# DERIVED RING ISOMORPHISMS OF VON NEUMANN ALGEBRAS

## C. ROBERT MIERS

**1. Introduction.** Let M be an associative \*-algebra with complex scalar field. M may be turned into a Lie algebra by defining multiplication by [A, B] = AB - BA. A Lie \*-subalgebra L of M is a \*-linear subspace of M such that if  $A, B \in L$  then  $[A, B] \in L$ . A Lie \*-isomorphism  $\phi$  between Lie \*-subalgebras  $L_1$  and  $L_2$  of \*-algebras M and N is a one-one, \*-linear map of  $L_1$  onto  $L_2$  such that  $\phi[A, B] = [\phi(A), \phi(B)]$  for all  $A, B \in L_1$ . We have previously shown [5] that if  $L_1 = M, L_2 = N$  where M and N are von Neumann algebras with no central abelian summands, then, modulo a \*-linear map from M into the center of N which annihilates brackets,  $\phi$  is the direct sum of a \*-isomorphism and the negative of a \*-anti-isomorphism.

In this paper we show that if M and N are von Neumann algebras with no central abelian summands, and if  $L_1 = [M, M]$  (= all finite linear combinations of elements  $[A, B], A, B \in M$ ) and  $L_2 = [N, N]$  then  $\phi$  can be extended to a mapping from M onto N which is the direct sum of a \*-isomorphism and the negative of a \*-anti-isomorphism. This result is analogous to that of R. A. Howland [4] who proved that if M and N are simple rings with M containing three non-zero orthogonal idempotents whose sum is the identity, then  $\phi$  can be extended to an isomorphism of M onto N, or to the negative of an anti-isomorphism of M onto N. Although von Neumann algebras have an abundance of projections they will not, in general, be simple owing to the presence of central projections. It is known [6; 8], that if M is an infinite von Neumann algebra then [M, M] = M, if M is of type I and finite then, modulo the center of M, [M, M] = M, and if M is of type II, then [M, M] is uniformly dense in the set of operators with central trace zero.

In what follows we shall take Dixmier [1] as a general reference. A von Neumann algebra M is a weakly closed, self-adjoint algebra of operators on a Hilbert space H containing the identity operator. The set  $Z_M = \{S \in M | ST = TS \text{ for all } T \in M\}$  is called the center of M. If P and Q are projections (= self-adjoint idempotents) in M then  $M_P = \{PAP | A \in M\}$ ,  $PMQ = \{PAQ | A \in M\}$  and

$$PMQMP = \left\{ \sum_{i=1}^{n} PX_{i}QY_{i}P|X_{i}, Y_{i} \in M \right\}.$$

The central support  $\overline{P}$  of a projection P is defined to be the smallest central projection larger than P, the central core  $\tilde{P}$  of P is defined to be

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LUB{ $A \leq P | A = A^* \in Z_M$ }. The central core of any self-adjoint element is defined analogously. If  $\overline{PQ} = 0$  we say P is parallel to Q, written P || Q.

2. General results on Lie \*-isomorphisms of [M, M]. The following results parallel those of [5, pp. 719-723]. In particular we first show that certain projections and relations between projections can be characterized in terms of bracket relations involving elements of [M, M], and then that the image of these projections under a Lie \*-isomorphism of [M, M] onto [N, N] can also be characterized.

**LEMMA 1.** If A is a self-adjoint operator in the von Neumann algebra M and [[[[X, A], A], A], A] = 0 for all  $X \in M$ , then  $A - \tilde{A}$  is a projection in M.

*Proof.* An argument similar to [5, Theorem 1] with the polynomial  $t^4 - t^2$  replacing  $t^3 - t$  will show that the spectrum of  $A - \tilde{A}$  consists of  $\{0, 1\}$ .

LEMMA 2. If P and Q are commuting, core-free projections then [[P, Q], Q] = 0for all  $X \in [M, M]$  implies P || Q. If P || Q then [[P, X], Q] = 0 for all  $X \in M$ .

*Proof.* If [[P, X], Q] = 0 for all  $X \in [M, M]$  then [[[P, [X, P]], Q] = 0 for all  $X \in M$ . Multiplying this out we have

$$2PXPQ - PXQ - XPQ - 2PQXP - PQX + QXP = 0.$$

Multiplying this relation on the left by PQ gives PQX(I - P)(I - Q) = 0 for all  $X \in M$ . Multiplying the relation on the left by P and on the right by Q gives P(I - Q)XQ(I - P) = 0 for all  $X \in M$ . The result now follows from the proof of [5, Lemma 2].

LEMMA 3. Let P, Q be commuting projections in M such that [[[[X, P], Q], P], Q] + [[X, P], Q] = 0 for all  $X \in [M, M]$ . Then there exists a projection  $C \in Z_M$  such that PQ(I - C) = 0, (I - P)(I - Q)C = 0.

*Proof.* The bracket identity implies that

[[[[X, Q], P], Q], P], Q] + [[[X, Q], P], Q] = 0

for all  $X \in M$ . Multiplying this relation on the left by PQ gives PQX(I - P)(I - Q) = 0 for all  $X \in M$  which implies PQ || (I - P)(I - Q). Let  $C = \overline{PQ}$ .

LEMMA 4. Let  $\phi:[M, M] \to [N, N]$  be a Lie \*-isomorphism of [M, M] onto [N, N] where M and N are von Neumann algebras. Then  $\phi[Z_M \cap [M, M]) = Z_N \cap [N, N]$ .

*Proof.* If  $Z \in Z_M \cap [M, M]$  then [[M, M], Z] = 0. This implies  $[[N, N], \phi(Z)] = 0$ . By [3, Sublemma, p. 5]  $\phi(Z) \in Z_N$ . The reverse inclusion follows by applying the same argument to  $\phi^{-1}$ .

LEMMA 5. Let  $\phi$ , M, and N be as in Lemma 4. If P is a projection in M such that  $P - Z \in [M, M]$  for some  $Z = Z^* \in Z_M$  then  $\phi(P - Z) = \theta(P) + \lambda(P - Z)$  where  $\theta$  is a core free projection and  $\lambda(P - Z) \in Z_N$ . This representation is

unique. Also,  $\phi(P-Z) = -\theta'(P) + \lambda'(P-Z)$  where  $\theta'(P)$  is a core free projection and  $\lambda'(P-Z) \in Z_N$ . This representation is unique.

*Proof.* Let F = P - Z. Then [[[X, F], F], F] = [X, F] for all  $X \in M$ , and in particular for all  $X \in [M, M]$ . Thus, [[[X,  $\phi(F)$ ],  $\phi(F)$ ],  $\phi(F)$ ] = [X,  $\phi(F)$ ] for all  $X \in [N, N]$  since  $\phi$  is onto. Let  $X = [Y, \phi(F)]$ . Then

$$[\llbracket [Y, \boldsymbol{\phi}(F)], \boldsymbol{\phi}(F)], \boldsymbol{\phi}(F)], \boldsymbol{\phi}(F)] = \llbracket [Y, \boldsymbol{\phi}(F)], \boldsymbol{\phi}(F)].$$

By Lemma 1 this implies  $\phi(F) - \phi(F)^{\sim}$  is a core-free projection, say  $\theta(F)$ . Suppose  $P - Z' \in [M, M]$  for  $Z' \in Z_M$ . Then  $Z - Z' = (P - Z') - (P - Z) \in [M, M]$  so that, by Lemma 4,  $\phi(Z - Z') \in Z_N \cap [N, N]$ . Also,  $\phi(Z - Z') = \phi(P - Z') - \phi(P - Z) = \theta(P - Z') + \phi(P - Z')^{\sim} - \theta(P - Z) - \phi(P - Z)^{\sim}$  so that  $\theta(P - Z') - \theta(P - Z) \in Z_N$ . By [5, Lemma 1] this implies  $\theta(P - Z') = \theta(P - Z)$ . We call this common value  $\theta(P)$ . If  $\phi(P - Z) = Q + Z'$  where Q is a core-free projection and  $Z' \in Z_N$  then  $\theta(P) - Q \in Z_N$  which would imply again by [5, Lemma 1] that  $\theta(P) = Q$  and also that  $\lambda(P - Z) = Z'$ .

If we write  $\theta'(P) = \overline{\theta(P)} - \theta(P)$  then  $\theta'(P)$  is a core-free projection and  $\phi(P-Z) = -\theta'(P) + \lambda'(P-Z)$ . By an argument similar to the one above this representation is unique.

LEMMA 6. If P - Z,  $Q - Z' \in [M, M]$ , for some self-adjoint Z,  $Z' \in Z_M$ , with [P, Q] = 0 then  $[\theta(P), \theta(Q)] = 0$ .

*Proof.* 0 = [P, Q] = [P - Z, Q - Z']. Hence,  $0 = \phi(0) = \phi[P - Z, Q - Z'] = [\phi(P - Z), \phi(P - Z')] = [\theta(P), \theta(Q)]$ .

**LEMMA 7.** Let Q be a core-free projection in N such that  $Q - Z' \in [N, N]$  for some  $Z' \in Z_N$ . There exists a core-free projection  $P \in M$  and a self-adjoint  $Z \in Z_M$  such that  $P - Z \in [M, M]$  and  $\theta(P) = Q$ .

Proof. Let Q' = Q - Z'. Then [[[X, Q'], Q'], Q'] = [X, Q'] for all  $X \in [N, N]$ . There exists a self-adjoint  $P' \in [M, M]$  such that  $\phi(P') = Q'$ . This implies [[[X, P'], P'], P'] = [X, P'] for all  $X \in [M, M]$ . Hence  $P' - \tilde{P}' = P$  is a core-free projection and  $Q - Z' = Q' = \phi(P') = \phi(P + \tilde{P}') = \theta(P) + \lambda(P - (-\tilde{P}'))$ . This implies  $\theta(P) = Q$ .

LEMMA 8. Let P and Q be core-free projections in M with P - Z,  $Q - Z' \in [M, M]$  for self-adjoint  $Z, Z' \in Z_M$ . Then P ||Q if and only if  $\theta(P) || \theta(Q)$ and  $\overline{P} = \overline{Q}$  if and only if  $\theta(P) = \overline{\theta(Q)}$ .

*Proof.* If P || Q (P, Q need not be core-free here) then 0 = [[P, X], Q] = [[P - Z, X], Q - Z'] for all  $X \in [M, M]$ . Thus  $0 = \phi(0) = [[\phi(P - Z), X], \phi(Q - Z')] = [[\theta(P), X], \theta(P)]$  for all  $X \in [N, N]$ . This implies, by Lemma 2, since  $\theta(P)$  and  $\theta(Q)$  are core-free, that  $\theta(P) || \theta(Q)$ . If  $\theta(P) || \theta(Q)$  then  $0 = [[\theta(P), X], \theta(Q)] = [[\phi(P - Z), X], \phi(Q - Z')]$  for all  $X \in [N, N]$ . Thus  $0 = \phi^{-1}(0) = [[P, X], Q]$  for all  $X \in [M, M]$ . By Lemma 2 we have P || Q.

If  $\overline{P} = \overline{Q}$  but  $\overline{\theta(c)} \neq \overline{\theta(Q)}$  there exists a central projection  $C \in Z_N$  such that  $C\theta(Q) = 0$  but  $C\theta(P) \neq 0$ . By Lemma 7 there exists a core-free projection R in M and a self-adjoint  $Z'' \in Z_M$  such that  $R - Z'' \in [M, M]$  and  $\theta(R) = C\theta(P)$ . Hence  $\theta(R) || \theta(Q)$ . By the preceding lemma R || Q. Since  $\overline{Q} = \overline{P}$  we have R || P. This implies  $\theta(R) || \theta(P)$  a contradiction. Similarly  $\overline{\theta(P)} = \overline{\theta(Q)}$  implies  $\overline{P} = \overline{Q}$ .

LEMMA 9. Let  $P_1, \ldots, P_n$  be parallel projections such that  $P_i - Z_i \in [M, M]$ for self-adjoint  $Z_i \in Z_M$ . Then  $\theta$  is additive on the  $P_i$ .

*Proof.* Lemma 8 implies that the  $\theta(P_i)$  and  $\parallel$  core-free projections so that  $\sum_{i=1}^{i} \theta(P_i)$  is a projection. It is core-free by parallelism. Then

$$0 = \phi \left( \sum_{i=1}^{n} P_i \right) - \sum_{i=1}^{n} \theta(P_i) + Z$$

where  $Z \in Z_N$ . Thus  $\theta(\sum_{i=1}^n P_i) - \sum_{i=1}^n \theta(P_i) \in Z_N$  and are equal.

**LEMMA 10.** Let C be a central projection in a von Neumann algebra M with no central abelian summands. There exists a core-free projection P in M, and a self-adjoint  $Z \in Z_M$  such that  $\overline{P} = C$  and  $P - Z \in [M, M]$ .

Proof. Let E + F + G = I, the identity operator, where E, F, G are central projections,  $M_E$  is finite and discrete,  $M_F$  finite and continuous, and  $M_G$  infinite. CG is a central projection in  $M_G$  so there exists a core-free projection  $P_1$  in  $M_G$  such that  $\bar{P}_1 = CG$  by [5, Lemma 4]. Moreover, since  $M_G$  is infinite,  $P_1 \in [M_G, M_G] = M_G$  by [8]. CE is central in  $M_E$  so there exists a core-free projection  $P_2$  in  $M_E$  such that  $\bar{P}_2 = CE$ . By [6, Theorem 1]  $P_2 - P_2^{\#} \in [M_E, M_E]$ , where  $P_2^{\#}$  is the center-valued trace of  $P_2$ . Finally, choose a projection  $Q \backsim F - Q$  in  $M_F$ . Q is core-free,  $\bar{Q} = F$ , and if  $VV^* = Q$ ,  $V^*V = F - Q, \frac{1}{2}[V, V^*] = Q - \frac{1}{2}F \in [M_F, M_F]$ . Thus  $CQ - \frac{1}{2}CF \in [M_{CF}, M_{CF}] \subseteq [M_F, M_F]$ . Let  $P_3 = CQ$ .

Set  $P = P_1 + P_2 + P_3$ . Then  $\bar{P} = 0$  since the  $P_i$  are  $\parallel$  and  $P - (\frac{1}{2}CF + P_2^{\sharp}) \in [M, M] = [M_E, M_E] + [M_F, M_F] + [M_G, M_G]$ . Moreover  $\bar{P} = \bar{P}_1 + \bar{P}_2 + \bar{P}_3 = C$ .

**THEOREM 1.** Let  $\phi:[M, M] \to [N, N]$  be a Lie \*-isomorphism where M and N have no central abelian summands. There exists a \*-isomorphism  $\psi$  of  $Z_M$  onto  $Z_N$ such that if P is a projection in M with  $P - Z \in [M, M]$  for a self-adjoint  $Z \in Z_M$ , and if C is a central projection in M, then  $\theta(CP) = \psi(C)\theta(P)$ . Also  $\theta'(PC) = \psi(C)\theta'(P)$ .

*Proof.* We first show that  $\phi$  induces a projection orthoisomorphism of  $Z_M$  onto  $Z_N$ . Define  $\psi$  on a central projection C as follows: choose a core-free projection P in M such that  $P - Z \in [M, M]$  for a self-adjoint  $Z \in Z_M$  and  $\overline{P} = C$ . Define  $\psi(C) = \overline{\theta(P)}$ . If  $\overline{Q} = C$  with  $Q - Z' \in [M, M]$  then by Lemma 8,  $\overline{\theta(P)} = \overline{\theta(Q)}$  so that the mapping is well defined. If D is a central projection in N, there exists a core-free projection  $R \in N$  such that  $R - Z' \in [N, N]$  for

#### C. ROBERT MIERS

a self-adjoint  $Z' \in Z_N$  and  $\overline{R} = D$  by Lemma 10. There exists in M a core-free projection P with  $P - Z \in [M, M]$  and  $\theta(P) = R$ . Hence  $\psi(\overline{P}) = \overline{\theta(P)} = \overline{R} = D$ so that  $\psi$  is onto. If C, D are central projections in M with CD = 0 let  $\overline{P} = C$ ,  $\overline{Q} = D$  where P - Z,  $Q - Z' \in [M, M]$  for self-adjoint central  $Z, Z' \in Z_M$ . Then

$$CD = 0 \Leftrightarrow \overline{P}\overline{Q} = 0 \Leftrightarrow P ||Q \Leftrightarrow \theta(P)||\theta(Q) \Leftrightarrow \overline{\theta(P)} \overline{\theta(Q)} = 0$$
$$\Leftrightarrow \psi(C)\psi(D) = 0.$$

Thus  $\psi$  is a projection orthoisomorphism of  $Z_M$  onto  $Z_N$  and implements a \*-isomorphism, also denoted  $\psi$ , of  $Z_M$  onto  $Z_N$ .

Let *C* be a central projection in *M*, *P* a projection such that  $P - Z \in [M, M]$ for some self-adjoint  $Z \in Z_M$ . There exists a core-free projection *Q* in *M* such that  $\overline{Q} = C(I - \overline{P})$  and  $Q - Z' \in [M, M]$  for some self-adjoint  $Z' \in Z_M$ . PC + Q has carrier *C* and  $(P - Z)C + Q - Z' \in [M, M]$ . (Note that if  $X \in [M, M]$  and *C* is a central projection in *M*,  $CX \in [M, M]$ ). Hence  $\psi(C) = \overline{\theta(PC + Q)} = \overline{\theta(PC)} + \overline{\theta(Q)}$  since PC ||Q. Moreover, since *PC* and P(I - C) are  $||, \theta(P) = \theta(PC + P(I - C)) = \theta(PC) + \theta(P(I - C))$ . Both  $\theta(PC)$  and  $\theta(P(I - C))$  are || to  $\theta(Q)$  since *Q* is || to *P*. Multiplying these relations we have  $\psi(C) \theta(P) = \theta(P) = \theta(CP)$ .

Definition. Let P, Q be projections in a von Neumann algebra M. If PQ = 0 we say P is orthogonal to Q written  $P \perp Q$ . If (I - P)(I - Q) = 0 we say P is co-orthogonal to Q, written P co  $\perp Q$ .

**LEMMA** 11. Let  $P_1, \ldots, P_n$  be commuting core-free projections, each pair of which satisfy the identity of Lemma 3. Then there exists a central projection C such that the  $P_i$  are  $\perp$  on C, co  $\perp$  on I - C.

*Proof.* This is essentially [5, Lemma 11].

LEMMA 12. Let M and N be von Neumann algebras with no central abelian summands, and let  $P_1, \ldots, P_n$  be mutually  $\perp$  projections in M with  $P_i - Z_i \in [M, M]$  for self-adjoint  $Z_i \in Z_M$ . There exists a projection  $D \in Z_M$  such that the  $\theta(P_iD)$  are mutually  $\perp$ , the  $\theta'(P_i(I - D))$  are mutually  $\perp$ .

*Proof.* The proof is similar to [5, Corollary to Lemma 11].

**3.** The I<sub>2</sub> case. Suppose now that M is of type I<sub>2</sub>, N has no central abelian summands, and  $\phi:[M, M] \to [N, N]$  is a Lie \*-isomorphism onto. The I<sub>2</sub> case is isolated because the method of proof for the non-I<sub>2</sub> case requires the choice of three particular non-zero projections and this choice cannot be made if M is of type I<sub>2</sub>. Let  $P_1$ ,  $P_2$  be  $\bot$ , equivalent, abelian projections such that  $P_1 + P_2 = I$ . By [6],  $P_1 - P_1^{\sharp}$ ,  $P_2 - P_2^{\sharp} \in [M, M]$ . We have  $\tilde{P}_i = 0$ ,  $\bar{P}_i = I$ , and, by [5, Lemma 1],  $\theta(P_1) \perp \theta(P_2)$  since  $\theta(P_1) + \theta(P_2) \in Z_N$ . Moreover  $I = \psi(I) = \psi(\bar{P}_1) = \overline{\theta(P_1)} \leq \theta(P_1) + \theta(P_2) \leq I$ . Hence  $\theta(P_i) + \theta(P_2) = I$ . For notation let  $M_{ij} = P_i M P_j$ ,  $N_{ij} = \theta(P_i) N \theta(P_j)$ .

LEMMA 13.  $N_{ii}$  (i = 1, 2) is abelian.

*Proof.* We first show that  $N_{11} \cap [N, N]$  is abelian. Suppose  $Y \in N_{11} \cap [N, N]$ and let  $X \in [M, M]$  be such that  $\phi(X) = Y$ . We have  $0 = [Y, \theta(P_2)] = [\phi(X), \phi(P_2 - P_2^{\sharp})]$ . Applying  $\phi^{-1}$  we have  $0 = [X, P_2]$ . This implies  $X \in M_{11} + M_{22}$ . If  $Y, Y' \in N_{11} \cap [N, N]$  and X, X' are such that  $\phi(X) = Y$ ,  $\phi(X') = Y'$  then, by the above,  $X, X' \in M_{11} + M_{22}$  which is abelian. Hence 0 = [X, X'] implies 0 = [Y, Y'].

 $N_{11} \cap [N, N]$  is an abelian Lie \*-ideal in  $N_{11}$  and so by [5, Lemma 36],  $N_{11} \cap [N, N] \subseteq Z_{N_{11}}$ , the center of  $N_{11}$ . This implies that

$$[N_{11}, N_{11}] \subseteq N_{11} \cap [N, N] \subseteq Z_{N_{11}}.$$

By [5, Lemma 6],  $[N_{11}, N_{11}] = 0$ .

COROLLARY. N has no continuous part.

*Proof.* Let C be a non-zero central projection in N such that  $N_c$  is continuous.  $C = C\theta(P_1) + C\theta(P_2)$  and one of  $C\theta(P_1)$ ,  $C\theta(P_2)$  is nonzero. We also have that  $N_{C\theta(P_i)} \subseteq N_{11}$ ,  $N_{C\theta(P_2)} \subseteq N_{22}$ . Thus  $N_{C\theta(P_1)}$  and  $N_{C\theta(P_2)}$  are abelian and one is nonzero. But C can have no discrete projections contained in it [1, p. 125, Proposition 4] a contradiction.

THEOREM 2. Let  $\phi:[M, M] \to [N, N]$  be a Lie \*-isomorphism where M is of type  $I_2$  and N has no abelian summands. There exists an extension  $\sigma$  of  $\phi$  to a \*-isomorphism of M onto N.

*Proof.* We first extend  $\phi$  to  $\overline{\phi}$ , a near-isomorphism of M to N (see [5, p. 722]). If  $A \in M$ , then by [6, Theorem 1] there exists a unique central element, namely  $A^{\#}$ , such that  $A - A^{\#} \in [M, M]$ . Define  $\overline{\phi}(A) = \phi(A - A^{\#}) + \psi(A^{\#})$ . Since  $A^{\#}$  is unique the mapping is well defined.

Obviously  $\bar{\phi}$  is a \*-linear map from M into  $[N, N] + Z_N$ . If  $X \in [M, M]$  then  $X^{\#} = 0$  so that  $\bar{\phi}(X) = \phi(X)$ .

$$\bar{\phi}[A, B] = \phi[A, B] = \phi[A - A^{\#}, B - B^{\#}] = [\phi(A - A^{\#}), \phi(B - B^{\#})] = [\phi(A - A^{\#}) + \psi(A^{\#}), \phi(B - B^{\#}) + \psi(B^{\#})] = [\bar{\phi}(A), \bar{\phi}(B)]$$

so that  $\bar{\phi}$  preserves brackets. If  $\bar{\phi}(A) = \bar{\phi}(B)$  then  $\phi(A - B - (A^{\#} - B^{\#})) \in Z_N \cap [N, N]$  so that  $A - B - (A^{\#} - B^{\#}) \in Z_M$ . This shows  $A - B \in Z_M$ . If  $B + Z' \in [N, N] + Z_N$  there exists  $A \in [N, N], Z \in Z_M$  with  $\phi(A) = B$ ,  $\psi(Z) = Z'$ . Then  $\bar{\phi}(A + Z) = \phi(A) + \psi(Z) = B + Z'$  so that  $\bar{\phi}$  is onto  $[N, N] + Z_N$ . By the Corollary to Lemma 13, N has no continuous part so that by [6], and [8],  $[N, N] + Z_N = N$ .

Applying [5, Theorem 2] to the near isomorphism  $\bar{\phi}: M \to N$  we have  $\bar{\phi} = \sigma + \tau$  where  $\sigma$  is an associative \*-isomorphism of M onto N and  $\tau$  is a \*-linear map which annihilates [M, M]. If  $A \in [M, M]$ ,  $\phi(A) = \bar{\phi}(A) = \sigma(A) + \tau(A) = \sigma(A)$ .

### C. ROBERT MIERS

**4.** The non- $I_2$  case. Let  $\phi : [M, M] - [N, N]$  be a Lie \*-isomorphism where M and N have no abelian summands and M is not of type  $I_2$  (M may have a type  $I_2$  summand). We wish to employ techniques of [**4**], but in order to do this we must make a particular choice of three projections.

LEMMA 14. There exist projections  $P_1$ ,  $P_2$ ,  $P_3$  in M such that  $\sum P_i = I$ ,  $\bar{P}_1 = \bar{P}_2 = I$ ,  $P_1 \sim P_2$ ,  $I - \bar{P}_3$  is the 1<sub>2</sub>-summand,  $I - \bar{P}_3 \leq P_1 + P_2$ ,  $P_1(I - \bar{P}_3)$  and  $P_2(I - \bar{P}_3)$  are the equivalent,  $\perp$ , abelian projections comprising  $I - \bar{P}_3$ , and there exist central self-adjoint elements  $Z_i$ , i = 1, 2, 3, such that  $P_i - Z_i \in [M, M]$ . Moreover we have  $\bar{P}_3 P_i M P_j = \bar{P}_3 P_i M P_k M P_j$  for  $i, j, k \in \{1, 2, 3\}$ .

*Proof.* Let  $C_n^{(1)}$  be the  $I_n$  part of M  $(n \ge 2)$ ,  $C^{(2)}$  the  $II_1$  part, and  $C^{(3)}$  the infinite part.  $C_n^{(1)}$  is the sum of n equivalent (abelian) projections  $P_1^{(n)}, \ldots, P_n^{(n)}$ . If n is even  $(n \ge 4)$  let

$$Q_1^{(n)} = \sum_{i=1}^{(n-2)/2} P_i^{(n)}, \qquad Q_2^{(n)} = \sum_{i=n/2}^{n-2} P_i^{(n)}, \qquad Q_3^{(n)} = \sum_{i=n-1}^n P_i^{(n)}.$$

If n is odd let

$$Q_1^{(n)} = \sum_{i=1}^{(n-1)/2} P_i^{(n)}, \qquad Q_2^{(n)} = \sum_{i=(n+1)/2}^{n-1} P_i^{(n)}, \qquad Q_3^{(n)} = P_n^{(n)}.$$

Moreover, by [6], there exist central self-adjoint elements  $T_1$ ,  $T_2$ ,  $T_3$  in  $M_c$  (1) where  $C^{(1)} = \sum_{n=1}^{\infty} C_n^{(1)}$  such that

$$\sum_{n=1}^{\infty} Q_1^{(n)} - T_1, \qquad \sum_{n=1}^{\infty} Q_2^{(n)} - T_2, \qquad \sum_{n=1}^{\infty} Q_3^{(n)} - T_3 \in [M, M].$$

 $\begin{array}{l} C^{(2)} &= \sum_{i=1}^{4} D_i \text{ where } D_i \backsim D_j. \text{ If } VV^* = D_1 + D_2, V^*V = D_3 + D_4 \text{ then} \\ [V, V^*] &= C^{(2)} - (D_3 + D_4) \in [M_C{}^{(2)}, M_C{}^{(2)}] \subseteq [M, M]. \text{ Since } D_3 \thicksim D_4, \\ D_3 - D_4 \in [M, M] \text{ which implies } D_4 - \frac{1}{2}C{}^{(2)} \in [M, M]. \text{ The same argument} \\ \text{holds for } D_1, D_2, D_3. \text{ Similarly } C^{(3)} &= \sum_{i=1}^{4} E_i \text{ with } E_i \backsim E_j \text{ and } E_i \in [M, M] \\ \text{by } [8]. \end{array}$ 

Let

$$P_{1} = P_{1}^{(2)} + \sum_{n=3}^{\infty} Q_{1}^{(n)} + D_{1} + E_{1},$$

$$P_{2} = P_{2}^{(2)} + \sum_{n=3}^{\infty} Q_{2}^{(n)} + D_{2} + E_{2},$$

$$P_{3} = \sum_{n=3}^{\infty} Q_{3}^{(n)} + D_{3} + D_{4} + E_{3} + E_{4}.$$

All assertions except the last are clear. If  $P \sim Q \sim R$  with  $VV^* = Q \ V^*V = R$ then  $PXQ = PXVRV^*Q$  so that PMQ = PMRMQ. We apply this technique to each  $I_n$  summand  $(n \ge 3)$ , to the II, summand, and to the infinite summand. For example, examine  $C_4^{(1)}$ .  $C_4^{(1)} = Q_1^{(4)} + Q_2^{(4)} + Q_3^{(4)}$  where  $Q_3^{(4)} =$ 

 $P_3{}^{(4)} + P_4{}^{(4)}$  and  $Q_1{}^{(4)} \backsim Q_2{}^{(4)} \backsim P_3{}^{(4)} \backsim P_4{}^{(4)}$ . We prove a few representative cases:

 $\begin{array}{ll} ({\rm i}) \ Q_1{}^{(4)} MQ_2{}^{(4)} &= Q_1{}^{(4)} MQ_3{}^{(4)} MQ_2{}^{(4)}. \ {\rm For}, \\ Q_1{}^{(4)} XQ_2{}^{(4)} &= Q_1{}^{(4)} XVP_3{}^{(4)}Q_3{}^{(4)} V^*Q_2{}^{(4)} \ {\rm where} \ V^*V = P_3{}^{(4)}, \ VV^* = Q_2{}^{(4)}. \\ ({\rm ii}) \ Q_1{}^{(4)} MQ_3{}^{(4)} &= Q_1{}^{(4)} MQ_2{}^{(4)} MQ_3{}^{(4)}. \ {\rm For}, \end{array}$ 

$$Q_{1^{(4)}}XQ_{3^{(4)}} = Q_{1^{(4)}}XP_{3^{(4)}} + Q_{1^{(4)}}XP_{4^{(4)}} = Q_{1^{(4)}}XVP_{2^{(4)}}V^*P_{3^{(4)}}Q_{3^{(4)}} + Q_{1^{(4)}}XWP_{2^{(4)}}W^*P_{4^{(4)}}Q_{3^{(4)}}$$

where

$$V^*V = P_2^{(4)}, VV^* = P_3^{(4)}, W^*W = P_2^{(4)}, WW^* = P_4^{(4)}.$$

(iii)  $Q_3^{(4)}MQ_3^{(4)} = Q_3^{(4)}MQ_1^{(4)}MQ_3^{(4)}$ . For,  $Q_3^{(4)}XQ_3^{(4)} = P_3^{(4)}XP_3^{(4)} + P_3^{(4)}XP_4^{(4)} + P_4^{(4)}XP_3^{(4)} + P_4^{(4)}XP_4^{(4)} =$ 

$$Q_{3}^{(4)}P_{3}^{(4)}XVP_{1}^{(4)}V^{*}P_{3}^{(4)}Q_{3}^{(4)} + Q_{3}^{(4)}P_{3}^{(4)}XWP_{1}^{(4)}W^{*}P_{4}^{(4)}Q_{3}^{(4)} + Q_{4}^{(4)}P_{4}^{(4)}XVP_{1}^{(4)}V^{*}P_{3}^{(4)}Q_{3}^{(4)} + P_{4}^{(4)}XWP_{1}^{(4)}W^{*}P_{4}^{(4)}Q_{3}^{(4)}$$

where

$$V^*V = P_1^{(4)}, VV^* = P_3^{(4)}, W^*W = P_1^{(4)}, WW^* = P_4^{(4)}.$$

Similar arguments work in the other cases.

Let  $P_i$ , i = 1, 2, 3, be as in Lemma 14 and let  $Q_1 = P_1(I - \bar{P}_3)$ ,  $Q_2 = P_2(I - \bar{P}_3)$ ,  $Q_3 = P_1\bar{P}_3$ ,  $Q_4 = P_2\bar{P}_3$ ,  $Q_5 = P_3$ . By Lemma 12 there exists a central projection  $D \in M$  such that the  $\theta(Q_iD)$  are  $\perp$  and the  $\theta'(Q_i(I - D))$  are  $\perp$  for i = 3, 4, 5. (Note that  $Q_iD - Z_iD \in [M_D, M_D] \subseteq [M, M]$ .)

LEMMA 15.  $\theta(Q_1) \perp \theta(Q_2)$  and  $\theta(Q_1) + \theta(Q_2) = \psi(I - \overline{P}_3)$ .

Proof.  $Q_1 - Z_1(I - \bar{P}_3)$ ,  $Q_2 - Z_2(I - \bar{P}_3) \in [M, M]$  and  $Q_1 + Q_2 = I - \bar{P}_3$ . Hence  $Q_1 - Z_1(I - \bar{P}_3) + Q_2 - Z_2(I - \bar{P}_3) \in Z_N \cap [M, M]$ . This implies  $\phi(Q_1 - Z_1(I - \bar{P}_3) + Q_2 - Z_2(I - \bar{P}_3)) \in Z_N$ . Hence  $\theta(Q_1) + \theta(Q_2) \in Z_N$ . As before this implies  $\theta(Q_1) \perp \theta(Q_2)$  since they are core-free.

 $\theta(Q_i) = \theta(P_i)\psi(I - \bar{P}_3) \text{ so that } \theta(Q_i) \leq \psi(I - \bar{P}_3), i = 1, 2, \psi(I - \bar{P}_3) = \psi(\bar{Q}_1) = \theta(\bar{Q}_1) \leq \theta(Q_1) + Q(Q_2) \leq \psi(I - \bar{P}_3).$ 

COROLLARY. 
$$\theta'(Q_1) = \theta(Q_2)$$
.

Proof. 
$$\theta'(Q_1) = \overline{\theta(Q_1)} - \theta(Q_1) = \psi(I - \overline{P}_3) - \theta(Q_1) = \theta(Q_2).$$

For notation let  $M_{ij} = Q_i M Q_j$ ,  $N_{ij} = \theta(Q_i) M \theta(Q_j)$  for  $i, j \in \{1, 2\}$ , and let  $M_{ij} = Q_i D M Q_j D$ ,  $\tilde{M}_{ij} = Q_i (I - D) M Q_j (I - D)$ ,  $N_{ij} = \theta(Q_i D) N \theta(\theta_j D)$ , and  $\tilde{N}_{ij} = \theta'(Q_i (I - D)) N \theta'(Q_j (I - D))$  for  $i, j \in \{3, 4, 5\}$ . Notice that if  $X_{ij} \in M_{ij}$   $(i \neq j)$  then  $X_{ij} = [X_{ij}, Q_j] \in [M, M]$ .

LEMMA 16. 
$$\phi^{-1}((\sum_{i=1}^{5} N_{ii} + \sum_{i=3}^{5} \tilde{N}_{ii}) \cap [N, N]) = (\sum_{i=1}^{5} M_{ii} + \sum_{i=3}^{5} \tilde{M}_{ii}) \cap [M, M].$$

Proof. See [5, Lemma 26]. Note that  $Z_M \subseteq \sum_{i=1}^{\mathfrak{d}} M_{ii} + \sum_{i=3}^{\mathfrak{d}} \tilde{M}_{ii}$ . LEMMA 17.  $\phi^{-1}(N_{ij}) = M_{ij}, \phi^{-1}(\tilde{N}_{ij}) = \tilde{M}_{ij}$  if  $i \neq j$ . *Proof.* See [5, Lemma 27].

LEMMA 18.  $\sum_{i=3}^{5} \theta(Q_i D) = \psi(D\bar{P}_3), \sum_{i=3}^{5} \theta'(Q_i(I-D)) = \psi((I-D)\bar{P}_3).$  *Proof.* In [5, Lemma 13] replace D by  $D\bar{P}_3$ , and the result follows. LEMMA 19.  $\phi((Z_{M_{11}} + Z_M) \cap [M, M]) \subseteq (N_{11} + Z_N) \cap [N, N].$ 

*Proof.* If  $A \in (Z_{M_{11}} + Z_M) \cap [M, M]$  then [A, X] = 0 for all X in

$$\sum_{i \neq j; i, j \ge 2} M_{ij} + \sum_{i=1}^{5} M_{ii} + \sum_{i \neq j; i, j \ge 3} \tilde{M}_{ij} + \sum_{i \ge 3} \tilde{M}_{ii}.$$

Hence  $[\phi(A), X] = 0$  for all X in

$$\sum_{i \neq j; i, j \ge 2} N_{ij} + \left( \sum_{i=2}^{5} N_{ii} + \sum_{i=3}^{5} \tilde{N}_{ii} \right) \cap [N, N] + \sum_{i \neq j; i, j \ge 3} \tilde{N}_{ij}$$

$$= \left( \sum_{i \neq j; i, j \ge 2} N_{ij} + \left( \sum_{i=2}^{5} N_{ii} + \sum_{i=3}^{5} \tilde{N}_{ii} \right) + \sum_{i \neq j; i, j \ge 3} \tilde{N}_{ij} \right) \cap [N, N]$$

$$= \left\{ STS | T \in N, S = \theta(Q_2) + \sum_{i=3}^{5} \theta(Q_i D) + \sum_{i=3}^{5} \theta'(Q_i (I - D)) \right\} \cap [N, N]$$

$$= N_S \cap [N, N].$$

(Note that by Lemmas 15 and 18,  $S = I - \theta(Q_1)$ .) In particular  $[\phi(A), X] = 0$ for all X in  $N_S \cap [N_S, N_S] = [N_S, N_S]$ . Since  $A \in \sum_{i=1}^5 M_{ii} + \sum_{i=3}^5 \tilde{M}_{ii}$ ,  $\phi(A) = B_1 + C$  where  $B_1 \in N_{11}$  and  $C \in N_S$  by Lemma 16. Thus  $0 = [\phi(A), X] = [B_1 + C, X] = [C, X]$  for all X in  $[N_S, N_S]$ . By [3, Sublemma, p. 5] this implies [C, X] = 0 for all X in  $N_S$ , or that  $C \in Z_{N_S} = Z_S$ . Since  $S = I - \theta(Q_1)$  we have  $C = Z(I - \theta(Q_1))$ . Finally,  $\phi(A) = B_1 + C = B_1 - \theta(Q_1)Z + Z \in (N_{11} + Z_N) \cap [N, N]$ .

COROLLARY.  $\phi((M_{11} + Z_M) \cap [M, M]) \subseteq (N_{11} + Z_N) \cap [N, N].$ 

*Proof.*  $M_{11}$  is abelian since  $Q_1$  is an abelian projection. Hence  $M_{11} \subseteq Z_{M_{11}}$ .

We now extend  $\phi | [M_{I-\overline{P}_3}, M_{I-\overline{P}_3}]$  to a Lie \*-isomorphism of  $\phi$  of  $\sum_{1 \leq i,j \leq 2} M_{ij}$  into N, and then analyze  $\phi$ . We cannot proceed exactly as in Theorem 2 because of a lack of information about the image of  $\sum_{1 \leq i,j \leq 2} M_{ij}$  under  $\phi$ .

If  $A \in \sum_{1 \leq i,j \leq 2} M_{ij}$  define  $\bar{\phi}(A) = \phi(A - A^{\#}) + \psi(A^{\#})$ . This is well defined since  $M_{(I-\overline{P}_3)}$  is finite. If  $A \in M_{ij}$ , (i, j) = (1, 2) or (2, 1) then  $A^{\#} = 0$ and  $\bar{\phi}(A) = \phi(A)$ . If  $A \in M_{ii}$  then  $A - A^{\#} \in (M_{ii} + Z_M) \cap [M, M]$  by [6, Theorem 1] and by Lemma 19,  $\bar{\phi}(A) = \phi(A - A^{\#}) + \psi(A^{\#}) \in N_{11} + Z_M$ .  $\bar{\phi}$  is obviously \*-linear. If  $\bar{\phi}(A) = 0$  then  $\phi(A - A^{\#}) \in Z_N \cap [N, N]$  so that  $A - A^{\#} \in Z_M \cap [M, M]$ . Thus  $A \in Z_M$  so that  $A = A^{\#}$  and  $0 = \bar{\phi}(A) = \phi(A - A^{\#}) + \psi(A^{\#}) = 0 + \psi(A^{\#})$ . Hence  $A^{\#} = 0$ . This shows  $\bar{\phi}$  is 1-1.  $\bar{\phi}$  preserves brackets as in Theorem 2.

Defining mappings  $\sigma_0$  and  $\lambda_0$  as follows: if  $A \in M_{ij}$ , (i, j) = (1, 2) or (2, 1)let  $\sigma_0(A) = \overline{\phi}(A) = \phi(A)$ . If  $A \in M_{ii}$  (i = 1, 2) then  $\overline{\phi}(A) = \sigma_0(A) + \lambda_0(A)$ 

where  $\sigma_0(A) \in N_{ii}$ ,  $\lambda_0(A) \in Z_N$ .  $\sigma_0$  and  $\lambda_0$  are well defined for if  $\sigma_0(A) + \lambda_0(A) = \sigma_0(B) + \lambda_0(B)$  then  $\sigma_0(A) - \sigma_0(B) \in N_{ii} \cap Z_N = \{0\}$ .  $\sigma_0$  and  $\lambda_0$  can be shown to be \*-linear maps with  $\sigma_0(AB) = \sigma_0(A) \sigma_0(B)$  for all  $A, B \in M_{I-P_3}$  as in [5, Lemmas 18-22].

LEMMA 20.  $\sigma_0$  extends  $\phi | [M_{I-\overline{P}_3}, M_{I-\overline{P}_3}]$  to a \*-homomorphism of  $M_{I-\overline{P}_3}$  into N.

*Proof.* We show that  $\lambda_0$  annihilates brackets of elements in  $M_{(Q_1+Q_2)}$ .  $\lambda_0[A, B] = \bar{\phi}[A, B] - \sigma_0[A, B] = [\bar{\phi}(A), \bar{\phi}(B)] - [\sigma_0(A), \sigma_0(B)] = [\sigma_0(A) + \lambda_0(A), \sigma_0(B)] - [\sigma_0(A), \sigma_0(B)] = 0$  since  $\lambda_0(A) \in Z_N$ . Hence  $\phi[A, B] = \bar{\phi}[A, B] = \sigma_0[A, B] + \lambda[A, B] = \sigma_0[A, B]$ .

We turn our attention to  $M_{\overline{P}_3}$ . By Lemma 14,  $Q_iMQ_i = Q_iMQ_kMQ_j$  for  $i, j, k \in \{3, 4, 5\}$  so that we also have  $Q_iDMQ_jD = Q_iDMQ_kDMQ_jD$  for  $i, j, k \in \{3, 4, 5\}$ . A similar relation will hold with D replaced by I - D.

LEMMA 21. Let (i, j, k) be any permutation of (3, 4, 5). If  $X_{ij} \in M_{ij}$ ,  $X_{jk} \in M_{jk}$  then  $\phi(X_{ij}X_{jk}) = \phi(X_{ij}) \phi(X_{jk})$ . If  $X_{ij} \in \tilde{M}_{ij}$ ,  $X_{jk} \in \tilde{M}_{jk}$  then  $\phi(X_{ij}X_{jk}) = -\phi(X_{jk}) \phi(X_{ij})$ .

*Proof.* If  $i \neq j$  and  $X_{ij} \in M_{ij}$  then  $\phi(X_{ij}) \in N_{ij}$  by Lemma 17. Hence  $\phi(X_{ij}X_{jk}) = \phi[X_{ij}, X_{jk}] = [\phi(X_{ij}), \phi(X_{jk})] = \phi(X_{ij}) \phi(X_{jk})$ . If  $i \neq j$  and  $X_{ij} \in \tilde{M}_{ij}$  then  $\phi(X_{ij}) \in \tilde{N}_{ji}$ .  $\phi(X_{ij}X_{jk}) = \phi[X_{ij}, X_{jk}] = [\phi(X_{ij}), \phi(X_{jk})] = -\phi(X_{jk}) \phi(X_{ij})$ .

LEMMA 22.  $\phi$  is a homomorphism from the algebra generated algebraically by  $M_{ij} + M_{jk} + M_{ik}$  into the one generated algebraically by  $N_{ij} + N_{jk} + N_{ik}$ , and the negative of an anti-homomorphism of the algebra generated algebraically by  $\tilde{M}_{ij} + \tilde{M}_{jk} + \tilde{M}_{ik}$  into the one generated algebraically by  $\tilde{N}_{ji} + \tilde{N}_{kj} + \tilde{N}_{kj}$ , where (i, j, k) is a permutation of (3, 4, 5).

*Proof.* It suffices to let (i, j, k) = (3, 4, 5). If  $X_{34} \in M_{34}, X_{45} \in M_{45}$ , then by Lemma 21,  $\phi(X_{34}X_{45}) = \phi(X_{34}) \phi(X_{45})$ . In all other cases  $0 = \phi(0) = \phi(X_{ij}X_{kl}) = \phi(X_{ij}) \phi(X_{kl})$  by Lemma 17.

For the other part, if  $\tilde{X}_{34} \in \tilde{M}_{34}$ ,  $\tilde{X}_{45} \in \tilde{M}_{45}$  then  $\phi(\tilde{X}_{34}\tilde{X}_{45}) = -\phi(\tilde{X}_{45})$  $\phi(\tilde{X}_{34})$  by Lemma 21. In all other cases  $0 = \phi(0) = \phi(\tilde{X}_{ij}\tilde{X}_{kl}) = -\phi(\tilde{X}_{kl})$  $\phi(\tilde{X}_{ij})$  by Lemma 17.

LEMMA 23. A von Neumann algebra M is generated algebraically by [M, M] if and only if M has no abelian summands.

*Proof.* By [6], [M, M] is the set of all finite sums of niloptent operators of index two. By [2], M is algebraically generated by nilpotents of index two if and only if M has no abelian summands.

LEMMA 24.  $[M_{\overline{P}_3}, M_{\overline{P}_3}]$  is linearly generated by  $M_{ij}, \tilde{M}_{ij}, [M_{ij}, M_{ji}]$ , and  $[\tilde{M}_{ij}, \tilde{M}_{ji}]$  for  $i \neq j, i, j \in \{3, 4, 5\}$ .  $[M_{I-\overline{P}_3}, M_{I-\overline{P}_3}]$  is linearly generated by  $M_{ij}$  and  $[M_{ij}, M_{ji}], i \neq j, i, j \in \{1, 2\}$ .

C. ROBERT MIERS

$$\begin{aligned} Proof. \ [M_{\overline{P}_{3}}, \ M_{\overline{P}_{3}}] &= [M_{\overline{P}_{3}D}, \ M_{\overline{P}_{3}D}] + [M_{\overline{P}_{3}(I-D)}, \ M_{\overline{P}_{3}(I-D)}]. \\ [M_{\overline{P}_{3}D}, \ M_{\overline{P}_{3}D}] &= \left[\sum_{3 \leq i, j \leq 5} M_{ij}, \sum_{3 \leq i, j \leq 5} M_{ij}\right] \\ &= \sum_{i \neq j, 3 \leq i, j \leq 5} M_{ij} + \sum_{i \neq j, 3 \leq i, j \leq 5} [M_{ij}, \ M_{ji}] + \sum_{i=3}^{5} [M_{ii}, \ M_{ii}]. \end{aligned}$$

It suffices to show that  $[M_{33}, M_{33}] \subseteq [M_{34}, M_{43}]$ .  $M_{33} = Q_3 D M Q_3 D = Q_3 D M Q_4 D M Q_3 D$ . If  $A, B \in M_{33}$  then  $A = Q_3 D A Q_3 D = Q_3 D A V O_4 D V^* Q_3 D$ and  $B = Q_3 D B Q_3 D$ . Thus  $[A, B] = [Q_3 D X Q_4 D Y Q_3 D, Q_3 D B Q_3 D]$  (for appropriate X, Y) =

 $[Q_3DXQ_4D, Q_4DYQ_3DBQ_3D] - [Q_3DBQ_3DXQ_4D, Q_4DYQ_3D] \in [M_{34}, M_{43}].$ The other parts of the lemma are proved similarly.

COROLLARY. [N, N] is linearly generated by  $N_{ij}$ ,  $\tilde{N}_{ij}$ ,  $[N_{ij}, N_{ji}]$ , and  $[\tilde{N}_{ij}, \tilde{N}_{ji}]$  for  $i \neq j$ .

LEMMA 25. If  $X_{ij}$ ,  $Y_{ij} \in M_{ij}$ ,  $X_{ji} \in M_{ji}$  then  $\phi(X_{ij}X_{ji}Y_{ij}) = \phi(X_{ij}) \phi(X_{ji}) \phi(Y_{ij})$  for  $i \neq j, i, j \in \{3, 4, 5\}$ . If  $X_{ij}$ ,  $Y_{ij} \in \tilde{M}_{ij}$ ,  $X_{ji} \in \tilde{M}_{ji}$  then  $\phi(X_{ij}X_{ji}Y_{ij}) = \phi(Y_{ij}) \phi(X_{ji}) \phi(X_{ij})$  for  $i \neq j, i, j \in \{3, 4, 5\}$ .

*Proof.* Let  $X_{34}$ ,  $Y_{34} \in M_{34}$ ,  $X_{43} \in M_{43}$ . We will show that  $[\phi(X_{34}X_{43}Y_{34}) - \phi(X_{34}) \phi(X_{43}) \phi(Y_{34})] [N, N] = 0$ . This will imply the result by Lemma 23. By the Corollary to Lemma 24 it suffices to show that

(1)  $[\phi(X_{34}X_{43}Y_{34}) - \phi(X_{34}) \phi(X_{43}) \phi(Y_{34})] \phi(X_{ij}) = 0$  for  $i \neq j$  and  $X_{ij} \in M_{ij}$  or  $\tilde{M}_{ij}$ . Since, by Lemma 17, both  $\phi(X_{34}X_{43}Y_{34})$  and  $\phi(X_{34}) \phi(X_{43}) \phi(Y_{34})$  are in  $N_{34}$ , (1) will be true if  $i \neq 4$  and  $X_{ij} \in M_{ij}$  or if  $X_{ij} \in \tilde{M}_{ij}$  for  $i \neq j$ . We need only check  $X_{43}$  and  $X_{45}$ . (Note that  $X_{41} = 0$  since  $Q_4 \leq \bar{P}_3$ ,  $Q_1 \leq I - \bar{P}_3$ ).

(2) 
$$\phi(X_{34}X_{43}Y_{34}) \phi(X_{45}) - \phi(X_{34}) \phi(X_{43}) \phi(Y_{34}) \phi(X_{45})$$

= (by Lemma 21)

$$\phi(X_{34}X_{43}Y_{34}X_{45}) - \phi(X_{34}) \phi(X_{43}) \phi(Y_{34}X_{45})$$

= (by Lemma 21)

$$\boldsymbol{\phi}(X_{34}X_{43}Y_{34}X_{45}) - \boldsymbol{\phi}(X_{34}) \ \boldsymbol{\phi}(X_{43}Y_{34}X_{45})$$

= (by Lemma 21)

$$\phi(X_{34}X_{43}Y_{34}X_{45}) - \phi(X_{34}X_{43}Y_{34}X_{45}) = 0$$

As for  $X_{43}$ , we can write  $X_{43} = \sum_{i=1}^{n} X_{45}^{(i)} X_{53}^{(i)}$  by Lemma 14. We have

$$\phi(X_{43}) = \sum_{i=1}^{n} \phi(X_{45}^{(i)}) \phi(X_{53}^{(i)})$$

by Lemma 21. By the preceding argument we have (1) if (i, j) = (4, 3).

The second statement is proved similarly. For example if  $\tilde{X}_{34}$ ,  $\tilde{Y}_{34} \in \tilde{M}_{34}$ ,  $\tilde{X}_{43} \in \tilde{M}_{43}$  and  $\tilde{X}_{53} \in \tilde{M}_{53}$  then

$$\begin{split} \phi(\tilde{X}_{34}\tilde{X}_{43}\tilde{Y}_{34}) \ \phi(\tilde{X}_{53}) &- \phi(\tilde{Y}_{34}) \ \phi(\tilde{X}_{43}) \ \phi(\tilde{X}_{34}) \ \phi(\tilde{X}_{53}) \\ &= - \phi(\tilde{X}_{53}\tilde{X}_{34}\tilde{X}_{43}\tilde{Y}_{34}) + \phi(\tilde{Y}_{34}) \ \phi(X_{43}) \ \phi(\tilde{X}_{53}\tilde{X}_{34}) \\ &= - \phi(\tilde{X}_{53}\tilde{X}_{34}\tilde{X}_{43}\tilde{Y}_{34}) - \phi(\tilde{Y}_{34}) \ \phi(\tilde{X}_{53}\tilde{X}_{34}\tilde{X}_{43}) \\ &= - \phi(\tilde{X}_{53}\tilde{X}_{34}\tilde{X}_{43}\tilde{Y}_{34}) + \phi(\tilde{X}_{53}\tilde{X}_{34}\tilde{X}_{43}\tilde{Y}_{34}) = 0. \end{split}$$

LEMMA 26. Let (i, j, k) be any permutation of (3, 4, 5). If

$$\sum_{s=1}^{n} X_{ij}{}^{(s)}X_{ji}{}^{(s)} = \sum_{t=1}^{m} X_{ik}{}^{(t)}X_{ki}{}^{(t)}$$

where  $X_{ij} \in M_{ij}$  then

$$\sum_{s=1}^{n} \phi(X_{ij}^{(s)}) \phi(X_{ji}^{(s)}) = \sum_{t=1}^{m} \phi(X_{ik}^{(t)}) \phi(X_{ki}^{(t)}).$$

If

$$\sum_{s=1}^{n} \tilde{X}_{ij}{}^{(s)} \tilde{X}_{ji}{}^{(s)} = \sum_{t=1}^{m} \tilde{X}_{ik}{}^{(t)} \tilde{X}_{ki}{}^{(t)}$$

where  $\tilde{X}_{ij} \in \tilde{M}_{ij}$  then

$$\sum_{s=1}^{n} \phi(\tilde{X}_{ji}^{(s)}) \phi(\tilde{X}_{ij}^{(s)}) = \sum_{t=1}^{m} \phi(\tilde{X}_{ki}^{(t)}) \phi(\tilde{X}_{ik}^{(t)}).$$

*Proof.* We prove the second statement. The proof of the first is similar. Let (i, j, k) = (3, 4, 5). We show that

(1) 
$$\left(\sum_{s=1}^{n} \phi(\tilde{X}_{43}^{(s)})\phi(\tilde{X}_{34}^{(s)}) - \sum_{t=1}^{m} \phi(\tilde{X}_{53}^{(t)})\phi(_{35}\tilde{X}^{(5)})\right)[N,N] = 0.$$

As before, we check elements of [N, N] of the form  $\phi(Y_{ij})$ ,  $i \neq j$  where  $Y_{ij} \in M_{ij}$  or  $\tilde{M}_{ij}$ . Since  $\tilde{X}_{34}^{(s)} \in \tilde{M}_{34}$ ,  $\phi(\tilde{X}_{34}^{(s)}) \in \tilde{N}_{43}$  and similarly  $\phi(\tilde{X}_{35}^{(i)}) \in \tilde{N}_{53}$ , (1) will hold if  $Y_{ij} \in M_{ij}$   $i \neq j$  or if  $Y_{ij} \in \tilde{M}_{ij}$  with  $j \neq 3$ . We need only check the cases  $\tilde{Y}_{ij} \in \tilde{M}_{ij}$  for (i, j) = (4, 3) or (5, 3).

$$\sum_{s=1}^{n} \phi(\tilde{X}_{43}^{(s)}) \phi(\tilde{X}_{34}^{(s)}) \phi(\tilde{Y}_{43}) - \sum_{t=1}^{m} \phi(\tilde{X}_{53}^{(t)}) \phi(\tilde{X}_{35}^{(t)}) \phi(\tilde{Y}_{43})$$

$$= \sum_{s=1}^{n} \phi(\tilde{Y}_{43}\tilde{X}_{34}^{(s)}\tilde{X}_{43}^{(s)}) + \sum_{t=1}^{m} \phi(\tilde{X}_{53}^{(t)}) \phi(\tilde{Y}_{43}\tilde{X}_{35}^{(t)}), \text{ by Lemmas 21, 25}$$

$$= \sum_{s=1}^{n} \phi(\tilde{Y}_{43}^{\frac{1}{3}}\tilde{X}_{34}^{(s)}\tilde{X}_{43}^{(s)}) - \sum_{t=1}^{m} \phi(\tilde{Y}_{43}\tilde{X}_{35}^{(t)}\tilde{X}_{53}^{(t)}), \text{ by Lemma 21}$$

$$= \phi\left(\sum_{s=1}^{n} \tilde{Y}_{43}\tilde{X}_{34}^{(s)}\tilde{X}_{43}^{(s)} - \sum_{t=1}^{m} \tilde{Y}_{43}\tilde{X}_{35}^{(t)}\tilde{X}_{53}^{(t)}\right) = \phi(0) = 0.$$

A similar computation shows that

(2) 
$$\sum_{s=1}^{n} \phi(\tilde{X}_{43}^{(s)})\phi(\tilde{X}_{34}^{(s)})\phi(\tilde{Y}_{53}) - \sum_{t=1}^{m} \phi(\tilde{X}_{53}^{(t)})\phi(\tilde{X}_{35}^{(t)})\phi(\tilde{Y}_{53}) = 0.$$

We are now in a position to define the extension of  $\phi$  on  $[M_{\overline{P}_3}, M_{\overline{P}_3}]$ .

Definition. Let  $\sigma_1$  and  $\sigma'$  be mappings of  $M_{D\overline{P}_3}$  and  $M_{(I-D)\overline{P}_3}$  into  $N_{\psi(D\overline{P}_3)}$ and  $N_{\psi((I-D)\overline{P}_3)}$ , respectively, defined in the following manner:

(1) if  $X \in M_{ij}$   $(i \neq j), \sigma_1(X) = \phi(X) \in N_{ij}$  for  $i, j \in \{3, 4, 5\}$ ;

(2) if  $X \in M_{ii}$  and  $X = \sum_{i=1}^{n} X_{ij}{}^{(i)} X_{ji}{}^{(i)} = \sum_{s=1}^{m} X_{ik}{}^{(s)} X_{ki}{}^{(s)}$  for  $i, j, k \in \{3, 4, 5\}$  then

$$\sigma_1(X) = \sum_{t=1}^n \phi(X_{ij}^{(t)}) \phi(X_{ji}^{(t)}) = \sum_{s=1}^m \phi(X_{ik}^{(s)}) \phi(X_{ki}^{(s)});$$

(3) if  $\tilde{X} \in \tilde{M}_{ij}$   $(i \neq j)$ ,  $\sigma'(\tilde{X}) = \sigma(\tilde{X}) \in \tilde{N}_{ji}$  for  $i, j \in \{3, 4, 5\}$ ; (4) if  $\tilde{X} \in \tilde{M}_{ii}$  and  $\tilde{X} = \sum_{i=1}^{n} \tilde{X}_{ij}{}^{(i)} \tilde{X}_{ji}{}^{(i)} = \sum_{s=1}^{m} \tilde{X}_{ik}{}^{(s)} \tilde{X}_{ki}{}^{(s)}$  then

$$\sigma'(\tilde{X}) = -\sum_{i=1}^{n} \phi(\tilde{X}_{ji}^{(t)}) \phi(\tilde{X}_{ji}^{(t)}) = -\sum_{s=1}^{m} \phi(\tilde{X}_{ki}^{(s)}) \phi(\tilde{X}_{ik}^{(s)}).$$

Extend  $\sigma_1$  (respectively  $\sigma'$ ) to all of  $M_{D\overline{P}_3}$  (respectively  $M_{(I-D)\overline{P}_3}$ ) by linearity. These maps are well defined by Lemma 26. It is a straightforward computation to check that  $\sigma_1$  and  $\sigma'$  are \*-linear.

LEMMA 27.  $\sigma_1$  is an extension of  $\phi | [M_{D\overline{P}_3}, M_{D\overline{P}_3}]$  to  $M_{D\overline{P}_3}$ , and  $\sigma'$  is an extension of  $\phi | [M_{(I-D)\overline{P}_3}, M_{(I-D)\overline{P}_3}]$  to  $M_{(I-D)\overline{P}_3}$ .

*Proof.*  $M_{D\overline{P}_3}$  is linearly generated by  $X_{ij}$  and  $[X_{ij}, X_{ji}]$  where  $i \neq j$  and  $X_{ij} \in M_{ij}$ ,  $i, j \in \{3, 4, 5\}$ . By definition,  $\sigma_1 = \phi$  on  $M_{ij}$ .  $\sigma[X_{ij}, X_{ji}] = \sigma(X_{ij}X_{ji} - X_{ji}X_{ij}) = \sigma(X_{ij}X_{ji}) - \sigma(X_{ji}X_{ij}) = \phi(X_{ij}) \phi(X_{ji}) - \phi(X_{ji}) \phi(X_{ij}) = \phi[X_{ij}, X_{ji}]$  from the definition of  $\sigma_1$  on  $M_{ii}$ .

Similarly  $M_{(I-D)\overline{P}_i}$  is generated by  $\tilde{X}_{ij}$  and  $[\tilde{X}_{ij}, \tilde{X}_{ji}]$  where  $i \neq j$  and  $\tilde{X}_{ij} \in \tilde{M}_{ij}, i, j \in \{3, 4, 5\}$ . Again  $\sigma' = \phi$  on  $\tilde{M}_{ij}, \sigma'[\tilde{X}_{ij}, \tilde{X}_{ji}] = \sigma'(\tilde{X}_{ij}\tilde{X}_{ji}) - \sigma'(\tilde{X}_{ji}\tilde{X}_{ij}) = -\phi(\tilde{X}_{ji}) \phi(\tilde{X}_{ij}) + \phi(\tilde{X}_{ij}) \phi(\tilde{X}_{ji}) = \phi[\tilde{X}_{ij}, \tilde{X}_{ji}].$ 

LEMMA 28.  $\sigma_1$  is a homomorphism of  $M_{D\overline{P}_3}$  into  $N_{\psi(D\overline{P}_3)}$ , and  $\sigma'$  is the negative of an anti-homomorphism of  $M_{(I-D)\overline{P}_3}$  into  $N_{\psi((I-D)\overline{P}_3)}$ .

*Proof.* We show the anti-homomorphism part. The homomorphism proof is analogous. We must show that  $\sigma'(\tilde{X}_{ij}\tilde{X}_{kl}) = -\sigma'(\tilde{X}_{kl}) \sigma'(\tilde{X}_{ij})$  for  $i, j, k, l \in \{3, 4, 5\}$ .

(1)  $i \neq j$ ,  $k \neq l$ ,  $j \neq k$ . In this case  $\tilde{X}_{ij}\tilde{X}_{kl} = 0$  so  $\sigma'(\tilde{X}_{ij}\tilde{X}_{kl}) = 0$ .  $\sigma'(\tilde{X}_{ij}) \in \tilde{N}_{ji}$  and  $\sigma'(\tilde{X}_{kl}) \in \tilde{N}_{lk}$  so that  $\sigma'(\tilde{X}_{kl}) \sigma'(\tilde{X}_{ij}) = 0$ .

(2)  $i \neq j, \ k \neq l, \ j = k.$  If  $i = l, \ \sigma'(\tilde{X}_{ij}\tilde{X}_{jl}) = -\phi(\tilde{X}_{jl}) \ \phi(\tilde{X}_{ij}) = -\sigma'(\tilde{X}_{jl}) \ \sigma'(\tilde{X}_{ij}) \operatorname{since} \sigma'(\tilde{X}_{ij}) = \phi(\tilde{X}_{ij}) \operatorname{for} i \neq j.$  If  $i \neq l$  then  $\sigma'(\tilde{X}_{ij}\tilde{X}_{jl}) = \phi(\tilde{X}_{ij}\tilde{X}_{jl}) = -\phi(\tilde{X}_{jl}) \ \phi(\tilde{X}_{ij}) = -\sigma'(\tilde{X}_{jl}) \ \sigma'(\tilde{X}_{ij}).$ 

(3)  $i = j, k \neq l, i \neq k$ . We can assume, in this case, that  $\tilde{X}_{ii} = \tilde{X}_{ik}\tilde{X}_{ki}$ . Then  $\sigma'(X_{ii}X_{kl}) = 0$ . Also  $-\sigma'(\tilde{X}_{kl}) \sigma'(\tilde{X}_{ik}\tilde{X}_{kl}) = \phi(\tilde{X}_{kl}) \phi(\tilde{X}_{kl}) \phi(\tilde{X}_{ik}) = 0$ since  $\phi(\tilde{X}_{kl}) \in \tilde{N}_{ik}, \phi(\tilde{X}_{ki}) \in \tilde{N}_{ik}$  and  $i \neq k$ .

(4)  $i = j, k \neq l, i = k$ . We can assume, in this case, that  $\tilde{X}_{ii} = \tilde{X}_{il} \tilde{X}_{li}$ . Then  $\sigma'(\tilde{X}_{ii}\tilde{Y}_{il}) = \sigma'(\tilde{X}_{il}\tilde{X}_{li}\tilde{Y}_{il}) = \phi(\tilde{X}_{il}\tilde{X}_{li}\tilde{Y}_{il}) = \phi(\tilde{Y}_{il}) \phi(\tilde{X}_{li}) \phi(\tilde{X}_{il})$  $= \sigma'(\tilde{Y}_{il}) \sigma'(\tilde{X}_{il}) \sigma'(\tilde{X}_{il}) = -\sigma'(\tilde{Y}_{il}) \sigma'(\tilde{X}_{il}\tilde{X}_{li}) = -\sigma'(\tilde{Y}_{il}) \sigma'(\tilde{X}_{il}).$ 

(5)  $i \neq j, k = l$ . This case is proved in a manner similar to (3) and (4). (6)  $i = j, k = l, i \neq k$ . We can assume, in this case, that  $\tilde{X}_{ii} = \tilde{X}_{ik}\tilde{X}_{ki}$  and  $\tilde{X}_{kk} = \tilde{Y}_{ki}\tilde{Y}_{ik}$ .  $\tilde{X}_{ii}\tilde{X}_{kk} = 0$  so that  $\sigma'(\tilde{X}_{ii}\tilde{X}_{kk}) = 0$ .  $\sigma'(\tilde{X}_{ik}\tilde{X}_{ki}) \sigma'(\tilde{Y}_{ki}\tilde{Y}_{ik}) = \phi(\tilde{X}_{ki}) \phi(\tilde{Y}_{ik}) \phi(\tilde{Y}_{ki}) = 0$ , since  $\phi(\tilde{X}_{ik}) \in \tilde{N}_{ki}$ , and  $\phi(\tilde{Y}_{ik}) \in \tilde{N}_{ki}$ . (7) i = j, k = l, i = k. We can assume  $\tilde{X}_{ii} = \tilde{X}_{ip}\tilde{X}_{pi}, X_{kk} = \tilde{Y}_{ip}\tilde{Y}_{pi} (i \neq p)$ .  $\sigma'(\tilde{X}_{ii}\tilde{X}_{kk}) = \sigma'(\tilde{X}_{ip}\tilde{X}_{pi}\tilde{Y}_{ip}\tilde{Y}_{pi}) = -\sigma(\tilde{Y}_{pi}) \phi(\tilde{X}_{ip}\tilde{X}_{pi}\tilde{Y}_{ip}) = -\phi(\tilde{Y}_{pi}) \phi(\tilde{Y}_{ip})$  $\phi(\tilde{X}_{pi}) \phi(\tilde{X}_{ip}) = -\sigma'(\tilde{Y}_{ip}\tilde{Y}_{pi}) \sigma'(\tilde{X}_{ip}\tilde{X}_{pi}) = -\sigma'(\tilde{X}_{kk}) \sigma'(\tilde{X}_{ii})$ .

**THEOREM 3.** Let  $\phi:[M, M] \to [N, N]$  be a Lie \*-isomorphism of [M, M] onto [N, N] where M and N are von Neumann algebras with no central abelian summands. There exists a map  $\Pi: M \to N$  which extends  $\phi$  and such that  $\Pi = \sigma + \sigma'$  where  $\sigma$  is a \*-isomorphism of  $M_c$  onto  $N_{\psi(c)}$  and  $\sigma'$  is the negative of a \*-antiisomorphism of  $M_{1-c}$  onto  $N_{\psi(1-c)}$  for an appropriate central projection  $C \in M$ .

*Proof.* By Theorem 2 it suffices to assume M is not of type I<sub>2</sub>. Let D,  $P_1$ ,  $P_2$ ,  $P_3$  be as above, let  $C = I - \bar{P}_3 + D\bar{P}_3$ , and let  $\sigma = \sigma_0 + \sigma_1$ .

In general if  $\phi:[M, M] \to N$  is a Lie \*-isomorphism where M is a von Neumann algebra with no central summands, and N is a \*-algebra, and if II is an extension of  $\phi$  to an associative \*-homomorphism or \*-anti-homomorphism of M, then II is 1-1. For, suppose  $A = A^*$  and  $\Pi(A) = 0$ . Then  $\Pi([A, B], B]) = 0$  for all self-adjoint B in M. This implies that  $\phi([[A, B], B]) =$ 0 (since  $\phi = \Pi$  on [M, M]) and thus [[A, B], B] = 0. By [7] this implies  $A \in Z_M$ , or ker  $\Pi \subseteq Z_M$ . But ker II is a two-sided \*-ideal of M and cannot be contained in  $Z_M$  unless it is zero. The proof of this claim goes as follows:

Let  $\mathscr{I}$  be a two-sided, \*-ideal of M contained in  $Z_M$ , and let  $A = A^* \in \mathscr{I}$ with  $||A|| \leq 1$ . If P is a core-free projection of M then  $PA = AP \in \mathscr{I} \subseteq Z_M$ and  $PA \leq P$ . Thus PA is central, self-adjoint, and so is equal to 0 since P is core-free. Now choose a core-free P with  $\overline{P} = I$ . Then  $\overline{P} - P = I - P$  is corefree so that 0 = A(I - P) = A - AP = A.

Applying the above to  $\sigma_0$ ,  $\sigma_1$ , and  $\sigma'$  we see that each of these is 1-1.

II itself is an extension of  $\phi$  to M since  $\sigma_0$  extends  $\phi|[M_{I-\overline{P}_3}, M_{I-\overline{P}_3}]$  to  $M_{I-\overline{P}_3}, \sigma$  extends  $\phi|[M_{D\overline{P}_3}, M_{D\overline{P}_3}]$  to  $M_{D\overline{P}_3}$ , and  $\sigma'$  extends  $\phi|[M_{(I-D)\overline{P}_3}, M_{(I-D)\overline{P}_3}]$  to  $M_{(I-D)\overline{P}_3}$  and so  $[N, N] \subseteq$  Range II. Moreover, since the image of M under II is a \*-subalgebra of N, the \*-algebra generated by [N, N] is contained in Range II. But this algebra is just N by Lemma 23. Thus II onto. This implies that each of  $\sigma_0, \sigma_1$ , and  $\sigma'$  is onto.

COROLLARY. If  $\phi: M \to N$  is a Lie \*-isomorphism of M onto N where M and N have no central abelian summands, there exists a central projection C in M such

that  $\phi = \sigma + \sigma' + \lambda$  where  $\sigma$  is a \*-isomorphism of  $M_c$  onto  $N_{\psi(c)}$ ,  $\sigma'$  is the negative of a \*-anti-isomorphism of  $M_{I-c}$  onto  $N_{\psi(I-c)}$  and  $\lambda$  is a \*-linear map of M into  $Z_N$  which annihilates brackets.

*Proof.*  $\eta = \phi|_{[M,M]}$  is a Lie \*-isomorphism of [M, M] onto [N, N]. Let C,  $\sigma$ ,  $\sigma'$  be as in Theorem 3, and set  $\lambda = \phi - (\sigma + \sigma')$ .  $\lambda$  is \*-linear since both  $\phi$  and  $\sigma + \sigma'$  are, and  $\lambda$  annihilates brackets since  $\phi = \sigma + \sigma'$  on brackets.

We need to show that  $\lambda(A) \in Z_N$  for  $A \in M$ . Since the ring generated by [N, N] is N and since  $\phi$  maps [M, M] on [N, N], it suffices to show that  $[\lambda(A), \phi(X)] = 0$  for all X in [M, M].  $[\lambda(A), \phi(X)] = [\phi(A) - (\sigma + \sigma')(A), \phi(X)] = [\phi(A), \phi(X)] - [(\sigma + \sigma')(A), \phi(X)] = \phi[A, X] - [(\sigma + \sigma')(A), (\sigma + \sigma')(X)]$  (since  $\phi = \sigma + \sigma'$  on [M, M]) =  $\phi[A, X] - (\sigma + \sigma')[A, X] = \phi[A, X] - \phi[A, X] = 0$ .

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