

EXISTENCE CONDITIONS IN GENERAL QUASIMONOTONE VARIATIONAL INEQUALITIES

D. AUSSEL AND D.T. LUC

In this paper we study a general variational inequality model with set-valued quasimonotone operators, a model which includes several variational inequalities and equilibrium problems. We establish unifying conditions for existence of solutions in a topological vector space setting. Applications to parametric equilibrium models and to a contact problem are given.

1. INTRODUCTION

Throughout this paper we shall make use of the following notations. X and Y are real Hausdorff topological vector spaces, K is a nonempty subset of X , ϕ is a real function on $Y \times K$ which is sometimes called a coupling function between Y and K , and T is a set-valued operator from K to Y . The topological dual of X is denoted by X' and the pairing function between X and X' is written in the form $\langle x^*, x \rangle$ for $x \in X$ and $x^* \in X'$.

The variational inequality model that we are going to study in this paper is the following:

(V) Find $x_0 \in K$ such that

$$\phi(x_0^*, x) - \phi(x_0^*, x_0) \geq 0, \forall x \in K, \forall x_0^* \in T(x_0).$$

This model is quite simple, albeit general and includes several variational inequalities and equilibrium problems. Here are some of them that can be found in [4, 7, 10, 22, 25].

A. The standard variational inequality introduced by Stampacchia: Find $x_0 \in K$ such that

$$\langle f(x_0), x - x_0 \rangle \geq 0, \forall x \in K,$$

where f is an operator from K to X' . This problem is a particular case of model (V) when $T(x) = f(x)$, $Y = X'$ and $\phi(x^*, x) = \langle x^*, x \rangle$.

B. The mixed variational inequality problem: Find $x_0 \in K$ such that

$$\langle x_0^*, x - x_0 \rangle + h(x) - h(x_0) \geq 0, \forall x \in K, \forall x_0^* \in T(x_0),$$

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where h is a real function on K . This problem is obtained from (V) by setting $Y = X'$ and $\phi(x^*, x) = \langle x^*, x \rangle + h(x)$.

C. The general variational inequality problem: Find $x_0 \in X$ with $g(x_0) \in D$ such that

$$\langle f(x_0), g(x) - g(x_0) \rangle \geq 0, \forall x \in X \text{ with } g(x) \in D,$$

where g is a transformation on X . To derive this problem from (V) it suffices to set $Y = X'$, $K = \{x \in X : g(x) \in D\}$, $\phi(x^*, x) = \langle x^*, g(x) \rangle$ and $T(x) = f(x)$.

D. The equilibrium problem: Find $x_0 \in K$ such that

$$f(x_0, x) + h(x) - h(x_0) \geq 0, \forall x \in K,$$

where f is a real-valued function on $K \times K$ with $f(x, x) = 0$ for every $x \in K$ and h is a real-valued function on K . To express this problem in form of variational model (V) it suffices to set $Y = X$, $T(x) = x$ and $\phi(x^*, x) = f(x^*, x) + h(x)$ for $x^* \in Y$ and $x \in K$.

E. The parametric equilibrium problem: Find $x_0 \in K$ such that

$$f(x_0, x) + h(z, x) - h(z, x_0) \geq 0, \forall x \in K, z \in Z,$$

where Z is a nonempty set, f is a real-valued function on $K \times K$ with $f(x, x) = 0$ for every $x \in K$ and h is a real-valued function on $Z \times K$. To formulate this problem in form of variational model (V) it suffices to set $Y = K \times Z$, $T(x) = \{x\} \times Z$ and $\phi((y, z), x) = f(y, x) + h(z, x)$ for $(y, z) \in Y$ and $x \in K$.

Together with model (V) we are also interested in the following auxiliary models:

(V₀) Find $x_0 \in K$ such that for some $x_0^* \in T(x_0)$,

$$\phi(x_0^*, x) - \phi(x_0^*, x_0) \geq 0, \forall x \in K;$$

(V_w) Find $x_0 \in K$ such that for every $x \in K$, there is some $x_0^* \in T(x_0)$ verifying

$$\phi(x_0^*, x) - \phi(x_0^*, x_0) \geq 0;$$

and the so-called Minty variational inequality.

(M) Find $x_0 \in K$ such that

$$\phi(x^*, x) - \phi(x^*, x_0) \geq 0, \forall x \in K, \forall x^* \in T(x).$$

We refer the interested reader to [4, 8, 10, 16, 22, 23, 26] and many references given therein for historical developments, general theory and applications of variational inequalities.

The aim of the present paper is to establish sharp criteria for existence of solutions of the above unifying variational problems when the operator T is quasimonotone. Our

results are proven by elementary techniques and at the same time enjoy certain degree of generality which makes them applicable to a number of variational inequalities and equilibrium models. By this they unify and strengthen several theorems of recent works on the topics, including [2, 4, 5, 7, 9, 21, 25, 27] and some others.

The paper is organised as follows. In the next section we introduce new concepts of generalised monotonicity and generalised continuity of set-valued operators with respect to the function ϕ . These include almost all particular cases studied in the literature on variational inequalities. In Section 3 relationships between solutions sets of the models (V) – (M) are presented. Section 4 is devoted to sufficient conditions for existence of solutions of the above models. An application to a generalised complementarity problem is considered. Other applications are given respectively in Sections 5 and 6 for equilibrium problems and a frictionless contact problem.

2. GENERALISED MONOTONICITY AND GENERALISED CONTINUITY

Following the notations of the introduction T is a set-valued operator from K to Y .

DEFINITION 2.1: The operator T is said to be ϕ -quasimonotone (respectively, weakly ϕ -quasimonotone) at $x \in K$ if strict inequality

$$(1) \quad \phi(y^*, x) - \phi(y^*, y) > 0, \text{ for some } y \in K \text{ and for some } y^* \in T(y)$$

(respectively, for some $y \in K$ and for all $y^* \in T(y)$) implies

$$(2) \quad \phi(x^*, x) - \phi(x^*, y) \geq 0, \text{ for every } x^* \in T(x).$$

When inequality

$$(3) \quad \phi(y^*, x) - \phi(y^*, y) \geq 0, \text{ for some } y \in K \text{ and for some } y^* \in T(y)$$

(respectively, for some $y \in K$ and for all $y^* \in T(y)$) implies (2), we say that T is ϕ -pseudomonotone (respectively, weakly ϕ -pseudomonotone) at x .

When $Y = X'$ and ϕ is the pairing function $\langle \cdot, \cdot \rangle$ between X and X' , and when T is single-valued, the above definition reduces to the concept of quasimonotone operators and pseudomonotone operators that were first introduced by Karamardian [13], Karamardian and Schaible [14] for vector-valued functions. Extensions for set-valued operators can be found in [18, 19, 20] (see also [1, 5, 9, 24]). We notice that the notion of h -quasimonotonicity (respectively, h -quasi-semi-monotonicity) introduced in [4] corresponds to ϕ -pseudomonotonicity (respectively, weak ϕ -pseudomonotonicity) when $\phi(x^*, x)$ is given by $\langle x^*, x \rangle + h(x)$.

DEFINITION 2.2: The operator T is said to be properly ϕ -quasimonotone on K if for every convex combination x of $x_1, \dots, x_n \in K$, there exists some $i \in \{1, \dots, n\}$ such that $\phi(x_i^*, x_i) - \phi(x_i^*, x) \geq 0$ for all $x_i^* \in T(x_i)$.

The notion of proper quasimonotonicity was introduced by Daniilidis and Hadjisavvas in [5] when ϕ is given by $\langle \cdot, \cdot \rangle$. An earlier version under the name of diagonal quasiconcavity was introduced in [28]. It is easy to see that a ϕ -pseudomonotone operator is properly ϕ -quasimonotone, which in its turn is ϕ -quasimonotone. The converse is not always true even when $X = Y'$ and ϕ is the pairing function $\langle \cdot, \cdot \rangle$. An equivalent form of generalised monotonicity can be given as follows. The operator T is ϕ -quasimonotone (respectively, weakly ϕ -quasimonotone) at $x \in K$ if for every $y \in K$, for every (respectively, for some) $y^* \in T(y)$ one has

$$\min\{\phi(x^*, y) - \phi(x^*, x), \phi(y^*, x) - \phi(y^*, y)\} \leq 0$$

for every $x^* \in T(x)$;

T is ϕ -pseudomonotone (respectively, weakly ϕ -pseudomonotone) at $x \in K$ if for every $y \in K$, for every (respectively, for some) $y^* \in T(y)$ one has

$$\min\{\phi(x^*, y) - \phi(x^*, x), \phi(y^*, x) - \phi(y^*, y)\} < 0$$

for all $x^* \in T(x)$ with $\phi(x^*, y) \neq \phi(x^*, x)$. Finally T is properly ϕ -quasimonotone on K if for any convex combination x of elements $x_1, \dots, x_n \in K$, one has

$$\min_{i=1, \dots, n} \sup_{x_i^* \in T(x_i)} (\phi(x_i^*, y) - \phi(x_i^*, x_i)) \leq 0.$$

When $Y = X'$ and T is a subdifferential (in certain sense) of a function, generalised monotonicity is used to characterise generalised convexity of the function (see [1, 20, 24] for instance). It was noticed in [5] that when T is a subdifferential operator in the sense of Clarke—Rockafellar of a lower semicontinuous function, it is properly quasimonotone if and only if it is quasimonotone, and if and only if the function is quasiconvex.

DEFINITION 2.3: We say that T is ϕ -hemicontinuous (respectively, weakly ϕ -hemicontinuous) at $x_0 \in K$ if for every $x \in K$, inequality

$$(4) \quad \phi(x_i^*, x_0 + t(x - x_0)) - \phi(x_i^*, x_0) \geq 0, \forall x_i^* \in T(x_0 + t(x - x_0))$$

for all t sufficiently close to 0, implies

$$(5) \quad \phi(x_0^*, x) - \phi(x_0^*, x_0) \geq 0, \forall x_0^* \in T(x_0)$$

(respectively, for some $x_0^* \in T(x_0)$).

We note that when $Y = X'$, $T(x_0)$ is compact and ϕ is given by $\langle \cdot, \cdot \rangle$, weak ϕ -hemicontinuity corresponds to upper sign-continuity of [2]. Below we present some particular cases in which T is ϕ -hemicontinuous or weakly ϕ -hemicontinuous. Recall that T is said to be upper semicontinuous (respectively, lower semicontinuous) at $x \in K$ if for every open set $A \subseteq Y$ with $T(x) \subseteq A$ (respectively, $T(x) \cap A \neq \emptyset$), there is some neighbourhood U of x such that $T(x') \subseteq A$ (respectively, $T(x') \cap A \neq \emptyset$) for every $x' \in U \cap K$. In our paper this definition is not applied to real-valued functions for which the notions of lower and upper semicontinuities are understood in the usual sense.

PROPOSITION 2.4. *Each of the conditions (i)–(iii) below is sufficient for T to be ϕ -hemicontinuous:*

- (i) ϕ is continuous, T is lower semicontinuous on segments;
- (ii) $Y = X'$, $\phi = \langle \cdot, \cdot \rangle$ and for every $x, y \in K$, the function $t \mapsto \inf_{x^* \in T(x+t(y-x))} \langle x^*, y - x \rangle$ is upper semicontinuous on $[0, 1]$ at $t = 0$;
- (iii) $Y = X'$, $\phi = \langle \cdot, \cdot \rangle$ and T is single-valued continuous on segments.

Each of the conditions (iv)–(v) below is sufficient for T to be weakly ϕ -hemicontinuous:

- (iv) ϕ is continuous and T is upper semicontinuous on segments and compact-valued;
- (v) $Y = X'$, $\phi = \langle \cdot, \cdot \rangle$ and T is w^* -compact-valued and for every $x, y \in K$, the function $t \mapsto \sup_{x^* \in T(x+t(y-x))} \langle x^*, y - x \rangle$ is upper semicontinuous on $[0, 1]$ at $t = 0$.

PROOF: Direct verification achieves the proof. □

3. RELATIONSHIP BETWEEN SOLUTION SETS

In this section we are going to establish some links between the solution sets of variational inequality models (V) , (V_0) , (V_w) and of the Minty problem.

The solution sets of problems (V) , (V_0) , (V_w) and (M) are denoted respectively by $S(V)$, $S(V_0)$, $S(V_w)$ and $S(M)$. If there is a neighbourhood U of x_0 such that the inequality in problem (M) holds for all $x \in K \cap U$, then x_0 is called a local solution of the Minty variational inequality. The local solution set of (M) is denoted by $LS(M)$.

PROPOSITION 3.1. *Assume that K is nonempty and convex. Then one has*

- (i) $LS(M) = S(M)$ provided that ϕ is lower semicontinuous and convex in x and T is weakly ϕ -pseudomonotone on K ;
- (ii) $LS(M) \subseteq S(V)$ provided that T is ϕ -hemicontinuous;
- (iii) $LS(M) \subseteq S(V_w)$ provided that T locally admits a weakly ϕ -hemicontinuous suboperator.

PROOF: Let us prove (i). The inclusion $S(M) \subseteq LS(M)$ being obvious, we need to prove the converse inclusion only. Let $x_0 \in LS(M)$, that is, there is some neighbourhood U of x_0 such that

$$(6) \quad \phi(x^*, x) - \phi(x^*, x_0) \geq 0$$

for every $x \in K \cap U$ and $x^* \in T(x)$. Let $y \in K$ be given. There is some $t_0 \in (0, 1)$ such that $x_0 + t(y - x_0) \in K \cap U$ for $t \in [0, t_0]$. For each $x^* \in T(x_0 + t_0(y - x_0))$ the convexity

of the function $t \mapsto \phi(x^*, x_0 + t(y - x_0))$ yields

$$\frac{\phi(x^*, y) - \phi(x^*, x_0 + t_0(y - x_0))}{1 - t_0} \geq \frac{\phi(x^*, x_0 + t_0(y - x_0)) - \phi(x^*, x_0)}{t_0}$$

which together with (6) gives

$$\phi(x^*, y) - \phi(x^*, x_0 + t_0(y - x_0)) \geq 0.$$

By the weak ϕ -pseudomonotonicity of T we derive

$$(7) \quad \phi(y^*, y) - \phi(y^*, x_0 + t_0(y - x_0)) \geq 0$$

for every $y^* \in T(y)$. The same argument shows that (7) is true when t_0 is replaced by any $t \in (0, t_0)$. The function $\phi(y^*, x_0 + t(y - x_0))$ being lower semicontinuous in t we deduce that

$$\phi(y^*, y) - \phi(y^*, x_0) \geq 0$$

for every $y^* \in T(y)$. By this, $x_0 \in S(M)$.

For the second assertion, assume that $x_0 \in K$ is a local solution of (M) , that is (6) is satisfied. Let $y \in K$ be given. For $x_t := x_0 + t(y - x_0) \in K \cap U$ with $t \in [0, t_0]$ we have

$$(8) \quad \phi(x_t^*, x_t) - \phi(x_t^*, x_0) \geq 0$$

for every $x_t^* \in T(x_t)$. It follows from the ϕ -hemicontinuity of T that

$$(9) \quad \phi(x_0^*, y) - \phi(x_0^*, x_0) \geq 0$$

for every $x_0^* \in T(x_0)$. This means that x_0 is a solution of (V) .

Finally, if T admits a weakly ϕ -continuous suboperator T_1 in a neighbourhood of x_0 , then (8) holds for t sufficiently close to 0 and for all $x_t^* \in T_1(x_t)$. By the weak ϕ -hemicontinuity of T_1 , (9) is still true for some $x_0^* \in T_1(x_0) \subseteq T(x_0)$. Consequently, x_0 is a solution of (V_w) . □

PROPOSITION 3.2. *Assume that K is nonempty and convex. Then the following assertions hold:*

- (i) $S(V) \subseteq S(V_0) \subseteq S(V_w)$. Equalities are true when T is single-valued;
- (ii) Let $x_0 \in K$ be a solution of (V_w) . It is also a solution of (V_0) provided that $T(x_0)$ is compact and convex, and that the function $(x^*, x) \mapsto \phi(x^*, x) - \phi(x^*, x_0)$ is convex in x and upper semicontinuous, concave in x^* .

PROOF: The first assertion is obvious. The second assertion is a consequence of the standard minimax theorem [6]. □

COROLLARY 3.3. *Let $x_0 \in K$ be a local solution of (M) . Then it is a solution of (V_0) when the following conditions hold:*

- (a) T admits a suboperator in a neighbourhood of x_0 which is convex compact-valued and weakly ϕ -hemicontinuous at x_0 ;
- (b) The function $(x^*, x) \mapsto \phi(x^*, x) - \phi(x^*, x_0)$ is convex in x and upper semicontinuous, concave in x^* .

PROOF: Let T_1 be a suboperator mentioned in (a). Define an operator T_2 on K by

$$T_2(x) = \begin{cases} T_1(x) & \text{if } x \in K \cap U \\ T(x) & \text{if } x \notin K \cap U. \end{cases}$$

Then x_0 is a local solution of problem (M) with T_2 instead of T because T_2 is a suboperator of T . According to Proposition 3.1, x_0 is a solution of problem (V_w) for T_2 . By Proposition 3.2, it is also a solution of problem (V_0) for T_2 , hence for T as well. \square

It is worthwhile noticing that when K is a nonempty and convex set in a locally convex space, Proposition 3.2 and Corollary 3.3 remain true if ϕ is lower semicontinuous, quasiconvex (instead of being convex) in x .

4. EXISTENCE OF SOLUTIONS

We are now ready to establish sufficient conditions for existence of solutions of the variational inequality models described in Section 1 with relatively simple proofs. Recall that a real-valued function f on K is said to be quasiconvex if for $x, y \in K$ and $t \in (0, 1)$ one has $f(tx + (1 - t)y) \leq \max\{f(x), f(y)\}$. It is said to be semistrictly quasiconvex (respectively, strictly quasiconvex) if $f(tx + (1 - t)y) < \max\{f(x), f(y)\}$ whenever $f(x) \neq f(y)$ (respectively, $x \neq y$).

The following proposition provides an existence result for problem (M) . If K is nonempty, convex and compact and if the operator T is nonempty valued on K then this proposition can be derived from [3, Proposition 2.1] by considering the real valued function f on $K \times K$ defined by $f(x, y) := \sup_{x^* \in T(x)} (\phi(x^*, y) - \phi(x^*, x))$.

Although the proof of Proposition 4.1 uses quite standard arguments we include it here since no assumption of nonemptiness is made on the value of the operator T .

PROPOSITION 4.1. *Problem (M) has a solution if the following conditions hold:*

- (a) K is nonempty convex and closed;
- (b) ϕ is lower semicontinuous in x on K ;
- (c) T is properly ϕ -quasimonotone on K ;
- (d) There is a compact set $K_0 \subseteq K$ and $x_0 \in K_0$ such that for every $x \in K \setminus K_0$ one has $\phi(x_0^*, x) - \phi(x_0^*, x_0) > 0$ for some $x_0^* \in T(x_0)$.

Moreover, if additionally ϕ is quasiconvex in x , then the solution set of (M) is closed, convex and included in K_0 .

PROOF: We consider the following set-valued map G from K to itself:

$$G(x) := \{y \in K : \phi(x^*, x) - \phi(x^*, y) \geq 0, \forall x^* \in T(x)\}.$$

By hypothesis, $G(x)$ is nonempty and closed. It follows from (d) that $G(x_0)$ is a compact set. Moreover, G is a KKM map in the sense that for $x_1, \dots, x_n \in K$ one has $\text{co}\{x_1, \dots, x_n\} \subseteq \bigcup_{i=1}^n G(x_i)$, which is immediate from the definition of proper ϕ -quasimonotonicity. By applying Ky Fan's theorem [6] to this map, we have $\bigcap_{x \in K} G(x) \neq \emptyset$. Any element of this intersection is a solution of problem (M). It is clear that any solution of (M) belongs to the intersection, hence to $G(x_0) \subseteq K_0$. The convexity and the closeness of the solution set are immediate from condition (b) and the quasiconvexity of ϕ in the second variable. \square

It turns out that if in the model (M) one sets $Y = K$, $\phi = f$ and $T(x) = x$ for $x \in K$, then one obtains a dual equilibrium problem of type

(DE1) Find $x_0 \in K$ such that $f(x, x_0) \leq f(x, x)$ for all $x \in K$.

In general, each solution of (DE1) is a solution of the dual equilibrium problem considered in [3], and the converse is not true. Hence the conclusion of Proposition 4.1 is stronger than the corresponding one of [3] (under a bit stronger hypothesis). In the case when $f(x, x) = 0$ for $x \in K$, these results are equivalent.

It is interesting to note that in the above proposition proper ϕ -quasimonotonicity can not be replaced by ϕ -quasimonotonicity even when ϕ is the pairing function $\langle \cdot, \cdot \rangle$ and T is a single-valued and continuous operator from K to X' (see [12, 17]). The argument of [12] can be adapted to show the equivalence between the existence of solutions of problem (M) on compact subsets of K and the proper ϕ -quasimonotonicity of T on K . Namely the following corollary is an extension of [12, Theorem 1] to our model. The remark we have made after Proposition 4.1 is also available for this corollary in comparison with [3, Theorem 2.1] on the dual equilibrium problem.

COROLLARY 4.2. Assume that ϕ is lower semicontinuous and quasiconvex in x on K . Then the following conditions are equivalent:

- (a) T is properly ϕ -quasimonotone on K ;
- (b) The set-valued map G defined in the proof of Proposition 4.1 is KKM on K ;
- (c) For every nonempty convex and compact set $D \subseteq K$, problem (M) has a solution on D ;
- (d) For every closed convex set $D \subseteq K$ if there are some compact set $D_0 \subseteq D$ and $x_0 \in D_0$ such that for every $x \in D \setminus D_0$ one has $\phi(x_0^*, x) - \phi(x_0^*, x_0) > 0$ for some $x_0^* \in T(x_0)$, then problem (M) has a solution on D .

PROOF: The equivalence between (a) and (b) is obvious. Moreover, according to Proposition 4.1, (a) implies (d), while (d) obviously implies (c). So it remains to prove

that (b) follows from (c). We do it by induction on the number n in the inclusion $\text{co}\{x_1, \dots, x_n\} \subseteq \bigcup_{i=1, \dots, n} G(x_i)$. The conclusion is evident when $n = 1$. Assuming that it is true for any $n - 1$ points of K , we consider $x_1, \dots, x_n \in K$. Denote by $D = \text{co}\{x_1, \dots, x_n\}$ and by $y \in D$ a solution of (M) on D which exists by condition (c). Let $x \in D$. If $x = y$, there is nothing to prove. If $x \neq y$, then the ray starting from y and going through x meets the relative boundary of D at some point z . By induction there is some $1 \leq k \leq n$ such that $z \in G(x_k)$. Since $y \in G(x_k)$ and $G(x_k)$ is convex, we derive that $x \in [y, z] \subseteq G(x_k) \subseteq \bigcup_{i=1, \dots, n} G(x_i)$ which shows that G is KKM. \square

For variational inequality models, as solutions of problem (V_0) can be obtained from those of (V_w) by Proposition 3.2, we shall focus on problems (V) and (V_w) only. The next lemma is a generalisation of Aussel and Hadjisavvas' recent result ([2, Proposition 2.1]) which shows that under a continuity hypothesis, a quasimonotone operator is properly quasimonotone whenever the associated Minty problem has no local solutions.

LEMMA 4.3. *Assume that K is nonempty and convex, and that ϕ is lower semicontinuous and quasiconvex in x on K . If T is ϕ -quasimonotone on K , then either it is properly ϕ -quasimonotone, or problem (M) has a local solution.*

PROOF: Suppose that T is not properly ϕ -quasimonotone. There exist $x_1, \dots, x_n \in K$ and a convex combination x of these points such that, for any $i = 1, \dots, n$, $\phi(x_i^*, x) - \phi(x_i^*, x_i) > 0$ for some $x_i^* \in T(x_i)$. Since ϕ is lower semicontinuous in the second variable, there is a neighbourhood U of x such that for any $i = 1, \dots, n$, $\phi(x_i^*, y) - \phi(x_i^*, x_i) > 0$ for every $y \in K \cap U$. It follows from the ϕ -quasimonotonicity of T that $\phi(y^*, y) - \phi(y^*, x_i) \geq 0$ for every $y^* \in T(y), y \in K \cap U$ and $i = 1, \dots, n$. The quasiconvexity of ϕ yields $\phi(y^*, y) - \phi(y^*, x) \geq 0$ for all $y^* \in T(y), y \in K \cap U$. Thus, x is a local solution of problem (M) . \square

THEOREM 4.4. *Problem (V) has a solution if the following conditions hold:*

- (a) K is nonempty, convex and closed;
- (b) ϕ is lower semicontinuous and quasiconvex in x on K ;
- (c) T is ϕ -quasimonotone and ϕ -hemicontinuous;
- (d) There is a compact set $K_0 \subseteq K$ and $x_0 \in K_0$ such that for every $x \in K \setminus K_0$ one has $\phi(x_0^*, x) - \phi(x_0^*, x_0) > 0$ for some $x_0^* \in T(x_0)$.

In addition, if either T is weakly ϕ -pseudomonotone or ϕ is strictly quasiconvex in x , then the solution set of (V) is included in K_0 .

PROOF: The first part of the proposition is obtained from Lemma 4.3, Propositions 3.1(ii) and 4.1. To prove the second part, let $x \in K \setminus K_0$. If it was a solution of (V) , then (d) would imply $\phi(x^*, x) - \phi(x^*, x_0) = 0$ for every $x^* \in T(x)$. When T is weakly ϕ -pseudomonotone, we derive that $\phi(x_0^*, x_0) - \phi(x_0^*, x) \geq 0$ which is a contradiction with

(d). When ϕ is strictly quasiconvex, for z between x and x_0 , we have $\phi(x^*, x) - \phi(x^*, z) > 0$, which is again a contradiction with the assumption that x is a solution. \square

PROPOSITION 4.5. *Problem (V_w) has a solution if the following conditions hold:*

- (a) K is nonempty, convex and closed;
- (b) ϕ is lower semicontinuous and quasiconvex in x on K ;
- (c) T is ϕ -quasimonotone on K and locally admits a weakly ϕ -hemicontinuous suboperator;
- (d) There is a compact set $K_0 \subseteq K$ and $x_0 \in K_0$ such that for every $x \in K \setminus K_0$ one has $\phi(x_0^*, x) - \phi(x_0^*, x_0) > 0$ for some $x_0^* \in T(x)$.

In addition, if either T is weakly ϕ -pseudomonotone or ϕ is strictly quasiconvex in x , then the solution set of (V_w) is included in K_0 .

PROOF: Apply Lemma 4.3, Propositions 3.1(iii) and 4.1 to obtain the first part of the proposition. For the second part, use the same technique as the proof of the preceding theorem. \square

We observe that unlike the model (M) , the solution set of (V) is not necessarily bounded when T is properly quasimonotone and ϕ is quasiconvex in x , as seen by the next example.

EXAMPLE 4.6. Consider problem (V) with $X = Y = \mathbb{R}^n$, $K = \mathbb{R}_+^n$, $\phi(x^*, x) = \langle x^*, x \rangle$ and T is given by

$$T(x) = \begin{cases} K & \text{if } x = 0 \\ \{0\} & \text{else.} \end{cases}$$

Direct verification shows that conditions (a) through (d) of Theorem 4.4 are true. Despite of this, the solution set of this problem is the unbounded set K . In this example T is properly quasimonotone, but not pseudomonotone, and ϕ is linear, but not strictly quasiconvex in x .

In the remaining of this section we assume that X is a locally convex space. In this context milder coercivity conditions can be developed to ensure the existence of solutions of variational inequalities. We recall that a set $A \subseteq X$ is said to be locally compact if for every $x \in X$ there is a neighbourhood U of x such that $U \cap A$ is a compact set.

PROPOSITION 4.7. *Problem (V) has a solution if the following conditions hold:*

- (a) K is nonempty, convex and locally compact;
- (b) ϕ is lower semicontinuous and semistrictly quasiconvex in x on K ;
- (c) T is ϕ -quasimonotone and ϕ -hemicontinuous;
- (d) There is a compact set $K_0 \subseteq K$ such that for every $x \in K \setminus K_0$ one can find $x_0 \in K_0$ with $\phi(x^*, x) - \phi(x^*, x_0) \geq 0$ for all $x^* \in T(x)$.

Moreover, condition (d) is also necessary for problem (V) to have a solution provided that T is ϕ -pseudomonotone.

PROOF: Let us take any point $a \in K$. By definition, there is a convex neighbourhood V of the origin such that the intersection of $a + V$ with K is compact (and nonempty). We may suppose that V is a closed neighbourhood. For $t > 1$ sufficiently large, $a + tV$ is a neighbourhood of the origin. Moreover, the intersection of this neighbourhood with K is compact, because

$$[(a + tV) \cap K] \subseteq a + t[(a + V) \cap K - a].$$

Set $U = a + t(intV)$. It is a convex open neighbourhood of the origin whose intersection with K is nonempty and relatively compact. As K_0 is compact, there is a positive $n \geq 1$ such that $K_0 \subseteq (nU) \cap K$. Consider the closure K_n of the set $(nU) \cap K$. This set is nonempty and convex. It is also compact because when $t > 0$ is sufficiently small, one has $a + t(K_n - a) \subset (V \cap K)$, which implies that the set $a + t(K_n - a)$ is compact, hence so is K_n . By Theorem 4.4, the problem (V) on K_n admits a solution y_0 . If $y_0 \in K_0$, we are done. If not, in view of (d), there is $x_0 \in K_0$ such that $\phi(y_0^*, y_0) - \phi(y_0^*, x_0) \geq 0$ for every $y_0^* \in T(y_0)$. Actually this inequality is equality because y_0 is a solution of (V) on K_n . For every $y \in K \setminus \{x_0\}$, there is $t \in (0, 1)$ such that $x_0 + t(y - x_0) \in K_n$. Since ϕ is semistrictly quasiconvex, we have either $\phi(y_0^*, x_0) = \phi(y_0^*, y)$ or

$$\phi(y_0^*, x_0 + t(y - x_0)) < \max(\phi(y_0^*, x_0), \phi(y_0^*, y))$$

which leads to $\phi(y_0^*, y) \geq \phi(y_0^*, y_0)$ for every $y_0^* \in T(y_0)$. By this y_0 is a solution of problem (V).

When T is ϕ -pseudomonotone, by setting $K_0 = \{x_0\}$ where x_0 is any solution of problem (V) we deduce condition (d). \square

COROLLARY 4.8. Under conditions (a), (b) and (c) of Proposition 4.7, the following condition is sufficient for problem (V) to have a nonempty and bounded solution set

- (d') There is a compact set $K_0 \subseteq K$ such that for every $x \in K \setminus K_0$ one can find $x_0 \in K_0$ with $\phi(x^*, x) - \phi(x^*, x_0) > 0$ for all $x^* \in T(x)$.

This condition is also a necessary condition provided that ϕ is strictly quasiconvex in x and T is ϕ -pseudomonotone.

PROOF: Under the hypothesis of this corollary, Proposition 4.7 shows that the solution set of problem (V) is nonempty. Moreover, condition (d) shows that all solutions of (V) belong to K_0 , hence the solution set is nonempty and bounded. Conversely, assume that the solution set of (V) is nonempty and bounded. Let K_0 be its closure which is compact. For every $x \in K \setminus K_0$, we have $\phi(x_0^*, x) - \phi(x_0^*, x_0) \geq 0$ for every $x_0 \in K_0, x_0^* \in T(x_0)$ which implies $\phi(x^*, x) - \phi(x^*, x_0) \geq 0$ for every $x_0 \in K_0, x^* \in T(x)$.

If equality holds for some $x_0 \in K_0$ and $x^* \in T(x)$, then due to the ϕ -pseudomonotonicity of T one has $\phi(x_0^*, x_0) = \phi(x_0^*, x)$ for all $x_0^* \in T(x_0)$. One arrives at a contradiction because $\phi(x_0^*, z) - \phi(x_0^*, x_0) < 0$ for all z between x_0 and x due to the strict quasiconvexity of ϕ . \square

PROPOSITION 4.9. *Problem (V_w) has a solution if the following conditions hold:*

- (a) K is nonempty, convex and locally compact;
- (b) ϕ is lower semicontinuous and semistrictly quasiconvex in x on K ;
- (c) T is ϕ -quasimonotone on K and locally admits a weakly ϕ -hemicontinuous suboperator;
- (d) There is a compact set $K_0 \subseteq K$ such that for every $x \in K \setminus K_0$ one can find $x_0 \in K_0$ with $\phi(x^*, x) - \phi(x^*, x_0) \geq 0$ for all $x^* \in T(x)$.

Moreover, condition (d) is also necessary for problem (V) to have a solution provided that T is ϕ -pseudomonotone.

PROOF: Use the same argument as that of the proof of Proposition 4.7. \square

COROLLARY 4.10. *Under conditions (a), (b) and (c) of Proposition 4.9, the following condition is sufficient for problem (V_w) to have a nonempty and bounded solution set*

- (d') There is a compact set $K_0 \subseteq K$ such that for every $x \in K \setminus K_0$ one can find $x_0 \in K_0$ with $\phi(x^*, x) - \phi(x^*, x_0) > 0$ for all $x^* \in T(x)$.

This condition is also a necessary condition provided that ϕ is strictly quasiconvex in x and T is ϕ -pseudomonotone.

PROOF: By the same technique as Corollary 4.8. \square

To conclude we notice that the results of this section generalise several existence conditions given in recent works [2, 4, 5, 9, 17, 21, 25, 27] and some others for mixed variational inequalities with set-valued pseudomonotone operators and for standard variational inequalities with set-valued quasimonotone operators. For instance, by setting $Y = X'$ and $\phi(x^*, x) = \langle x^*, x \rangle$, [5, Theorem 5.1], [9, Theorem 3.1], [17, Theorem 4.1, 4.2], [21, Theorem 4.3] are derived from Corollary 4.10 while a theorem analogous to [2, Theorem 2.1] can be obtained from Proposition 4.5 and Corollary 3.3 as a special case; [4, Theorem 4.5], [25, Theorem 3] are obtained from Theorem 4.4 by setting $Y = X'$ and $\phi(x^*, x) = \langle x^*, x \rangle + h(x)$ where h is a lower semicontinuous convex function on X (actually this particular case of Theorem 4.4 gives a stronger result than the corresponding one of [4] and [25] because of using quasimonotonicity instead of pseudomonotonicity). Finally, complementarity models in which the pairing function $\langle \cdot, \cdot \rangle$ is replaced by a coupling function ϕ can also be studied and existence results can be obtained. For instance,

let us consider the problem of finding $x_0 \in K$ such that

$$\phi(x_0^*, x_0) = 0 \text{ for all } x_0^* \in T(x_0).$$

The next result is immediate from Theorem 4.4.

COROLLARY 4.11. *Assume that the following conditions hold*

- (i) K is a convex and closed cone;
- (ii) ϕ is lower semicontinuous and linear in x ;
- (iii) T is ϕ -quasimonotone, ϕ -hemicontinuous;
- (iv) There exist a compact set $K_0 \subseteq K$ and $x_0 \in K_0$ such that for all $x \in K \setminus K_0$, one has $\phi(x_0^*, x - x_0) > 0$, for some $x_0^* \in T(x_0)$.

Then the complementarity problem has a solution.

The counterparts of Theorem 4.5, Propositions 4.7 and 4.9 for the complementarity problem are derived in a similar way.

5. PARAMETRIC EQUILIBRIUM PROBLEMS

Let us now consider the parametric equilibrium problem already mentioned in the introduction:

(E) Find $x_0 \in K$ such that

$$f(x_0, x) + h(z, x) - h(z, x_0) \geq 0, \forall x \in K, z \in Z,$$

where Z is a nonempty set, f is a real-valued function on $K \times K$ with $f(x, x) = 0$ for every $x \in K$ and h is a real-valued function on $Z \times K$. The equilibrium model studied in [7] is a particular case of problem (E) when $Z = K$ and inequality is required for $z = x_0$ only.

The equilibrium problem (D) is clearly a particular case of (E) and is covered by model (V). It is to note that conversely, by suitably choosing the function f and h we can also derive models (V) and (M) from this equilibrium problem (D). Indeed, by taking $h = 0$ and respectively

$$f(x, y) = \inf_{x^* \in T(x)} (\phi(x^*, y) - \phi(x^*, x))$$

and

$$f(x, y) = \inf_{x^* \in T(y)} (\phi(x^*, y) - \phi(x^*, x))$$

we obtain the models (V) and (M). A model a bit more general than (V_w) can also be deduced from the equilibrium problem with $h = 0$ and $f(x, y) = \sup_{x^* \in T(x)} (\phi(x^*, y) - \phi(x^*, x))$. This mutuality between variational inequality formulations and equilibrium formulations are useful in deriving results for one from the other.

PROPOSITION 5.1. *Problem (E) has a solution if the following conditions hold:*

- (a) *K is nonempty and convex;*
- (b) *For every $y \in K$ and $z \in Z$, the function $x \mapsto f(y, x) + h(z, x)$ is lower semicontinuous and semistrictly quasiconvex on K ;*
- (c) *For every $x, y \in K$, strict inequality $f(y, x) + h(z_0, x) - h(z_0, y) > 0$ for some $z_0 \in Z$ implies $h(z, x) - h(z, y) - f(x, y) \geq 0$ for all $z \in Z$;*
- (d) *h is lower semicontinuous in x on K and for every $x, y \in K$ the function $t \in [0, 1] \mapsto f(x + t(y - x), y)$ is upper semicontinuous at $t = 0$;*
- (e) *There is a compact set $K_0 \subseteq K$ and $x_0 \in K_0$ such that for every $x \in K \setminus K_0$ one has $f(x_0, x) + h(z, x) - h(z, x_0) > 0$ for every $z \in Z$.*

Moreover, the solution set of problem (E) is included in K_0 if additionally either of the following conditions holds:

- (b') *For every $y \in K$ and $z \in Z$, the function $x \mapsto f(y, x) + h(z, x)$ is lower semicontinuous and strictly quasiconvex on K ;*
- (c') *For every $x, y \in K$, inequality $f(y, x) + h(z_0, x) - h(z_0, y) \geq 0$ for some $z_0 \in Z$ implies $h(z, x) - h(z, y) - f(x, y) \geq 0$ for all $z \in Z$.*

PROOF: We wish to apply Theorem 4.4 to this problem. Remember that (E) can be written in form of (V) by setting

$$Y = K \times Z, T(x) = \{x\} \times Z$$

and

$$\phi((y, z), x) = f(y, x) + h(z, x)$$

for every $(y, z) \in Y$ and $x \in K$. We observe that conditions (a) and (b) of Theorem 4.4 are proved obviously, and condition (c) of the present proposition shows that T is ϕ -quasimonotone. We now prove that T is ϕ -hemicontinuous. Let $x, x_0 \in K$ verifying $h(z, x_t) - f(x_t, x_0) - h(z, x_0) \geq 0$ for all $z \in Z$ and for $t > 0$ sufficiently close to 0, where $x_t = x_0 + t(x - x_0)$. Then due to condition (b), $h(z, x_t) \leq f(x_t, x) + h(z, x)$ for every $z \in Z$. In view of condition (d) this yields $f(x_0, x) + h(z, x) - h(z, x_0) \geq 0$ for all $z \in Z$. By this T is ϕ -hemicontinuous and Theorem 4.4 yields the first part of the proposition. For the second part, it suffices to observe that condition (c') shows that T is ϕ -pseudomonotone. □

When X is a locally convex space the coercivity hypothesis (e) can be relaxed as in Proposition 4.7.

PROPOSITION 5.2. *Assume that X is a locally convex space. Problem (E) has a solution if the following conditions hold:*

- (a) *K is nonempty, convex and locally compact;*

- (b) For every $y \in K$ and $z \in Z$, the function $x \mapsto f(y, x) + h(z, x)$ is lower semicontinuous and semistrictly quasiconvex on K ;
- (c) For every $x, y \in K$, strict inequality $f(y, x) + h(z_0, x) - h(z_0, y) > 0$ for some $z_0 \in Z$ implies $h(z, x) - h(z, y) - f(x, y) \geq 0$ for all $z \in Z$;
- (d) h is lower semicontinuous in x on K and for every $x, y \in K$ the function $t \in [0, 1] \mapsto f(x + t(y - x), y)$ is upper semicontinuous at $t = 0$;
- (e) There is a compact set $K_0 \subseteq K$ such that for every $x \in K \setminus K_0$ one can find $x_0 \in K_0$ with $h(z, x) - f(x, x_0) - h(z, x_0) \geq 0$ for every $z \in Z$.

Moreover, condition (e) is also a necessary condition when (a), (b), (d) and the following condition holds

- (c') For every $x, y \in K$, inequality $f(y, x) + h(z_0, x) - h(z_0, y) \geq 0$ for some $z_0 \in Z$ implies $h(z, x) - h(z, y) - f(x, y) \geq 0$ for all $z \in Z$;

PROOF: Invoke the proof of Proposition 5.1 and Proposition 4.7. Furthermore, condition (c') shows that T is ϕ -pseudomonotone. Hence Proposition 4.7 is applicable. \square

The boundedness of the solution set can also be assured under stronger conditions.

COROLLARY 5.3. Under conditions (a), (b), (c) and (d) of Proposition 5.2, the following condition is sufficient for problem (E) to have a nonempty and bounded solution set

- (e') There is a compact set $K_0 \subseteq K$ such that for every $x \in K \setminus K_0$ one can find $x_0 \in K_0$ with $h(z, x) - f(x, x_0) - h(z, x_0) > 0$ for every $z \in Z$.

It is also a necessary condition provided that

- (b') For every $y \in K$ and $z \in Z$, the function $x \mapsto f(y, x) + h(z, x)$ is lower semicontinuous and strictly quasiconvex on K ;
- (c') For every $x, y \in K$, inequality $f(y, x) + h(z_0, x) - h(z_0, y) \geq 0$ for some $z_0 \in Z$ implies $h(z, x) - h(z, y) - f(x, y) \geq 0$ for all $z \in Z$;

PROOF: Invoke to Corollary 4.8. \square

We notice that Proposition 5.2 provides a strengthened version of [7, Theorem 4.3] for two reasons. Firstly, in [7] condition that $f(x, y) + f(y, x) \leq 0$ is required which is stronger than conditions (c) and (c'). Secondly, in [7], it is considered only the case $Z = K$ and the inequality in (E) is required to hold for some $z \in K$ only; thus the solutions obtained by Proposition 5.2 are stronger than those of the model of [7].

6. FRICTIONLESS CONTACT PROBLEM

In this section we apply our results to the Signorini frictionless contact problem (see [15, 11], for example). The classical way to obtain existence results for contact problems is to assume some strong monotonicity and Lipschitz continuity of the elasticity operator.

The results of Section 4 enable us to derive existence criteria for this problem under weaker conditions.

To facilitate the reading, we follow the notations of the above references. Let Ω be an open, bounded and connected region in \mathbb{R}^k with $k = 1, 2$, or 3 , which represents the interior of an elastic body. Let Γ be its boundary which is assumed to be Lipschitz and partitioned into three parts $cl(\Gamma_1)$, $cl(\Gamma_2)$ and $cl(\Gamma_3)$. It is supposed that Γ_1, Γ_2 and Γ_3 are disjoint, Γ_1 is fixed and of strictly positive measure in Γ . The body is fixed on Γ_1 and is in a frictionless contact with a fixed foundation on Γ_3 . In the model proposed by Signorini that we are considering it is assumed that surface tractions of density $f_2 \in [L^2(\Gamma_2)]^k$ act on Γ_2 and volume forces of density $f_0 \in [L^2(\Omega)]^k$ act on Ω . We shall make use of the following notations

$$\begin{aligned} \mathbb{S}^k &= \{ \sigma = (\sigma_{ij})_{ij} \in \mathbb{R}^{k \times k} : \sigma_{ij} = \sigma_{ji} \} = \mathbb{R}_s^{k \times k} \\ W &= \{ v \in H^1(\Omega)^k : v = 0 \text{ on } \Gamma_1 \} \\ Q &= \{ q = (q_{ij}) \in L^2(\Omega)^{k \times k} : q_{ij} = q_{ji}, 1 \leq i, j \leq k \} = L^2(\Omega)_s^{k \times k} \\ W_2 &= \{ v \in W : v_\nu \leq 0 \text{ almost everywhere on } \Gamma_3 \} \end{aligned}$$

where v_ν is the normal component of v . In the sequel ε stands for the (linear) deformation operator $\varepsilon : H^1(\Omega)^k \rightarrow Q$ defined by

$$\varepsilon_{ij}(u) = \frac{1}{2} \left[\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right], \quad 1 \leq i, j \leq k.$$

Equipped with the inner products

$$\begin{aligned} \langle p, q \rangle_Q &= \int_{\Omega} p_{ij}(x) q_{ij}(x) \, dx \\ \langle u, v \rangle_W &= \langle \varepsilon(u), \varepsilon(v) \rangle_Q \end{aligned}$$

the spaces W and Q are real Hilbert spaces and W_2 is a nonempty closed convex set of W (see [11] for example).

Let $\mathcal{F} : \Omega \times \mathbb{S}^k \rightarrow \mathbb{S}^k$ be a given elasticity operator. We define the stress function $\sigma : H^1(\Omega)^k \rightarrow Q$ which associate to any vector-valued function $u : \Omega \rightarrow \mathbb{R}^k$ of $H^1(\Omega)^k$ its stress field defined by

$$\begin{aligned} \sigma(u) : \Omega &\rightarrow \mathbb{S}^k \\ x &\mapsto \mathcal{F}(x, \varepsilon(u)(x)). \end{aligned}$$

With the above notations the equilibrium problem of this elastic and frictionless contact can be formulated as follows.

Find a displacement field $u : \Omega \rightarrow \mathbb{R}$ such that

$$\begin{aligned}
 (P) \quad & -\text{Div } \sigma(u) = f_0 && \text{in } \Omega \\
 & u = 0 && \text{on } \Gamma_1 \\
 & \sigma(u)\nu = f_2 && \text{on } \Gamma_2 \\
 & u_\nu \leq 0, \sigma(u)_\nu \leq 0, \sigma(u)_\nu u_\nu = 0, \sigma(u)_\tau = 0 && \text{on } \Gamma_3
 \end{aligned}$$

where ν is the unit outward normal vector on Γ while σ_ν and σ_τ denotes respectively the normal and the tangential components of σ .

Following the classical approach of Stampacchia an variational problem can be formulated:

(\tilde{P}) Find $u \in W_2$ such that $\langle \sigma(u), \varepsilon(v) - \varepsilon(u) \rangle_Q \geq \langle f, v - u \rangle_W, \quad \forall v \in W_2$
 where f denotes an element of W defined by

$$\langle f, v \rangle_W = \int_\Omega f_0 \cdot v \, dx + \int_{\Gamma_2} f_2 \cdot v \, da.$$

As in ([15]) it can be shown that any solution of (P) (element of W_2) is also a solution of (\tilde{P}). The converse is true if the solution found for (\tilde{P}) is an element of $C^2(\Omega)$. Otherwise a solution of (\tilde{P}) is a weak solution of (P) in a sense described in [15, Theorem 6.3].

Let us define the operator $\tilde{\phi} : Q \times W \rightarrow \mathbb{R}$ by

$$\tilde{\phi}(q, v) = \langle q, \varepsilon(v) \rangle_Q - \langle f, v \rangle_W.$$

PROPOSITION 6.1. Assume that the stress function satisfies the following properties

- (i) the map σ is $\tilde{\phi}$ -quasimonotone and $\tilde{\phi}$ -hemicontinuous on W_2 .
- (ii) there exist a compact subset W_0 of W_2 and a function u_0 of W_0 such that

$$\langle \sigma(u_0), \varepsilon(u) - \varepsilon(u_0) \rangle_Q > \langle f, u - u_0 \rangle_W \text{ for every } u \in W_2 \setminus W_0.$$

Then the variational contact problem (\tilde{P}) admits a solution.

PROOF: By applying the Riez representation theorem we may define an operator $A : W_2 \rightarrow W_2$ such that

$$(10) \quad \langle A(u), v \rangle_W = \langle \sigma(u), \varepsilon(v) \rangle_Q, \quad \forall u, v \in W_2.$$

Now the variational problem (\tilde{P}) corresponds to the model (V) of Section 1 by considering $X = Y = W, K = W_2$, with $\phi : W \times W_2 \rightarrow \mathbb{R}$ and $T : W_2 \rightarrow W$ defined by

$$\phi(u, v) = \langle u - f, v \rangle_W \quad \text{and} \quad T(u) = A(u).$$

We notice as above that the subset W_2 is nonempty convex and closed in W . The operator ϕ is clearly continuous and linear with respect to the second variable. Moreover hypothesis d) of Theorem 4.4 is satisfied since, from (ii), there exists $W_0 \subset W_2$ compact and $u_0 \in W_0$ such that, for any $u \in W \setminus W_2$,

$$\phi(T(u_0), u) - \phi(T(u_0), u_0) = \langle \sigma(u_0), \varepsilon(u) - \varepsilon(u_0) \rangle_Q - \langle f, u - u_0 \rangle_W > 0.$$

Thus, in order to apply Theorem 4.4 it is now sufficient to observe that the $\tilde{\phi}$ -quasimonotonicity and the $\tilde{\phi}$ -hemicontinuity of the operator σ implies the ϕ -quasimonotonicity and the ϕ -hemicontinuity of T on W_2 . \square

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Lab. MANO
University of Perpignan
France
e-mail: aussel@univ-perp.fr

Lab. Analyse non linéaire et Géométrie
University of Avignon
France
e-mail: dtluc@univ-avignon.fr