## ON THE EXPONENT OF AN OSGULATORY PACKING

DAVID W. BOYD

1. Introduction. Suppose that $U$ is an open set in Euclidean $N$-space which has a finite volume $|U|$. A complete packing of $U$ is a sequence of disjoint $N$-spheres $C=\left\{S_{n}\right\}$ which are contained in $U$ and whose total volume equals that of $U$. In an osculatory packing, the spheres are chosen recursively so that for all $n$ larger than a certain value $m, S_{n}$ has the largest radius of all spheres contained in $U \backslash\left(S_{1}^{-} \cup \ldots \cup S_{n-1}^{-}\right)$( $S^{-}$is the closure of $S$ ). An osculatory packing is simple if $m=1$. If $r_{n}$ denotes the radius of $S_{n}$, the exponent of the packing is defined by:

$$
e(C, U)=\sup \left\{t: \sum r_{n}{ }^{t}=\infty\right\} .
$$

This quantity is of considerable interest since it measures the effectiveness of the packing of $U$ by $C$.

In [7], Melzak, who introduced $e(C, U)$, gave examples of complete packings of the unit $N$-sphere $B_{N}$ for which $e\left(C, B_{N}\right)=N$, which is clearly the largest value possible. For osculatory packings, there are examples of sets $U$ for which $e\left(C_{0}, U\right)=N$, since one may take $U$ to be a disjoint union of spheres with radii $\left\{r_{n}\right\}$ for which $\sum r_{n}{ }^{N}<\infty$, but $\sum r_{n}{ }^{t}=\infty$ for $t<N$. There is a less trivial example in [1] of a set $U \subset E_{2}$ with $e\left(C_{0}, U\right)=2$.

In this paper, we give conditions on the boundary of the set $U$ which will ensure that $e\left(C_{0}, U\right)<N$, for all osculatory packings of $U$. In fact, there are universal constants $\beta_{N}<N$ so that for most "reasonable" sets $U$, one has $e\left(C_{0}, U\right) \leqq \beta_{N}$. More precisely, if one assumes that the volume of the set $U(\delta)=\{x \in U: \operatorname{dist}(x, \partial U) \leqq \delta\}$ is $O\left(\delta^{\gamma}\right)$ as $\delta \rightarrow 0+$, for some $\gamma>0$, then $e\left(C_{0}, U\right) \leqq \max \left(\beta_{N}, N-\gamma\right)$ (Theorem 1). For convex sets, or sets with smooth boundaries of finite surface area, one has $\gamma=1$, and in this case we have $e\left(C_{0}, U\right) \leqq \beta_{N}$. Our result is complementary to the result of Larman [5], that if $U=I_{N}$, the unit $N$-cube, then $e\left(C, I_{N}\right)>N-1+0.03$ for any complete packing $C$ of $I_{N}$.

If $T_{2}$ is a curvilinear triangle bounded by mutually tangent circular arcs, and if $C_{0}$ is the simple osculatory packing of $T_{2}$, Melzak [7] showed that $1.035<e\left(C_{0}, T_{2}\right)<1.999971$, and these bounds were improved by myself [2] to $1.28467<e\left(C_{0}, T_{2}\right)<1.93113$. The set $T_{2}$ satisfies the conditions of our theorem, and $\beta_{2}=(2+\sqrt{ } 2) / 2=1.707 \ldots$ so that we obtain an improvement on the upper bound for $e\left(C_{0}, T_{2}\right)$ as a corollary. A special argument given in § 6 allows us to prove that $e\left(C_{0}, T_{2}\right)<(9+\sqrt{ } 41) / 10=1.5403 \ldots$.

Received August 6, 1970 and in revised form, November 6, 1970. This research was partially supported by NSF Grant GP-14133.

We should mention that we have recently developed an algorithm for computing $e\left(C_{0}, T_{2}\right)$ to arbitrary accuracy. Details of this will appear in the near future.
(Added in proof. Using this algorithm, we have shown that $e\left(C_{0}, T_{2}\right)<1.3500$.)
As further corollaries of our theorem, we obtain an estimate of the volume of $R_{n}=U \backslash\left(S_{1}-\cup \ldots \cup S_{n}^{-}\right)$, and of the Hausdorff dimension of the residual set $U \backslash \bigcup\left\{S_{k}: k \geqq 1\right\}$. The volume of $R_{n}$ is $O\left(r_{n}{ }^{N-\beta_{N^{-}} \epsilon}\right)$ for any $\epsilon>0$. This result is complementary to a result of Larman [6] who showed that if $C$ is a packing of $I_{N}$ with $r_{1} \geqq r_{2} \geqq \ldots$, then $\left|R_{n}\right| \geqq K_{N} r_{n}{ }^{s}$, where $K_{N}$ and $s(=0.97)$ are constants.

Our method of proof is rather non-geometrical in nature. We first establish a basic inequality involving the sequence $\left\{r_{n}\right\}$. We then develop some integral inequalities which allow us to deduce the behaviour of $\left\{r_{n}\right\}$ from the basic inequality.
2. The basic inequality. To begin with, we must consider a certain function associated with an open set $U$ in $N$-space. Given such a set $U$, with boundary $\partial U$, we write

$$
U(\delta)=\{x \in U: \operatorname{dist}(x, \partial U) \leqq \delta\} .
$$

We denote the volume of $U(\delta)$ by $V(U, \delta)$. The behaviour of the function $V(U, \delta)$ as $\delta \rightarrow 0$ will be of importance in our later deductions. Note that if $U=B_{N}$ and if $\omega_{N}$ is the volume of $B_{N}$, then

$$
V\left(B_{N}, \delta\right)=\omega_{N}\left(1-(1-\delta)^{N}\right)=O(\delta) \quad \text { as } \delta \rightarrow 0
$$

If $U$ is a bounded convex set, then $V(U, \delta)=O(\delta)$ as $\delta \rightarrow 0$. (See [3, p. 88]). Finally, it is quite easy to see that if the boundary of $U$ consists of a finite union of compact $C^{2}$-surfaces, then $V(U, \delta)=O(\delta)$ as $\delta \rightarrow 0$. Thus we can regard this type of behaviour as typical.

Lemma 1. Let $U$ be an open set in Euclidean $N$-space with finite volume. Let $C_{0}=\left\{S_{n}\right\}$ be a simple osculatory packing of $U$, and let $r_{n}$ be the radius of $S_{n}$. Then, for all $n \geqq 0$,

$$
\begin{equation*}
\sum_{k=n+1}^{\infty} r_{k}^{N} \leqq \sum_{k=1}^{n}\left\{\left(r_{k}+r_{n+1}\right)^{N}-r_{k}^{N}\right\}+V\left(U, r_{n+1}\right) \omega_{N}^{-1} \tag{1}
\end{equation*}
$$

Proof. By definition of a simple osculatory packing, if $x \in R_{n}$, then $\operatorname{dist}\left(x, \partial R_{n}\right) \leqq r_{n+1}$. Hence, if $S_{k}{ }^{*}$ is the closed sphere concentric with $S_{k}$ and with radius $r_{k}+r_{n+1}$, one has

$$
\begin{equation*}
R_{n} \subset U\left(r_{n+1}\right) \cup \bigcup\left\{S_{k}^{*} \backslash S_{k}: k=1,2, \ldots, n\right\} \tag{2}
\end{equation*}
$$

An osculatory packing is complete (see $[\mathbf{1}]$ ), and so $\left|R_{n}\right|=\sum\left(\left|S_{k}\right|: k \geqq n+1\right)$. If we now compute the volumes of the sets in (2), we obtain (1).

Our deductions concerning $e\left(C_{0}, U\right)$ will be made on the basis of inequality (1). We first motivate what is to follow by considering what (1) would imply
in the case $N=2$, if $r_{n}=A n^{-\alpha}$ for some constants $A$ and $\alpha$ with $\frac{1}{2}<\alpha<1$. If $V\left(U, r_{n+1}\right)=O\left(r_{n+1}\right)$ as $n \rightarrow \infty$ we have

$$
\sum_{k=n+1}^{\infty} r_{k}^{2} \leqq 2 r_{n+1} \sum_{k=1}^{n} r_{k}+n r_{n+1}^{2}+O\left(n^{-\alpha}\right)
$$

so that

$$
\frac{n^{1-2 \alpha}}{2 \alpha-1} \leqq \frac{2 n^{1-2 \alpha}}{1-\alpha}+n^{1-2 \alpha}+o\left(n^{1-2 \alpha}\right)
$$

and hence

$$
1-\alpha \leqq(2 \alpha-1)(3-\alpha)
$$

which implies that $\alpha>2-\sqrt{ } 2$. Thus $\sum r_{n}{ }^{t}<\infty$ provided $t>(2+\sqrt{ } 2) / 2$. In order to arrive at this conclusion without the assumption that $r_{n}=A n^{-\alpha}$, we shall require an integral inequality (Lemma 3) which is a consequence of an inequality due to Hardy [4, § 330].

## 3. Some integral inequalities.

Lemma 2 (Hardy [4]). Let $h$ be a non-negative measurable function and let $s>1, r>1$ be real numbers. Then

$$
\int_{0}^{\infty} x^{-r}\left(\int_{0}^{x} h(t) d t\right)^{s} d x<\left(\frac{s}{r-1}\right)^{s} \int_{0}^{\infty} x^{-r+s} h(x)^{s} d x
$$

unless $h \equiv 0$. The constant is best possible.
Proof. See [4, p. 245].
Lemma 3. Let $f$ be a non-negative measurable function. Let $a \geqq 0, \alpha>0$, $q \geqq 0, p \geqq 0$ be real numbers with $p+q>1$ and $q \alpha<1$. Then

$$
\begin{equation*}
\int_{a}^{\infty} x^{(p+q) \alpha-2} f(x)^{p}\left(\int_{a}^{x} f(t)^{q} d t\right) d x \leqq \frac{1}{1-q \alpha} \int_{a}^{\infty} x^{(p+q) \alpha-1} f(x)^{p+q} d x \tag{3}
\end{equation*}
$$

The constant is best possible.
Proof. First let $a=0$, and

$$
A=\int_{0}^{\infty} x^{(p+q) \alpha-1} f(x)^{p+q} d x
$$

Assume also that $p>0, q>0$. Then, by Hölder's inequality with exponents $r=(p+q) / p$ and $r^{\prime}=(p+q) / q$, one has
(4) $\int_{0}^{\infty} x^{(p+q) \alpha-2} f(x)^{p}\left(\int_{0}^{x} f(t)^{q} d t\right) d x$

$$
\leqq\left\{\int_{0}^{\infty} x^{-1}\left(x^{p \alpha} f(x)^{p}\right)^{r} d x\right\}^{1 / r}\left\{\int_{0}^{\infty} x^{-1}\left(x^{\alpha \alpha-1} \int_{0}^{x} f(t)^{q} d t\right)^{r^{\prime}} d x\right\}^{1 / r^{\prime}}
$$

The first factor in the right member of (4) is $A^{1 / r}$. Applying Lemma 2 to the second factor, with $h=f^{q}$, we find it to be less than

$$
\left[r^{\prime} /\left(r^{\prime}-(p+q) \alpha\right)\right] A^{1 / r^{\prime}}
$$

Simplifying the constant yields inequality (3). For $q=0$, (3) is an equality. For $p=0$, we can apply Lemma 2 directly with $s=1$, and $h=f^{q}$. To obtain (3) for $a>0$, apply (3) with $a=0$ to the function which is zero for $0 \leqq x<a$, and equal to $f(x)$ for $x \geqq a$.

To show that the constant is best possible, let $f(x)=x^{-\alpha}$ for $n^{-1} \leqq x \leqq n$, and zero otherwise, and let $n \rightarrow \infty$.

## 4. The main result.

Lemma 4. Let $N \geqq 2$ be an integer, and let $\beta_{N}$ denote the unique root of the following equation with $N-1<\beta_{N}<N$ :

$$
K(x)=\sum_{j=0}^{N}\binom{N}{j} \frac{1}{x-j}=0
$$

Suppose that $f$ is a non-negative measurable function which satisfies the following inequality for $x \geqq 1$, where $c$ and $\gamma$ are constants, and $0<\gamma<N$ :

$$
\begin{equation*}
\int_{x}^{\infty} f(t)^{N} d t \leqq \sum_{j=0}^{N-1}\binom{N}{j} f(x)^{N-j} \int_{1}^{x} f(t)^{j} d t+c f^{\gamma}(x) \tag{5}
\end{equation*}
$$

Suppose that $f(1)<\infty$. Then for $\alpha<\min \left(\beta_{N}{ }^{-1},(N-\gamma)^{-1}\right)$, one has

$$
\begin{equation*}
\int_{1}^{\infty} t^{N \alpha-1} f(t)^{N} d t<\infty \tag{6}
\end{equation*}
$$

and, for any real $p>\max \left(\beta_{N}, N-\gamma\right)$, one has

$$
\int_{1}^{\infty} f(t)^{p} d t<\infty
$$

Proof. It is clear that $K(x)=0$ has a unique root in each interval $j<x<j+1$ for $j=0,1, \ldots, N-1$ since $K(x)$ is strictly decreasing except at the points $0,1, \ldots, N$. For the same reason, if $\beta_{N}<x<N$, then $K(x)<0$.

Suppose now that $f \geqq 0$ satisfies (5) and that $\alpha$ satisfies

$$
\min \left(\beta_{N}{ }^{-1},(N-\gamma)^{-1}\right)>\alpha>N^{-1}
$$

Setting $x=1$ in (5), we see that

$$
\int_{1}^{\infty} f(x)^{N} d x<\infty
$$

Now, let $a>1$ be arbitrary but finite and let $g(x)=f(x)$ for $1 \leqq x \leqq a$, $g(x)=0$ for $x>a$. Then $g$ also satisfies (5) (trivially for $x>a$ ), and

$$
\int_{1}^{\infty} t^{N \alpha-1} g(t)^{N} d t<\infty
$$

since

$$
\int_{1}^{a} g(t)^{N} d t<\infty
$$

and $t^{N \alpha-1}$ is bounded on $[1, a]$.
Multiply (5) through by $x^{N \alpha-2}$, and integrate from 1 to $\infty$. We observe that, by Fubini's theorem,

$$
\int_{1}^{\infty} x^{N \alpha-2}\left(\int_{x}^{\infty} g(t)^{N} d t\right) d x=(N \alpha-1)^{-1} \int_{1}^{\infty} g(t)^{N}\left(t^{N \alpha-1}-1\right) d t
$$

Also, by Lemma 3 , for $j=0,1, \ldots, N-1$,

$$
\int_{1}^{\infty} x^{N \alpha-2} g(x)^{N-j}\left(\int_{1}^{x} g(t)^{j} d t\right) d x \leqq(1-j \alpha)^{-1} \int_{1}^{\infty} x^{N \alpha-1} g(x)^{N} d x
$$

And, using Hölder's inequality, with weight $x^{N \alpha-1}$ and exponents $N / \gamma$ and $N /(N-\gamma)$, we may treat the error term as follows:

$$
\int_{1}^{\infty} x^{N \alpha-2} g(x)^{\gamma} d x \leqq\left\{\int_{1}^{\infty} x^{N \alpha-1} g(x)^{N} d x\right\}^{\gamma / N}\left\{\int_{1}^{\infty} x^{N \alpha-1} x^{-N /(N-\gamma)} d x\right\}^{(N-\gamma) / N}
$$

Thus, inequality (5) for $g$ implies, since $\alpha<(N-\gamma)^{-1}$, that

$$
\begin{align*}
&\left\{(N \alpha-1)^{-1}-\sum_{j=0}^{N-1}\binom{N}{j}(1-j \alpha)^{-1}\right\} \int_{1}^{\infty} x^{N \alpha-1} g(x)^{N} d x  \tag{7}\\
& \leqq(N \alpha-1)^{-1} \int_{1}^{\infty} g(t)^{N} d t+c\left(N(N-\gamma)^{-1}-N \alpha\right)^{-(N-\gamma) / N} \\
& \times\left\{\int_{1}^{\infty} x^{N \alpha-1} g(x)^{N} d x\right\}^{\gamma / N}
\end{align*}
$$

The constant in the left member of (7) is $-\alpha^{-1} K\left(\alpha^{-1}\right)$ which is greater than zero since $\beta_{N}<\alpha^{-1}<N$. Dividing (7) through by

$$
-\alpha^{-1} K\left(\alpha^{-1}\right)\left\{\int_{1}^{\infty} x^{N \alpha-1} g(x)^{N} d x\right\}^{\gamma / N}
$$

using

$$
\int_{1}^{\infty} g(t)^{N} d t \leqq \int_{1}^{\infty} t^{N \alpha-1} g(t)^{N} d t
$$

and letting $a \rightarrow \infty$, we have
(8) $\left\{\int_{1}^{\infty} t^{N \alpha-1} f(t)^{N} d t\right\}^{(N-\gamma) / N}$

$$
\leqq \alpha\left(-K\left(\alpha^{-1}\right)\right)^{-1}\left[(N \alpha-1)^{-1}\left\{\int_{1}^{\infty} f(t)^{N} d t\right\}^{(N-\gamma) / N}+b\right]
$$

where $b=c\left(N(N-\gamma)^{-1}-N \alpha\right)^{-(N-\gamma) / N}$. From (8), we see that (6) holds for $N^{-1}<\alpha<\min \left(\beta_{N}^{-1},(N-\gamma)^{-1}\right)$, and hence (6) holds for

$$
\alpha<\min \left(\beta_{N}{ }^{-1},(N-\gamma)^{-1}\right)
$$

Now applying Hölder's inequality, we have

$$
\begin{equation*}
\int_{1}^{\infty} f(t)^{p} d t \leqq\left\{\int_{1}^{\infty} t^{N \alpha-1} f(t)^{N} d t\right\}^{p / N}\left\{\int_{1}^{\infty} t^{-(N \alpha-1) p /(N-p)} d t\right\}^{(N-p) / N}<\infty \tag{9}
\end{equation*}
$$

provided $(N \alpha-1) p>N-p$, or in other words, that $p>\alpha^{-1}$. This is valid for any $\alpha^{-1}>\max \left(\beta_{N}, N-\gamma\right)$ so that

$$
\int_{1}^{\infty} f(t)^{p} d t<\infty \quad \text { for any } p>\max \left(\beta_{N}, N-\gamma\right)
$$

Theorem 1. Let $U$ be an open set in Euclidean $N$-space which has finite volume. Suppose that $U$ satisfies $V(U, \delta)=O\left(\delta^{\gamma}\right)$ as $\delta \rightarrow 0$, where $V(U, \delta)$ is the volume of the set $\{x \in U: \operatorname{dist}(x, \partial U) \leqq \delta\}$ and $0<\gamma \leqq 1$ is a constant. Let $\beta_{N}$ be the constant defined in Lemma 4 . Let $C_{0}$ be an osculatory packing of $U$. Then the exponent of $C_{0}$ satisfies

$$
e\left(C_{0}, U\right) \leqq \max \left(\beta_{N}, N-\gamma\right)<N
$$

Proof. We need only consider simple osculatory packings since in general, for some $m,\left\{S_{m+1}, S_{m+2}, \ldots\right\}$ is a simple osculatory packing of

$$
R_{m}=U \backslash\left(S_{1}^{-} \cup \ldots \cup S_{m}^{-}\right)
$$

and clearly $V\left(R_{m}, \delta\right)=O\left(\delta^{\gamma}\right)$ as $\delta \rightarrow 0$ if $V(U, \delta)=O\left(\delta^{\gamma}\right)$.
Thus, suppose that $r_{1} \geqq r_{2} \geqq \ldots$ are the radii of the spheres in a simple osculatory packing of $U$. Then by Lemma 1 , for all $n \geqq 0$,

$$
\begin{equation*}
\sum_{k=n+1}^{\infty} r_{k}^{N} \leqq \sum_{j=0}^{N-1}\left\{\binom{N}{j} r_{n+1}^{N-j} \sum_{k=1}^{n} r_{k}^{j}\right\}+O\left(r_{n+1}{ }^{\gamma}\right) . \tag{10}
\end{equation*}
$$

Let $f$ be a non-negative function defined on [1, $\infty$ [ as follows: $f(x)=r_{n}$ if $n \leqq x<n+1$, for $n=1,2, \ldots$. Then, using $r_{n+1} \leqq r_{n}$, we see that there is a constant $c$ such that $f$ satisfies (5) of Lemma 4. Hence, by the conclusion of that lemma,

$$
\int_{1}^{\infty} f(x)^{p} d x<\infty \quad \text { for all } p>\max \left(\beta_{N}, N-\gamma\right)
$$

so that

$$
\sum_{k=1}^{\infty} r_{k}^{p}<\infty \quad \text { for } p>\max \left(\beta_{N}, N-\gamma\right)
$$

which implies that $e\left(C_{0}, U\right) \leqq \max \left(\beta_{N}, N-\gamma\right)$.
Corollary 1. Let $U, C_{0}, \beta_{N}$ be as in Theorem 1 and let $\gamma_{N}=\min \left(\gamma, N-\beta_{N}\right)$. Then, the volume of the set $R_{n}=U \backslash\left(S_{1}^{-} \cup \ldots \cup S_{n}{ }^{-}\right)$is $O\left(r_{n}{ }^{\left.\gamma_{N}{ }^{-\epsilon}\right)}\right.$ for any $\epsilon>0$.

Proof.

$$
\left|R_{n}\right|=\omega_{N} \sum_{k=n+1}^{\infty} r_{k}^{N} \leqq \omega_{N} r_{n}^{\gamma_{N}-\epsilon} \sum_{k=n+1}^{\infty} r_{k}^{N-\gamma_{N}+\epsilon}=O\left(r_{n}^{\gamma_{N}-\epsilon}\right)
$$

Corollary 2. Let $U, C_{0}, \beta_{N}$ be as in Theorem 1. Let $d\left(C_{0}, U\right)$ be the Hausdorff dimension of $U \backslash \cup\left(S_{k}: k \geqq 1\right)$. Then

$$
d\left(C_{0}, U\right) \leqq \max \left(\beta_{N}, N-\gamma\right)<N
$$

Proof. This follows from [1, Theorem 2], since $d\left(C_{0}, U\right) \leqq e\left(C_{0}, U\right)$.
5. Remarks. (1) For the first few values of $N$, we have (with truncated values)

$$
\begin{aligned}
& \beta_{2}=1.7071 \ldots=(2+\sqrt{ } 2) / 2 \\
& \beta_{3}=2.8228 \ldots=(3+\sqrt{ } 7) / 2 \\
& \beta_{4}=3.8923 \ldots=2+(2+\sqrt{ }(5 / 2))^{\frac{1}{2}} \\
& \beta_{5}=4.9350 \ldots=\left(5+(15+\sqrt{ } 76)^{\frac{1}{2}}\right) / 2 \\
& \beta_{6}=5.9612 \ldots, \\
& \beta_{7}=6.9772 \ldots, \\
& \beta_{8}=7.9867 \ldots \\
& \beta_{9}=8.9924 \ldots
\end{aligned}
$$

It is apparent that $N-\beta_{N} \rightarrow 0$ fairly rapidly. In fact, it is easy to see that

$$
\frac{1}{N-\beta_{N}}=\sum_{j=0}^{N-1}\binom{N}{j} \frac{1}{\beta_{N}-j}>\frac{1}{\beta_{N}} \sum_{j=0}^{N-1}\binom{N}{j}=\frac{2^{N}-1}{\beta_{N}}
$$

which implies that

$$
0<N-\beta_{N}<N \cdot 2^{-N}
$$

(2) An example of a set $U$ satisfying the conditions of Theorem 1 with a constant $\gamma<1$ is

$$
U=\left\{(x, y): 1<x<\infty, 0<y<x^{-s}\right\} \quad \text { with } s>1 .
$$

Here $V(U, \delta)=O\left(\delta^{(s-1) / s}\right)$, so that

$$
e\left(C_{0}, U\right) \leqq \max ((2+\sqrt{ } 2) / 2,(s+1) / s)
$$

It can be shown that, in fact, $e\left(C_{0}, U\right)=(s+1) / s$ provided $(s+1) / s \geqq$ $(2+\sqrt{ } 2) / 2$ (i.e. $s \leqq \sqrt{ } 2$ ).
(3) Reading an earlier draft of this paper, P. R. Beesack suggested to me that the proof of Lemma 1 could be used to give a proof of the completeness of an osculatory packing different from that given in [1]. Note that, by equation (2),

$$
\begin{equation*}
\left|R_{n+1}\right| \leqq \omega_{N} \sum_{k=1}^{n}\left\{\left(r_{k}+r_{n+1}\right)^{N}-r_{k}^{N}\right\}+V\left(U, r_{n+1}\right) \tag{*}
\end{equation*}
$$

Applying the mean value theorem, and then Hölder's inequality, to the first term of the right member of (*), one has:

$$
\begin{aligned}
\sum_{k=1}^{n}\left\{\left(r_{k}+r_{n+1}\right)^{N}-r_{k}^{N}\right\} & \leqq 2^{N-1} N r_{n+1} \sum_{k=1}^{n} r_{k}^{N-1} \\
& \leqq\left(2^{N-1} N\right) r_{n+1} n^{1 / N}\left\{\sum_{k=1}^{n} r_{k}{ }^{N}\right\}^{(N-1) / N}
\end{aligned}
$$

The sum $\sum_{k=1}^{n} r_{k}^{N}$ is dominated by the volume of $U$, hence the series converges, and this, together with the fact that $\left\{r_{n}\right\}$ is decreasing implies that $n r_{n+1}{ }^{N} \rightarrow 0$. Thus, the first factor on the right of (*) converges to zero. To show that the second factor converges to zero we simply note that

$$
V\left(U, r_{n+1}\right)=\left|U\left(r_{n+1}\right)\right|=\sum_{k=n}^{\infty}\left|U\left(r_{k+1}\right) \backslash U\left(r_{k+2}\right)\right|
$$

so that $V\left(U, r_{n+1}\right)$ is the tail of a convergent series.
6. The exponent for a curvilinear triangle. Theorem 1 is essentially the best result we can expect to deduce from Lemma 1, as the example $r_{n}=A n^{-\alpha}$ shows. It is possible, however, to improve Theorem 1 in case $N=2$ and $U=T_{2}$ is a curvilinear triangle bounded by mutually tangent circular arcs, as we now show.

Theorem 2. Let $T_{2}$ be a curvilinear triangle bounded by mutually tangent circular arcs and let $C_{0}$ be a simple osculatory packing of $T_{2}$. Then

$$
e\left(C_{0}, T_{2}\right) \leqq(9+\sqrt{ } 41) / 10=1.5403 \ldots
$$

Proof. We begin by giving an improvement of Lemma 1, and will use the notation of the proof of that lemma, so that $S_{1}, S_{2}, \ldots$ are the disks in the packing and $R_{n}=T_{2} \backslash\left(S_{1}^{-} \cup \ldots \cup S_{n}^{-}\right)$. Let $S_{-2}, S_{-1}, S_{0}$ be the disks of radii $r_{-2}, r_{-1}, r_{0}$, respectively, which bound $T_{2}$. We may assume that these disks are externally tangent since any curvilinear triangle may be inverted into one for which this is the case, without altering the exponent. We observe, by induction, that $R_{n}$ is the union of $2 n+1$ curvilinear triangles $K_{1}, \ldots, K_{2 n+1}$, each with in-radius at most $r_{n+1}$. For a given $K_{i}$, let $L_{i}$ be the (rectilinear) triangle whose vertices are the centres of the sides of $K_{i}$. By induction, the $L_{i}$ have mutually disjoint interiors, and $L_{i} \cap R_{n}=K_{i}(i=1,2, \ldots, 2 n+1)$. Let $S_{k}^{*}(k \geqq-2)$ be the disk with the same centre as $S_{k}$ and radius $r_{k}+r_{n+1}$. We shall show that

$$
\begin{equation*}
2 \operatorname{area}\left(R_{n}\right)<\sum_{k=-2}^{n} \operatorname{area}\left(S_{k}^{*} \backslash S_{k}\right) \tag{11}
\end{equation*}
$$

by showing that for each $i=1,2, \ldots, 2 n+1$,

$$
\begin{equation*}
2 \operatorname{area}\left(R_{n} \cap L_{i}\right)<\sum_{k=-2}^{n} \operatorname{area}\left(\left(S_{k}^{*} \backslash S_{k}\right) \cap L_{i}\right) \tag{12}
\end{equation*}
$$

For a fixed $i$, let $K_{i}$ have in-radius $w$ and sides of radii $x, y, z$ centred at $A, B, C$, respectively. Let $\alpha, \beta$, and $\gamma$ be the angles at $A, B, C$, respectively, in the triangle $L_{i}$. If we let the sum in the right member of (12) be denoted by $v(i)$, then, since the vertices of $L_{i}$ are among the centres of the annuli $S_{k}{ }^{*} \backslash S_{k}$, and since $r_{n+1} \geqq w$, we have

$$
\begin{aligned}
v(i) & \geqq \frac{1}{2} \alpha\left((x+w)^{2}-x^{2}\right)+\frac{1}{2} \beta\left((y+w)^{2}-y^{2}\right)+\frac{1}{2} \gamma\left((z+w)^{2}-z^{2}\right) \\
& =(\alpha x+\beta y+\gamma z) w+\frac{1}{2} \pi w^{2} .
\end{aligned}
$$

On the other hand, $R_{n} \cap L_{i}=K_{i}$. To show that 2 area $\left(K_{i}\right)<v(i)$, we break $L_{i}$ up into six triangles by joining $D$, the in-centre of $K_{i}$, to $A, B, C$ and to the vertices of $K_{i}$. Consider one of the triangles so formed, say $\triangle A D E$. Let $\delta$ be the angle $D A E$. Then, using $\sin \delta<\delta$, we have

$$
\operatorname{area}\left(K_{i} \cap \triangle A D E\right)=\frac{1}{2}(\sin \delta) x(x+w)-\frac{1}{2} \delta x^{2}<\frac{1}{2} \delta x w .
$$

Summing over the six triangles, we have

$$
\operatorname{area}\left(K_{i}\right)<\frac{1}{2}(\alpha x+\beta y+\gamma z) w<\frac{1}{2} v(i) .
$$

Summing over $i$ proves (11). From (11) we deduce that

$$
\begin{equation*}
2 \sum_{k=n+1}^{\infty} r_{k}^{2}<\sum_{k=1}^{n}\left(\left(r_{k}+r_{n+1}\right)^{2}-r_{k}^{2}\right)+O\left(r_{n+1}\right) \tag{13}
\end{equation*}
$$

Equation (13) is an improvement of equation (1).
Now, we can repeat the proof of Theorem 1, using (13) instead of (1), and we see that $\sum r_{n}{ }^{t}<\infty$ if $t \geqq(9+\sqrt{ } 41) / 10$ which proves Theorem 2.

## References

1. D. W. Boyd, Osculatory packings by spheres, Can. Math. Bull. 13 (1970), 59-64.
2. -L Lower bounds for the disk packing constant, Math. Comp. (to appear).
3. H. G. Eggleston, Convexity, Cambridge Tracts in Mathematics and Mathematical Physics, No. 47 (Cambridge Univ. Press, New York, 1958).
4. G. H. Hardy, J. E. Littlewood, and G. Pólya, Inequalities (Cambridge, at the University Press, 1952).
5. D. G. Larman, On the exponent of convergence of a packing of spheres, Mathematika 13 (1966), 57-59.
6.     - On packings of unequal spheres in $R_{n}$, Can. J. Math. 20 (1968), 967-969.
7. Z. A. Melzak, Infinite packings of disks, Can. J. Math. 18 (1966), 838-852.

California Institute of Technology, Pasadena, California

