

## Si+ Implantation into SiO<sub>2</sub> Layers and Ion Beam Mixing in SiO<sub>2</sub>/Si Interfaces

Hans-Joachim Fitting<sup>1</sup> and Dmitry A. Zatsepin<sup>2</sup>

1. University of Rostock, Physics Dept., D-18051 Rostock, Germany

2. Institute of Metal Physics – Russian Academy of Science, Ekaterinburg 620219, Russia

Scanning transmission electron microscopy (STEM) in combination with electron energy loss spectroscopy (EELS), soft X-ray emission spectroscopy (SXES), and cathodoluminescence (CL) have been used to investigate Si nanocluster formation in amorphous silicon dioxide layers implanted with Si+ ions. Moreover, the nanostructure of the Si doped silica films was studied by energy filtered transmission electron microscopy (EFTEM), see [1] and Fig.1 to 4. The CL measurements were performed in a Zeiss DSM 960 digital scanning electron microscope, usually with an electron beam energy E0=10 keV and a current I0≈500 nA scanned over 100x100 m. The CL light is collected via a parabolic mirror, a spectrograph (200-800 nm), and a charge coupled device (CCD) camera [2]. As samples we have used amorphous, thermally grown SiO<sub>2</sub> layers, 20 and 500 nm thick, wet oxidized at 1100 °C on Si substrate. The layers are of microelectronic quality and doped by Si+ ions with an energy of 12 and 150 keV and a dose of 10<sup>16</sup> and 5×10<sup>16</sup> ions/cm<sup>2</sup> leading to an atomic dopant fraction of about 7 and 4 at.% at a mean depth of 20 and 200 nm, respectively, Fig.1. After high fluence Si+ ion implantation into and around the interface of the thin 20 nm a-SiO<sub>2</sub> layer on c-Si the formation of an ion-beam mixed buffer layer SiO<sub>x</sub> in this region was detected by means of soft X-ray emission spectroscopy (SXES), see Fig.4, and compared with a TRIDYN computer simulation of ion implantation and ion beam mixing, [3]. This structure modulation is due to atomic knock-on and knock-off effects and respective ion beam mixing processes during the high-fluence Si+ ion implantation into the interface region, see Fig.5. Thus the buffer layer is extended over about 20 nm, even 10 nm into the Si substrate and consists mainly of an understoichiometric SiO<sub>x</sub> matrix 2 < x < 0 with gradually decreasing of x into the Si substrate. In Si doped SiO<sub>2</sub>:Si and understoichiometric SiO<sub>x</sub> layers a phase separation into SiO<sub>2</sub> and Si clusters is observed as demonstrated already in [1]. Moreover, additional luminescence bands are observed in the green-yellow region as described in [2]. The CL spectra in the near infrared (NIR) region, Fig.6, indicate such structural changes by appearance of an additional side-band shifting towards lower energies with thermal annealing and respected Si nanocluster growth, probably, due to quantum confinement effects as we had seen previously in [2].

### References

- [1] H.-J. Fitting, L. Fitting Kourkoutis, Roushdey Salh, M. V. Zamoryanskaya, B. Schmidt, *Physica Status Solidi A* 207 (2010) 117 – 123.
- [2] Roushdey Salh, A. von Czarnowski, M.V. Zamoryanskaya, E.V. Kolesnikova, H.-J. Fitting, *Physics Status Solidi (a)* 203, (2006) 2049 – 2057.
- [3] D. A. Zatsepin, S. Kaschieva, M. Zier, B. Schmidt, H.-J. Fitting, *Physica Status Solidi A* ... (2010) submitted.

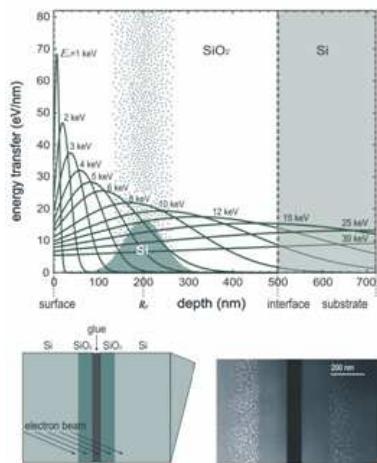


Fig. 1  $\text{Si}^+$  implantation profile in a 500 nm  $\text{SiO}_2$  layer with electron excitation densities (top) and wedge sample preparation (below).

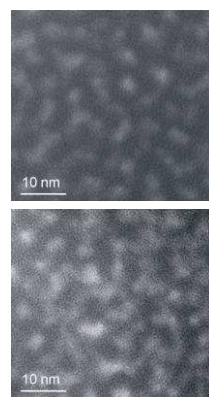


Fig. 2 EFTEM micrograph of Si clusters in a  $\text{SiO}_2:\text{Si}$  matrix after thermal annealing  $T_a$ , using the Si plasmon loss (17 eV).

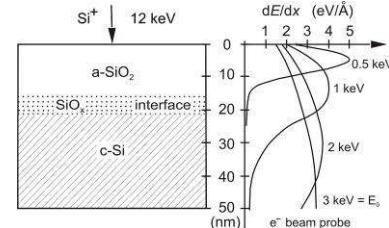


Fig. 3 Ion implantation and formation of an ion-beam mixed  $\text{SiO}_x$  buffer layer at the interface (left), and electron beam probing with a spatial excitation  $dE/dx$  (right).

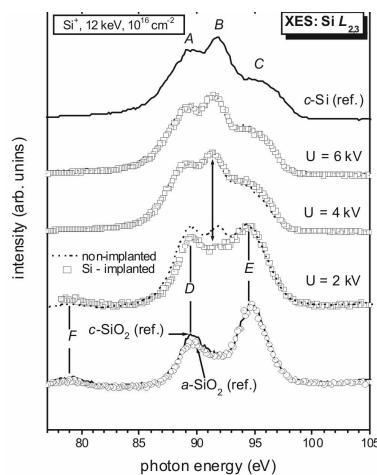


Fig. 4 X-ray  $\text{Si} L_{2,3}$ -emission spectra of the implanted 20 nm  $\text{SiO}_2:\text{Si}$  sample in comparison to reference spectra of  $c\text{-Si}$ ,  $c\text{-SiO}_2$  and  $a\text{-SiO}_2$ .

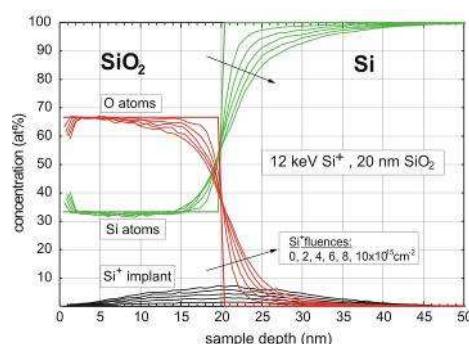


Fig. 5 TRIDYN simulation of the ion beam mixing process showing the  $\text{Si}^+$ , Si and O atom concentrations profile across the  $\text{SiO}_2:\text{Si}$  sample, [3].

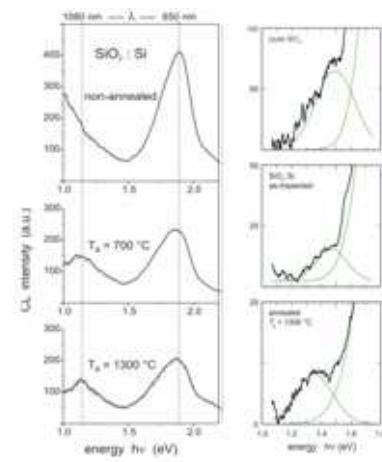


Fig. 6 Near infra-red (NIR) CL spectra of  $\text{SiO}_2:\text{Si}$  layers; the zoomed shoulder of the red band R (right) shows the shift of a sideband, probably, due to Si nanoclusters and quantum confinement effects.