

A NOTE ON PROXIMALITY IN $C(S \times T)$ WITH THE L_1 -NORM

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(Received 4th February 1985)

1. Introduction

Let S and T be compact Hausdorff spaces and G and H finite-dimensional subspaces of $C(S)$ and $C(T)$ respectively. Suppose μ and ν are regular Borel measures on S and T respectively such that $\mu(S) = \nu(T) = 1$. The product measure $\mu \times \nu$ will be denoted by σ . Set $U = G \otimes C(T)$, $V = C(S) \otimes H$ and $W = U + V$. If G and H possess continuous proximity maps, then U and V are proximal subspaces of $C(S \times T)$ when this linear space is equipped with the L_1 -norm, [4, Lemma 2]. That is, every $z \in C(S \times T)$ possesses at least one best approximation from U and from V . A metric selection $A_U: C(S \times T) \rightarrow U$ is a mapping which associates each $z \in C(S \times T)$ with one of its best approximations in U . The metric selection A_V is similarly defined. In [4] the behaviour of the Diliberto–Straus algorithm was investigated. For a given $z \in C(S \times T)$ this algorithm generates a sequence $\{z_n\}$ by taking $z_1 = z$ and setting

$$\begin{aligned} z_{2n} &= z_{2n-1} - A_U z_{2n-1}, \\ z_{2n+1} &= z_{2n} - A_V z_{2n}, \end{aligned} \quad n = 1, 2, 3, \dots$$

Under suitable hypothesis on G, H, A_U, A_V and z it was established in [4] that $\|z_n\| \rightarrow \text{dist}(z, W)$. An essential ingredient of the proof was an application of the Ascoli Theorem to the sequence $\{z_n\}$. It is clear from the construction of this sequence that it is bounded in the L_1 -norm. However, the Ascoli Theorem requires boundedness in the supremum norm. This is not an obvious result and was only established implicitly by the discussion in [4]. In this note we establish a result which is sufficient to guarantee this boundedness explicitly. It also has applications to questions about proximality in $C(S \times T)$ with the L_1 -norm. These results parallel those of Respass and Cheney [6] in the same linear space with the usual supremum norm.

2. Notation and the basic result

We shall retain much of the notation of [4]. In particular unadorned norm symbols will always denote the L_1 -norm. Select bi-orthonormal bases $\{g_i, \phi_i\}_1^n$ for $\{h_i, \psi_i\}_1^n$ for G and H respectively, where each is equipped with the L_1 -norm. Assume that there are (supremum norm) continuous proximity maps $A_G: C(S) \rightarrow G$ and $A_H: C(T) \rightarrow H$. Then these can be extended by Lemma 2 of [4] to proximity maps $A_U: C(S \times T) \rightarrow U$ and $A_V: C(S \times T) \rightarrow V$ by taking $(A_U z)(s, t) = (A_G z^t)(s)$ and $(A_V z)(s, t) = (A_H z_s)(t)$. Here z^t, z_s are

the sections of z defined by $z'(s) = z(s, t)$ and $z_s(t) = z(s, t)$. We shall need to assume that $A_U(z + u) = A_U z + u$ for all $z \in C(S \times T)$ and $u \in U$ with a similar assumption for A_V . These requirements are met if A_G and A_H satisfy the corresponding properties (i.e. for A_G , for example, we have $A_G(x + g) = A_G x + g$ for all $x \in C(S)$ and $g \in G$).

Definition 2.1.

- (i) A sequence $\{z_k\}$ in $C(S \times T)$ is said to be admissible if there is some $z \in C(S \times T)$ such that $z - z_k \in W$ for all $k \in \mathbb{N}$, and $\|z_k\| \leq \|z\|$ for all k .
- (ii) Let $\{z_k\}$ be a sequence in $C(S \times T)$. Then its derived sequence $\{z'_k\}$ is defined by $z'_k = (I - A_V)(I - A_U)z_k$, $k \in \mathbb{N}$.

Lemma 2.2. *There exists a constant c such that each element $w \in W$ has a representation $w = u + v$, with $u \in G \otimes C(T)$, $v \in C(S) \otimes H$ and $\|u\| + \|v\| \leq c\|w\|$.*

Proof. Take $w \in W$. Then w is in the larger space $G \otimes L_1(T) + L_1(S) \otimes H$ which is closed in $L_1(S \times T)$, [3, Lemma 4.2]. Hence there is a constant c such that each element $w \in W$ can be written $w = u + v$ where $u \in G \otimes L_1(T)$, $v \in L_1(S) \otimes H$ and $\|u\| + \|v\| \leq c\|w\|$. Now we claim $u \in U$, $v \in V$. It will suffice to show $u \in G \otimes C(T)$. Write $u = \sum g_i y_i$ and $v = \sum x_i h_i$ with $x_i \in L_1(S)$ and $y_i \in L_1(T)$. Then

$$\|x_i\| = \int |x_i(s)| ds = \int |\psi_i(v_s)| ds \leq \int \|v_s\| ds = \|v\|.$$

Also,

$$\begin{aligned} \|v^t - v^\tau\| &= \left\| \sum x_i [h_i(t) - h_i(\tau)] \right\| \\ &\leq \sum \|x_i\| |h_i(t) - h_i(\tau)| \leq \|v\| \sum |h_i(t) - h_i(\tau)|. \end{aligned}$$

Now

$$\begin{aligned} |y_i(t) - y_i(\tau)| &= |\phi_i(u^t - u^\tau)| \\ &= |\phi_i(w^t - v^t - w + v^\tau)| \\ &\leq \|w^t - w^\tau\| + \|v^t - v^\tau\| \\ &\leq \|w^t - w^\tau\|_\infty + \|v\| \sum |h_i(t) - h_i(\tau)|. \end{aligned}$$

This inequality demonstrates the continuity of y_i and hence of u . □

Lemma 2.3. *Suppose $z_1 \in C(S \times T)$ and $z_1 = z - u - v$ for some $u \in U$, $v \in V$, where $\|u\| + \|v\| \leq c\|z\|$. If $A_V z_1 = 0$ then $\|v\|_\infty \leq cM\|z\|_\infty$ while if $A_U z_1 = 0$ then $\|u\|_\infty \leq cM\|z\|_\infty$. Here $M = 4 \sum \|h_i\|_\infty \sum \|g_i\|_\infty$.*

Proof. We consider the case $A_U z_1 = 0$, the other case being similar. Set $u = \sum g_i y_i$ and $v = \sum x_i h_i$. Then

$$\|x_i\| = \int |x_i(s)| ds = \int |\psi_i(v_s)| ds \leq \int \|v_s\| ds = \|v\| \leq c\|z\| \leq c\|z\|_\infty.$$

Also

$$\|v'\| = \|\sum h_i(t) x_i\| \leq \sum \|h_i(t)\| \|x_i\| \leq c\|z\|_\infty \sum \|h_i\|_\infty.$$

Now $0 = A_U z_1 = A_U(z - v) - u$, and so $u = A_U(z - v)$ and $u' = A_G(z' - v')$. Thus

$$\begin{aligned} |y_i(t)| &= |\phi_i(u')| \leq \|u'\| \leq 2\|z' - v'\| \leq 2\|z'\| + 2\|v'\| \\ &\leq 2\|z\|_\infty + 2c\|z\|_\infty \sum \|h_i\|_\infty. \end{aligned}$$

This gives

$$\begin{aligned} \|u\|_\infty &\leq \sum \|g_i\|_\infty \|y_i\|_\infty \leq (2\|z\|_\infty + 2c\|z\|_\infty \sum \|h_i\|_\infty) \sum \|g_i\|_\infty \\ &\leq 4c\|z\|_\infty \sum \|h_i\|_\infty \sum \|g_i\|_\infty = cM\|z\|_\infty. \end{aligned} \quad \square$$

Theorem 2.4. Let $\{z_k\}$ be an admissible sequence in $C(S \times T)$ and let $\{z'_k\}$ be its derived sequence. Then there is a constant M such that $\|z'_k\|_\infty \leq M$ for all $k \in \mathbb{N}$.

Proof. Since $\{z_k\}$ is admissible we may write $z - z_k = w$ where $w \in W$ and by 2.2 we may set $w = u + v$ where

$$\|u\| + \|v\| \leq c\|w\| \leq c\|z - z_k\| \leq 2c\|z\| \leq 2c\|z\|_\infty.$$

Now set $u^* = A_U(z - v)$ and $v^* = A_V(z - u^*)$. Then

$$z_k - A_U z_k = z_k - A_U(z - u - v) = z - u - v + u - A_U(z - v) = z - u^* - v.$$

Also

$$z'_k = z - u^* - v - A_V(z - u^* - v) = z - u^* - v + v - A_V(z - u^*) = z - u^* - v^*.$$

Now

$$\|u^*\| \leq 2\|z - v\| \leq 2(1 + c)\|z\| \leq 4c\|z\|$$

and,

$$\|v^*\| \leq 2\|z - u^*\| \leq 2(1 + 4c)\|z\|,$$

so that,

$$\|u^*\| + \|v^*\| \leq 14c\|z\|.$$

Since $A_V z'_k = 0$ we can apply 2.3 to get $\|v^*\|_\infty \leq 14cM\|z\|_\infty$. Similarly

$$\|u^*\| + \|u\| \leq 6c\|z\|$$

and $A_U(z - u^* - v) = 0$. Thus 2.3 again gives $\|u^*\|_\infty \leq 6cM\|z\|_\infty$. Finally

$$\|z'_k\|_\infty \leq \|z\|_\infty + \|u^*\|_\infty + \|v^*\|_\infty \leq 21cM\|z\|_\infty. \quad \square$$

If we now return to the algorithm we see that

$$z_{2n+1} = z_{2n} - A_V z_{2n} = z_{2n-1} - A_U z_{2n-1} - A_V(z_{2n-1} - A_U z_{2n-1}) = (I - A_V)(I - A_U)z_{2n-1}.$$

This shows that the odd iterates in the algorithm are members of the sequence derived from the odd iterates (except, of course for z_1). Similarly the even iterates are derived from the previous ones by

$$z_{2n+2} = (1 - A_U)(I - A_V)z_{2n}.$$

Thus 2.4 and its symmetric counterpart give:

Corollary 2.5. *The iterates in the L_1 -version of the Diliberto–Straus algorithm in $C(S \times T)$ are bounded in the supremum norm.*

We now consider the question of proximality and obtain the analogue of the “Sitting Duck Theorem” of Respass and Cheney [6].

3. Proximality in $C(S \times T)$ with the L_1 -norm

We shall continue with the notation established in Section 2 with two additional hypotheses. Firstly, we shall assume that G has a Lipschitz continuous proximity map. Thus we shall assume that there is a constant λ such that $\|A_G x_1 - A_G x_2\|_\infty \leq \lambda \|x_1 - x_2\|_\infty$ for all x_1, x_2 in $C(S)$. Secondly, we shall assume H possesses a continuous proximity map with respect to the supremum norm. This has the force of making the mapping from U to V defined by $u \rightarrow A_V(z - u)$ continuous for fixed z in $C(S \times T)$; see Lemma 3 of [4] for details.

Lemma 3.1. *Let $\{z_k\}$ be an admissible sequence in $C(S \times T)$. Let $\{z'_k\}$ be its derived sequence and let $\{z''_k\}$ be the derived sequence of $\{z'_k\}$. Then $\{z''_k\}$ is a bounded, equicontinuous sequence in $C(S \times T)$.*

Proof. The sequence $\{z'_k\}$ is certainly admissible and so 2.4 shows that both $\{z'_k\}$ and

$\{z''_k\}$ are bounded. From the proof of 2.4 we may write

$$z'_k = z - u_k - v_k \quad \text{where} \quad \|u_k\|_\infty \leq M \quad \text{and} \quad \|v_k\|_\infty \leq M$$

$$z''_k = z - a_k - b_k \quad \text{where} \quad \|a_k\|_\infty \leq M, \|b_k\|_\infty \leq M$$

and

$$a_k = A_U(z - v_k), \quad b_k = A_V(z - a_k).$$

Now,

$$\begin{aligned} |a_k(s_1, t_1) - a_k(s, t)| &\leq |a_k(s_1, t_1) - a_k(s_1, t)| + |a_k(s_1, t) - a_k(s, t)| \\ &\leq \|a_k^{t_1} - a_k^t\|_\infty + \|(a_k)_{s_1} - (a_k)_s\|_\infty \\ &= \|A_G(z - v_k)^{t_1} - A_G(z - v_k)^t\|_\infty + \|(a_k)_{s_1} - (a_k)_s\|_\infty \\ &\leq \lambda \|z^{t_1} - z^t\|_\infty + \lambda \|v_k^{t_1} - v_k^t\|_\infty + \|(a_k)_{s_1} - (a_k)_s\|_\infty. \end{aligned}$$

Now set $v_k = \sum x_i h_i$ and $a_k = \sum g_i y_i$. Then

$$|x_i(s)| = |\psi_i(v_s)| \leq \|v_s\| \leq \|v\|_\infty \leq M$$

and so

$$\|v_k^{t_1} - v_k^t\|_\infty \leq \sum \|x_i\|_\infty |h_i(t_1) - h_i(t)| \leq M \sum |h_i(t_1) - h_i(t)|.$$

In a similar manner,

$$\|(a_k)_{s_1} - (a_k)_s\|_\infty \leq \sum \|y_i\|_\infty |g_i(s_1) - g_i(s)| \leq M \sum |g_i(s_1) - g_i(s)|.$$

Hence

$$|a_k(s_1, t_1) - a_k(s, t)| \leq \lambda \|z^{t_1} - z^t\|_\infty + \lambda M (\sum |h_i(t_1) - h_i(t)| + \sum |g_i(s_1) - g_i(s)|).$$

Now the sections $\{z^t : t \in T\}$ form an equicontinuous family in $C(S)$ and so given ε we may force the three terms on the right to be each at most $\varepsilon/3$ by taking (s_1, t_1) sufficiently close to (s, t) . Hence the $\{a_k\}$ form an equicontinuous family in $C(S \times T)$. Thus $\{z - a_k\}$ is also an equicontinuous family and so, since A_V is a continuous mapping, $\{b_k\}$ forms an equicontinuous family. Finally, these combine to give $\{z''_k\}$ equicontinuous. □

One of the results from [6] which is always needed in this type of problem is that the subspace W is closed. This only rests on the finite-dimensionality of G and H .

Theorem 3.2. *Let G be a finite dimensional subspace of $C(S)$ having a Lipschitz continuous proximity map. Let H be a finite dimensional subspace of $C(T)$ having a continuous proximity map. Then $C(S) \otimes H + G \otimes C(T)$ is proximal in $C(S \times T)$ when the L_1 -norm is employed.*

Proof. Fix $z \in C(S \times T)$ and pick a minimising sequence $\{w_k\}$ in $W = C(S) \otimes H + G \otimes C(T)$ such that $\|z - w_k\| \downarrow \text{dist}(z, W)$. Set $z_k = z - w_k$. Then $\{z_k\}$ is an admissible sequence. Let its derived sequence be $\{z'_k\}$ and the derived sequence of $\{z'_k\}$ be $\{z''_k\}$. Then we have $\|z''_k\| \leq \|z'_k\| \leq \|z_k\|$, and so $\{z''_k\}$ is also a minimising sequence. It is also bounded and equicontinuous and so, by the Ascoli theorem, has a cluster point. This cluster point is in W , since W is closed, and is a best approximation to z from W . \square

It is easy to provide examples of the above result. If G is a one-dimensional subspace generated by a function g which is bounded away from zero then the proximity map A_G is Lipschitz continuous. If H is a Chebyshev subspace of $C(T)$ with respect to the L_1 -norm (i.e. each element y possesses a unique best L_1 -approximation in H) then an old result (see, for example, Holmes [1]) guarantees that A_H is continuous.

Corollary 3.3. *Let G be a one-dimensional subspace of $C(S)$ generated by a function which is bounded away from zero. Let H be a finite dimensional Chebyshev subspace of $C(T)$ with respect to the L_1 -norm. Then $C(S) \otimes H + G \otimes C(T)$ is proximal in $C(S \times T)$ under the L_1 -norm.*

An example of subspaces G and H which satisfy the conditions of 3.3 are π_0 and π_n respectively, where π_n denotes the subspace of $C(S)$ or $C(T)$ consisting of polynomials of degree at most n . That A_G is Lipschitz may be found in [5] while the Chebyshev property of H is an old result of Jackson [2].

Corollary 3.4. *The subspace $C(S) \otimes \pi_n + \pi_0 \otimes C(T)$ is proximal in $C(S \times T)$ under the L_1 -norm.*

This result includes a result from [5] which stated that $C(S) + C(T)$, which is of course shorthand for $C(S) \otimes \pi_0 + \pi_0 \otimes C(T)$, is proximal in $C(S \times T)$ when the L_1 -norm is used.

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