

Changes in regional cerebral blood flow during acute electroconvulsive therapy in patients with depression

Positron emission tomographic study

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Background Although electroconvulsive therapy (ECT) is widely used to treat psychiatric disorders such as depression, its precise neural mechanisms remain unknown.

Aims To investigate the time course of changes in cerebral blood flow during acute ECT.

Method Cerebral blood flow was quantified serially prior to, during and after acute ECT in six patients with depression under anaesthesia using [¹⁵O]H₂O positron emission tomography (PET).

Results Cerebral blood flow during ECT increased particularly in the basal ganglia, brain-stem, diencephalon, amygdala, vermis and the frontal, temporal and parietal cortices compared with that before ECT. The flow increased in the thalamus and decreased in the anterior cingulate and medial frontal cortex soon after ECT compared with that before ECT.

Conclusions These results suggest a relationship between the centrencephalic system and seizure generalisation. Further, they suggest that some neural mechanisms of action of ECT are mediated via brain regions including the anterior cingulate and medial frontal cortex and thalamus.

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Electroconvulsive therapy (ECT) remains the most effective treatment for severe depression, although it was first introduced in 1938 as a treatment for schizophrenia. A recent meta-analysis reconfirmed the efficacy and safety of this therapy in depression (UK ECT Review Group, 2003). Despite its established utility, however, the precise mechanisms of action of ECT remain unknown (Sackeim, 1994; Abrams, 2002). One useful approach to determine these mechanisms would be neuroimaging techniques that could elucidate the functional anatomy of ECT. Blumenfeld *et al* (2003) measured cerebral blood flow during ECT by using single photon emission computed tomography (SPECT). However, for each patient, ictal and interictal SPECT scans were performed on separate days of ECT. In this study, we examined the acute effects of ECT on the regional cerebral blood flow (rCBF) in patients with major depression by performing serial high-resolution [¹⁵O]H₂O positron emission tomography (PET) scanning during the same session of ECT.

METHOD

Participants

Six people undergoing in-patient treatment (4 men and 2 women; mean age 55.0 years, s.d.=16.1, range 35–70) who fulfilled DSM-IV criteria (American Psychiatric Association, 1994) for major depressive disorder (five recurrent episodes and one single episode) participated in this study. The mean of their total scores on the Hamilton Rating Scale for Depression (HRSD; Hamilton, 1960) was 27.3 (s.d.=9.8, range 20–46). All patients were right-handed and had no history of major medical illness, psychotic disorder (other than as part of a mood disorder), cognitive disorder, psychoactive substance misuse or dependence, and had not received ECT up to 6 months prior to the study. Their

medication for anxiety and sleep disturbances included lorazepam (1–3 mg per day), flunitrazepam (2–4 mg per day) and trazodone (50–150 mg per day). All patients provided written informed consent prior to their participation in the study. The study was approved by the Intramural Research Board of the National Centre of Neurology and Psychiatry.

All patients experienced clinical improvement after the ECT course (mean 8.5 sessions per patient, range 5–12); this was demonstrated by a reduced HRSD score (before ECT, mean=27.3, s.d.=9.8; after ECT, mean=14.5, s.d.=9.0; paired *t*-test, *P*=0.02).

Experimental procedure

A PET scan was performed on each patient during the first session of the ECT course. On the day of the experiment the patients fasted and did not take any medication after breakfast. The experiment began at 14.00 h, which was more than 16 h after the last intake of medication. Electroencephalograms (EEGs) were recorded from the disc electrodes placed at F3, F4, P3, P4, Fz, Cz and Pz; the A1 and A2 electrodes were used as references. All inter-electrode impedances were maintained below 10 kΩ. The EEG was amplified by a multichannel EEG amplifier (Neurotop, Nihon Kohden, Tokyo, Japan) and filtered using high-cut (low-pass) and low-cut (high-pass) filters with frequencies of 60 Hz and 0.53 Hz respectively. A venous line was inserted into the right median antebrachial vein for transfusion and injecting a tracer, and an arterial line was inserted into the left radial artery to measure the radioactivity in the blood sample throughout the scanning period. The blood pressure, pulse rate and arterial blood gas were monitored throughout the experiment. Propofol (5 mg/kg per h) and vecuronium bromide (0.15 mg/kg initially and 0.04 mg/kg later) were used for anaesthesia. A laryngeal mask was inserted and the patient was kept under controlled ventilation with a respirator (tidal volume 10 mL/kg; 8 times per min). The current was administered through the bilateral temporal regions by means of a Thymatron DGx with disposable electrodes (Somatomics Inc., Lake Bluff, Illinois, USA). The initial stimulus dose was 101.2 mC, and this was later increased in cases of aborted seizures (no spike and wave complex observed on the EEG, i.e. there was no seizure generalisation). In the case of

complete seizures, the mean duration of seizure activity on the EEG was 67.5 s (s.d.=26.1, range 50–120). A maximum of 12 intravenous injections of the radioisotope were administered during relaxed wakefulness (3 injections), prior to ECT (3 injections), during ECT (1–3 injections) and after ECT (3 injections) under anaesthesia. In order to perform a scan during ECT, the radioisotope was injected just prior to the electrical stimulation. The interval between each scan was approximately 10 min.

Scanning procedure

The PET images were acquired on a Siemens ECAT EXACT HR 961 scanner (<http://www.medical.siemens.com>) in the three-dimensional mode, as described in a previous report (Kajimura *et al*, 1999). In brief, a camera with a 150 mm axial field of view was used to acquire data simultaneously from 47 consecutive axial planes. An image resolution of 3.8 × 3.8 × 4.7 mm was obtained after back projection and filtering (Hanning filter; cut-off frequency 0.5 cycles per pixel). The reconstructed image was displayed in a matrix of 128 × 128 × 47 voxel format (voxel size 1.7 × 1.7 × 3.1 mm). Prior to the acquisition of emission data, a 10 min transmission scan was carried out using a retractable rotating ⁶⁸Ga/⁶⁸Ge source with three rods to correct for tissue attenuation and background activity. For each scan, 259 MBq of [¹⁵O]H₂O was automatically flushed intravenously in a bolus manner over a period of 15 s. The total radioactive dose per patient was less than 1 mSv. The scanning was started manually 1 s after the initial increase in head counts and was continued for 90 s. The arterial blood was sampled automatically throughout the scanning period using a Pico-Count flow-through radioactivity monitor (Bioscan Inc., Washington, DC, USA). Absolute rCBF images were produced based on the arterial time activity data obtained using an autoradiographic method (Herscovitch *et al*, 1983; Raichle *et al*, 1983).

Data analysis

The PET images were analysed using the Statistical Parametric Mapping 2 (SPM2) software (Wellcome Department of Cognitive Neurology, London, UK; <http://www.fil.ion.ucl.ac.uk/spm>) implemented in MATLAB version 6.5 (MathWorks, Inc., Sherborn, Massachusetts, USA) for Windows XP on a personal computer.

Spatial normalisation was employed to fit each individual brain to a standard template brain in a three-dimensional space to correct for differences in the brain size and shape and to facilitate inter-individual averaging. The stereotaxically normalised scans contained 68 planes (voxel size 2 × 2 × 2 mm) and a final image with a resolution of 17 × 17 × 20 mm was produced by smoothing with a 10 mm Gaussian kernel. Since SPM2 uses a standard brain from the Montreal Neurological Institute, Canada, the precise anatomical localisations of significant changes were indicated in accordance with the atlas of Talairach & Tournoux (1988) using a numerical transformation formula. Global cerebral blood flow (gCBF) was calculated as the sum of the grey-matter blood flow, including that of the region of interest after spatial normalisation. First, the absolute gCBF rates during, before and after ECT as well as during wakefulness were analysed and compared in this study; global normalisation with proportional scaling was then used to compare the relative changes in the rCBF rate.

After specifying the appropriate design matrix, the condition of each voxel in each patient was assessed in accordance with the theory of Gaussian fields. The exact significance level of the difference between the conditions was characterised by the peak amplitude. In this study we focused on the cluster level to detect significantly different regions because our sample size was too small to be analysed by the random field theory, and such an analysis would lead to type II errors (false negative). Since we had some data on the neural mechanism of action of ECT (Bajc *et al*, 1989; Blumenfeld *et al*, 2003), we performed *a priori* studies. In general, the significance level was thresholded at $P < 0.05$ with a false discovery rate correction (Genovese *et al*, 2002), and the minimum cluster size (k) was set at 100 voxels. Finally, the resulting T -values were converted to Z -scores for interpretation.

In order to compare the physiological variables and the gCBF, one-way analysis of variance was performed followed by Bonferroni's multiple comparison test.

RESULTS

We successfully performed a total of 16 scans at rest, 18 scans pre-ECT under anaesthesia, 6 scans during ECT (generalised seizures) and 15 scans post-ECT.

The physiological variables are listed in Table 1. The systolic blood pressure values increased during ECT compared with pre-ECT values ($P=0.042$). Other variables showed no significant change across the four different states (at rest, pre-ECT, during ECT and post-ECT).

The gCBF decreased significantly when the patients were under propofol anaesthesia compared with when they were awake: mean 45.1 ml/100 g per min (s.d.=5.5) *v.* mean 20.5 ml/100 g per min (s.d.=4.8); $P=0.0001$. During ECT of generalised seizures, the gCBF value increased significantly compared with the baseline pre-ECT values: mean 37.5 ml/100 g per min (s.d.=8.9) during ECT; $P=0.0001$. Approximately 10–30 min post-ECT the gCBF value returned to the baseline pre-ECT values: mean 21.2 ml/100 g per min (s.d.=4.7); $P=1.0$. The averaged images obtained at each stage are shown in Fig. 1.

In order to clarify the neural systems related to the acute effects of ECT, we examined the distribution patterns of the rCBF in patients at rest, pre-ECT, during ECT and post-ECT. Compared with the baseline pre-ECT values under anaesthesia, during ECT the relative rCBF significantly increased in the basal ganglia, midbrain, pontine tegmentum, thalamus, amygdala, hypothalamus and vermis as well as in the inferior frontal, parietal and temporal cortices (Fig. 2, Table 2). Identical trends were observed when the values were compared with the relative rCBF at rest (data not shown).

Table 1 Physiological variables

	Awake	Pre-ECT	During ECT	Post-ECT
Heart rate, beats/min: mean (s.d.)	64 (5)	63 (7)	67 (8)	61 (13)
Systolic blood pressure, mmHg: mean (s.d.)	128 (14)	115 (7)	136 (10)*	123 (14)
Diastolic blood pressure, mmHg: mean (s.d.)	77 (6)	73 (9)	85 (6)	78 (11)
Paco ₂ , mmHg: mean (s.d.)	44 (3)	39 (5)	41 (6)	37 (4)

ECT, electroconvulsive therapy; Paco₂, arterial carbon dioxide tension.

* $P < 0.05$ compared with pre-ECT values.

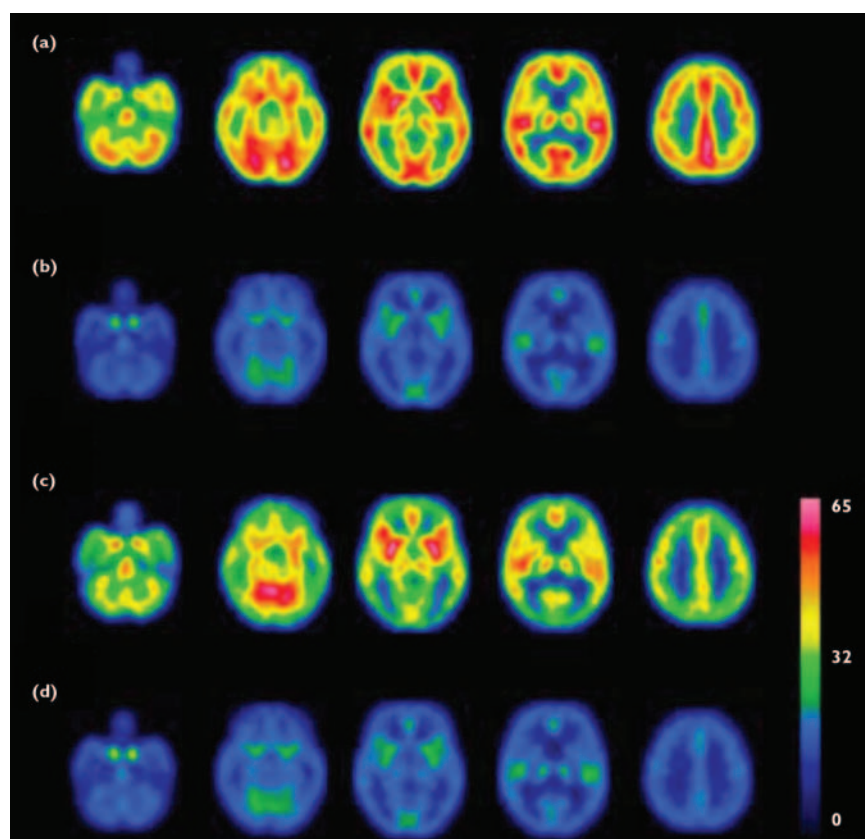


Fig. 1 The averaged positron emission tomography images of the regional cerebral blood flow (rCBF) include those of (a) 16 scans at rest, (b) 18 scans before electroconvulsive therapy (ECT), (c) 6 scans during ECT and (d) 15 scans post-ECT. The brain slices are oriented in the plane of the Talairach atlas; the distances (mm) above or below the anterior–posterior commissure line are -34 , -18 , -2 , 14 and 38 , from left to right. The right side of the brain is depicted by the right side of each slice. The colour scale on the right side of the figure indicates the degree of the rCBF (ml/100 g per min).

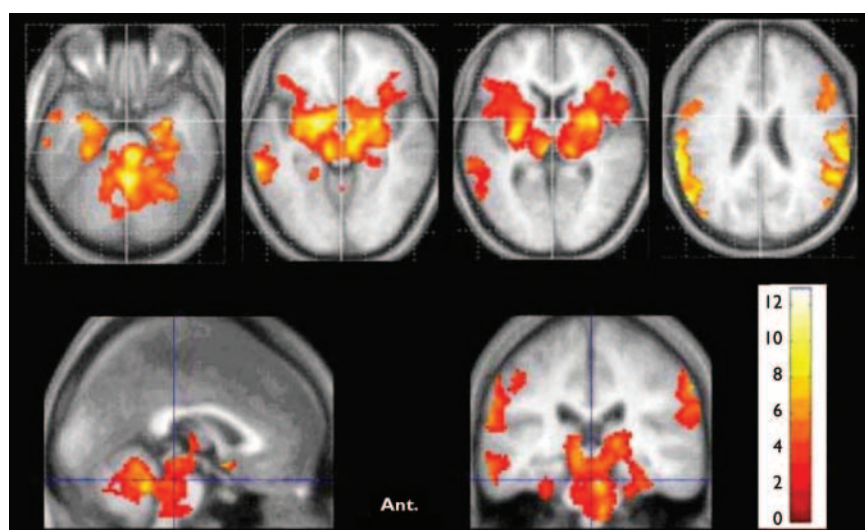


Fig. 2 Upper row: transverse sections of the brain areas with significantly higher relative regional cerebral blood flow values during electroconvulsive therapy (ECT) than the pre-ECT value. The brain slices are oriented in the plane of the Talairach atlas; the distances (mm) above or below the anterior–posterior commissure line are -24 , -6 , 6 and 24 , from left to right. The right side of the brain is depicted by the right side of each slice. Lower row: left side, sagittal section; right side, coronal section. The colour scale on the right side of the figure indicates the T-value (Ant., anterior).

Post-ECT, the rCBF increased in the thalamus and decreased in the anterior cingulate (Brodmann's areas 24 and 32) and dorsolateral and medial frontal cortices (Brodmann's areas 6, 8, 9, 10 and 11) compared with the pre-ECT rCBF (Fig. 3).

DISCUSSION

In this study, we were able to completely induce generalised seizures in all six patients in a safe and reliable manner by using ECT; we also examined the temporal changes in the cerebral blood flow using [^{15}O]H $_2$ O PET scanning. We observed changes in the absolute values of the gCBF and the relative values of the rCBF during the same session of ECT.

Previous research

Thus far, the acute effects of ECT or generalised seizures on cerebral blood flow have been examined in a limited number of human studies by using the xenon-133 inhalation method, PET and SPECT (Bajc *et al*, 1989; Nobler *et al*, 1994; Scott *et al*, 1994). Previous reports have revealed that the cerebral blood flow or cerebral glucose uptake increases during ECT or generalised seizures (Engel *et al*, 1982; Bajc *et al*, 1989; Theodore *et al*, 1996) and decreases after ECT (Nobler *et al*, 1994; Scott *et al*, 1994).

With regard to the regional distribution, blood flow increased in the frontal cortex, temporal cortex and basal ganglia and decreased in the parietal or occipital cortex during ECT (Bajc *et al*, 1989). Moreover, the rCBF decreased in the inferior anterior cingulate cortex 45 min after ECT (Scott *et al*, 1994). One PET study revealed that the global cerebral metabolic rate increased during an ECT-induced seizure and reduced post-ictally. The pattern of post-ictal hypometabolism was more prominent in the cortical structures than in the grey-matter structures (Engel *et al*, 1982). Further, only one group of researchers serially measured cerebral blood flow by using [^{15}O]H $_2$ O PET in chemically induced seizures in people with epilepsy. They demonstrated that in two patients with generalised tonic–clonic seizures, the CBF increased, particularly in the thalamus (Theodore *et al*, 1996). More recently, Blumenfeld *et al* (2003) reported that the focal regions of the frontal and parietal association cortices show the greatest relative signal increase in SPECT during ECT.

Table 2 Local statistical maxima in the pattern of increased cerebral blood flow before v. during electroconvulsive therapy

Cluster level, <i>k</i> (corrected <i>p</i>)	Anatomical region	BA	Coordinates ¹			Z
			<i>x</i>	<i>y</i>	<i>z</i>	
22824 (0.000)	L Globus pallidus		-18	-6	-6	6.35
	L Putamen		-28	-10	4	6.29
	R Globus pallidus		20	-8	-1	5.97
	R Claustrum		34	-2	7	5.82
	L Amygdala		-28	-4	-10	5.73
	Vermis		0	-46	-18	5.38
	R Precentral gyrus	44	51	2	11	5.17
	L Subthalamic nucleus		-10	-21	-2	5.17
	L Hypothalamus		-6	5	-9	5.15
	R Caudate		12	12	-1	5.15
	R Putamen		28	-2	-5	5.13
	Pons		6	-28	-19	5.07
	R Thalamus		16	-23	5	5.04
	R Subthalamic nucleus		12	-16	-4	5.04
	L Substantia nigra		-8	-26	-5	4.95
	R Red nucleus		8	-22	-4	4.91
	R Postcentral gyrus	43	55	-18	19	4.90
	L Thalamus		-6	-21	7	4.88
	R Amygdala		30	-3	-15	4.86
	R Postcentral gyrus	2	65	-23	36	4.80
L Caudate		-6	6	-4	4.75	
3432 (0.000)	L Middle temporal gyrus	21	-65	-37	-5	5.30
	L Inferior parietal lobule	40	-61	-28	29	5.20
	L Superior temporal gyrus	39	-55	-63	29	5.10
	L Superior temporal gyrus	22	-63	-32	15	4.63
	L Postcentral gyrus	40	-65	-24	18	4.52
	L Inferior temporal gyrus	20	-63	-28	-15	4.34
	L Postcentral gyrus	2	-63	-22	23	4.12
	L Superior temporal gyrus	38	-40	10	-31	3.98
126 (0.794)	L Superior temporal gyrus	38	-40	10	-31	3.98
173 (0.451)	R Uncus	20	30	2	-39	3.82
104 (0.925)	L Middle temporal gyrus	21	-55	5	-17	3.75

BA, Brodmann area; L, left; R, right.

1. Coordinates are defined in the stereotaxic presentation of Talairach & Tournoux (1988). When the midline is taken as the sagittal plane passing through the anterior commissure, the value *x* is the lateral distance from the midline (positive: right); *y* is its anteroposterior distance from the coronal plane passing through the anterior commissure (positive: anterior) and *z* is its superoinferior distance from the bicommissural plane (positive: superior).

Our finding of a significant increase in the gCBF during ECT and a decrease in the gCBF following ECT are in agreement with results reported previously (Engel *et al*, 1982; Bajc *et al*, 1989; Nobler *et al*, 1994; Blumenfeld *et al*, 2003). With regard to the regional distribution patterns, Bajc *et al* (1989) demonstrated relative increases in blood flow in the frontal and frontotemporal regions as well as in the basal ganglia in SPECT during ECT under anaesthesia. Our findings obtained during generalised ECT support these results. Further, the

high-resolution PET used in our study facilitated the observation of the blood flow changes during ECT in the subcortical structures. In our study the generalised seizures increased the cerebral blood flow, particularly in the basal ganglia and reticular formation. These results are similar to those obtained in a recent SPECT study (Blumenfeld *et al*, 2003) and are in agreement with the viewpoint that the reticular formation is involved in the generalisation of seizure activity (Fromm, 1991).

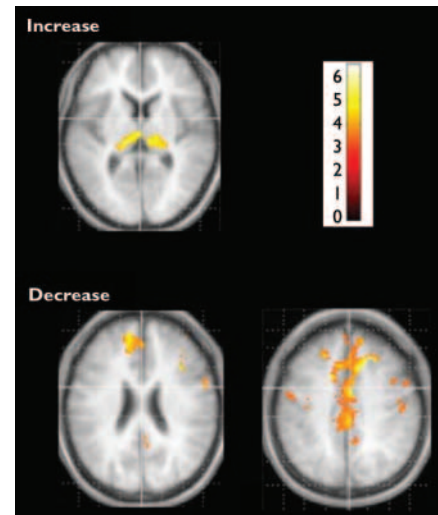


Fig. 3 Transverse sections of the brain areas with significantly higher (upper row) and lower regional cerebral blood flow (lower row) values following electroconvulsive therapy (ECT) compared with the pre-ECT value. The brain slices are oriented in the plane of the Talairach atlas; the distances (mm) above or below the anterior–posterior commissure line are 10 (upper row), 24 and 38 (lower row, from left to right). The right side of the brain is depicted by the right side of each slice. The colour scale on the right side of the figure indicates the *T*-value.

Importance of the centrencephalic system during generalised ECT

Approximately 50 years ago, Penfield & Jasper (1954) proposed that the centrencephalic system is involved in seizure generalisation. In contrast to the slow progression observed in the Jacksonian motor seizure, the sudden rapid generalisation observed in *grand mal* seizures does not appear to occur by the spread of excitation via the cortical circuits. These authors defined the centrencephalic system as ‘the neuronal system in the higher brain-stem that demonstrated a functional relationship with the two hemispheres’ (Penfield & Jasper, 1954). Although this system has been theoretically defined, the precise neural circuits of the centrencephalic system have not been clarified. Thus, our study in humans further supports this centrencephalic theory of seizure generalisation.

Since a chemically induced convulsion was also effective, generalised seizures of adequate duration appear to be closely related to the efficacy of ECT (Sackeim, 1994). Recent studies on deep brain stimulation and vagus nerve stimulation have demonstrated that the brain-stem structures

are closely related to the pathophysiology of depression (Bejjani *et al*, 1999; Rush *et al*, 2000). Thus, further studies on the centrencephalic system, including the brain-stem, are required to elucidate the mechanisms of action of ECT.

Cerebral blood flow in the post-ECT state

In our study, the rCBF level remained elevated in the thalamus and decreased in the anterior cingulate and medial frontal cortex following ECT. These results are in agreement with those reported previously with regard to the degree of rCBF after ECT or generalised tonic-clonic seizures in humans (Nobler *et al*, 1994; Scott *et al*, 1994; Theodore *et al*, 1996). Both the anterior cingulate and medial frontal cortex have long been thought to be involved in the pathophysiology of depression (see Drevets, 2000, for review). In particular, Nobler *et al* (1994) demonstrated that post-ictal blood flow reductions in anterior cortical regions were associated with a positive clinical response. This finding was in line with the hypothesis proposed by Sackeim (1999) that diminished activity in these regions might be a reflection of the anticonvulsant effect of ECT – that is, triggering endogenous brain processes to terminate generalised seizures. Furthermore, it is interesting to note that metabolic activity decreased in the anterior cingulate cortex after treatment with different classes of antidepressants (e.g. selective serotonin uptake inhibitors) and interpersonal therapy (Buchsbaum *et al*, 1997; Mayberg *et al*, 2000; Brody *et al*, 2001). In addition, we are inclined to speculate that the increased blood flow in the thalamus following ECT may also be related to the therapeutic effects of ECT because depression is characterised by symptoms of diencephalic disturbances (Carney & Sheffield, 1973; Abrams & Taylor, 1976). Although repeated ECT is generally necessary for ameliorating depressive symptoms, the antidepressant effects of ECT are probably associated with changes in blood flow in the anterior cingulate and medial frontal cortex and thalamus.

Study limitations

This study has several limitations, including the relatively small sample size. In addition, the age range of our patients was wide, and they were not all of the same gender. However, PET scans were obtained from each patient prior to, during and following

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ECT, and we carefully noted the time course of the changes in cerebral blood flow during ECT. Another limitation arises from the fact that the patients were receiving some medication. The effects of the medication on cerebral blood flow cannot be ruled out, although the patients had fasted for more than 16 h between the last intake of medication and the time of the experiment. Moreover, we think that there is a relationship between muscle tone and blood pressure, although vecuronium (a muscle relaxant) was used to minimise changes in muscle tone, and the blood pressure would not be directly influenced owing to the mechanism of autoregulation in the brain.

In conclusion, to our knowledge this is the first PET study that has serially measured cerebral blood flow during acute ECT. Our results suggest that acute ECT increases cerebral blood flow, particularly in the centrencephalic system, and one of the mechanisms of action of ECT may be related to the brain regions that include the anterior cingulate and medial frontal cortex and the thalamus.

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