

GENERATORS OF $U_n(V)$ OVER A QUASI SEMILOCAL SEMIHEREDITARY RING

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0. Introduction. Let \mathfrak{o} be a quasi semilocal semihereditary ring, i.e., \mathfrak{o} is a commutative ring with 1 which has finitely many maximal ideals $\{A_i | i \in I\}$ and the localization \mathfrak{o}_{A_i} at any maximal ideal A_i is a valuation ring. We assume 2 is a unit in \mathfrak{o} . Furthermore $*$ denotes an involution on \mathfrak{o} with the property that there exists a unit θ in \mathfrak{o} such that $\theta^* = -\theta$. V is an n -ary free module over \mathfrak{o} with $f: V \times V \rightarrow \mathfrak{o}$ a λ -Hermitian form. Thus λ is a fixed element of \mathfrak{o} with $\lambda\lambda^* = 1$ and f is a sesquilinear form satisfying $f(x, y)^* = \lambda f(y, x)$ for all x, y in V . Assume the form is non-singular; that is, the mapping $M \rightarrow \text{Hom}(M, A)$ given by $x \rightarrow f(\cdot, x)$ is an isomorphism. In this paper we shall write $f(x, y) = xy$ for x, y in V .

Let U be a submodule of V . If there exist n vectors $x_1, \dots, x_r, \dots, x_n$ such that $U = \mathfrak{o}x \oplus \dots \oplus \mathfrak{o}x_r$ and $V = \mathfrak{o}x_1 \oplus \dots \oplus \mathfrak{o}x_r \dots \oplus \mathfrak{o}x_n$, then we call U a subspace of V and r the dimension of U , r is denoted by $\dim U$.

Let U be a subspace of V . We call U a line if $\dim U = 1$, a plane if $\dim U = 2$, and a hyperplane if $\dim U = n - 1$.

Let $U_n(V)$ or $U(V)$ be the unitary group on V . We call an element σ in $U(V)$ an isometry on V . An isometry τ on V which fixes every vector in a hyperplane V_τ of V is called a quasi-symmetry if V_τ is nonsingular, and a unitary transvection if V_τ is singular: Let S be the set of all those τ , i.e., the set of quasi-symmetries and unitary transvections.

In the present paper, we shall determine the length $l(\sigma)$ of any isometry σ in $U(V)$, i.e., the minimal number of factors that are needed to express σ as a product of elements in S . The result is

$$l(\sigma) = n - d$$

where d is the dimension of a maximal subspace of V which is contained in the module V_σ of σ . In this paper set theoretic difference of A and B will be written $A - B$. $M \oplus N$ is a direct sum of modules M and N .

Clearly, this is a generalization of [7].

1. Statement of the theorem. $\{A_i | i \in I\}$ is the set of all maximal ideals of \mathfrak{o} . For i in I , let π_i or $-$ be the canonical homomorphism from \mathfrak{o} onto $\bar{\mathfrak{o}} = \mathfrak{o}/A_i$. We use the same notation π_i or $-$ to denote the canonical map from V onto $\bar{V} = V/A_i V$. We note that we consider no form on

Received April 28, 1980 and in revised form January 28, 1981.

\bar{V} and we only regard \bar{V} as a module. Further, for σ in $U(V)$ we define $\bar{\sigma}$ in $\text{Aut}(\bar{V})$ by $\bar{\sigma}\bar{x} = \bar{\sigma x}, x \in V$.

For a subset U of $V, U^\perp = \{x \in V | xU = 0\}$. For submodules U and W of $V, U \perp W$ means $UW = 0$ and $U \cap W = \{0\}$. For $\sigma \in U(V)$ let V_σ be the fix module of σ , i.e.,

$$V_\sigma = \{x \in V | \sigma x = x\} \quad \text{and} \quad d = \min \{\dim \pi_i(V_\sigma) | i \in I\}.$$

We define $l(\sigma) = 0$ for $\sigma = 1$.

Now, with these notations, we state our theorem.

THEOREM. *For any σ in $U_n(V)$ we have $l(\sigma) = n - d$.*

2. Preliminary lemma. We have finitely many maximal ideals $\{A_i | i \in I\}, I$ is the index set. For each i in I, ψ_i or ψ_i' denotes the canonical homomorphism of \mathfrak{o} into \mathfrak{o}_{A_i} which carries an element a of \mathfrak{o} to the class a' of \mathfrak{o}_{A_i} represented by $a/1$.

Therefore for a and b in $\mathfrak{o}, a' = b'$ if and only if $ca = cb$ for some c in $\mathfrak{o} - A_i$. We use the same notation ψ_i or ψ_i' to denote the canonical homomorphisms $V \rightarrow \mathfrak{o}_{A_i}V$ or $U(V) \rightarrow \text{Aut}(\mathfrak{o}_{A_i}V)$. We consider no form on $\mathfrak{o}_{A_i}V$ and only regard it as a module.

Now, we take a base $\{x_\mu | \mu = 1, \dots, n\}$ for V and fix it.

LEMMA 2.1. *Let $i \in I$.*

(a) *For vectors u and v in V if we have $u' = v'$, then $cu = cv$ for some c in $\mathfrak{o} - A_i$.*

(b) *For any vector v in V we can express $cv = ay$ for some $a \in \mathfrak{o}, c \in \mathfrak{o} - A_i$ and $y \in V - A_iV$.*

Proof. First we prove (a). We have the base $\{x_1, \dots, x_n\}$ for V . Write $u = \sum a_\mu x_\mu$ and $v = \sum b_\mu x_\mu, a_\mu, b_\mu \in \mathfrak{o}$. Then $a_\mu' = b_\mu'$ for $\mu = 1, \dots, n$. Hence for each μ we have $c_\mu a_\mu = c_\mu b_\mu$ for some c_μ in $\mathfrak{o} - A_i$. Putting $c = \prod c_\mu$, we have (a).

Next we prove (b). Write $v = \sum a_\mu x_\mu, a_\mu \in \mathfrak{o}$. First let $v' = 0$, i.e., $a_1' = \dots = a_n' = 0$. This means that for some c_1, \dots, c_n in $\mathfrak{o} - A_i$ we have $c_1 a_1 = \dots = c_n a_n = 0$. So, if we put $c = \prod c_\mu, a = 0$ and $y =$ any vector in $V - A_iV$, then we have $cv = ay$. Next let $v' \neq 0$. Therefore at least one a_r' , say a_1' , is not zero. Since \mathfrak{o}_{A_i} is a valuation ring, we may assume a_1' divides all a_r' in \mathfrak{o}_{A_i} . From this and by (a) we have (b).

3. Proof of the theorem. For i in I , throughout this paper, $-$ denotes π_i, ψ_i' denotes ψ_i and ϵ_i denotes an element in \mathfrak{o} with $\pi_i \epsilon_i = 1$ and $\pi_j \epsilon_i = 0$ for $j \neq i$; such ϵ_i exists by the Chinese Remainder Theorem.

LEMMA 3.1. *Let $\{E_s | 1 \leq s \leq r\}$ be r hyperplanes of V , then*

$$\dim \bigcap_{s=1}^r E_s \geq n - r \quad \text{for any } i \text{ in } I.$$

Proof. Take any i in I . If $r = 1$, then the lemma is clear. So let $r > 1$. Write

$$D = \bigcap_{s=1}^{r-1} E_s \quad \text{and} \quad E = E_r.$$

We suppose $\dim \bar{D} \geq n - (r - 1)$ and show

$$\dim \overline{D \cap E} \geq n - r,$$

which gives us the lemma by induction on r .

We write $d = \dim \bar{D}$. Take a base $\bar{x}_1, \dots, \bar{x}_d$ for \bar{D} where x_1, \dots, x_d are in D . Since E is a hyperplane, we can write $V = E \oplus \alpha x$, $x \in V$. We may express $x_\mu = u_\mu + a_\mu x$, $u_\mu \in E$ and $a_\mu \in \mathfrak{o}$ for each $\mu = 1, \dots, d$.

If $a_\mu' = 0$ for all μ , then we have an element c in $\mathfrak{o} - A_i$ with $ca_\mu = 0$ for all μ . Hence $cx_\mu = cu_\mu$ is contained in $D \cap E$, and so $\overline{D \cap E} = \bar{D}$. Consequently, $\dim \overline{D \cap E} > n - r$.

Next, we treat the case that at least one $a_\mu' \neq 0$. Since \mathfrak{o}_{A_i} is a valuation ring, we may assume a_1' divides any a_μ' in \mathfrak{o}_{A_i} . Put $a_\mu' = (b_\mu'/c_\mu')a_1'$ for some b_μ in \mathfrak{o} and c_μ in $\mathfrak{O} - A_i$. Then

$$(c_\mu a_\mu)' = c_\mu' a_\mu' = b_\mu' a_1' = (b_\mu a_1)'$$

Hence $e_\mu c_\mu a_\mu = e_\mu b_\mu a_1$ for some e_μ in $\mathfrak{o} - A_i$. Put

$$v_\mu = e_\mu c_\mu x_\mu - e_\mu b_\mu x_1.$$

Then v_μ is in $D \cap E$. Since c_μ, e_μ are in $\mathfrak{o} - A_i$, we have

$$\dim \overline{D \cap E} \geq d - 1 \geq n - r.$$

COROLLARY 3.2. $l(\sigma) \geq n - d$.

Proof. Remember that quasi-symmetries and unitary transvections fix hyperplanes. Apply the lemma.

By the corollary it suffices to show that $l(\sigma) \leq n - d$. The proof will proceed by induction on $n - d$.

LEMMA 3.3. Let U be a submodule of V . If $\bar{V} = \bar{U}$ for all i in I , then $V = U$.

Proof. We have

$$V = \bigoplus_{\mu=1}^n \alpha x_\mu.$$

Take $\{u_{i_\mu}\}$ in U with $\bar{x}_\mu = \bar{u}_{i_\mu}$ for i in I and μ in $\{1, \dots, n\}$. Put

$$u_\mu = \sum_{i \in I} \epsilon_i u_{i_\mu}.$$

Then u_μ is contained in U and $\bar{x}_\mu = \bar{u}_\mu$ for each i and μ . This means

$x_\mu - u_\mu$ is in AV , where $A = \bigcap_{i \in I} A_i$. So, we may write

$$x_\mu = u_\mu + \sum_{\nu=1}^n a_{\mu\nu}x_\nu, \quad a_{\mu\nu} \in A.$$

Put $M = \{a_{\mu\nu}\}$. Then, we have

$${}^t(u_1, \dots, u_n) = (E - M)^t(x_1, \dots, x_n),$$

E is the identity matrix. Since $E - M$ is invertible, we have $V = U$.

Let $n - d = 0$. Recall we have defined

$$d = \min \{ \dim \pi_i(V_\sigma) \mid i \in I \}.$$

Hence by the lemma we have $V = V_\sigma$. Therefore, $\sigma = 1$ and we have $l(\sigma) = 0 = n - d$, whence there is nothing to do.

So, let $n - d > 0$, i.e., $\sigma \neq 1$. We shall show that there exists τ in S such that

$$\min \{ \dim \pi_i(V_{\tau\sigma}) \mid i \in I \} = d + 1,$$

which will imply $l(\tau\sigma) \leq n - (d + 1)$ by induction on $n - d$, and so $l(\sigma) \leq n - d$ as we desire. Thus, all that we have to do is to find such τ in S .

Definition.

$$I_0 = \{ i \in I \mid \sigma' - 1 = 0 \text{ for } i \}$$

$$I_1 = \{ i \in I \mid \sigma' - 1 \neq 0 \text{ for } i \}.$$

In other words,

$$I_0 = \{ i \in I \mid c(\sigma - 1)V = 0 \text{ for some } c \in \mathfrak{o} - A_i \}$$

and

$$I_1 = \{ i \in I \mid c(\sigma - 1)V \neq 0 \text{ for any } c \in \mathfrak{o} - A_i \}.$$

Clearly, $I = I_0 + I_1$ (direct sum).

LEMMA 3.4. *Let $i \in I$. If a vector y is contained in $V - A_iV$, then there exists a vector x in V with $yx \in \mathfrak{o} - A_i$.*

Proof. Write

$$y = \sum_{\mu=1}^n p_\mu x_\mu, \quad p_\mu \in \mathfrak{o}.$$

Since $y \notin A_iV$, at least one p_μ , say p_1 , is not in A_i . On the other hand, since V is nonsingular and $\{x_1, \dots, x_n\}$ is a base for V , there exists a vector x in V with $x_1x = 1$ and $x_\mu x = 0$ for $\mu \neq 1$. So we have $yx = p_1 \notin A_i$.

The following lemma is essential for the proof of the theorem.

LEMMA 3.5. *Let $i \in I_1$. Then there exist a, c in \mathfrak{o} and x, y in V such that*

- (C₁) $c(\sigma - 1)x = ay$ with $c \notin A_i$,
- (C₂) $yx \notin A_i$,
- (C₃) $c(\sigma - 1)V \subset aV$.

Proof. We have a direct sum $V = \bigoplus_{\mu=1}^n \alpha x_\mu$. For each x_μ , by (b) of Lemma 2.1 we can express

$$c_\mu(\sigma - 1)x_\mu = a_\mu y_\mu$$

where a_μ is in \mathfrak{o} , c_μ in $\mathfrak{o} - A_i$ and y_μ in $V - A_i V$. Since $i \in I_1$, we have $\sigma' \neq 1$. This implies that at least one $a_{\mu'}$, say $a_{1'}$, is not zero. Since \mathfrak{o}_{A_i} is a valuation ring, we may assume $a_{1'}$ divides all $a_{\mu'}$, say, let $a_{\mu'} = a_{1'} b_{\mu'}$ for $b_{\mu'}$ in \mathfrak{o} . Hence for each μ by Lemma 2.1 there exists d_μ in $\mathfrak{o} - A_i$ such that $a_\mu d_\mu = a_{1'} b_\mu d_\mu$. We may take $b_1 = d_1 = 1$. Therefore, putting $a = a_1$ and $c = \prod_{\mu=1}^n c_\mu d_\mu$, we have

$$(1) \quad c(\sigma - 1)x_\mu = a e_\mu y_\mu$$

where $e_\mu \in \mathfrak{o}$, $e_1 \in \mathfrak{o} - A_i$ and $c \in \mathfrak{o} - A_i$. From this (C₃) $c(\sigma - 1)V \subset aV$ is now clear.

Next, since $y_1 \in V - A_i V$, by Lemma 3.4 for some x_μ we have

$$(2) \quad y_1 x_\mu \notin A_i.$$

Let p, q be variables in \mathfrak{o} . We put

$$x = px_1 + qx_\mu, \quad y = pe_1 y_1 + qe_\mu y_\mu.$$

Then by (1) we have (C₁) $c(\sigma - 1)x = ay$ and the equation

$$(3) \quad yx = pp^* e_1 y_1 x_1 + pq^* e_1 y_1 x_\mu + p^* q e_\mu y_\mu x_1 + qq^* e_\mu y_\mu x_\mu$$

holds. Hence it suffices to show that we can choose p, q in \mathfrak{o} with $yx \notin A_i$, which completes our proof. We recall that we have the unit θ in \mathfrak{o} with $\theta^* = -\theta$. Therefore the answer is given by the following table, where $\bar{}$ denotes π_i (note $\bar{e}_1 \neq 0$ by (1) and $\overline{y_1 x_\mu} \neq 0$ by (2)).

Cases	$\overline{y_1 x_1}$	\bar{e}_μ	$\overline{y_\mu x_\mu}$	p	q
1	$\neq 0$			1	0
2	0	0		1	1
3	0	$\neq 0$	$\neq 0$	0	1
4	0	$\neq 0$	0	1	1 or θ

In case 4 above, we take $q \in \{1, \theta\}$ with

$$\overline{q^* e_1 y_1 x_\mu + q e_\mu y_\mu x_1} \neq 0;$$

in fact such q exists, since $\bar{e}_1 \overline{y_1 x_\mu} \neq 0$. Thus we have shown (C₂) $yx \notin A_i$.

Let us call the above four elements $a, c \in \mathfrak{o}$ and $x, y \in V$ in the lemma “a good foursome for i ” if they satisfy (C_1) , (C_2) and (C_3) , and denote it by (a, y, c, x) . Further, when there exists a good foursome (a, y, c, x) for i , we say “ x is good for i ”. With this definition we can say that if $i \in I_1$ then there exists a vector x in V which is good for i .

Now, since the involution $*$ on \mathfrak{o} induces a permutation on the set of maximal ideals $\{A_i | i \in I\}$ of \mathfrak{o} , we can define a permutation on the index set I by defining $i^* = j$ if and only if $A_{i^*} = A_j$.

LEMMA 3.6. *If $i, i^* \in I_1$, then there exists a vector u_i in V which is good for both i and i^* .*

Proof. If $i = i^*$ then there is nothing to do (apply Lemma 3.5). So let $i \neq i^*$. To simplify the notation we write $j = i^*$. Now by Lemma 3.5 we have good foursomes (a_i, y_i, c_i, x_i) for i and (a_j, y_j, c_j, x_j) for j . By condition (C_1) , (C_2) we have respectively,

$$(1) \quad c_i(\sigma - 1)x_i = a_i y_i \quad \text{and} \quad c_j(\sigma - 1)x_j = a_j y_j$$

with $c_i \in \mathfrak{o} - A_i$ and $c_j \in \mathfrak{o} - A_j$, and

$$(2) \quad \pi_i(y_i x_i) \neq 0 \quad \text{and} \quad \pi_j(y_j x_j) \neq 0.$$

By condition (C_3) we can express

$$(3) \quad c_i(\sigma - 1)x_j = a_i w_j \quad \text{and} \quad c_j(\sigma - 1)x_i = a_j w_i$$

for some $w_i, w_j \in V$.

Let p, q be variables in \mathfrak{o} and put $u_i = px_i + qx_j$. Then by (1) and (3) we have

$$(4) \quad c_i(\sigma - 1)u_i = a_i(py_i + qw_j) \quad \text{and} \quad c_j(\sigma - 1)u_i = a_j(pw_i + qy_j).$$

Therefore, two foursomes $(a_i, py_i + qw_j, c_i, u_i)$ and $(a_j, pw_i + qy_j, c_j, u_i)$ satisfy the two conditions (C_1) and (C_3) for i and j respectively. So it suffices to show (C_2) for those two foursomes respectively. Namely, we must show that we can choose p, q in \mathfrak{o} so that

$$\begin{aligned} \pi_i((py_i + qw_j)u_i) &\neq 0 \quad \text{and} \\ \pi_j((pw_i + qy_j)u_i) &\neq 0. \end{aligned}$$

As usual, the Chinese Remainder Theorem will play a central role. To simplify the notation we write

$$f = (py_i + qw_j)u_i \quad \text{and} \quad g = (pw_i + qy_j)u_i.$$

Therefore

$$(5) \quad f = pp^*y_i x_i + qp^*w_j x_i + pq^*y_i x_j + qq^*w_j x_j$$

and

$$(6) \quad g = p\bar{p}^*w_ix_i + q\bar{p}^*y_jx_i + p\bar{q}^*w_ix_j + q\bar{q}^*y_jx_j.$$

By the Chinese Remainder Theorem we can take p, q in \mathfrak{o} as in the following table.

Cases	$\pi_i(w_jx_j)$	$\pi_j(w_ix_i)$	$\pi_i(w_jx_i)$	$\pi_j(w_ix_j)$	$\pi_i(y_jx_j)$	$\pi_j(y_jx_i)$	p	q
1	$\neq 0$						0	1
2		$\neq 0$					1	0
3	0	0	$\neq 0$				α	1
4	0	0		$\neq 0$			1	β
5	0	0			$\neq 0$		γ	1
6	0	0				$\neq 0$	1	δ

In the above, α is any element in \mathfrak{o} with

$$\pi_i(\alpha) = 0, \pi_j(\alpha) \in \{\pm 1\} \quad \text{and} \\ \pi_j(\alpha w_ix_j + y_jx_j) \neq 0;$$

γ is any element in \mathfrak{o} with

$$\pi_i(\gamma^*) = 0, \pi_j(\gamma^*) \in \{\pm 1\} \quad \text{and} \\ \pi_j(\gamma^*y_jx_i + y_jx_j) \neq 0.$$

As for β and δ they are chosen symmetrically to α and γ respectively.

We now check that p, q satisfy $\pi_i(f) \neq 0$ and $\pi_j(g) \neq 0$. We treat Cases 1, 3, 5. In Case 1, $p = 0$ and $q = 1$, so $\pi_i(f) = \pi_i(w_jx_j) \neq 0$. Further, by (2), $\pi_j(g) = \pi_j(y_jx_j) \neq 0$. Next we treat Case 3.

Let $-$ denote π_i . Since $\overline{w_jx_j} = 0$, $\bar{p} = \bar{\alpha} = 0$ and $q = 1$, we have $\bar{f} = \overline{\alpha^*w_jx_i}$. Further $\pi_j(\alpha) \in \{\pm 1\}$ implies $\alpha \notin A_j$. Hence $\alpha^* \notin A_j^*$ (note $A_j^* = A_i$), i.e., $\overline{\alpha^*} \neq 0$. Thus we have $\bar{f} \neq 0$. Let $-$ denote π_j . Since $\pi_i(\alpha) = 0$, by the same way as above we have $\pi_j(\alpha^*) = 0$. Hence $\overline{p^*} = \overline{\alpha^*} = 0$. Further, since we have

$$q = 1 \quad \text{and} \quad \overline{\alpha w_ix_j + y_jx_j} \neq 0,$$

we have $\bar{g} \neq 0$. We consider Case 5. Let $-$ denote π_i . By $\overline{w_jx_j} = 0$, $\overline{p^*} = \overline{\gamma^*} = 0$ and $q = 1$, we have $\bar{f} = \overline{\gamma y_ix_j}$. Since $\pi_j(\gamma^*) \neq 0$, we have $\pi_i(\gamma) \neq 0$ and so $\bar{f} \neq 0$. Let $-$ denote π_j . Since $\pi_i(\gamma^*) = 0$, we have $\pi_j(\gamma) = 0$. Further, since $q = 1$, we have

$$\bar{g} = \overline{\gamma^*y_jx_i + y_jx_j}.$$

Hence $\bar{g} \neq 0$. The cases 2, 4, and 6 are symmetric to the cases 1, 3, and 5, respectively and we omit them.

LEMMA 3.7. *If $i \in I_1$, then there exists a vector u_i in V which is good for i and $d < \dim V_\sigma + \text{ou}_i$ for i .*

Proof. We write $-$ for π_i . Using Lemma 3.5, we have a good foursome (a_i, y_i, c_i, x_i) for i . If it holds that

$$d < \dim \overline{V_\sigma + \alpha x_i},$$

the lemma holds. So we assume this is not the case, i.e.,

$$d = \dim \overline{V_\sigma + \alpha x_i}.$$

Since $d < n$, there exists a vector z in V with

$$d < \dim \overline{V_\sigma + \alpha z}.$$

By condition (C_3) we may write $c_i(\sigma - 1)z = a_i w$ for some w in V . Now for $p \in \mathfrak{o}$ and $q \in \mathfrak{o} - A_i$ we put

$$u_i = px_i + qz \quad \text{and} \quad v_i = py_i + qw.$$

Then (a_i, v_i, c_i, u_i) satisfies (C_1) , (C_3) and

$$d < \dim \overline{V_\sigma + \alpha u_i}.$$

To show (C_2) compute

$$v_i u_i = p p^* y_i x_i + q p^* w x_i + p q^* y_i z + q q^* w z.$$

Since $\overline{y_i x_i} \neq 0$ and 2 is a unit, we can take $\alpha \in \{\pm 1\}$ with

$$\overline{y_i x_i + \alpha w x_i + \alpha^* y_i z} \neq 0.$$

Therefore, our p, q are given by the following table.

<i>Cases</i>	\overline{wz}	p	q
1	$\neq 0$	0	1
2	0	1	α

LEMMA 3.8. *Let $i \in I$. Then there exists a vector u_i in V with $d < \dim \overline{V_\sigma + \alpha u_i}$ for both i and i^* .*

Proof. Write $j = i^*$. Since $d < n$, we can take z_i, z_j in V with

$$d < \dim \overline{V_\sigma + \alpha z_i} \quad \text{for } i \quad \text{and}$$

$$d < \dim \overline{V_\sigma + \alpha z_j} \quad \text{for } j.$$

Let p be any element in \mathfrak{o} with $\pi_i(p) = 1$ and $\pi_j(p) = 0$, q in \mathfrak{o} with $\pi_i(q) = 0$ and $\pi_j(q) = 1$. Then $u_i = px_i + qz_j$ is the desired vector.

Definition. Let $i \in I$. We say a vector u is *admissible* for i if u satisfies the following two conditions, where $v = (\sigma - 1)u$ and $- = \pi_i$.

(a) $\overline{V} = \overline{v^\perp + \alpha u}$

(b) $d < \dim \overline{V_\sigma + \alpha u}$.

We say u is *admissible* if u is admissible for all i in I .

A key point of the proof is to find an admissible vector u in V .

Definition.

$$\begin{aligned} I_{11} &= \{i \in I_1 | i^* \in I_1\} \\ I_{10} &= \{i \in I_1 | i^* \in I_0\} \\ I_{01} &= \{i \in I_0 | i^* \in I_1\} \\ I_{00} &= \{i \in I_0 | i^* \in I_0\}. \end{aligned}$$

Therefore we have $I = I_{11} + I_{10} + I_{01} + I_{00}$ (direct sum), and $I_{11}^* = I_{11}, I_{10}^* = I_{01}, I_{01}^* = I_{10}, I_{00}^* = I_{00}$.

Definition. $*$ defines a classification of I in which each class consists of $\{i, i^*\}$. Let K be the set of representatives of this classification with $I_{10} \subset K$.

For each k in K , applying Lemmas 3.6, 3.7 and 3.8, we can take a vector u_k in V with the following properties (P_1) , (P_2) and (P_3) :

- (P_1) If $k \in I_{11}$, then u_k is good for both k and k^* .
- (P_2) If $k \in I_{10}$, then u_k is good for k and $d < \dim \overline{V_\sigma + ou_k}$ for k .
- (P_3) If $k \in I_{00}$, then $d < \dim \overline{V_\sigma + ou_k}$ for both k and k^* .

Further, for each k in K , we take an element α_k in \mathfrak{o} with $\bar{\alpha}_k = 1$ for k and k^* and $\bar{\alpha}_k = 0$ for $k \in I - \{k, k^*\}$. Put $u = \sum_{k \in K} \alpha_k u_k$ and $v = (\sigma - 1)u$. With these notations our next task is to show that u is an admissible vector.

LEMMA 3.9. (a) *Let i be in I_0 . Then it holds that*

$$d < \dim \overline{V_\sigma + ou} \text{ for } i^*.$$

(b) *Let i be in I_1 . Then u is good for i .*

Proof. First we prove the case (a). Let $i \in I_0$. Take k in $K \cap \{i, i^*\}$. Then we have $\bar{u} = \bar{u}_k$ for i^* . Note $I_0 = I_{00} + I_{01}$. If $i \in I_{00}$ then $i^* \in I_{00}$ and so $k \in I_{00}$. Therefore by the property (P_3) for u_k we have (a) of the lemma. If $i \in I_{01}$ then $i^* \in I_{10}$, consequently $i^* = k$, because $I_{10} \subset K$ and $I_{01} \not\subset K$. Therefore, by the property (P_2) for u_k we have also (a) of the lemma.

Next we prove the case (b). Let $i \in I_1$. Take k in $K \cap \{i, i^*\}$. We have $I_1 = I_{11} + I_{10}$. If $i \in I_{11}$ then $i^* \in I_{11}$, consequently $k \in I_{11}$. If $i \in I_{10}$ then $i = k$. Hence, in each case, by the properties (P_1) and (P_2) we see that u_k is good for i . Let (a_i, y_i, c_i, u_k) be a good foursome for i . Then by (C_1) and (C_3) we have

$$c_i(\sigma - 1)u = a_i \left(\alpha_k y_i + \sum \alpha_j w_j \right)$$

for some w_j in V where \sum is the sum for j in $K - \{k\}$. By (C_2) we have $y_i u_k \notin A_i$. Hence, putting

$$w = \alpha_k y_i + \sum_j \alpha_j w_j,$$

we have $\overline{wu} = \overline{y_i u_k} \neq 0$ for i . Thus (a_i, w, c_i, u) is a good foursome for i . That is, u is good for i .

LEMMA 3.10. *If $i \in I_0$, then $\overline{V} = \overline{v^\perp}$ for i^* (here $v = (\sigma - 1)u$).*

Proof. Since $i \in I_0$, for some c in $\sigma - A_i$ we have $c(\sigma - 1)V = \{0\}$. Hence $cv = 0$. Therefore, for all w in V we have $0 = (cw)w = v(c^*w)$, i.e., $c^*w \subset v^\perp$ and so $c^*V \subset v^\perp$. On the other hand, since $c \notin A_i$, we have $c^* \notin A_{i^*}$. Thus it holds that $\overline{V} = \overline{v^\perp}$ for i^* .

LEMMA 3.11. *If $i \in I_0$, then u is admissible for i^* .*

Proof. By (a) of Lemma 3.9 we have

$$d < \dim \overline{V_\sigma + ou} \quad \text{for } i^*.$$

By Lemma 3.10 we have $\overline{V} = \overline{v^\perp + ou}$ for i^* .

LEMMA 3.12. *Let $i \in I$. For y in V if $yu \notin A_i$ then we have $\overline{V} = \overline{y^\perp + ou}$ for i^* .*

Proof. We use $-$ for π_{i^*} . Take any z in V . Put $a = zy$ and $c = uy$. We note that $yu \notin A_i$ if and only if $uy \notin A_{i^*}$. Hence $\overline{c} \neq 0$. Since $cz - au \in y^\perp$, we have $cz \in y^\perp + ou$. This implies $\overline{V} = \overline{y^\perp + ou}$ for i^* .

LEMMA 3.13. *If $i \in I_1$ then u is admissible for i^* .*

Proof. We write $i^* = j$ and use $-$ for π_j . Since $i \in I_1$, by (b) of Lemma 3.9 we have a good foursome (a, y, c, u) for i . Therefore it holds that $cv = ay$ with $c \notin A_i$, $yu \notin A_i$ and $c(\sigma - 1)V \subset aV$.

First, we show $\overline{V} = \overline{y^\perp + ou}$. Since $yu \notin A_i$, by Lemma 3.12, we have $\overline{V} = \overline{y^\perp + ou}$ for j . We show $\overline{y^\perp} \subset \overline{v^\perp}$. Take any z in y^\perp . Then $cvz = ayz = 0$, which implies $vc^*z = 0$, i.e., $c^*z \in v^\perp$. On the other hand, by $c \notin A_i$ we have $c^* \notin A_j$, hence $\overline{y^\perp} \subset \overline{v^\perp}$. Thus, $\overline{V} = \overline{y^\perp + ou}$.

Next we show $d < \dim \overline{V_\sigma + ou}$. Suppose the inequality does not hold, i.e., $d = \dim \overline{V_\sigma + ou}$. Then $\overline{u} \in \overline{V_\sigma}$ and so we may write $u = z + s$ for some z in V_σ and s in A_jV . Since $yu \notin A_i$, we have $uy \notin A_j$. Thus $zy \notin A_j$. Hence $yz \notin A_i$. Put $b = yz$, whence $b \notin A_i$. Then

$$ab = ayz = cvz = 0,$$

because $v = (\sigma - 1)u$ and $((\sigma - 1)V)V_\sigma = \{0\}$. Thus, we have

$$bc(\sigma - 1)V \subset baV \subset \{0\}.$$

But, since $bc \notin A_i$, this would imply $i \in I_0$, a contradiction.

LEMMA 3.14. *u is an admissible vector in V.*

Proof. We show that *u* is admissible for all *i* in *I*. Take any *i* in *I*. Then $i^* \in I$. We have $I = I_0 + I_1$. If $i^* \in I_0$ then *u* is admissible for *i* by Lemma 3.11. If $i^* \in I_1$ then *u* is admissible for *i* by Lemma 3.13.

Now, by the lemma we have for all *i* in *I*

$$(1) \quad \bar{V} = \overline{v^\perp + ou}$$

and

$$(2) \quad d < \dim \overline{V_\sigma + ou}.$$

Further, since $v = (\sigma - 1)u$ and $V_\sigma((\sigma - 1)V) = \{0\}$, we have

$$(3) \quad V_\sigma \subset v^\perp.$$

Here, we may assume $\bar{u} \neq 0$ without loss of generality. Because, if necessary, we can choose *z* in V_σ with $\overline{u + z} \neq 0$ and take $u + z$ for *u* above.

Thus, by (1) ~ (3), we can choose a base $\{\bar{u}_{i1}, \dots, \bar{u}_{i(n-1)}, \bar{u}\}$ for \bar{V} for each *i* in *I* such that $u_{i1}, u_{i2}, \dots, u_{id}$ are in V_σ and $u_{i(d+1)}, \dots, u_{i(n-1)}$ are in v^\perp . Put

$$u_\mu = \sum_{i \in I} \epsilon_i u_{i\mu}$$

for each $\mu \in \{1, \dots, n - 1\}$, where ϵ_i has been defined before as an element in *o* with $\pi_j(\epsilon_i) = \delta_{ij}$ (Kronecker δ) for *i, j* in *I*. It is clear that $\{\bar{u}_1, \dots, \bar{u}_{n-1}, \bar{u}\}$ is a base for \bar{V} for each *i* in *I*.

LEMMA 3.15. *Let u_1, \dots, u_n be *n* vectors in V. For each *i* in *I*, if $\bar{V} = \bigoplus_{\mu=1}^n \delta \bar{u}_\mu$, then $V = \bigoplus_{\mu=1}^n ou_\mu$.*

Proof. By Lemma 3.3, we know

$$V = \sum_{\mu=1}^n ou_\mu.$$

Hence we show the linear independence of $\{u_\mu\}$ over *o*. Suppose

$$a_1u_1 + \dots + a_nu_n = 0, \quad a_\mu \in \mathfrak{o},$$

with at least one nonzero coefficient, say a_1 . We take a maximal ideal A_i which contains the annihilator of a_1 . Then $a_1' \neq 0$ in \mathfrak{o}_{A_i} . Since \mathfrak{o}_{A_i} is a valuation ring, we may assume a_1' divides all a_μ' . So we have

$$a_1'(u_1' + (b_2'/c_2')u_2' + \dots) = 0, \quad b_\mu \in \mathfrak{o}, c_\mu \in \mathfrak{o} - A_i.$$

Hence, we have

$$a_1(e_1u_1 + e_2u_2 + \dots) = 0, \quad e_\mu \in \mathfrak{o}, \quad e_1 \in \mathfrak{o} - A_i.$$

Since $\bar{V} = \bigoplus_{\mu=1}^n \delta \bar{u}_\mu$ is nonsingular, we have a vector v in V with $\bar{u}_1 \bar{v} = 1$ and $\bar{u}_\mu \bar{v} = 0$ for $\mu \neq 1$. Put

$$b = (e_1 u_1 + e_2 u_2 + \dots)v.$$

Then $b \in \mathfrak{o} - A_i$ and $a_1 b = 0$, which contradicts the choice of A_i .

By the lemma, we see that $\{u_1, \dots, u_{n-1}, u\}$ is a base for V .

We write

$$U = \bigoplus_{\mu=1}^{n-1} u_\mu.$$

Then it holds that

(4) $V = U \oplus \mathfrak{o}u$

(5) $U \subset v^\perp$

(6) $d \leq \dim \overline{U \cap V_\sigma}$ for all i in I .

By (4) we can define a linear map τ on V by defining $\tau = 1$ on U and $\tau u = u + v$. Since $v = (\sigma - 1)u$ and $U \subset v^\perp$ by (5), τ preserves the form on V . In fact, we shall see that τ is in $U_n(V)$ by the following lemma.

LEMMA 3.16. $V = U \oplus \mathfrak{o}\sigma u$.

Proof. We shall show that $\bar{V} = \bar{U} \oplus \delta \overline{\sigma u}$ for all i in I , which will imply $V = U \oplus \mathfrak{o}\sigma u$ by Lemma 3.15. Since U is a hyperplane of V , we have $\bar{U} \subsetneq \bar{V}$. Therefore it suffices to show that $\bar{V} = \bar{U} + \mathfrak{o}\sigma u$.

First let $i \in I_0$. Hence we have c in $\mathfrak{o} - A_i$ with $cv = 0$. We note $\overline{c\sigma} = \bar{\sigma} = \overline{\sigma c}$. Hence

$$\overline{U + \mathfrak{o}\sigma u} = \overline{U + \mathfrak{o}(u + v)} = \overline{U + \mathfrak{o}c(u + v)} = \overline{U + \mathfrak{o}u} = \bar{V}$$

by (4).

Next let $i \in I_1$. Then by (b) of Lemma 3.9 we have a good foursome (a, w, c, u) for i . Hence we have

$$\overline{U + \mathfrak{o}\sigma u} = \overline{U + \mathfrak{o}c(u + v)} = \overline{U + \mathfrak{o}(cu + aw)}.$$

Therefore if $\bar{a} = 0$ then the right hand side equals $\overline{U + \mathfrak{o}u} = \bar{V}$ and we have the lemma. So we treat the case $\bar{a} \neq 0$. In this case, we suppose $\overline{U + \mathfrak{o}\sigma u} \subsetneq \bar{V}$ which will imply a contradiction. Since \bar{U} is a hyperplane of \bar{V} , our assumption means $\overline{\sigma u} \in \bar{U}$. Since $U \subset v^\perp$, we have $\overline{(\sigma u)v} = 0$. Therefore

$$0 = \overline{(\sigma u)v} = \overline{\sigma u(\sigma u - u)} = \overline{(u - \sigma u)u} = \overline{-vu}.$$

Hence $0 = \overline{cvu} = \overline{awu} = \overline{\bar{a}wu}$, a contradiction, because we have $\overline{wu} \neq 0$.

By the lemma τ is an automorphism on V and so τ is contained in $U_n(V)$. Write $D = ou_1 + \dots + ou_d$. Then $D \subset V_\sigma$. Since $D \subset U$, we have $D \subset V_\tau$. Therefore $D \subset V_{\tau^{-1}\sigma}$. Further, since $\tau u = \sigma u$, we have $\tau^{-1}\sigma u = u$. From these two, now we have

$$D \oplus ou \subset V_{\tau^{-1}\sigma}.$$

Finally, since $D \oplus ou$ is a subspace of V with

$$\dim(D \oplus ou) = d + 1,$$

we have

$$d + 1 \leq \dim \overline{V_{\tau^{-1}\sigma}} \quad \text{for all } i \text{ in } I.$$

Thus we have completed the proof of the theorem.

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