

## BIPARTITE SUBGRAPHS OF $H$ -FREE GRAPHS

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(Received 23 October 2016; accepted 1 November 2016; first published online 7 February 2017)

### Abstract

For a graph  $G$ , let  $f(G)$  denote the maximum number of edges in a bipartite subgraph of  $G$ . For an integer  $m$  and for a fixed graph  $H$ , let  $f(m, H)$  denote the minimum possible cardinality of  $f(G)$  as  $G$  ranges over all graphs on  $m$  edges that contain no copy of  $H$ . We give a general lower bound for  $f(m, H)$  which extends a result of Erdős and Lovász and we study this function for any bipartite graph  $H$  with maximum degree at most  $t \geq 2$  on one side.

2010 *Mathematics subject classification*: primary 05C35; secondary 05C70.

*Keywords and phrases*:  $H$ -free graph, partition, bipartite subgraph.

### 1. Introduction

All graphs considered here are finite, undirected and have no loops and no parallel edges, unless otherwise indicated. All logarithms are to the natural base  $e$ . For a graph  $G$ , let  $f(G)$  be the maximum number of edges in a bipartite subgraph of  $G$ . For an integer  $m$ , let  $f(m)$  denote the minimum value of  $f(G)$  as  $G$  ranges over all graphs with  $m$  edges.

It is easy to see that  $f(m) \geq m/2$ , for instance by considering a random bipartition or a suitable greedy algorithm of a graph with  $m$  edges. Edwards [9] improved the lower bound and showed that for every  $m$ ,

$$f(m) \geq \frac{m}{2} + \frac{1}{4} \left( \sqrt{2m + \frac{1}{2}} - \frac{1}{4} \right). \quad (1.1)$$

Note that this is tight when  $m = \binom{n}{2}$  for odd integers  $n$ . For more information on  $f(m)$ , including a determination of its precise value for some values of  $m$ , we refer the reader to [1, 3, 7]. For survey articles, see [8, 17].

The situation is more complicated if we consider only  $H$ -free graphs  $G$ , that is, graphs  $G$  that contain no copy of a fixed graph  $H$ . Let  $f(m, H)$  denote the minimum possible cardinality of  $f(G)$  as  $G$  ranges over all  $H$ -free graphs on  $m$  edges. Alon *et al.* [2] gave the following general conjecture.

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This work is supported by NSFC (Grant No. 11671087) and New Century Programming of Fujian Province (Grant No. JA14028).

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**CONJECTURE 1.1** (Alon *et al.* [2]). For any fixed graph  $H$ , there exists a positive constant  $\epsilon = \epsilon(H)$  such that

$$f(m, H) \geq \frac{m}{2} + \Omega(m^{3/4+\epsilon}).$$

Clearly, it suffices to prove this conjecture for complete graphs  $H$ . The problem of estimating the error term more precisely is not easy, even for relatively simple graphs  $H$ . The case  $H = K_3$ , in which  $f(m, K_3)$  is the minimum possible size of the maximum cut in a triangle-free graph with  $m$  edges, has been studied extensively. Erdős and Lovász (see [10]) proved by probabilistic methods that

$$f(m, K_3) \geq \frac{m}{2} + cm^{2/3} \left( \frac{\log m}{\log \log m} \right)^{1/3}$$

for some positive constant  $c$ . After a series of papers by various researchers [16, 19], Alon [1] proved that  $f(m, K_3) = m/2 + \Theta(m^{4/5})$  for all  $m$ .

In this paper, we use the method of Poljak and Tuza [16] to extend the result of Erdős and Lovász for graphs containing no copy of the complete graph  $K_{k+1}$ , and establish the following lower bound.

**THEOREM 1.2.** For any fixed integer  $k \geq 2$  and all  $m > 1$ , there exists a positive constant  $c(k)$  such that

$$f(m, K_{k+1}) \geq \frac{m}{2} + c(k)m^{k/(2k-1)} \left( \frac{\log^2 m}{\log \log m} \right)^{(k-1)/(2k-1)}.$$

Denote by  $K_{t,s}$  the complete bipartite graph with classes of vertices of sizes  $t$  and  $s$ . Alon *et al.* [5] proposed a stronger conjecture for  $K_{t,s}$ -free graphs.

**CONJECTURE 1.3** (Alon *et al.* [5]). For all  $s \geq t \geq 2$  and all  $m$ , there exists a positive constant  $c(s)$  such that

$$f(m, K_{t,s}) \geq \frac{m}{2} + c(s)m^{(3t-1)/(4t-2)}.$$

If true, this is tight at least for all  $s \geq (t-1)! + 1$ , as shown by the projective norm graphs [6]. For the cases  $t = 2, 3$ , the authors established the following theorem.

**THEOREM 1.4** (Alon *et al.* [5]). For  $t \in \{2, 3\}$  and  $s \geq t$ , there exists a positive constant  $c(s)$  such that

$$f(m, K_{t,s}) \geq \frac{m}{2} + c(s)m^{(3t-1)/(4t-2)}$$

for all  $m$ , and this result is tight up to the value of  $c(s)$ .

In addition, Alon *et al.* [5] studied the function  $f(m, H)$  for some other special bipartite graphs  $H$ .

**THEOREM 1.5** (Alon *et al.* [5]). *Let  $H$  denote the union of an arbitrary number of cycles of length 4, all having a single common vertex. Then there exists a positive constant  $c(H)$  such that*

$$f(m, H) \geq \frac{m}{2} + c(H)m^{5/6}$$

for all  $m$ , and this result is tight up to the value of  $c(H)$ .

In this paper, we consider the function  $f(m, H)$  for any bipartite graph  $H$  with maximum degree  $t \geq 2$  on one side and prove the following results.

**THEOREM 1.6.** *Let  $H = H[X, Y]$  be a bipartite graph with vertex degree at most  $t \geq 2$  for each vertex in  $Y$ .*

(i) *For each  $t \geq 2$  and all  $m$ , there exists a positive constant  $c(H)$  such that*

$$f(m, H) \geq \frac{m}{2} + c(H)m^{t/(2t-1)}.$$

(ii) *For  $t = 2$  and all  $m$ , there exists a positive constant  $c'(H)$  such that*

$$f(m, H) \geq \frac{m}{2} + c'(H)m^{5/6}.$$

(iii) *Suppose that  $d(x) = |Y|$  for some vertex  $x \in X$ . For  $t = 3$  and all  $m$ , there exists a positive constant  $c''(H)$  such that*

$$f(m, H) \geq \frac{m}{2} + c''(H)m^{4/5}.$$

**REMARK 1.7.** Note that (i) gives a general weak lower bound in Conjecture 1.3 by setting  $H = K_{t,s}$  for all  $s \geq t \geq 2$ . The ideas of Poljak and Tuza [16] can be used to improve the bound in (i) by logarithmic factors by more careful calculations. Finally, Theorems 1.4 and 1.5 are corollaries of (ii) and (iii).

## 2. $K_{k+1}$ -free graphs

**2.1. Independence numbers.** In this subsection, we aim to bound the independence number  $\alpha(G)$  of a  $K_{k+1}$ -free graph  $G$  in terms of its number of vertices. We need the following lemmas.

**LEMMA 2.1** (Turán; see [21]). *Let  $G$  be a graph on  $n$  vertices with average degree at most  $d$ . Then*

$$\alpha(G) \geq \frac{n}{1+d}.$$

**LEMMA 2.2** (Shearer [18]). *Let  $G$  be a triangle-free graph on  $n$  vertices with average degree  $d > 1$ . Then*

$$\alpha(G) \geq \frac{d \log d - d + 1}{(d-1)^2} n \geq \frac{\log d - 1}{d} n.$$

**LEMMA 2.3** (Li et al. [15]). *Let  $G$  be a graph on  $n$  vertices with average degree at most  $d$ . If the average degree of the subgraph induced by the neighbourhood of any vertex is at most  $a$ , then*

$$\alpha(G) \geq nF_{a+1}(d),$$

where

$$F_a(x) = \int_0^1 \frac{(1-t)^{1/a}}{a+(x-a)t} dt > \frac{\log(x/a)-1}{x} \quad (x > 0).$$

**LEMMA 2.4.** *Let  $l(x) = \log x/x$  for  $x > 0$  and  $L(x) = (l(\log x))^{-1}$  for  $x > e$ . The function  $l(x)$  is monotonically increasing for  $0 < x \leq e$  and decreasing for  $x > e$ , and the function  $g(x) = L(x)/x$  is decreasing for  $x > e$ .*

Having finished the necessary preparations, we establish the following theorem.

**THEOREM 2.5.** *For any fixed integer  $k \geq 2$ , let  $G$  be a  $K_{k+1}$ -free graph on  $n$  vertices with average degree at most  $d$ . Then*

$$\alpha(G) \geq \frac{1}{4k^2} n^{1/k} (\log n)^{(k-1)/k}.$$

**PROOF.** Let  $G$  be a graph with maximum degree  $\Delta$ . Denote by  $G'$  the graph induced by the neighbourhood of any vertex of  $G$  with maximum degree  $\Delta$  and denote by  $G''$  the graph induced by the neighbourhood of any vertex of  $G'$  with maximum degree  $\Delta'$  in  $G'$ . Note that  $G'$  is  $K_k$ -free and  $G''$  is  $K_{k-1}$ -free for  $k \geq 3$ .

We prove the theorem by induction on  $k$ . Let  $k = 2$ . Since vertex neighbourhoods in a triangle-free graph are independent sets, we may assume that  $\Delta < (n \log n)^{1/2}$ . If  $d \leq e^2$ , by Lemma 2.1,

$$\alpha(G) \geq \frac{n}{1+e^2} \geq \frac{1}{16} (n \log n)^{1/2},$$

as required. Suppose that  $e^2 < d < (n \log n)^{1/2}$ . From Lemmas 2.2 and 2.4,

$$\alpha(G) \geq \frac{\log d - 1}{d} n \geq \frac{\log d}{2d} n \geq \frac{1}{16} (n \log n)^{1/2}.$$

Thus, we get the desired result and establish the base case.

Assume that the result holds for any  $K_r$ -free graph with  $r \leq k$  and  $k \geq 3$ . We show that the desired result holds for  $K_{k+1}$ -free graphs.

**CLAIM 2.6.**

$$3k^2 \left( \frac{n}{\log n} \right)^{(k-1)/k} \leq d \leq \Delta \leq (n^{k-1} \log n)^{1/k} \quad \text{and} \quad \Delta' \leq (n^{k-2} \log^2 n)^{1/k}.$$

If  $d < 3k^2(n/\log n)^{(k-1)/k}$ , then, by Lemma 2.1,

$$\alpha(G) \geq \frac{n}{3k^2(n/\log n)^{(k-1)/k} + 1} \geq \frac{1}{4k^2} n^{1/k} (\log n)^{(k-1)/k}.$$

If  $\Delta > (n^{k-1} \log n)^{1/k}$ , then we use the induction hypothesis on  $G'$  to deduce that

$$\alpha(G) \geq \alpha(G') \geq \frac{1}{4(k-1)^2} \Delta^{1/(k-1)} (\log \Delta)^{(k-2)/(k-1)} > \frac{1}{4k^2} n^{1/k} (\log n)^{(k-1)/k}.$$

In the same way, if  $\Delta' > (n^{k-2} \log^2 n)^{1/k}$ , then we can also get the required result by using the induction hypothesis on  $G''$ . This completes the proof of Claim 2.6.

**CLAIM 2.7.**

$$\log d - \log(\Delta' + 1) - 1 \geq \frac{\log d}{k}.$$

This is trivial if  $\Delta' \leq 1$ . Suppose that  $\Delta' \geq 2$ . It follows that  $\Delta' + 1 \leq 3\Delta'/2$ . Since  $\log n \geq 3k^2$  by Claim 2.6 and  $k \geq 3$ ,

$$\frac{(n/\log n)^{(k-1)/k}}{(n^{k-2} \log^2 n)^{(1/k) \cdot (k/(k-1))}} = \left(\frac{n}{(\log n)^{k^2+1}}\right)^{1/(k^2-k)} \geq \left(\frac{e^{3k^2}}{(3k^2)^{k^2+1}}\right)^{1/(k^2-k)} \geq \frac{(3e/2)^{k/(k-1)}}{3k^2}.$$

This together with Claim 2.6 yields

$$d \geq 3k^2 \left(\frac{n}{\log n}\right)^{(k-1)/k} \geq \left(\frac{3}{2} e (n^{k-2} \log^2 n)^{1/k}\right)^{k/(k-1)} \geq \left(\frac{3}{2} e \Delta'\right)^{k/(k-1)} \geq (e(\Delta' + 1))^{k/(k-1)},$$

implying the desired result. This completes the proof of Claim 2.7.

By Lemmas 2.3 and 2.4 and Claim 2.7,

$$\alpha(G) \geq nF_{\Delta'+1}(d) > \frac{\log d - \log(\Delta' + 1) - 1}{d} n \geq \frac{n \log d}{kd} \geq \frac{1}{4k^2} n^{1/k} (\log n)^{(k-1)/k},$$

where the last inequality follows from the fact that  $e \leq d \leq (n^{k-1} \log n)^{1/k}$  by Claim 2.6. This completes the proof of Theorem 2.5. □

**2.2. Chromatic numbers.** In this subsection, we give an upper bound for the chromatic number  $\chi(G)$  of a  $K_{k+1}$ -free graph  $G$  in terms of its number of edges.

A graph property is called *monotone* if it holds for all subgraphs of a graph with the property, that is, it is preserved under deletion of edges and vertices. We require a general lemma on monotone properties of Jensen and Toft [13] (see also [14]).

**LEMMA 2.8 (Jensen and Toft [13, Section 7.3]).** *For  $s \geq 1$ , let  $\psi : [s, \infty) \rightarrow (0, \infty)$  be a positive continuous nondecreasing function. Suppose that  $\mathcal{P}$  is a monotone class of graphs such that  $\alpha(G) \geq \psi(|V(G)|)$  for every  $G \in \mathcal{P}$  with  $|V(G)| \geq s$ . Then, for every such  $G$  with  $|V(G)| \geq s$ ,*

$$\chi(G) \leq s + \int_s^{|V(G)|} \frac{1}{\psi(x)} dx.$$

The following lemma is an immediate corollary of Theorem 2.5 and Lemma 2.8.

**LEMMA 2.9.** *For any fixed integer  $k \geq 2$ , let  $G$  be a  $K_{k+1}$ -free graph with  $n$  vertices. Then*

$$\chi(G) \leq 16k^2 \left(\frac{n}{\log n}\right)^{(k-1)/k}.$$

**PROOF.** Note that the desired result holds trivially for  $n < e^2$ . Suppose that  $n \geq e^2$ . For  $x \geq e^2$ , define

$$\gamma(x) = 1 - \log^{-1} x \quad \text{and} \quad \psi(x) = \frac{1}{4k^2} x^{1/k} (\log x)^{(k-1)/k}.$$

Clearly,  $\gamma(x) \geq 1/2$  for  $x \geq e^2$ , and  $\gamma(x), \psi(x)$  are positive, continuous and nondecreasing. By Theorem 2.5,  $\alpha(G) \geq \psi(n)$ . It follows from Lemma 2.8 that

$$\chi(G) \leq e^2 + \int_{e^2}^n \frac{1}{\psi(x)} dx \leq e^2 + \frac{4k^2}{\gamma(e^2)} \int_{e^2}^n \frac{\gamma(x)}{x^{1/k} (\log x)^{(k-1)/k}} dx \leq 16k^2 \left( \frac{n}{\log n} \right)^{(k-1)/k},$$

where the last inequality holds because an antiderivative for the integrand is exactly  $(k/(k-1))(x \log^{-1} x)^{(k-1)/k}$ . Thus, we complete the proof of Lemma 2.9.  $\square$

**LEMMA 2.10 (Shearer [20]).** For any fixed integer  $k \geq 2$ , let  $G$  be a  $K_{k+1}$ -free graph with  $n$  vertices and average degree  $d > e$ . Then there exists a constant  $b_k \in (0, 1/4)$  such that

$$\alpha(G) \geq \frac{b_k n \log d}{d \log \log d}.$$

The following result plays a key role in our proof of Theorem 1.2.

**THEOREM 2.11.** For any fixed integer  $k \geq 2$ , let  $G$  be a  $K_{k+1}$ -free graph with  $m > 1$  edges. Then

$$\chi(G) \leq 32k(k + b_k^{-1}) \left( \frac{m \log \log m}{\log^2 m} \right)^{(k-1)/(2k-1)}.$$

**PROOF.** Let  $G$  be a  $K_{k+1}$ -free graph on  $n$  vertices with  $m > 1$  edges. If  $\chi(G) \leq 50$ , then we are done. Suppose that  $\chi(G) > 50$ . We may also assume that  $G$  is vertex-critical. It follows that the minimal degree of  $G$  is at least 50. Thus, we have  $m \geq 25n$ .

For convenience, define

$$n^* = \left( \frac{m^k \log^k \log m}{\log m} \right)^{1/(2k-1)}.$$

We may assume that  $n > n^*$ . For, otherwise,  $n \leq n^*$ . Since  $m > 1$ , we see that  $n \geq 3 > e$ . It follows from Lemmas 2.4 and 2.9 that

$$\chi(G) \leq 16k^2 \left( \frac{n}{\log n} \right)^{(k-1)/k} \leq 16k^2 \left( \frac{n^*}{\log n^*} \right)^{(k-1)/k} \leq 32k^2 \left( \frac{m \log \log m}{\log^2 m} \right)^{(k-1)/(2k-1)},$$

where the last inequality follows from the fact that  $x \geq 2 \log x$  for each  $x > 0$ . Thus, we get the desired result.

Now, we construct a graph sequence  $\mathcal{G} = \{G_i\}_{i \geq 0}$  according to the following procedure, which we call the  $\mathcal{G}$  algorithm. Set  $i = 0, G_0 = G$  and  $n_0 = |V(G_0)|$ . Repeat the following steps until  $n_i \leq n^*$ :

- (a) choose  $S_i$  to be the maximum independent set of  $G_i$ ;
- (b) set  $G_{i+1} = G_i \setminus S_i, n_i = |V(G_i)|$  and increment  $i$ .

Let  $\ell + 1$  be the length of the resulting sequence  $\mathcal{G}$ . By the  $\mathcal{G}$  algorithm, we immediately see that  $n_\ell \leq n^*$  and  $G$  can be coloured by at most  $\chi(G_\ell) + \ell$  colours. Since  $G_\ell$  is  $K_{k+1}$ -free, by Lemmas 2.4 and 2.9, for  $n_\ell \geq 3$ ,

$$\chi(G_\ell) \leq 16k^2 \left( \frac{n_\ell}{\log n_\ell} \right)^{(k-1)/k} \leq 16k^2 \left( \frac{n^*}{\log n^*} \right)^{(k-1)/k} \leq 32k^2 \left( \frac{m \log \log m}{\log^2 m} \right)^{(k-1)/(2k-1)}.$$

Clearly, the last inequality holds for  $\chi(G_\ell)$  with  $n_\ell \leq 2$  as well. In the following, it suffices to bound the value of  $\ell$ .

Define  $t = \lceil n/n^* \rceil$ . Note that  $t \geq 2$  since  $n > n^*$ . Let  $I = \{0, 1, \dots, \ell - 1\}$  and  $J = \{2, 3, \dots, t\}$ . Note that  $n_i > n^* \geq n/t$  for each  $i \in I$  by the  $\mathcal{G}$  algorithm and the definition of  $t$ . Thus, for each  $j \in J$ , we can define

$$V_j = \left\{ x \in S_i : \frac{n}{j} < n_i \leq \frac{n}{j-1}, i \in I \right\} \quad \text{and} \quad I_j = \left\{ i \in I : \frac{n}{j} < n_i \leq \frac{n}{j-1} \right\}.$$

**CLAIM 2.12.** For each  $i \in I_j \neq \emptyset$ ,

$$|S_i| \geq \frac{b_k n^2}{2j^2 m} \cdot L\left(\frac{2jm}{n}\right),$$

where  $L(x)$  is defined as in Lemma 2.4.

Let  $d_i$  denote the average degree of  $G_i$  for each  $i \in I$ . Clearly, for each  $i \in I_j$ , we have  $d_i \leq 2m/n_i \leq 2jm/n$ . Suppose that  $d_i > e$ . By Lemmas 2.4 and 2.10,

$$|S_i| \geq b_k n_i \cdot \frac{L(d_i)}{d_i} \geq \frac{b_k n^2}{2j^2 m} \cdot L\left(\frac{2jm}{n}\right),$$

as required. Otherwise,  $d_i \leq e$ . From Lemma 2.1,  $|S_i| \geq n_i/4 \geq n/(4j)$ , which, together with the fact that  $x \geq L(x)$  for  $x > e$  and  $4b_k < 1$ , implies the required result as well. This completes the proof of Claim 2.12.

For each  $x \in S_i$  and  $i \in I$ , define  $w(x) = |S_i|^{-1}$ . Now, for each  $x \in S_i \subset V_j$ , it follows from Claim 2.12 that

$$w(x) = |S_i|^{-1} \leq \frac{2j^2 m}{b_k n^2 L(2jm/n)} \leq \frac{2j^2 m \log \log m}{b_k n^2 \log(2jm/n)}, \tag{2.1}$$

where the last inequality holds because  $j \leq t \leq n/2$  by the definitions of  $t$  and  $n^*$ . By the definitions of  $w(x)$  and  $V_j$ ,

$$\ell = \sum_{i \in I} \sum_{x \in S_i} w(x) = \sum_{j \in J} \sum_{x \in V_j} w(x) \quad \text{and} \quad |V_j| < \frac{n}{j-1} - \frac{n}{j}. \tag{2.2}$$

In view of (2.1) and (2.2),

$$\ell \leq \sum_{j=2}^t \frac{2j^2 |V_j| m \log \log m}{b_k n^2 \log(2jm/n)} \leq \frac{4m}{b_k n} \sum_{j=2}^t \frac{\log \log m}{\log j + \log(m/n)}. \tag{2.3}$$

By the definition of  $n^*$ ,

$$\frac{n}{n^*} \cdot \frac{m}{n} = \frac{m}{n^*} = \left( \frac{m^{k-1} \log m}{\log^k \log m} \right)^{1/(2k-1)}. \tag{2.4}$$

It follows that

$$\max \left\{ \log \frac{m}{n}, \log \frac{n}{n^*} \right\} > \frac{\log m}{4}. \tag{2.5}$$

Suppose that  $n/n^* < m/n$ . Note that  $t - 1 < n/n^*$  by the definition of  $t$ . Thus, we delete the first term of the denominator of (2.3) and obtain

$$\ell \leq \frac{4m}{b_k n} \sum_{j=2}^t \frac{\log \log m}{\log(m/n)} \leq \frac{4m \log \log m}{b_k n^* \log(m/n)} \leq \frac{16k}{b_k} \left( \frac{m \log \log m}{\log^2 m} \right)^{(k-1)/(2k-1)},$$

where the last inequality follows from (2.4) and (2.5). Otherwise,  $n/n^* \geq m/n$ . Since  $t - 1 < n/n^* \leq t$ ,

$$\sum_{j=2}^t \frac{1}{\log j} \leq \int_2^t \frac{1}{\log x} dx \leq \frac{2(t-1)}{\log t} < \frac{2n}{n^* \log(n/n^*)}.$$

Deleting the second term of the denominator in (2.3) yields

$$\ell \leq \frac{4m}{b_k n} \sum_{j=2}^t \frac{\log \log m}{\log j} \leq \frac{8m \log \log m}{b_k n^* \log(n/n^*)} \leq \frac{32k}{b_k} \left( \frac{m \log \log m}{\log^2 m} \right)^{(k-1)/(2k-1)}.$$

Thus, we get the desired result by noting that  $\chi(G) \leq \chi(G_\ell) + \ell$ . This completes the proof of Theorem 2.11. □

**2.3. Bipartite subgraphs of  $K_{k+1}$ -free graphs.** In this short subsection, we give a proof of Theorem 1.2. We need the following lemma.

**LEMMA 2.13 [1].** *Let  $G$  be a graph with  $m$  edges and chromatic number at most  $\chi$ . Then*

$$f(G) \geq \frac{\chi + 1}{2\chi} m.$$

**PROOF OF THEOREM 1.2.** Set  $c(k) = (64k^2 + 64kb_k^{-1})^{-1}$ . The result now follows immediately from Lemma 2.13 and Theorem 2.11. □

### 3. Graphs with forbidden bipartite subgraphs

In this section, we consider the function  $f(m, H)$  when  $H$  is a bipartite graph with maximum degree  $t \geq 2$  on one side. We shall use the following upper bound, proved by Alon *et al.* [4], on the maximum number of edges in an  $H$ -free graph.

**LEMMA 3.1 (Alon *et al.* [4]).** *Let  $H$  be a bipartite graph with maximum degree  $t \geq 2$  on one side. Then there exists a positive constant  $c = c(H)$  such that*

$$ex(n, H) \leq cn^{2-1/t}.$$

A graph is *r-degenerate* if every one of its subgraphs contains a vertex of degree at most  $r$ . We need the following well-known fact (see [1, 2] or [5] for a proof).



**LEMMA 3.2.** *Let  $H$  be an  $r$ -degenerate graph on  $h$  vertices. Then there is an ordering  $v_1, \dots, v_h$  of the vertices of  $H$  such that for every  $1 \leq i \leq h$  the vertex  $v_i$  has at most  $r$  neighbours  $v_j$  with  $j < i$ .*

We also require the following three lemmas establishing lower bounds for  $f(G)$  for graphs  $G$  in terms of different parameters.

**LEMMA 3.3 (Erdős *et al.* [11]).** *Let  $G$  be a graph on  $n$  vertices with  $m$  edges and positive minimum degree. Then*

$$f(G) \geq \frac{m}{2} + \frac{n}{6}.$$

**LEMMA 3.4 (Alon *et al.* [5]).** *There exist two small constants  $\epsilon, \delta \in (0, 1)$  such that the following holds. Let  $G$  be a graph on  $n$  vertices with  $m$  edges and degree sequence  $d_1, d_2, \dots, d_n$ . Suppose, further, that for each  $i$  the induced subgraph on all the  $d_i$  neighbours of vertex number  $i$  contains at most  $\epsilon d_i^{3/2}$  edges. Then*

$$f(G) \geq \frac{m}{2} + \delta \sum_{i=1}^n \sqrt{d_i}.$$

**LEMMA 3.5 (Alon [1]).** *Let  $G = (V, E)$  be a graph with  $m$  edges. Suppose that  $U \subset V$  and let  $G'$  be the induced subgraph of  $G$  on  $U$ . If  $G'$  has  $m'$  edges, then*

$$f(G) \geq f(G') + \frac{m - m'}{2}.$$

Finally, we shall employ a martingale concentration result to prove the existence of certain induced subgraphs in a graph with relatively large minimum degree and sparse neighbourhood.

**LEMMA 3.6 (Janson *et al.* [12, Corollary 2.27]).** *Given positive real numbers  $\lambda, C_1, \dots, C_n$ , let  $f : \{0, 1\}^n \rightarrow \mathbb{R}$  be a function satisfying the following Lipschitz condition: whenever two vectors  $z, z' \in \{0, 1\}^n$  differ only in the  $i$ th coordinate (for any  $i$ ), we always have  $|f(z) - f(z')| \leq C_i$ . Suppose that  $X_1, \dots, X_n$  are independent random variables, each taking values in  $\{0, 1\}$ . Then the random variable  $Y = f(X_1, \dots, X_n)$  satisfies*

$$\mathbb{P}(|Y - \mathbb{E}[Y]| \geq \lambda) \leq 2 \exp \left\{ - \frac{\lambda^2}{2 \sum_{i=1}^n C_i} \right\}.$$

Now, we use this to control the performance of a randomised induced subgraph of a given graph with properties stated as before.

**THEOREM 3.7.** *Let  $G = (V, E)$  be a graph on  $n$  vertices with  $m$  edges and minimum degree at least  $m^\theta$  for some fixed real  $\theta \in (0, 1)$ . Suppose that  $m$  is sufficiently large and the induced subgraph on the neighbourhood of any vertex  $v \in V$  of degree  $d_v$  contains fewer than  $s d_v^{3/2}$  edges for some positive constant  $s$ . Then, for every constant  $\eta \in (0, 1)$ , there exists an induced subgraph  $G' = (V', E')$  of  $G$  with the following properties:*

- $G'$  contains at least  $\eta^2 m / 2$  edges;
- every vertex  $v$  of degree  $d_v$  in  $G$  that lies in  $V'$  has degree at least  $\eta d_v / 2$  in  $G'$ ;
- every neighbourhood of the vertex  $v$  in  $V'$  contains at most  $2\eta^2 s d_v^{3/2}$  edges in  $G'$ .

**PROOF.** For each vertex  $v \in V$ , denote by  $d_v$  the degree of  $v$  in  $G$  and denote by  $e_v$  the number of edges of  $H_v$  induced by  $N_G(v)$ . Write  $S = \{v \in V : e_v > 2\eta^2 s d_v^{3/2}\}$ .

Let  $\eta \in (0, 1)$  be any fixed real number. Consider a random subset  $V'$  of  $V$  obtained by picking each vertex of  $V$  randomly and independently, with probability  $\eta$ . Let  $G'$  be the subgraph of  $G$  induced by  $V'$ . Define the random variables  $X$  and  $Y_v$  to be the number of edges of  $G'$  and the degree of  $v$  in  $G'$ , respectively. Thus,

$$\mathbb{E}[X] = \eta^2 m \quad \text{and} \quad \mathbb{E}[Y_v] = \eta d_v.$$

Clearly, flipping the assignment of  $v \in V$  cannot affect  $X$  by more than  $d_v$ , and switching the choice of a single vertex  $u \in N_G(v)$  can only change  $Y_v$  by at most 1. Define

$$L = \sum_{v \in V} d_v^2 \leq 2mn \quad \text{and} \quad L_v = d_v.$$

By Lemma 3.6,

$$\mathbb{P}\left(X \leq \mathbb{E}[X] - \frac{1}{2}\eta^2 m\right) \leq 2 \exp\left\{-\frac{\eta^4 m^2}{8L}\right\} \leq 2 \exp\left\{-\frac{\eta^4 m}{16n}\right\} \tag{3.1}$$

and

$$\mathbb{P}\left(Y_v \leq \mathbb{E}[Y_v] - \frac{1}{2}\eta d_v\right) \leq 2 \exp\left\{-\frac{\eta^2 d_v^2}{8L_v}\right\} \leq 2 \exp\left\{-\frac{\eta^2 d_v}{8}\right\}. \tag{3.2}$$

Now, we define the random variable  $Z_v$  to be the number of edges induced by  $N_{G'}(v)$ . Clearly, we have  $\mathbb{E}[Z_v] = \eta^2 e_v$ . For each  $v \in S$ , switching the choice of a single  $u \in N_G(v)$  can only affect  $Z_v$  by at most  $d_{H_v}(u)$ . Similarly, if we define  $L'_v = \sum_{u \in N_G(v)} d_{H_v}^2(u) \leq 2e_v d_v$ , then Lemma 3.6 gives

$$\mathbb{P}(Z_v \geq \mathbb{E}[Z_v] + \eta^2 e_v) \leq 2 \exp\left\{-\frac{\eta^4 e_v^2}{2L'_v}\right\} \leq 2 \exp\left\{-\frac{\eta^6 s \sqrt{d_v}}{2}\right\}. \tag{3.3}$$

Note that  $d_v \geq m^\theta$  for each  $v \in V$  and some fixed real  $\theta \in (0, 1)$ . Since  $2m = \sum_{v \in V} d_v$ , we have  $m = \Omega(n)$ . Thus, each of (3.1)–(3.3) holds with probability exponentially small in  $n$  for sufficiently large  $m$ . Since there are at most  $2n + 1$  conditions to check and each fails with probability exponentially small in  $n$ , some choice of  $V'$  has the required properties. This completes the proof of Theorem 3.7.  $\square$

**PROOF OF THEOREM 1.6.** (i) Let  $H$  be a bipartite graph with maximum degree  $t \geq 2$  on one side and let  $G = (V, E)$  be an  $H$ -free graph with  $n$  vertices and  $m$  edges. By Lemma 3.1, there exists a constant  $c_1 = c_1(H) > 1$  such that  $m \leq c_1 n^{2-1/t}$ .

Let  $d(v)$  denote the degree of  $v$  in  $G$ . Define  $S = \{v \in V : d(v) \geq 4c_1 n^{1-1/t}\}$ . Clearly,  $|S| \leq n/2$ . Let  $G'$  be the subgraph of  $G$  induced by  $V \setminus S$ . Note that  $G'$  contains at least  $n/2$  vertices and has maximum degree at most  $4c_1 n^{1-1/t}$ . By Lemma 2.1,

$$\alpha(G) \geq \alpha(G') \geq \frac{n/2}{1 + 4c_1 n^{1-1/t}} \geq \psi(n),$$

where  $\psi(x) = (10c_1)^{-1}x^{1/t}$ . Note that  $\psi(x)$  is positive, continuous and nondecreasing. From Lemma 2.8,

$$\chi(G) \leq 1 + \int_1^n \frac{10c_1}{x^{1/t}} dx \leq 20c_1n^{1-1/t}. \tag{3.4}$$

If  $n \geq c'_1m^{t/(2t-1)}$  for some constant  $c'_1 > 1$ , then Lemma 3.3 gives

$$f(G) \geq \frac{m}{2} + \frac{n}{6} \geq \frac{m}{2} + \frac{c'_1}{6}m^{t/(2t-1)}.$$

Otherwise,  $n < c'_1m^{t/(2t-1)}$ . In view of Lemma 2.13 and (3.4), we conclude that

$$f(G) \geq \frac{m}{2} + \frac{m}{40c_1n^{1-1/t}} > \frac{m}{2} + \frac{1}{40c_1c'_1}m^{t/(2t-1)}.$$

Since  $G$  is chosen arbitrarily, we get the desired result by setting  $c(H) = (40c_1c'_1)^{-1}$ . This completes the proof of (i).

(ii) Let  $H$  be a bipartite graph with maximum degree 2 on one side and let  $G$  be an  $H$ -free graph with  $n$  vertices and  $m$  edges. On account of the inequality (1.1), we may assume that  $m$  is sufficiently large. In addition, the desired result follows immediately from Lemma 3.3 for  $n \geq (1/2)m^{5/6}$ . Thus, we may assume that  $n < (1/2)m^{5/6}$ .

**CLAIM 3.8.** *There exists an induced subgraph  $G'$  of  $G$  such that  $G'$  contains at least  $\eta^2m/4$  edges and every neighbourhood of a vertex of degree  $d$  in  $G'$  spans at most  $\epsilon d^{3/2}$  edges in  $G'$ , where  $\eta \in (0, 1)$  is a fixed constant and  $\epsilon$  is a constant defined as in Lemma 3.4.*

As long as there is a vertex of degree smaller than  $m^{1/6}$  in  $G$ , omit it. This process terminates after deleting fewer than  $m^{1/6}n < m/2$  edges, and thus we obtain an induced subgraph  $\tilde{G}$  of  $G$  with at least  $m/2$  edges and minimum degree at least  $m^{1/6}$ . Note that the induced subgraph on the neighbourhood of any vertex of degree  $\tilde{d}$  of  $\tilde{G}$  contains no copy of  $H$ , and hence contains at most  $c_2\tilde{d}^{3/2}$  edges for some constant  $c_2 > 1$ , by Lemma 3.1. Now, we apply Theorem 3.7 to  $\tilde{G}$  with  $\eta = \epsilon^2/(32c_2^2)$ . Thus, we find an induced subgraph  $G'$  of  $\tilde{G}$  (and hence of  $G$ ) with the required properties. This completes the proof of Claim 3.8.

**CLAIM 3.9.**  *$G'$  is  $\ell$ -degenerate, where  $\ell = \lceil \mu m^{1/3} \rceil$  and  $\mu = \mu(H) > 1$  is a fixed constant.*

Otherwise, we may assume that  $G'$  contains a subgraph  $G''$  with minimum degree more than  $\ell$ . Note that the number of vertices of  $G''$  is  $N < 2m/\ell \leq 2\ell^2/\mu^3$ . Thus, the number of edges of  $G''$  is

$$e(G'') \geq \frac{1}{2}\ell N \geq \left(\frac{1}{2}\mu N\right)^{3/2}. \tag{3.5}$$

Since  $G''$  is  $H$ -free and  $H$  has maximum degree 2 on one side, by Lemma 3.1, there exists a constant  $c'_2 = c'_2(H) > 1$  such that  $e(G'') \leq c'_2N^{3/2}$ , which contradicts (3.5) for a chosen value  $\mu > 2(c'_2)^{2/3}$ . This completes the proof Claim 3.9.

By Lemma 3.2 and Claim 3.9, there is a labelling  $v_1, v_2, \dots, v_{n'}$  of the  $n'$  vertices of  $G'$  such that  $d_i^+ \leq \ell$  for every  $i$ , where  $d_i^+$  denotes the number of neighbours  $v_j$  of  $v_i$  with  $j < i$  in  $G'$ . Note that  $\sum_{i=1}^{n'} d_i^+ = |E(G')|$ . Let  $d_i$  be the degree of  $v_i$  in  $G'$  for each  $1 \leq i \leq n'$ . By Lemma 3.4 and Claim 3.8,

$$\begin{aligned} f(G') &\geq \frac{|E(G')|}{2} + \delta \sum_{i=1}^{n'} \sqrt{d_i} \geq \frac{|E(G')|}{2} + \delta \sum_{i=1}^{n'} \sqrt{d_i^+} \\ &\geq \frac{|E(G')|}{2} + \frac{\delta \sum_{i=1}^{n'} d_i^+}{\sqrt{\ell}} \geq \frac{|E(G')|}{2} + \frac{\delta \eta^2}{8\sqrt{\mu}} m^{5/6}, \end{aligned}$$

where  $\delta = \delta(G')$  is a constant. This together with Lemma 3.5 gives

$$f(G) \geq f(G') + \frac{m - |E(G')|}{2} \geq \frac{m}{2} + \frac{\delta \eta^2}{8\sqrt{\mu}} m^{5/6}.$$

Since  $G$  is chosen arbitrarily, we get the desired result and complete the proof of (ii).

(iii) Let  $H = H[X, Y]$  be a bipartite graph with vertex degree at most 3 for each vertex in  $Y$  and let  $G$  be an  $H$ -free graph with  $n$  vertices and  $m$  edges. Suppose that  $d(x) = |Y|$  for some vertex  $x \in X$  and denote by  $H'$  the subgraph of  $H$  induced by  $(X \setminus \{x\}) \cup Y$ .

With an argument similar to the one stated in the proof of (ii), we may assume that  $m$  is sufficiently large and  $n < (1/2)m^{4/5}$ . Note that  $H'$  is a bipartite graph with vertex degree at most 2 for each vertex in  $Y$  and the induced subgraph on the neighbourhood of any vertex in  $G$  contains no copy of  $H'$ . A similar argument to that of Claims 3.8 and 3.9, the details of which we omit, suggests the following claim.

**CLAIM 3.10.** *Let  $\eta \in (0, 1)$  be a fixed constant and let  $\epsilon$  be a constant defined as in Lemma 3.4. Set  $\ell = \lceil \mu m^{2/5} \rceil$ , where  $\mu = \mu(H) > 1$  is a fixed constant. Then there is an induced subgraph  $G'$  of  $G$  with the following properties:*

- (a)  $G'$  is an  $\ell$ -degenerate graph with at least  $\eta^2 m/4$  edges;
- (b) every neighbourhood of a vertex of degree  $d$  in  $G'$  spans at most  $\epsilon d^{3/2}$  edges in  $G'$ .

The remainder of the argument is analogous to that in (ii). By Lemmas 3.2 and 3.4 and Claim 3.10,  $f(G')$  exceeds half the number of edges of  $G'$  by at least  $\Omega(m^{4/5})$  and the desired result follows from Lemma 3.5. This completes the proof of (iii).  $\square$

### Acknowledgement

The authors would like to thank the referees for their careful reading and helpful comments.

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