*

- (1)'  $b_{p0}$  is in  $B(\rho^p)$  for each  $p$ , and
- (2)'  $\sum_{p+q=k < n} \rho^{-q}(b_{p+q,0}) = -\sum_{p+q=k \pmod n, p+q > n} \rho^{-q}(b_{p+q-n,0})$  for each  $k$ .

**PROOF.** By Theorem 3.1, it suffices to show that conditions (1)' and (2)' are equivalent to (1), (2) and (3). First, let (1), (2) and (3) be true. Condition (1) implies that  $b_{pq} = \rho^{-1}(b_{p+1,q-1}) = \rho^{-2}(b_{p+2,q-2}) = \dots = \rho^{-q}(b_{p+q,0})$ , where  $p + q < n$ . Also, for  $p + q \geq n$ , since  $b\rho(b_{n-1,q}) = b_{0,q-1}$  and  $\rho(b_{pq}) = b_{p+1,q-1}$  for  $p = 0, \dots, n - 2$  by condition (1),  $b_{pq} = \rho^{-(n-1-p)}(b_{n-1,q-(n-1-p)}) = b^{-1}\rho^{-n+p}(b_{0,q+p-n}) = b^{-1}\rho^{-n+p-q-p+n}(b_{q+p-n,0}) = b^{-1}\rho^{-q}(b_{p+q-n,0})$ . Thus condition (3) becomes condition (2)'. Clearly, condition (2) implies condition (1)'.

Conversely, noting  $\rho$  is an automorphism of  $B$ , we can define  $\{b_{pq}: p, q = 0, \dots, n - 1\}$  in terms of  $\{b_{k0}: k = 0, \dots, n - 1\}$  such that  $\rho(b_{pq}) = b_{p+1,q-1}$ , for  $p = 0, \dots, n - 2$  and  $q = 1, \dots, n - 1$ ,  $\rho(b_{n-1,0}) = b_{0,n-1}$ ,  $b\rho(b_{n-1,q}) = b_{0,q-1}$ ,  $\rho(b_{p0}) = bb_{p+1,n-1}$ . Thus condition (1) holds. Next, let  $p + q < n$ . For any  $c$  in  $B$ , we have an element  $c'$  in  $B$  such that  $c = \rho^{-q}(c')$ . Hence  $cb_{pq} = c\rho^{-q}(b_{p+q,0}) = \rho^{-q}(c')\rho^{-q}(b_{p+q,0}) = \rho^{-q}(c'b_{p+q,0}) = \rho^{-q}(b_{p+q,0})\rho^{p+q}(\rho^{-q}(c')) = b_{pq}\rho^{p+q}(c)$  by condition (1)'. Let  $p + q \geq n$ . For any  $c$  in  $B$ , we have  $cb_{pq} = cb^{-1}\rho^{-q}(b_{p+q-n,0}) = \rho^{-q}(c')b^{-1}\rho^{-q}(b_{p+q-n,0}) = b^{-1}\rho^{-q}((b_{p+q-n,0})\rho^{p+q-n}(c')) = b^{-1}\rho^{-q}(b_{p+q-n,0})\rho^{p+q-n}(c) = b_{pq}\rho^{p+q}(c)$ . Thus  $b_{pq}$  is in  $B(\rho^{p+q})$ . This proves condition (2). Condition (2)' implies condition (3) by substituting the above  $b_{pq}$  defined by the  $b_{k0}$  in (2)'. Therefore,  $\sum b_{pq}(j^p \otimes j^q)$  is a separable idempotent for  $B[j]$ .

It is interesting to note the location of the coefficients  $b_{pq}$  of a separable idempotent in a Cartesian plane: Let  $b_{pq}$  be located at the point  $(p, q)$  of a Cartesian plane, for  $p, q = 0, \dots, n - 1$ . Then join straight lines  $L_{pp}$  from  $(0, p)$  to  $(p, 0)$ ,  $L_p^p$  from  $(p, n - 1)$  to  $(n - 1, p)$  for  $p = 0, \dots, n - 1$ , and  $L_p^{p+1}$  from  $(n - 1, p + 1)$  to  $(0, p)$  for  $p = 0, \dots, n - 2$ . Then these directed lines  $L_{pp}$ ,  $L_p^p$  and  $L_p^{p+1}$  indicate that  $\rho(b_{pq}) = b_{p+1, q-1}$  for  $p = 0, \dots, n - 2$  and  $q = 1, \dots, n - 1$ ,  $b\rho(b_{n-1, q}) = b_{0, q-1}$  for  $q = 1, \dots, n - 1$ , and  $\rho(b_{p0}) = bb_{p+1, n-1}$  for  $p = 0, \dots, n - 2$ , respectively. Condition (3) in Theorem 3.1 means that the sum of elements  $b_{pq}$  along  $L_{pp}$  below the main diagonal  $L_{n-1, n-1}$  for  $p < n - 1$  is equal to the product of  $(-b)$  and the sum of elements  $b_{pq}$  along  $L_p^p$  above  $L_{n-1, n-1}$ . Moreover, condition (2) of Theorem 3.1 corresponds to the fact that  $b_{pq}$  is located at the point  $(p, q)$ . Theorem 3.2 means that elements at the points  $(p, 0)$  for  $p = 0, \dots, n - 1$  are sufficient to determine a separable idempotent.

Next we have a necessary and sufficient condition for a separable extension  $B[j]$  to be Azumaya over  $A$ . In  $B[j]$ , we denote the subalgebra generated by  $\{a - \rho^i(a) : a \text{ in } B\}$  by  $A_i$ ,  $i = 1, \dots, n - 1$ .

**THEOREM 3.4.** *Assume that  $B$  is separable over  $A$  and that  $n$  and  $b$  are units in  $A$ . Then  $B[j]$  is an Azumaya  $A$ -algebra if and only if  $A_i$  is a faithful  $A$ -algebra for each  $i$ .*

**PROOF.** Since  $n$  and  $b$  are units in  $A$ , it is straightforward to verify that  $(nb)^{-1} \sum_{p=0}^{n-1} j^p \otimes j^{n-p}$  is a separable idempotent for  $B[j]$  over  $B$ . Hence  $B[j]$  is a separable extension over  $B$ . But  $B$  is separable over  $A$ , so  $B[j]$  is separable over  $A$ . Then, it suffices to show that the center of  $B[j]$  is  $A$  if and only if  $A_i$  is a faithful  $A$ -algebra for each  $i = 1, \dots, n - 1$ . In fact, let  $u = \sum a_i j^i$  be an element in the center. Then  $ju = uj$ , which implies that  $\rho(a_i) = a_i$  for each  $i$ . Hence the  $a_i$  are in  $A$ . Moreover, since  $cu = uc$  for each  $c$  in  $B$ ,  $a_i(c - \rho^i(c)) = 0$ . By hypothesis,  $A_i$  is faithful over  $A$ , so  $a_i = 0$ . Thus  $u = a_0$  in  $A$ . Since  $A$  is contained in the center by hypothesis,  $A$  is the center of  $B[j]$ .

Conversely, assume to the contrary that  $A_i$  is not faithful over  $A$  for some  $i$ . Then there exists an  $a_i$  in  $A$  such that  $a_i A_i = 0$ . Thus one can check that  $a_i j^i$  is in the center. This contradicts the fact that  $B[j]$  is Azumaya over  $A$ . Therefore,  $A_i$  is faithful over  $A$  for each  $i$ .

In Szeto (1980), it was shown that if  $B$  is a commutative Galois extension over  $A$  with Galois group  $G$  of order  $n$ , then  $B[j]$  is an Azumaya  $A$ -algebra. Now we want to generalize the above theorem to noncommutative Galois extensions. As for commutative Galois extensions (DeMeyer and Ingraham (1971), Proposition 1.2, 80), we have for the non-commutative case.

LEMMA 3.5. *If  $B$  is a Galois extension over a subring  $B'$  with Galois group  $G$ , then the left ideal generated by  $\{a - \alpha(a) : a \text{ in } B \text{ and } \alpha \neq 1 \text{ in } G\}$  is  $B$ .*

PROOF. Since  $B$  is Galois over  $B'$ , there exist  $a_i, b_i$  in  $B$ ,  $i = 1, \dots, k$  for some integer  $k$ , such that  $\sum a_i b_i = 1$  and  $\sum a_i \alpha(b_i) = 0$  for each  $\alpha \neq 1$  in  $G$ . Hence  $\sum a_i (b_i - \alpha(b_i)) = 1$ . Thus the lemma is proved.

THEOREM 3.6. *Let  $B$  be a  $K$ -algebra and  $B[j]$  a cyclic extension over  $B$  of degree  $n$  such that  $b$  and  $n$  are units in  $K$ . If  $B$  is a Galois extension over a subring  $B'$  with Galois group  $G = \{\rho\}$  of order  $n$  and if  $B'$  is Azumaya over  $K$ , then  $B[j]$  is Azumaya over  $K$ .*

PROOF. Since  $B$  is Galois over  $B'$ , it is a separable extension over  $B'$  by the proof of Theorem 1 in DeMeyer (1966). Also since  $B'$  is separable over  $K$ ,  $B[j]$  is separable over  $K$  by the transitivity property of separable extensions. Thus it suffices to show that the center of  $B[j]$  is  $K$ . Let  $x (= \sum a_i j^i)$  be in the center of  $B[j]$ . Then  $jx = xj$ . This implies that  $\rho(a_i) = a_i$  for each  $i \neq 0$ . Hence the  $a_i$  are in  $B'$  for each  $i \neq 0$ . Moreover,  $dx = xd$  for each  $d$  in  $B$ . This implies that  $da_i = a_i \rho^i(d)$ . In particular, this holds for each  $d$  in  $B'$ , so  $da_i = a_i d$ . Thus the  $a_i$  are in the center of  $B'$  for each  $i \neq 0$ . That is, the  $a_i$  are in  $K$ . But then  $a_i(d - \rho^i(d)) = 0$  for each  $d$  in  $B$ . By hypothesis,  $B$  is Galois over  $B'$ , so  $a_i = 0$  for each  $i \neq 0$  by Lemma 3.5. thus  $a = a_0$ . Again,  $a_0 d = da_0$  for all  $d$  in  $B$ , so  $a_0$  is in  $K$ . On the other hand,  $K$  is contained in the center, so  $B[j]$  is Azumaya over  $K$ .

In Theorem 3.6, if  $B$  is commutative,  $B' = K$ . Thus  $B[j]$  is an Azumaya  $K$ -algebra. So, Theorem 3.6 generalizes the commutative case.

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