

# An Elementary Proof of a Weak Exceptional Zero Conjecture

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*Abstract.* In this paper we extend Darmon’s theory of “integration on  $\mathcal{H}_p \times \mathcal{H}^c$ ” to cusp forms  $f$  of higher even weight. This enables us to prove a “weak exceptional zero conjecture”: that when the  $p$ -adic  $L$ -function of  $f$  has an exceptional zero at the central point, the  $\mathcal{L}$ -invariant arising is independent of a twist by certain Dirichlet characters.

## 1 Introduction

Let  $f$  be a cusp form for  $\Gamma_1(N)$  of weight  $k$  and character  $\epsilon$  which is an eigenform for the Hecke operator  $T_p$ . In their paper [MTT], Mazur, Tate and Teitelbaum define, using modular symbols, a  $p$ -adic  $L$ -function  $L_p(f, \chi, s)$ . Here  $\chi$  is a Dirichlet character, and  $s \in \mathbb{Z}_p$ . Their  $p$ -adic  $L$ -function interpolates the usual complex  $L$ -function: to be precise, we have an equation (in a suitable  $\mathbb{C}_p$ -vector space  $V_f$ )

$$(1) \quad L_p(f, \omega^j \chi, j) = e_p(\alpha, \chi, j) K(\chi, j) L(f_\chi, j + 1)$$

for  $0 \leq j \leq k - 2$ , where  $\omega$  is the Teichmüller character,  $\chi$  is a Dirichlet character,  $K(\chi, j)$  is a nonzero complex number and  $e_p(\alpha, \chi, j) \in \mathbb{Q}$  is the  $p$ -adic multiplier. Here  $\alpha$  is an ‘allowable’ root of the equation  $X^2 - a_p X + \epsilon(p)p^{k-1} = 0$ , where  $T_p f = a_p f$ .

$L_p$  is said to have an exceptional zero when the  $p$ -adic multiplier is zero.

In particular, suppose  $f$  is a newform for  $\Gamma_0(N)$  of even weight  $k$  and level  $N$  where  $p \parallel N$ , and suppose  $T_p f = wp^{\frac{k-2}{2}} f$  for some  $w = \pm 1$  (where  $T_p = U_p$  is the Hecke operator at  $p$ ). Now the only allowable root is  $a_p = wp^{\frac{k-2}{2}}$ . Then there is an exceptional zero at the central point  $j = \frac{k-2}{2}$  for any Dirichlet character  $\chi$  satisfying  $\chi(p) = w$ .

Mazur, Tate and Teitelbaum conjectured that the exceptional zero is “of local type”, meaning that there is an equation

$$(2) \quad L'_p(f, \omega^{\frac{k-2}{2}} \chi, t)|_{t=\frac{k-2}{2}} = \mathcal{L}_p(f, \chi) K(\chi, j) L(f_\chi, k/2)$$

where the  $L$ -invariant  $\mathcal{L}_p(f) = \mathcal{L}_p(f, \chi)$  is independent of the choice of  $\chi$ . It was hoped that the  $L$ -invariant could be defined explicitly using only the  $p$ -adic Galois representation  $V_p(f)$  as a representation of the local Galois group  $\text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p)$ .

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Such an explicit definition was later made by Fontaine and Mazur. There were also definitions of  $\mathcal{L}_p(f)$  made by Teitelbaum and Coleman. It has now been shown that these values agree when they are all defined.

Then the full exceptional zero conjecture/theorem states, for such an explicitly defined  $\mathcal{L}_p(f)$ :

**Theorem (Kato, Kurihara, Tsuji/Stevens)** Equation (2) is satisfied with  $\mathcal{L}_p(f, \chi) = \mathcal{L}_p(f)$  for any Dirichlet character  $\chi$  of conductor prime to  $N$  satisfying  $\chi(p) = w$ .

This has been proved by Kato, Kurihara and Tsuji, and independently by Stevens, using deep methods from arithmetic geometry.

The aim of this paper is to give a more elementary proof of the following weaker statement:

**Proposition** There exist constants  $\mathcal{L}_p^{w_\infty}(f) \in \mathbb{C}_p$  for  $w_\infty = \pm 1$  such that (2) holds with  $\mathcal{L}_p(f, \chi) = \mathcal{L}_p^{w_\infty}(f)$  for any Dirichlet character  $\chi$  of conductor prime to  $N$  satisfying  $\chi(p) = w$  and  $\chi(-1) = w_\infty$ .

This has been done in the first part of Darmon’s paper [Dar] for the case  $k = 2$  (see the remark in Section 3.2 of [Dar]), and his proof is extended here to the higher weight case.

The idea of this method is to construct two cohomology classes  $lc_f$  and  $oc_f$  in the group  $H^1(\Gamma, \mathcal{M}_{k-2})$  where  $\mathcal{M}_{k-2}$  is a space of  $\mathbb{C}_p$ -valued modular symbols, and  $\Gamma \subset \text{PSL}_2(\mathbb{Q})$ . The class  $lc_f$  will interpolate values of  $L'_p$  and the class  $oc_f$  will interpolate values of  $L_\infty$ . By showing that the two classes are contained in the same one-dimensional Hecke eigenspace, an equation like (2) will be obtained.

These cohomology classes will be obtained by interpreting cusp forms for  $\Gamma_0(N)$  which are new at  $p$  as cusp forms on  $\mathcal{E}(\mathcal{T}) \times \mathcal{H}$  for the group  $\Gamma \subset \text{PSL}_2(\mathbb{Q})$ , where  $\mathcal{H}$  is the complex upper half plane and  $\mathcal{T}$  is the Bruhat-Tits tree of  $\text{PGL}_2(\mathbb{Q}_p)$ .

To be more precise:

Let  $N = Mp$  where  $M$  and  $p$  are coprime.

$$R := \{\gamma \in M_2(\mathbb{Z}[1/p]) : c \equiv 0 \pmod{M}\}$$

Let  $\Gamma \subset \text{PSL}_2(\mathbb{Q})$  be the image of the set of elements of  $R$  of determinant 1.

We can interpret cusp forms for  $\Gamma_0(N)$  which are new at  $p$  as cusp forms on  $\mathcal{E}(\mathcal{T}) \times \mathcal{H}$  for  $\Gamma$ . Such a form consists of a set of forms  $f_e$ , one for each (oriented) edge of  $\mathcal{T}$ , such that  $f_e$  is a cusp form for  $\Gamma_e := \text{Stab}_\Gamma(e)$ , related by a  $\Gamma$ -invariance property.  $f$  will be taken to correspond to a newform  $f_0$ .

We define a modular symbol  $\kappa_f$  lying in  $C^{\text{har}}(\text{Hom}(\mathbb{D}_0, V_{k-2}(\mathbb{C})))^\Gamma$ , where  $V_{k-2}(\mathbb{C}) = \text{Hom}(\mathcal{P}_{k-2}, \mathbb{C})$ ,  $\mathcal{P}_{k-2}$  is the space of polynomials of degree  $\leq k - 2$  with coefficients in  $\mathbb{Z}$ ,  $\mathbb{D}_0$  is the space of divisors of degree zero on  $\mathbb{P}^1(\mathbb{Q})$  and  $C^{\text{har}}(\mathbb{C})$  denotes the space of harmonic cocycles with values in  $\mathbb{C}$ .

This can be written in terms of modular symbols as in [MTT], and by symmetrizing or antisymmetrizing the modular symbols and dividing by a period, you can get a symbol with algebraic values, written  $\kappa_f^{w_\infty}\{x \rightarrow y\}(e)(P)$  for  $x, y \in \mathbb{P}^1(\mathbb{Q})$ ,  $e \in \mathcal{E}(\mathcal{T})$  and  $P \in \mathcal{P}_{k-2}$ .

It is harmonic in  $e$ , with sufficiently bounded growth to define a distribution on  $\mathbb{P}^1(\mathbb{Q}_p)$  with

$$\int_{U(e)} P(z) d\mu_f\{x \rightarrow y\}(z) = \kappa_f\{x \rightarrow y\}(e)(P).$$

In Darmon’s case  $\kappa_f^{w_\infty}$  takes integer values, so this distribution is in fact a measure, and he can also define a multiplicative integral.

Now Darmon defines a double multiplicative integral,

$$\int_{z_1}^{z_2} \int_x^y \omega := \int_{\mathbb{P}^1(\mathbb{Q}_p)} \left( \frac{t - z_2}{t - z_1} \right) d\mu_{f, \text{Dar}}\{x \rightarrow y\}(t)$$

where  $z_i \in \mathcal{H}_p$  and  $x, y \in \mathbb{P}^1(\mathbb{Q})$ .

In the general even weight case,  $\mu_f\{x \rightarrow y\}$  is only a tempered distribution of order  $\frac{k-2}{2}$  (in the sense of [Col]). So we no longer have a multiplicative double integral, but choosing a branch of the  $p$ -adic logarithm such that  $\log_p(p) = 0$  we can still define an additive double integral:

$$\int_{z_1}^{z_2} \int_x^y (P)\omega := \int_{\mathbb{P}^1(\mathbb{Q}_p)} \log_p \left( \frac{t - z_2}{t - z_1} \right) P(t) d\mu_f^{w_\infty}\{x \rightarrow y\}(t).$$

We choose an embedding  $\Psi: K = \mathbb{Q} \times \mathbb{Q} \rightarrow M_2(\mathbb{Q})$ ,  $\gamma_\Psi$  a generator of  $\tilde{\Psi}(K^*) \cap \Gamma$ ,  $x_\Psi, y_\Psi \in \mathbb{P}^1(\mathbb{Q})$  the fixed points of  $\tilde{\Psi}(K^*)$ .

Darmon defines a ‘period’,

$$I_\Psi := \int_z^{\gamma_\Psi z} \int_{x_\Psi}^{y_\Psi} \omega$$

It can be shown that  $I_\Psi \in \mathbb{Q}_p^*$ ,  $\text{ord}_p(I_\Psi)$  is related to values of complex  $L$ -functions at 1, and  $\log_p(I_\Psi)$  is related to values of the derivative of the  $p$ -adic  $L$ -function.

In our situation we no longer have  $I_\Psi$ , but we still have values  $LI_\Psi$  and  $W_\Psi$  corresponding to  $\log_p(I_\Psi)$  and  $\text{ord}_p(I_\Psi)$  respectively and their values are still related to the complex and  $p$ -adic  $L$ -functions.

The period  $I_\Psi$  was a special value of a cocycle  $c_f$  in  $H^1(\Gamma, \mathcal{M}(\mathbb{C}_p^*))$ , where the space of modular symbols is defined by  $\mathcal{M}(C) := \text{Hom}_{\mathbb{Z}}(\mathbb{D}_0, C)$ . By showing that  $\log_p c_f$  and  $\text{ord}_p c_f$  belong to the same one-dimensional  $\mathbb{C}_p$ -subspace of  $H^1(\Gamma, \mathcal{M}(\mathbb{C}_p))$ , the result is obtained for  $k = 2$ .

Similarly, for  $k > 2$ , we still have cocycles  $lc_f$  and  $oc_f$  corresponding to  $\log_p c_f$  and  $\text{ord}_p c_f$  which are in the same one-dimensional Hecke eigenspace of  $H^1(\Gamma, \mathcal{M}_{k-2})$  where  $\mathcal{M}_{k-2} = \mathcal{M}(V_{k-2}(\mathbb{C}_p))$ .

## 2 Cusp Forms on the Tree

### 2.1 Definitions

Let  $k$  be an even positive integer, and  $N = Mp$  where  $p$  is a prime not dividing  $M$ . Let

$$R := \left\{ \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbb{Z}[1/p]) : c \equiv 0 \pmod{M} \right\}$$

Let  $\Gamma \subset \text{PSL}_2(\mathbb{Q})$  be the image of the set  $R_1^*$  of elements of  $R$  of determinant 1.

Let  $\tilde{\Gamma} \subset \text{PGL}_2(\mathbb{Q})$  be the image of the set  $R_+^*$  of invertible elements of  $R$  with positive determinant.

$\mathcal{T}$  will be the Bruhat-Tits tree of  $\text{PGL}_2(\mathbb{Q}_p)$ , with a fixed vertex  $v_*$  corresponding to the class of the lattice  $\mathbb{Z}_p \oplus \mathbb{Z}_p$  and a fixed edge  $e_*$  with source  $v_*$  and target corresponding to the class of  $\mathbb{Z}_p \oplus p\mathbb{Z}_p$ . Vertices will be called *odd* or *even* according to the parity of their distance from  $v_*$  (so  $v_*$  is even). Edges will be called odd or even according to the parity of their source vertex (so  $e_*$  is even). Then  $\Gamma$  acts on the tree, with  $\text{Stab}_\Gamma(e_*) = \Gamma_0(N)$  and  $\text{Stab}_\Gamma(v_*) = \Gamma_0(M)$ .

Define the set of cusp forms on the tree  $\mathcal{T}$  to be the set  $S_k(\mathcal{T}, \Gamma)$  of all

$$f : \mathcal{E}(\mathcal{T}) \times \mathcal{H} \rightarrow \mathbb{C}$$

satisfying

- (i)  $f(\gamma e, \gamma z) = (cz + d)^k f(e, z) \quad \forall \gamma \in \Gamma$ ,
- (ii)  $f$  is harmonic in  $e$ , i.e., we have  $f(\bar{e}, z) = -f(e, z)$  for  $e \in \mathcal{E}(\mathcal{T})$  and  $\sum_{s(e)=v} f(e, z) = 0$  for  $v \in \mathcal{V}(\mathcal{T})$ ,
- (iii)  $f_e = f(e, \cdot)$  is a cusp form of weight  $k$  for  $\Gamma_e = \text{Stab}_\Gamma(e)$ .

The action of  $\Gamma$  is transitive on the unoriented edges of  $\mathcal{T}$ , and preserves the parity of edges. The harmonicity condition relates values on  $e$  and  $\bar{e}$ , so the restriction

$$\rho_T : S_k(\mathcal{T}, \Gamma) \rightarrow S_k(\Gamma_0(N)), \quad f \mapsto f_{e_*}$$

is injective.

Further, define cusp forms on the edges or vertices of the tree for the group  $\tilde{\Gamma}$  as follows:

Let  $S_k(\mathcal{E}(\mathcal{T}), \tilde{\Gamma})$  be the set of all  $f : \mathcal{E}(\mathcal{T}) \times \mathcal{H} \rightarrow \mathbb{C}$  satisfying

- (i)  $f(\gamma e, \gamma z) = \frac{(cz + d)^k}{(\det \gamma)^{k/2}} f(e, z) \quad \forall \gamma \in \tilde{\Gamma}$  and
- (iii)  $f_e = f(e, \cdot)$  is a cusp form of weight  $k$  for  $\tilde{\Gamma}_e = \text{Stab}_{\tilde{\Gamma}}(e)$ .

Let  $S_k(\mathcal{V}(\mathcal{T}), \tilde{\Gamma})$  be the set of all  $f : \mathcal{V}(\mathcal{T}) \times \mathcal{H} \rightarrow \mathbb{C}$  satisfying

- (i)  $f(\gamma v, \gamma z) = \frac{(cz + d)^k}{(\det \gamma)^{k/2}} f(v, z) \quad \forall \gamma \in \tilde{\Gamma}$  and
- (iii)  $f_v = f(v, \cdot)$  is a cusp form of weight  $k$  for  $\tilde{\Gamma}_v = \text{Stab}_{\tilde{\Gamma}}(v)$ .

Because  $\tilde{\Gamma}$  acts transitively on the edges and vertices of  $\mathcal{T}$ , the restrictions

$$\rho_E : S_k(\mathcal{E}(\mathcal{T}), \tilde{\Gamma}) \rightarrow S_k(\Gamma_0(N)), \quad f \mapsto f_{e_*}$$

and

$$\rho_V : S_k(\mathcal{V}(\mathcal{T}), \tilde{\Gamma}) \rightarrow S_k(\Gamma_0(M)), \quad f \mapsto f_{v_*}$$

are injective.

There are maps relating these spaces. Firstly, fix  $\alpha \in \tilde{\Gamma} \setminus \Gamma$  in the normalizer of  $\Gamma_0(N)$ , i.e., such that  $\alpha e_* = \bar{e}_*$ . For  $f \in S_k(\mathcal{T}, \Gamma)$  and  $\gamma \in \text{GL}_2(\mathbb{Q})^+$ , define

$$(f|\gamma)(e, z) := \frac{\det(\gamma)^{k/2}}{(cz + d)^k} f(\gamma e, \gamma z).$$

so the condition (i) in the definition of  $S_k(\mathcal{T}, \Gamma)$  says  $f|\gamma = f$  for all  $\gamma \in \Gamma$ .

**Lemma 2.1** We can define an injection  $i: S_k(\mathcal{T}, \Gamma) \rightarrow S_k(\mathcal{E}(\mathcal{T}), \tilde{\Gamma})$  by  $f \mapsto \tilde{f}$  such that  $\tilde{f}(e, z) = f(e, z)$  if  $e$  is an even edge, and  $\tilde{f}(e, z) = (f|\alpha)(e, z)$  for  $e$  an odd edge.

**Proof** This is similar to the weight 2 case given in [Dar]. ■

**Lemma 2.2** Defining maps  $\pi_s$  and  $\pi_t: S_k(\mathcal{E}(\mathcal{T}), \tilde{\Gamma}) \rightarrow S_k(\mathcal{V}(\mathcal{T}), \tilde{\Gamma})$  by

$$\pi_s(f)(v, z) = \sum_{s(e)=v} f(e, z) \quad \pi_t(f)(v, z) = \sum_{t(e)=v} f(e, z),$$

the following is exact:

$$0 \rightarrow S_k(\mathcal{T}, \Gamma) \xrightarrow{i} S_k(\mathcal{E}(\mathcal{T}), \tilde{\Gamma}) \xrightarrow{\pi_s \oplus \pi_t} S_k(\mathcal{V}(\mathcal{T}), \tilde{\Gamma}) \oplus S_k(\mathcal{V}(\mathcal{T}), \tilde{\Gamma}).$$

**Proof** Again this works similarly to the case  $k = 2$ . ■

The maps defined above will correspond to the “degeneracy” maps for cusp forms,  $\phi_s, \phi_t: S_k(\Gamma_0(N)) \rightarrow S_k(\Gamma_0(M))$ . If  $\Gamma_0(M) = \coprod_{j=1}^{p+1} \gamma_j \Gamma_0(N)$  then

$$\phi_s(f_0) = \sum_{j=1}^{p+1} f_0|\gamma_j^{-1}, \quad \phi_t(f_0) = \sum_{j=1}^{p+1} f_0|(\alpha\gamma_j^{-1}).$$

The space of  $p$ -new forms is defined to be the kernel of  $\phi_s \oplus \phi_t$ . As in the case  $k = 2$  we can show the following:

**Lemma 2.3** There is a commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 & \longrightarrow & S_k(\mathcal{T}, \Gamma) & \xrightarrow{i} & S_k(\mathcal{E}(\mathcal{T}), \tilde{\Gamma}) & \xrightarrow{\pi_s \oplus \pi_t} & S_k(\mathcal{V}(\mathcal{T}), \tilde{\Gamma}) \oplus S_k(\mathcal{V}(\mathcal{T}), \tilde{\Gamma}) \\ & & \downarrow \rho_T & & \downarrow \rho_E & & \downarrow \rho_V \oplus \rho_V \\ 0 & \longrightarrow & S_k(\Gamma_0(N))^{p\text{-new}} & \longrightarrow & S_k(\Gamma_0(N)) & \xrightarrow{\phi_s \oplus \phi_t} & S_k(\Gamma_0(M)) \oplus S_k(\Gamma_0(M)) \end{array}$$

in which the vertical arrows are isomorphisms.

**Proof** As mentioned above, the three restriction homomorphisms are injective. Further, any  $f_0 \in S_k(\Gamma_0(N))$  can be lifted to  $S_k(\mathcal{E}(\mathcal{T}), \tilde{\Gamma})$  by defining

$$f(\gamma e_*, z) := \frac{(cz + d)^k}{(\det \gamma)^{k/2}} f_0(\gamma^{-1}z),$$

so  $\rho_E$  is an isomorphism. Similarly,  $\rho_V$  is an isomorphism.

The second square of the diagram commutes because  $\{\gamma_1 e_*, \dots, \gamma_{j+1} e_*\}$  is the set of edges with source  $v_*$ , and  $\{\gamma_1 \alpha e_*, \dots, \gamma_{j+1} \alpha e_*\}$  is the set of edges with target  $v_*$ . ■

We will also need modular symbols. Mazur, Tate and Teitelbaum [MTT] use the symbol

$$\phi_f\{x \rightarrow y\}(P) := 2\pi i \int_x^y f_0(z)P(z) dz \quad \text{or} \quad \phi_f(P, y) := \phi_f\{\infty \rightarrow y\}(P)$$

which is in the space  $\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C})^{\Gamma_0(N)}$ , where  $\mathbb{D}_0$  is the group of degree zero divisors on  $\mathbb{P}^1(\mathbb{Q})$  and  $\mathcal{P}_{k-2}$  is the vector space of polynomials of degree  $\leq k-2$  with coefficients in  $\mathbb{Z}$ .

We can define a modular symbol on the tree by

**Definition 2.1**

$$\kappa_f\{x \rightarrow y\}(e, P) := 2\pi i \int_x^y f_e(z)P(z) dz.$$

This will be shown to be in the space  $C^{\text{har}}(\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}))^{\Gamma}$  of harmonic cocycles on  $\mathcal{E}(\mathcal{T})$  with values in  $\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C})$ , where the group action is described in the next section.

## 2.2 Note on Actions of $\text{GL}_2(\mathbb{Q})$

The action of  $\text{GL}_2(\mathbb{Q})^+$  on cusp forms is given by

$$(f_0|\gamma)(z) := \frac{\det(\gamma)^{k/2}}{(cz + d)^k} f_0(\gamma z).$$

Let  $\mathcal{P}_{k-2}(\mathbb{Q})$  be the space of polynomials with degree  $\leq k-2$  and coefficients in  $\mathbb{Q}$ . This has an action of  $\text{GL}_2(\mathbb{Q})$  defined by

$$(P|\gamma)(z) := \frac{(cz + d)^{k-2}}{\det(\gamma)^{(k-2)/2}} P(\gamma z).$$

Then we get an action of  $\text{GL}_2(\mathbb{Q})$  on the space of symbols  $\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C})$  by

$$(\phi|\gamma)\{x \rightarrow y\}(P) = \phi\{\gamma x \rightarrow \gamma y\}(P|\gamma^{-1}).$$

These satisfy the property that scalar multiples of the identity act trivially. Hence on  $\mathcal{P}_{k-2}(\mathbb{Q})$  and even  $\mathcal{P}_{k-2} := \mathcal{P}_{k-2}(\mathbb{Z})$  we have an action of  $\mathrm{PGL}_2(\mathbb{Q})$ .

(These differ slightly from the definitions used in [GS], where the scalar matrices no longer act trivially.)

The action on  $S_k(\Gamma_0(N))$  was extended to  $S_k(\mathcal{T}, \Gamma)$  by

$$(f|\gamma)(e, z) := \frac{\det(\gamma)^{k/2}}{(cz + d)^k} f(\gamma e, \gamma z).$$

We get an action on the space  $C^{\mathrm{har}}(\mathrm{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}))$  similarly by

$$(\kappa|\gamma)\{x \rightarrow y\}(e, P) = \kappa\{\gamma x \rightarrow \gamma y\}(\gamma e, P|\gamma^{-1})$$

### 2.3 Action of $\Gamma, W_p, \tilde{\Gamma}$

Let  $f \in S_k(\mathcal{T}, \Gamma)$ , then

$$f(\gamma e, \gamma z) = (cz + d)^k f(e, z) \quad \forall \gamma \in \Gamma$$

Thus for  $\gamma \in \Gamma$ , and  $P \in \mathcal{P}_{k-2}(\mathbb{Q})$ ,

$$(3) \quad f(\gamma e, \gamma z)P(\gamma z) d(\gamma z) = f(e, z)(P|\gamma)(z) dz$$

**Lemma 2.4 (Properties of  $\kappa_f$ )**  $\kappa_f$  is harmonic in  $e$ , linear in  $P$ , additive in  $\{x \rightarrow y\}$  and  $\Gamma$ -invariant in the sense that

$$\kappa_f\{\gamma x \rightarrow \gamma y\}(\gamma e, P|\gamma^{-1}) = \kappa_f\{x \rightarrow y\}(e, P).$$

**Proof** The harmonicity follows immediately from the corresponding property of  $f$ . Linearity in  $P$  and additivity in  $\{x \rightarrow y\}$  follow immediately from the definition.

$\Gamma$ -invariance follows from the definition of  $\kappa_f$  and the  $\Gamma$ -invariance of  $f$ . ■

This lemma shows that  $\kappa_f \in C^{\mathrm{har}}(\mathrm{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}))^\Gamma$ . So we have a commutative diagram:

$$(4) \quad \begin{array}{ccc} S_k(\mathcal{T}, \Gamma) & \xrightarrow{\kappa} & C^{\mathrm{har}}(\mathrm{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}))^\Gamma \\ \rho_T \downarrow \cong & & \downarrow \rho_M \\ S_k(\Gamma_0(N))^{p\text{-new}} & \xrightarrow{\phi} & \mathrm{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C})^{\Gamma_0(N)} \end{array}$$

where  $\rho_M$  also denotes restriction to the edge  $e_*$ , which is injective. (The image of  $\rho_M$  can be described as a ‘ $p$ -new’ part exactly as in Lemma 2.3.)

It is possible to define various operators on the space  $S_k(\mathcal{T}, \Gamma)$  by their action on the corresponding cusp form for  $\Gamma_0(N)$ . For example the standard Atkin-Lehner involution at  $p$  is defined by:

The operator  $W_p$  is defined on  $S_k(\Gamma_0(N))$  by  $W_p f_0 = f_0|\gamma$  for any  $\gamma \in \tilde{\Gamma} \setminus \Gamma$  normalizing  $\Gamma_0(N)$ . It is defined similarly on  $\mathrm{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C})^{\Gamma_0(N)}$  by  $W_p \phi = \phi|\gamma$ .

In particular, in these definitions we can use the previously fixed  $\alpha$  in the normalizer of  $\Gamma_0(N)$  for the element  $\gamma$ .

**Definition 2.2** Define an operator  $W_p$  on  $S_k(\mathcal{T}, \Gamma)$  by  $W_p f = -f|\beta$  for any  $\beta \in \tilde{\Gamma} \setminus \Gamma$ .

On  $C^{\text{har}}(\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}))^\Gamma$ , define  $W_p$  similarly by  $W_p \kappa = -\kappa|\beta$ .

**Lemma 2.5** *These operators  $W_p$  are well defined, and  $W_p$  commutes with all the maps in (4).*

In the case where  $f \in S_k(\mathcal{T}, \Gamma)$  is associated to a newform  $f_0 = f_{e_*}$  it is an eigenform for  $W_p$  with eigenvalue  $-w$ , say. Then the action of  $\gamma \in \tilde{\Gamma}$  can be described by

$$f|\gamma = w^{|\gamma|} f \quad \text{and} \quad \kappa_f|\gamma = w^{|\gamma|} \kappa_f$$

where  $|\gamma| := \text{ord}_p \det \gamma$ .

We have an explicit inverse to  $\rho_M$  on the part of its image where  $W_p = -w$ :

**Lemma 2.6** *Suppose  $\kappa \in C^{\text{har}}(\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}))^\Gamma$  satisfies  $W_p \kappa = -w\kappa$ .*

*If  $\gamma \in \tilde{\Gamma}$  is such that  $\gamma e = e_*$  then*

$$\kappa\{x \rightarrow y\}(e, P) = w^{|\gamma|} \rho_M(\kappa)\{\gamma x \rightarrow \gamma y\}(P|\gamma^{-1}).$$

### 2.4 Action of Hecke Operators

Let  $l$  be a prime not dividing  $N$ . We can pick  $\delta_j$  such that

$$\Gamma_0(N) \begin{pmatrix} 1 & 0 \\ 0 & l \end{pmatrix} \Gamma_0(N) = \coprod_{j=0}^{l-1} \Gamma_0(N)\delta_j \quad \text{and} \quad \Gamma \begin{pmatrix} 1 & 0 \\ 0 & l \end{pmatrix} \Gamma = \coprod \Gamma\delta_j.$$

The action of the Hecke operator  $T(l)$  on  $S_k(\Gamma_0(N))$  is defined by

$$f_0|T(l) = l^{\frac{k-2}{2}} \sum_j f_0|\delta_j.$$

It is defined on  $\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C})^{\Gamma_0(N)}$  by

$$\phi|T(l) = l^{\frac{k-2}{2}} \sum_j \phi|\delta_j.$$

These are the usual Hecke operators.

**Definition 2.3** The action of  $T(l)$  on  $S_k(\mathcal{T}, \Gamma)$  is defined by

$$f|T(l) := l^{\frac{k-2}{2}} \sum_j f|\delta_j,$$

and similarly on  $C^{\text{har}}(\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}))^\Gamma$ ,

$$\kappa|T(l) := l^{\frac{k-2}{2}} \sum_j \kappa|\delta_j.$$

**Lemma 2.7** *The Hecke operators in Definition 2.3 are well defined. The operations  $T(l)$  for  $l$  prime to  $N$  commute with all the maps in (4).*



**2.5 Action of  $W_\infty$**

The ‘‘Atkin-Lehner involution at  $\infty$ ’’,  $W_\infty$ , will correspond to the action of  $\alpha_\infty = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$ . This doesn’t act on the cusp forms (as it has negative determinant), but does act on the modular symbols.

**Definition 2.4**  $W_\infty$  is defined on  $\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C})^\Gamma$  by  $W_\infty \phi := \phi|\alpha_\infty$ .  
It is defined on  $\text{Char}(\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}))^\Gamma$  by  $W_\infty f := f|\alpha_\infty$ .

**Lemma 2.8**  $W_\infty$  is well defined here and commutes with  $\rho_M$ .

Now let  $f_0$  be a newform, so in particular it is an eigenform for  $W_p$  with eigenvalue  $-w$ , say, and for each  $T(l)$  with eigenvalue  $a_l$ . Then the associated  $f \in S_k(\mathcal{T}, \Gamma)$  is also an eigenform, as are  $\phi_f$  and  $\kappa_f$ .

Let  $\phi_f^\pm$  be elements of the  $\pm 1$ -eigenspace for  $W_\infty$  in  $\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C})^{\Gamma_0(N)}$  satisfying

$$\phi_f = \Omega_f^+ \phi_f^+ + \Omega_f^- \phi_f^-.$$

From Shimura’s theorem (described in [GS]), we can choose the  $\phi_f^\pm$  and complex periods  $\Omega_f^\pm$  in such a way that  $\phi_f^\pm$  applied to polynomials with integer coefficients take values in  $\mathcal{O}_f$  (the ring of integers of the finite extension of  $\mathbb{Q}$  generated by the Hecke eigenvalues of  $f$ ). Write also  $\phi_f^{w_\infty}$  for  $\phi_f^\pm$  where  $w_\infty = \pm 1$ .

These  $\phi_f^{w_\infty}$  are in the same Hecke eigenspace as  $\phi_f$ , in particular are still Hecke eigenfunctions for  $T(l)$ ,  $l$  prime to  $N$ , and  $W_p$ , with the same eigenvalues as  $f_0$ .

Note that in the case  $\frac{k-2}{2}$  odd, this  $\phi_f^\pm$  corresponds to [GS]’s  $\Phi_f^\mp$ .

We have

$$\Omega_f^{w_\infty} \phi_f^{w_\infty} = \frac{1}{2}(\phi_f + w_\infty W_\infty \phi_f),$$

so  $\phi_f^{w_\infty}$  is still contained in the image of  $\rho_M$ .

Define  $\kappa_f^{w_\infty}$  to be the element of  $\text{Char}(\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}))^\Gamma$  mapped to  $\phi_f^{w_\infty}$  by  $\rho_M$ . By Lemma 2.6, if  $\gamma e = e_*$ , then we have

$$(5) \quad \kappa_f^{w_\infty} \{x \rightarrow y\}(e, P) = w^{|\gamma|} \phi_f^{w_\infty} \{\gamma x \rightarrow \gamma y\}(P|\gamma^{-1}).$$

**Lemma 2.9 (Properties of  $\kappa_f^{w_\infty}$ )**

- $\kappa_f^{w_\infty} \{x \rightarrow y\}(e, P)$  is harmonic in  $e$ , additive in  $x, y$ , and linear in  $P$ . For  $\gamma \in \tilde{\Gamma}$ ,

$$\kappa_f^{w_\infty} |\gamma = w^{|\gamma|} \kappa_f^{w_\infty}.$$

- $\kappa_f^{w_\infty}$  is a Hecke eigenfunction with the same eigenvalues as  $\kappa_f$ , i.e.,

$$a_l \kappa_f^{w_\infty} \{x \rightarrow y\}(e, P) = l^{\frac{k-2}{2}} \sum_{j=0}^l \kappa_f^{w_\infty} \{\delta_j x \rightarrow \delta_j y\}(\delta_j e, P|\delta_j^{-1}).$$

3. There is the additional relation  $W_\infty \kappa_f^{w_\infty} = w_\infty \kappa_f^{w_\infty}$ , i.e.,

$$\kappa_f^{w_\infty} \{ \alpha_\infty x \rightarrow \alpha_\infty y \} (\alpha_\infty e, P | \alpha_\infty) = w_\infty \kappa_f^{w_\infty} \{ x \rightarrow y \} (e, P),$$

so most generally, for any  $\gamma \in R^*$ ,

$$\kappa_f^{w_\infty} \{ x \rightarrow y \} (e, P) = w^{|\gamma|} w_\infty^{\text{sign}(\gamma)} \kappa_f^{w_\infty} \{ \gamma x \rightarrow \gamma y \} (\gamma e, P | \gamma^{-1}),$$

where  $\text{sign}(\gamma) = 0, 1$  if  $\det \gamma$  is positive or negative respectively.

**Proof** These follow from the properties of  $\phi_f^{w_\infty}$ .

1. These are all from the fact that  $\kappa_f^{w_\infty}$  is an element of  $C^{\text{char}}(\text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}))^\Gamma$  and that  $W_p \kappa_f^{w_\infty} = -w \kappa_f^{w_\infty}$  (because  $W_p$  commutes with  $\rho_M$ ).
2. This is immediate as  $T(l)$  commutes with  $\rho_M$  and  $\phi_f^{w_\infty}$  is a Hecke eigenfunction.
3. For  $\gamma = \alpha_\infty$  this follows from  $W_\infty \phi_f^{w_\infty} = w_\infty \phi_f^{w_\infty}$ . The general result follows because  $\tilde{\Gamma}$  is of index 2 in  $R^*$ . ■

In terms of modular symbols,

$$\lambda_f^{w_\infty}(P(z); -a, m) = (-1)^{\frac{k-2}{2}} w_\infty \lambda_f^{w_\infty}(P(-z); a, m),$$

and in particular for  $P(z) = z^{\frac{k-2}{2}}$  we get

$$(6) \quad \lambda_f^{w_\infty}(z^{\frac{k-2}{2}}; -a, m) = w_\infty \lambda_f^{w_\infty}(z^{\frac{k-2}{2}}, a, m).$$

Note that for  $\chi$  a primitive Dirichlet character of conductor  $c$ ,

$$(7) \quad \begin{aligned} \Lambda^{w_\infty}(f, \chi, j) &:= \sum_{a \in (\mathbb{Z}/c\mathbb{Z})^*} \chi(a) \lambda_f^{w_\infty}(f, z^j; a, c) \\ &= \begin{cases} 0 & \text{if } \chi(-1) = -w_\infty \\ K(\chi, j) L(f_\chi, j+1) / \Omega_f^{w_\infty} & \text{if } \chi(-1) = w_\infty \end{cases} \end{aligned}$$

where

$$K(\chi, j) = \frac{c^{j+1} j!}{(-2\pi i)^j \tau(\bar{\chi})} \in \mathbb{C}.$$

The ‘‘algebraic part’’ of the complex  $L$ -function is defined to be  $\Lambda = \Lambda^+ + \Lambda^-$ .

### 3 Defining a Distribution

#### 3.1 The Mazur-Tate-Teitelbaum Distribution

In [MTT] a construction of Vishik and Amice-Velu is used to define a distribution on  $\mathbb{Z}_{p,c}^* := \varprojlim (\mathbb{Z}/c p^n \mathbb{Z})^*$  with values in  $\mathbb{C}_p$ , on the set of locally analytic functions  $\mathbb{Z}_{p,c}^* \rightarrow \mathbb{C}_p$ . The result used is as follows.

Suppose we are given a distribution  $\mu$  on polynomials of degree  $\leq h$  satisfying the property

$$(8) \quad \int_{D(a,\nu)} (x - a)_p^n d\mu(x) \in p^{\nu(n-r)}\Omega \quad 0 \leq n \leq h$$

for some fixed  $r, 0 \leq r \leq h$ , and a fixed  $\mathcal{O}_p$ -lattice  $\Omega$  in a  $\mathbb{C}_p$ -vector space  $V$ , where  $D(a, \nu) := a + c p^\nu \mathbb{Z}_{p,c} \subset \mathbb{Z}_{p,c}^*$ .

Then  $\mu$  can be extended uniquely to the space of locally analytic functions in such a way that (8) is satisfied for all  $n \geq 0$ , and if a function  $F$  has a convergent power series expansion on  $D(a, \nu)$ , say  $F(x) = \sum_{n \geq 0} c_n (x - a)_p^n$ , then

$$\int_{D(a,\nu)} F(x) d\mu(x) = \sum c_n \int_{D(a,\nu)} (x - a)_p^n d\mu(x).$$

To evaluate such an integral, we use truncations (cf. the proof of the existence of the distribution given in [MTT]). If on an open set  $U$ , the locally analytic function  $F$  has a convergent power series of the form  $F(z) = \sum_0^\infty c_n (z - a)_p^n$  with  $a \in U$  then write

$$\text{Trunc}_{U,a}^N(F) = \sum_0^N c_n (z - a)_p^n.$$

By the uniqueness property, once  $r$  is fixed, the distributions defined by this construction for  $h \geq r$  are the same, so we may as well assume  $h = r$ .

Then by definition

$$\int_U F(x) dx := \lim_{\nu \rightarrow \infty} \sum_{U = \cup D(a_i, \nu)} \int (\text{Trunc}_{D(a_i, \nu), a_i}^h F)(x) d\mu(x).$$

In [MTT] this is applied to  $\mu = \mu_{f, \text{MTT}}$ , constructed from modular symbols. Then  $r = \frac{k-2}{2}$  and  $\frac{k-2}{2} \leq h \leq k - 2$ .  $V = V_f := \mathbb{C}_p \otimes_{\mathbb{Q}} \tilde{\mathcal{O}}L_f$  and  $\Omega$  is a multiple of the  $\mathcal{O}$  lattice generated by  $L_f$ , where  $L_f$  is the lattice in  $\mathbb{C}$  generated by the values of  $\phi_f$  on  $\mathbb{D}_0 \otimes \mathcal{P}_{k-2}(\mathbb{Z})$ .

In the case where  $f$  is a newform for  $\Gamma_0(N)$  we have, for  $0 \leq n \leq k - 2$ ,

$$\int_{D(a,\nu)} P(x_p) \mu(x) = (w p^{\frac{k-2}{2}})^{-\nu} \lambda(f, P; a, p^\nu c).$$

Then the  $p$ -adic  $L$ -function is defined by:

For a  $p$ -adic character  $\psi: \mathbb{Z}_{p,c}^* \rightarrow \mathbb{C}_p$  and character  $\langle \cdot \rangle$  defined by  $\langle x \rangle = x_p \omega^{-1}(x)$  (the projection to  $1 + \mathbb{Z}_p$ ),

$$L_p(f, \psi, s) := \int_{\mathbb{Z}_{p,c}^*} \chi(x) \langle x \rangle^s d\mu_{f, \text{MTT}}(x).$$

This defines a locally analytic function of  $s \in \mathbb{Z}_p$ .

By making a substitution of  $\phi_f^\pm$  for  $\phi_f$  in the definition of the modular symbol, we can define similarly  $\mathbb{C}_p$ -valued distributions  $\mu_{f, \text{MTT}}^\pm$ , and  $p$ -adic  $L$ -functions  $L_p^\pm$ , now with values in  $\bar{\mathbb{Q}} \otimes \mathbb{C}_p = \mathbb{C}_p$ . Define the algebraic part of the  $p$ -adic  $L$ -function to be  $\mathbf{L}_p = L_p^+ + L_p^-$  (cf. [Kit]).

In fact if  $\chi(-1) = w_\infty(-1)^{\frac{k-2}{2}}$  then  $L_p^{-w_\infty}(f, \chi, s) = 0$  and  $\mathbf{L}_p(f, \chi, s) = L_p^{w_\infty}(f, \chi, s) = L_p(f, \chi, s) / \Omega_f^{w_\infty}$ .

### 3.2 Generalization to $\mathbb{P}^1(\mathbb{Q}_p)$

As described in [Tei], this construction can be generalized to distributions on  $\mathbb{P}^1(\mathbb{Q}_p)$ .

This time, suppose we are given a distribution on the polynomials of degree  $\leq h$  on  $\mathbb{P}^1(\mathbb{Q}_p)$ , satisfying

$$(9) \quad \int_{U(e)} (z - a)^n d\mu(z) \in p^{\alpha(e)(n-r)} \Omega \quad \text{for } a \in U(e), \infty \notin U(e), \text{ and } 0 \leq n \leq h,$$

where  $\alpha(e) = \inf_{u, v \in U(e)} \{v_p(u - v)\}$  for  $\infty \notin U(e)$ , and

$$(10) \quad \int_{U(e)} (z - a_0)^n d\mu(z) \in p^{\alpha(e)(r-n)} \Omega \quad \text{for } \infty \in U(e), a_0 \notin U(e), \text{ and } 0 \leq n \leq h,$$

with  $\alpha(e) = -\inf_{u, v \notin U(e)} \{v_p(u - v)\}$  for  $\infty \in U(e)$ .

Again,  $\Omega$  is some fixed lattice in a  $\mathbb{C}_p$ -vector space  $V$ , and  $r$  and  $h$  are fixed integers with  $0 \leq r \leq h$ . (N.B. if equation (10) holds for  $a_0 = 0$  then it holds for any  $a_0$ )

Then the distribution can be extended uniquely to the space  $\mathcal{C}_h$  of functions on  $\mathbb{P}^1(\mathbb{Q}_p)$  which are locally analytic on  $\mathbb{Q}_p$  and may have a pole of order at most  $h$  at infinity. This is now subject to (9) and (10) holding for all  $n \geq 0$  and all  $n \leq h$  respectively. The continuity condition becomes:

If  $\infty \notin U(e)$ ,  $a \in U(e)$ , and  $F$  has a power series expansion

$$F(z) = \sum_{n=0}^{\infty} c_n (z - a)^n$$

convergent on  $U(e)$ , then

$$\int_{U(e)} F(z) d\mu(z) = \sum_{n=0}^{\infty} c_n \int_{U(e)} (z - a)^n d\mu(z).$$

If  $\infty \in U(e)$ ,  $a_0 \notin U(e)$ , and  $F$  has a Laurent series expansion

$$F(z) = \sum_{n=-\infty}^h c_n(z - a_0)^n$$

convergent on  $U(e)$ , then

$$\int_{U(e)} F(z) d\mu(z) = \sum_{n=-\infty}^h c_n \int_{U(e)} (z - a_0)^n d\mu(z).$$

This implies that if  $\infty \notin U(e)$  and we have a series of functions  $F_n \in \mathcal{C}_h$  satisfying  $F_n \rightarrow F$  pointwise in  $U(e)$  that

$$\int_{U(e)} F_n(z) d\mu(z) \rightarrow \int_{U(e)} F(z) d\mu(z).$$

Now the definition of the distribution is

$$\int_U F(z) d\mu(z) := \lim_{\alpha(U_i) \rightarrow \infty} \sum_{U=\cup U_i} \int (\text{Trunc}_{U_i, a_i}^h F)(z) d\mu(z).$$

For  $\infty \in U$ , if  $F$  has a Laurent series expansion

$$F(z) = \sum_{n=-\infty}^h c_n(z - a_0)^n$$

where  $a_0 \notin U$ , then the truncation is defined as

$$\text{Trunc}_{U, a_0}^h(F) = \sum_0^h c_n(z - a_0)^n.$$

For  $\infty \notin U$  the truncations are defined as in the previous section.

Now the uniqueness implies that once  $r$  is fixed, the distribution defined by  $h = r$  is the restriction to  $\mathcal{C}_r$  of the distribution defined by  $h = h_0 > r$ .

### 3.3 Application

Let  $f \in S_k(\mathcal{T}, \Gamma)$  correspond to a new form  $f_0$  for  $\Gamma_0(N)$  as before. Because of the harmonicity of  $\kappa_f^{w_\infty} \{x \rightarrow y\}(\cdot, \cdot)$  we can use it to define a distribution  $\mu_f^{w_\infty} \{x \rightarrow y\}$  on the space of functions on  $\mathbb{P}^1(\mathbb{Q}_p)$  which are locally polynomial of degree  $\leq k - 2$  via:

$$\int_{U(e)} P(z) d\mu_f^{w_\infty} \{x \rightarrow y\}(z) := \kappa_f^{w_\infty} \{x \rightarrow y\}(e, P).$$

This can be used to define a distribution on  $\mathcal{C}_{k-2}$  by applying the previous section with  $r = \frac{k-2}{2}$ , and  $h = k - 2$ .

**Proposition 3.1** *The properties (9) and (10) are satisfied by any  $\mu_f^{w_\infty} \{x \rightarrow y\}$  thus they can be extended to distributions on  $\mathcal{C}_{k-2}$ .*

**Proof** We use equation (5) and particular simple representatives for the edges of  $\mathcal{T}$ . To be precise, let

$$\gamma = \gamma_{r,s,a} = \begin{pmatrix} p^r & -a \\ 0 & p^s \end{pmatrix}$$

$$\gamma^{-1} = \begin{pmatrix} p^{-r} & ap^{-(r+s)} \\ 0 & p^{-s} \end{pmatrix}$$

where  $0 \leq a \leq p^s - 1$ , and  $a$  is prime to  $p^r$ .

Then  $\gamma^{-1}e_*$  represents the edge  $e$  corresponding to the open set

$$U(e) = \{x \in \mathbb{Q}_p : p^r x \equiv a \pmod{p^s \mathbb{Z}_p}\}.$$

So  $\gamma e = e_*$  and  $\alpha(e) = s - r$ .

It is easy to see that for  $r + s$  fixed this gives all the edges at distance  $r + s$  from  $v_*$ , with all except the one with  $s = 0$  oriented away from  $v_*$ .

Now from equation (5) we have

$$\int_{U(e)} (z - b)^n \mu_f^{w_\infty} \{x \rightarrow y\}(z) = w^{|\gamma|} \phi_f^{w_\infty} \{\gamma x \rightarrow \gamma y\} (P|\gamma^{-1})$$

where  $P(z) = (z - b)^n$  and  $b \in U(e)$ . Now,

$$(P|\gamma^{-1})(z) = (p^{r-s})^{\frac{k-2}{2}-n} \left( z + \frac{a - p^r b}{p^s} \right)^n$$

and  $b \in U(e)$  implies that  $\frac{a - p^r b}{p^s}$  is integral.

We know that for a polynomial with integral coefficients,  $\phi_f^{w_\infty}$  takes values in  $\mathcal{O}_f$ , the integers of the field  $K_f$  generated over  $\mathbb{Q}$  by the Hecke eigenvalues of  $f_0$ . It follows that for  $\Omega := \mathcal{O}_p$ ,

$$\int_{U(e)} (z - b)^n d\mu_f^{w_\infty} \{x \rightarrow y\}(z) \in p^{\alpha(e)(-\frac{k-2}{2}+n)} \Omega.$$

The property for  $\infty \in U(e)$  follows immediately from the case  $s = 0$ , as in [Tei], by harmonicity. ■

**Remark** Equation (9) now says precisely that when restricted to  $\mathbb{Z}_p$  or  $\mathbb{Q}_p$  the distribution is tempered of order  $\frac{k-2}{2}$ .

There is an operation of  $\text{GL}_2(\mathbb{Q})$  on  $\mathcal{C}_{k-2}$  given by

$$(f|\gamma)(z) = \frac{(cz + d)^{k-2}}{(\det \gamma)^{\frac{k-2}{2}}} f\left(\frac{az + b}{cz + d}\right)$$

which specializes to the normal action on  $\mathcal{P}_{k-2}$ .

We need to know how this action is related to the truncation operations. This is given by the following lemma:

**Lemma 3.1** *If  $F$  is a locally analytic function on  $U$  and  $a_0 \in U, \infty \notin U$  and  $\infty \notin \gamma U$  then*

$$(\text{Trunc}_{U,a_0}^{k-2}(F)) | \gamma^{-1} = \text{Trunc}_{\gamma U, \gamma a_0}^{k-2}(F | \gamma^{-1}).$$

*The same relation holds if  $a_0 \notin U, \infty \in U$  and  $\gamma \cdot \infty = \infty$ .*

*If  $\infty \in U, \gamma \cdot \infty \notin U$  and  $a_0 \notin U$  then*

$$(\text{Trunc}_{U,a_0}^{k-2}(F)) | \gamma^{-1} = \text{Trunc}_{\gamma U, \gamma \cdot \infty}^{k-2}(F | \gamma^{-1}).$$

Note that this is sufficient for evaluating integrals, as if  $\infty \in U$  and  $\gamma \cdot \infty \neq \infty$  we can take a smaller  $U$  with  $\infty \notin \gamma U$ . The third relation also gives us the case  $\infty \notin U, \gamma \infty \in U$  of course.

**Lemma 3.2 (Properties of the Distributions)** *The distributions defined as above have the following properties.*

1. *If  $P \in \mathcal{P}_{k-2}$  then*

$$\int_{\mathbb{P}^1(\mathbb{Q}_p)} P \mu_f^{w_\infty} \{x \rightarrow y\} = 0.$$

2. *If  $\gamma \in \bar{\Gamma}$  and  $F \in \mathcal{C}_{k-2}$  then*

$$\int_{U(\gamma e)} (F | \gamma^{-1}) \mu_f^{w_\infty} \{\gamma x \rightarrow \gamma y\} = w^{|\gamma|} \int_{U(e)} F \mu_f^{w_\infty} \{x \rightarrow y\}.$$

3. *If  $F \in \mathcal{C}_{k-2}$  then*

$$a_l \int_{U(e)} F(z) d\mu_f^{w_\infty} \{x \rightarrow y\}(z) = l^{\frac{k-2}{2}} \sum_{j=0}^l \int_{U(\delta_j e)} (F | \delta_j^{-1})(z) d\mu_f^{w_\infty} \{\delta_j x \rightarrow \delta_j y\}(z).$$

4. *More generally than 2, for  $\gamma \in R^*$  and  $F \in \mathcal{C}_{k-2}$ ,*

$$\int_{U(\gamma e)} (F | \gamma^{-1}) \mu_f^{w_\infty} \{\gamma x \rightarrow \gamma y\} = w_\infty^{\text{sign}(\gamma)} w^{|\gamma|} \int_{U(e)} F \mu_f^{w_\infty} \{x \rightarrow y\}.$$

**Proof** The first property holds by the harmonicity of  $\kappa$ . The others hold for polynomials by the equivalent properties of  $\kappa_f^{w_\infty}$  given in Lemma 2.9. They extend to locally analytic functions by Lemma 3.1. ■

### 4 Double Integrals

Now as in [Dar] we can define a double integral (though not a multiplicative integral in this case):

**Definition 4.1**

$$\int_{z_1}^{z_2} \int_x^y (P)\omega := \int_{\mathbb{P}^1(\mathbb{Q}_p)} \log_p \left( \frac{t - z_2}{t - z_1} \right) P(t) d\mu_f^{w_\infty} \{x \rightarrow y\}(t)$$

where  $z_i \in \mathcal{H}_p, P \in \mathcal{P}_{k-2}(\mathbb{C}_p)$  and  $x, y \in \mathbb{P}^1(\mathbb{Q})$ .

**Lemma 4.1 (Properties of Double Integral)** *The double integral satisfies the following properties:*

1. *The double integral is additive in  $x, y$  and in  $z_1, z_2$ , and linear in  $P$ .*
2. *For  $\gamma \in \tilde{\Gamma}$*

$$\int_{\gamma z_1}^{\gamma z_2} \int_{\gamma x}^{\gamma y} (F|\gamma^{-1})\omega = w^{|\gamma|} \int_{z_1}^{z_2} \int_x^y (F)\omega.$$

3. *For all  $F \in \mathcal{C}_{k-2}$ ,*

$$a_l \int_{z_1}^{z_2} \int_x^y (F)\omega = l^{\frac{k-2}{2}} \sum_{j=0}^l \int_{\delta_j z_1}^{\delta_j z_2} \int_{\delta_j x}^{\delta_j y} (F|\delta_j^{-1})\omega.$$

4. *More generally than 2, for  $\gamma \in R^*$*

$$\int_{z_1}^{z_2} \int_x^y (F)\omega = w^{|\gamma|} w_\infty^{\text{sign}(\gamma)} \int_{\gamma z_1}^{\gamma z_2} \int_{\gamma x}^{\gamma y} (F|\gamma^{-1})\omega.$$

**Proof** Additivity in  $x, y$  follows from the equivalent property of  $\kappa_f$ . Additivity in  $z_1, z_2$  follows from properties of  $\log_p$ . The remaining properties follow from the equivalent properties of the distributions in Lemma 3.2. ■

## 5 Cohomology Groups

### 5.1 Definition of Cocycles

We can't define a single cohomology class to correspond to Darmon's  $c_f$ , but we can define classes  $lc_f$  and  $oc_f$  corresponding to  $\log_p c_f$  and  $\text{ord}_p c_f$  respectively. Let  $\mathcal{M}_{k-2} := \mathcal{M} \otimes \mathcal{P}_{k-2}^\vee = \text{Hom}(\mathbb{D}_0 \otimes \mathcal{P}_{k-2}, \mathbb{C}_p)$  with operation of  $\text{PGL}_2(\mathbb{Q})$  defined by

$$(\gamma \cdot \phi)\{x \rightarrow y\}(P) = \phi\{\gamma^{-1}x \rightarrow \gamma^{-1}y\}(P|\gamma)$$

where  $x, y \in \mathbb{P}^1(\mathbb{Q})$  and  $P \in \mathcal{P}_{k-2}$ .

The classes to be defined will be elements of  $H^1(\Gamma, \mathcal{M}_{k-2})$ .

**Definition 5.1**

$$\tilde{oc}_{f,v}(\gamma)\{x \rightarrow y\}(P) := \sum_{e \in v \rightarrow \gamma v} \kappa_f^{w_\infty} \{x \rightarrow y\}(e, P)$$

**Lemma 5.1**  *$\tilde{oc}_{f,v}$  is a cocycle in  $Z^1(\Gamma, \mathcal{M}_{k-2})$  and its class  $oc_f \in H^1(\Gamma, \mathcal{M}_{k-2})$  is independent of  $v$ .*

**Proof** This is straightforward using the properties of the modular symbol in Lemma 2.9. ■



**Definition 5.2**

$$\tilde{\text{lc}}_{f,\tau}(\gamma)\{x \rightarrow y\}(P) := \int_{\tau}^{\gamma\tau} \int_x^y (P)\omega.$$

**Lemma 5.2**  $\tilde{\text{lc}}_{f,\tau}$  is a cocycle in  $Z^1(\Gamma, \mathcal{M}_{k-2})$  and its class  $\text{lc}_f \in H^1(\Gamma, \mathcal{M}_{k-2})$  is independent of  $\tau$ .

**Proof** This follows from the properties of the double integral in Lemma 4.1. ■

**5.2 Action of Hecke Operators and  $W_\infty$**

The action of the Hecke operators  $T(l)$ , for  $l \nmid N$ , on  $H^1(\Gamma, \mathcal{M}_{k-2})$  is defined as in [Hi, Section 6.3]: Given a cohomology class  $c$ , pick a cocycle  $\tilde{c}$  representing it. For  $\gamma \in \Gamma$  we can choose  $\gamma_j \in \Gamma$  such that  $\delta_j\gamma = \gamma_j\delta_{i(j)}$ , where  $i(j)$  defines a permutation of the  $j$ .

Define a new cocycle by

$$\widetilde{T(l)(c)}(\gamma) = l^{\frac{k-2}{2}} \sum_{j=0}^l \delta_j^{-1} \cdot \tilde{c}(\gamma_j).$$

The operator  $W_\infty$  acts on  $\mathcal{M}_{k-2}$  as the matrix  $\alpha_\infty$ , and on  $H^1(\Gamma, \mathcal{M}_{k-2})$  via

$$\widetilde{W_\infty(c)}(\gamma) = \alpha_\infty \cdot \tilde{c}(\alpha_\infty\gamma\alpha_\infty).$$

**Lemma 5.3** We have

$$T(l) \text{oc}_f = a_l \cdot \text{oc}_f \quad \text{and} \quad T(l) \text{lc}_f = a_l \cdot \text{lc}_f,$$

and

$$W_\infty \text{oc}_f = w_\infty \cdot \text{oc}_f \quad \text{and} \quad W_\infty \text{lc}_f = w_\infty \cdot \text{lc}_f.$$

**Proof** These also follow from the properties of the double integral in Lemma 4.1 and of the modular symbol in Lemma 2.9. ■

**6 Embeddings and Special Values of Cocycles**

**6.1 Definitions**

Now  $\Psi$  will be an embedding  $\mathbb{Q} \times \mathbb{Q} = K \hookrightarrow M_2(\mathbb{Q})$  as in [Dar],  $\gamma_\Psi$  a generator of  $\bar{\Psi}(K^*) \cap \Gamma$ ,  $x_\Psi, y_\Psi \in \mathbb{P}^1(\mathbb{Q})$  the fixed points of  $\bar{\Psi}(K^*)$ , chosen such that for  $t \in \mathcal{H}_p$

$$\gamma_\Psi^n(t) \rightarrow y_\Psi \text{ as } n \rightarrow \infty \quad \gamma_\Psi^n(t) \rightarrow x_\Psi \text{ as } n \rightarrow -\infty.$$

We will also need a polynomial fixed by  $\gamma_\Psi$ ,

$$P_\Psi(z) = \left( \text{Tr} \left( \gamma_\Psi \begin{pmatrix} z & -z^2 \\ 1 & -z \end{pmatrix} \right) \right)^{\frac{k-2}{2}}.$$

$M_\Psi$  will be a Möbius transform with coefficients in  $\mathbb{Q}_p$  taking  $x_\Psi \mapsto \infty$ , and  $y_\Psi \mapsto 0$  (this is well defined up to multiplication by a scalar).

Viewing the points  $x_\Psi, y_\Psi$  as elements of  $\mathbb{P}^1(\mathbb{Q}_p)$  they define an infinite path in  $\mathcal{T}$ . Let  $v$  be a vertex on this path ( $x_\Psi \rightarrow y_\Psi$ ); we will define an open subset of  $\mathbb{P}^1(\mathbb{Q}_p)$  by  $U(v) := \{\text{points corresponding to ends of } \mathcal{T} \text{ intersecting } (x_\Psi \rightarrow y_\Psi) \text{ precisely at } v\}$ . It is possible to label the vertices in  $(x_\Psi \rightarrow y_\Psi)$  such that

$$U(v_j) = \{t \in \mathbb{P}^1(\mathbb{Q}_p) - \{x_\Psi, y_\Psi\} \text{ such that } \text{ord}_p(M_\Psi(t)) = j\}.$$

Letting  $e_j = v_{j-1} \rightarrow v_j$ , then  $U(v_j) = U(e_j) - U(e_{j+1})$ .

A fundamental region for the action of  $\gamma_\Psi$  on  $\mathbb{P}^1(\mathbb{Q}_p) - \{x_\Psi, y_\Psi\}$  is given by

$$\begin{aligned} \mathcal{F}_\Psi &= \{t \in \mathbb{P}^1(\mathbb{Q}_p) : 0 \leq \text{ord}_p M_\Psi(t) < s\} \\ &= U(v_0) \cup U(v_1) \cup \dots \cup U(v_{s-1}). \end{aligned}$$

Again, we can't define a period  $I_\Psi$  without a multiplicative integral, but we can define objects that behave like  $\log_p I_\Psi$  and  $\text{ord}_p I_\Psi$ . These are respectively:

**Definition 6.1**

$$LI_\Psi := \text{lc}_f(\gamma_\Psi)\{x_\Psi \rightarrow y_\Psi\}(P_\Psi) = \int_z^{\gamma_\Psi z} \int_{x_\Psi}^{y_\Psi} (P_\Psi)\omega$$

for any  $z \in \mathcal{H}_p$ .

**Definition 6.2**

$$W_\Psi := \text{oc}_f(\gamma_\Psi)\{x_\Psi \rightarrow y_\Psi\}(P_\Psi) = \sum_{e \in v \rightarrow \gamma_\Psi v} \kappa_f^{W_\infty}\{x_\Psi \rightarrow y_\Psi\}(e, P_\Psi)$$

where  $v$  is any vertex of  $\mathcal{T}$ .

The definitions as special values of cohomology classes are valid because for any coboundary of the form  $b(\gamma) = m - \gamma \cdot m$ ,

$$b(\gamma_\Psi)\{x_\Psi \rightarrow y_\Psi\}(P_\Psi) = m\{x_\Psi \rightarrow y_\Psi\}(P_\Psi) - m\{\gamma_\Psi^{-1}x_\Psi \rightarrow \gamma_\Psi^{-1}y_\Psi\}(P_\Psi|\gamma_\Psi) = 0$$

**6.2 Evaluation of  $W_\Psi$**

Darmon uses specific embeddings of conductor  $c$ , given by  $\Psi_\nu$ , for some  $\nu$  prime to  $c$ , such that

$$\Psi_\nu(a, a) = \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}, \quad \Psi_\nu(c, 0) = \begin{pmatrix} c & \nu \\ 0 & 0 \end{pmatrix}$$

These are oriented optimal embeddings. Moreover, the embedding  $\Psi_\nu$  is uniquely determined by the class of  $\nu$  in  $(\mathbb{Z}/c\mathbb{Z})^*/\langle p^2 + c\mathbb{Z} \rangle$ , and these represent all the  $\Gamma$ -conjugacy classes of oriented optimal embeddings.

The integer  $s$  is taken to be

$$s = 2 \cdot (\text{order of } p^2 \text{ in } (\mathbb{Z}/c\mathbb{Z})^*)$$

and  $s'$  will be the order of  $p$  in  $(\mathbb{Z}/c\mathbb{Z})^*$ . Thus either  $s'$  is even and  $s = s'$  or  $s'$  is odd and  $s = 2s'$ .

Now fix one such  $\Psi = \Psi_\nu$ . Then the following are known:

$$\begin{aligned} x_\Psi &= \infty, & y_\Psi &= -\nu/c, \\ \gamma_\Psi &= \Psi_\nu(p^{s/2}, p^{-s/2}) \\ &= \begin{pmatrix} p^{s/2} & (p^{s/2} - p^{-s/2})\nu/c \\ 0 & p^{-s/2} \end{pmatrix} \\ P_\Psi(z) &= ((p^{s/2} - p^{-s/2})/c)^{\frac{k-2}{2}} (cz + \nu)^{\frac{k-2}{2}}. \end{aligned}$$

For simplicity we can remove the factor  $((p^{s/2} - p^{-s/2})/c)^{\frac{k-2}{2}}$  which is independent of  $\nu$ , so

$$P_\Psi(z) = (cz + \nu)^{\frac{k-2}{2}},$$

and we can choose

$$M_\Psi(t) = t + \nu/c.$$

**Proposition 6.1**

$$W_{\Psi_\nu} = \beta \sum_{a \in J_\nu} w^{j(a)} \lambda^{w_\infty} (f, z^{\frac{k-2}{2}}; a, c),$$

where  $J_\nu$  is the set  $J_\nu = \{b \in (\mathbb{Z}/c\mathbb{Z})^* : \exists j = j(b) \text{ such that } b/\nu \equiv p^j \pmod{c}\}$ , and

$$\beta = \begin{cases} 1 & \text{if } s = s' \text{ (i.e. } s' \text{ is even)} \\ 2 & \text{if } s = 2s' \text{ (i.e. } s' \text{ is odd), and } w = +1 \\ 0 & \text{if } s = 2s' \text{ and } w = -1. \end{cases}$$

**Proof** Then the edge  $e_j$  is given by  $\gamma^{-1}e_*$  where  $\gamma = \begin{pmatrix} 1 & -\nu' \\ 0 & p^j \end{pmatrix}$ , where  $\nu'$  is an integer with  $\nu' \equiv -\nu/c \pmod{p^s}$ . We have  $\gamma x_\Psi = \infty$  and  $\gamma y_\Psi = \frac{(-\nu - c\nu')/p^j}{c}$

$$W_\Psi = \sum_{i=0}^{s-1} \kappa_f^{w_\infty} \{x_\Psi \rightarrow y_\Psi\}(e_i, P_\Psi).$$

We can evaluate each term of this sum:

$$\begin{aligned} \kappa_f^{w_\infty} \{x_\Psi \rightarrow y_\Psi\}(e_j, P_\Psi) &= w^{|\gamma|} (\phi_f^{w_\infty}(P_\Psi|\gamma^{-1}, \gamma y_\Psi) - \phi_f^{w_\infty}(P_\Psi|\gamma^{-1}, \gamma x_\Psi)) \\ &= w^j \phi_f^{w_\infty} \left( P_\Psi|\gamma^{-1}, \frac{(-\nu - c\nu')/p^j}{c} \right). \end{aligned}$$

A calculation gives

$$P_\Psi | \gamma^{-1}(z) = (cz + (c\nu' + \nu)/p^j)^{\frac{k-2}{2}}.$$

Hence

$$\kappa_f^{w_\infty} \{x_\Psi \rightarrow y_\Psi\}(e_j, P_\Psi) = w^j \lambda^{w_\infty} \left( f, z^{\frac{k-2}{2}}; (c\nu' + \nu)/p^j, c \right).$$

The  $\nu/p^j$  run over the set  $J_\nu$ : once if  $s = s'$  and twice if  $s = 2s'$ . In the latter case the  $w^j$ 's have the opposite sign in the second occurrence if and only if  $w = -1$ . So we have

$$W_{\Psi_\nu} = \beta \sum_{a \in J_\nu} w^{j(a)} \lambda^{w_\infty} \left( f, z^{\frac{k-2}{2}}; a, c \right). \quad \blacksquare$$

Assume we are not in the case  $\beta = 0$ .

**Corollary 6.1** *If  $\chi$  is a primitive Dirichlet character of conductor  $c$ , and with  $\chi(p) = w$  then*

$$\begin{aligned} \sum_{\nu \in (\mathbb{Z}/c\mathbb{Z})^*} \chi(\nu) W_{\Psi_\nu} &= s \sum_{a \in (\mathbb{Z}/c\mathbb{Z})^*} \chi(a) \lambda^{w_\infty} \left( f, z^{\frac{k-2}{2}}; a, c \right) \\ &= \begin{cases} s\Lambda(f, \chi, \frac{k-2}{2}) & \text{if } \chi(-1) = w_\infty \\ 0 & \text{if } \chi(-1) = -w_\infty. \end{cases} \end{aligned}$$

**Proof** As  $\beta \neq 0$  we have  $\beta = s/s'$ . The sets  $J_\nu$  for  $\nu \in (\mathbb{Z}/c\mathbb{Z})^*$  cover  $(\mathbb{Z}/c\mathbb{Z})^*$ , with each element repeated  $s'$  times. The result follows from equation (6).  $\blacksquare$

Note that if we had defined  $W_\Psi$  using  $\kappa_f$  instead of  $\kappa_f^{w_\infty}$  then this sum would have evaluated to a multiple of the complex  $L$ -function, rather than its algebraic part.

### 6.3 Comparison of Distributions

The Mazur-Tate-Teitelbaum distribution and the Darmon distributions are both defined in terms of modular symbols, so should be related in some way.

Again we fix  $\Psi = \Psi_\nu$ . On the Darmon side we will integrate over

$$\mathcal{F}_\Psi = \bigcup_{j=0}^{s-1} U(v_j) = \bigcup_{j=0}^{s-1} \bigcup_{a \in (\mathbb{Z}/p^n\mathbb{Z})^*} U_{j,a},$$

where

$$U_{j,a} = \{t \in U(v_j) : p^{-j}(t + \nu/c) \equiv a \pmod{p^n}\}.$$

This subdivision will correspond to the division of

$$\begin{aligned} J_{\infty,\nu} &:= (\pi_0^\infty)^{-1} J_\nu \cap Z_{p,c}^* \\ &= \{b \in Z_{p,c}^* : b/\nu \equiv p^j \pmod{c} \text{ for some } j = j(b)\} \end{aligned}$$

as

$$J_{\infty,\nu} = \bigcup_{j=0}^{s-1} J_{\infty,\nu,j} = \bigcup_{j=0}^{s-1} \bigcup_{a \in (\mathbb{Z}/p^n\mathbb{Z})^*} D(A_{a,j}, n)$$

where  $A_{a,j} = (\nu + c\nu')/p^j + ac$  and

$$J_{\infty,\nu,j} := \{b \in \mathbb{Z}_{p,c}^* : b/\nu \equiv p^j \pmod{c}\}.$$

Then  $U_{j,a} = \gamma^{-1}U(e_*)$  with

$$\gamma = \begin{pmatrix} 1 & -\nu' - p^j a \\ 0 & p^{n+j} \end{pmatrix}, \quad \gamma^{-1} = p^{-(n+j)} \begin{pmatrix} p^{n+j} & \nu' + p^j a \\ 0 & 1 \end{pmatrix},$$

and  $\nu' \in \mathbb{Z}/p^{n+s}\mathbb{Z}$  defined by  $\nu' \equiv -\nu/c \pmod{p^{n+s}}$ .

**Lemma 6.1** *If  $F$  is a locally analytic function on  $\mathbb{Z}_p^*$ , then*

$$w^j p^{j(\frac{k-2}{2})} \int_{U(\nu_j)} F\left(\frac{cz + \nu}{p^j}\right) d\mu_f^{w_\infty} \{x_\Psi \rightarrow y_\Psi\}(z) = \int_{J_{\infty,\nu,j}} F(x_p) d\mu_{f,\text{MTT}}^{w_\infty}(x),$$

and so

$$\int_{\mathcal{F}_\Psi} p^{j(z)(\frac{k-2}{2})} F\left(\frac{cz + \nu}{p^{j(z)}}\right) d\mu_f^{w_\infty} \{x_\Psi \rightarrow y_\Psi\}(z) = \beta \int_{J_{\infty,\nu}} w^{j(x)} F(x_p) d\mu_{f,\text{MTT}}^{w_\infty}(t).$$

Note that  $j(t)$  and  $j(x)$  are locally constant functions on  $J_{\infty,\nu}$  and  $\mathcal{F}_\Psi$ .

**Proof** For the first:

Let  $P$  be a polynomial of degree  $\leq k - 2$ . Then calculations with modular symbols using (5) give

$$\begin{aligned} \int_{D(A,n)} P(t) d\mu_{f,\text{MTT}}^{w_\infty}(t) &= w^n p^{-n(\frac{k-2}{2})} \phi_f^{w_\infty}(P(p^n cz + A), -A/p^n c) \\ &= w^j p^{j(\frac{k-2}{2})} \int_{U_{j,a}} P\left(\frac{cz + \nu}{p^j}\right) d\mu_f^{w_\infty} \{x_\Psi \rightarrow y_\Psi\}(z), \end{aligned}$$

where  $A = A_{a,j}$  so that  $\gamma y_\Psi = -A/cp^n$  and  $\gamma x_\Psi = \infty$ .

For a general  $F$ , both integrals are defined as a limit as  $n$  increases of integrals of truncations to polynomials of degree  $k - 2$ . It is enough to show that

$$\text{Trunc}_{D(A,n),A}^h(F(y_p)) \Big|_{y_p=(cz+\nu)/p^j} = \text{Trunc}_{U_{j,a},\nu'+p^j a}^h\left(F\left(\frac{cz + \nu}{p^{j(z)}}\right)\right).$$

This holds by Lemma 3.1 with  $\gamma^{-1} = \begin{pmatrix} c & \nu \\ 0 & p^j \end{pmatrix}$ .

For the second:

As  $j$  varies the  $J_{\infty,\nu,j}$  cover the set  $J_{\infty,\nu}$ : once if  $s = s'$  and twice if  $s = 2s'$ , in the latter case with opposite sign for  $w^j$  if and only if  $w = -1$ . ■

**6.4 Evaluation of  $LI_\Psi$**

By definition,

$$LI_\Psi = \int_{\mathbb{P}^1} (\mathbb{Q}_p) \log_p \left( \frac{t - \gamma_\Psi z}{t - z} \right) P_\Psi(t) d\mu_f^{w_\infty} \{x_\Psi \rightarrow y_\Psi\}(t).$$

Again we fix  $\Psi = \Psi_\nu$ . To simplify the notation, set  $\mu = \mu_f^{w_\infty} \{x_\Psi \rightarrow y_\Psi\}$ , and  $\kappa = \kappa_f^{w_\infty} \{x_\Psi \rightarrow y_\Psi\}$ .

By the definition of the integral for locally analytic functions  $F$ , to evaluate it we need to divide  $\mathbb{P}^1(\mathbb{Q}_p)$  into smaller open sets, and approximate the integral on each set by the evaluation of  $\kappa$  on a truncation of  $F$ .

Our choice of divisions will be as follows:

$$\mathbb{P}^1(\mathbb{Q}_p) = U^-(n) \amalg \prod_{-n}^{+n} \gamma_\Psi^j \mathcal{F}_\Psi \amalg U^+(n)$$

where  $U^+(n) = U(e_{(n+1)s})$ ,  $U^-(n) = U(\overline{e_{-ns}})$ , definitions as in 6.1. The middle divisions will be refined later, and we will take a limit as  $n$  increases.

First we show that the end divisions can be ignored:

**Lemma 6.2**

$$\begin{aligned} & \lim_{n \rightarrow \infty} \left( \kappa \left( e_{(n+1)s}, \text{Trunc}_{U^+(n), y_\Psi}^{k-2} \left[ \log_p \left( \frac{t - \gamma_\Psi z}{t - z} \right) P_\Psi(t) \right] \right) \right) \\ &= \lim_{n \rightarrow \infty} \left( \kappa \left( \overline{e_{-ns}}, \text{Trunc}_{U^-(n), y_\Psi}^{k-2} \left[ \log_p \left( \frac{t - \gamma_\Psi z}{t - z} \right) P_\Psi(t) \right] \right) \right) = 0 \end{aligned}$$

**Proof** Note that in the second term because  $\infty \in U^-(n)$ , we can choose to truncate at  $a_0 = y_\Psi \notin U^-(n)$ .

$$\begin{aligned} & \kappa \left( e_{-ns}, \text{Trunc}_{U^-(n), y_\Psi}^{k-2} \left[ \log_p \left( \frac{t - \gamma_\Psi z}{t - z} \right) P_\Psi(t) \right] \right) \\ &= \kappa \left( e_0, \text{Trunc}_{U(\overline{e_*}), y_\Psi}^{k-2} \left[ \log_p \left( \frac{\gamma_\Psi^{-n} t - \gamma_\Psi z}{\gamma_\Psi^{-n} t - z} \right) P_\Psi(t) \right] \right) \quad \text{by } \Gamma\text{-invariance of } \kappa \\ &= \kappa \left( e_0, \text{Trunc}_{U(\overline{e_*}), y_\Psi}^{k-2} \left[ \log_p \left( \frac{t - \gamma_\Psi^{n+1} z}{t - \gamma_\Psi^n z} \right) P_\Psi(t) \right] \right), \end{aligned}$$

as each of the expressions inside the “ $\log_p$ ”s are Möbius transforms of  $t$  taking  $\gamma_\Psi^{n+1} z \mapsto 0$ ,  $\gamma_\Psi^n z \mapsto \infty$  and  $\infty \mapsto 1$ , hence they are equal.

Now,

$$\begin{aligned} \log_p \left( \frac{t - \gamma_\Psi^{n+1} z}{t - \gamma_\Psi^n z} \right) &= \log_p \left( 1 + \frac{y_\Psi - \gamma_\Psi^{n+1} z}{t - y_\Psi} \right) - \log_p \left( 1 + \frac{y_\Psi - \gamma_\Psi^n z}{t - y_\Psi} \right) \\ &= - \sum_{i \geq 1} \frac{1}{i} \left( \frac{\gamma_\Psi^{n+1} z - y_\Psi}{t - y_\Psi} \right)^i + \sum_{i \geq 1} \frac{1}{i} \left( \frac{\gamma_\Psi^n z - y_\Psi}{t - y_\Psi} \right)^i \end{aligned}$$

and  $P_\Psi(t) = (ct + \nu)^{\frac{k-2}{2}} = c^{\frac{k-2}{2}}(t - y_\Psi)^{\frac{k-2}{2}}$ , so

$$\begin{aligned} & \text{Trunc}_{U(e_*)}^{k-2} \left[ \log_p \left( \frac{t - \gamma_\Psi^{n+1} z}{t - \gamma_\Psi^n z} \right) P_\Psi(t) \right] \\ &= \sum_{i=1}^{\frac{k-2}{2}} \left( -(\gamma_\Psi^{n+1} z - y_\Psi)^i + (\gamma_\Psi^n z - y_\Psi)^i \right) \cdot \frac{c^{\frac{k-2}{2}}}{i} (t - y_\Psi)^{\left(\frac{k-2}{2} - i\right)}. \end{aligned}$$

But  $\gamma_\Psi^{n+1} z \rightarrow y_\Psi$  as  $n \rightarrow \infty$ , and  $\gamma_\Psi^n z \rightarrow y_\Psi$  as  $n \rightarrow \infty$ , so these coefficients go to zero as  $n$  increases.  $\kappa$  is linear in  $P$ , so it follows that the limit of the second term is zero.

Similarly, for the first term,

$$\begin{aligned} & \kappa \left( e_{(n+1)s}, \text{Trunc}_{U^+(n)}^{k-2} \left[ \log_p \left( \frac{t - \gamma_\Psi z}{t - z} \right) P_\Psi(t) \right] \right) \\ &= \kappa \left( e_0, \text{Trunc}_{U(e_*)}^{k-2} \left[ \log_p \left( \frac{\gamma_\Psi^{(n+1)} t - \gamma_\Psi z}{\gamma_\Psi^{(n+1)} t - z} \right) P_\Psi(t) \right] \right) \quad \text{by } \Gamma\text{-invariance of } \kappa \\ &= \kappa \left( e_0, \text{Trunc}_{U(e_*)}^{k-2} \left[ \log_p \left( \frac{t - \gamma_\Psi^{-n} z}{t - \gamma_\Psi^{-(n+1)} z} \right) P_\Psi(t) \right] \right), \end{aligned}$$

as each of the expressions inside the “log<sub>p</sub>”s are Möbius transforms of  $t$  taking  $\gamma_\Psi^{-n} z \mapsto 0, \gamma_\Psi^{-(n+1)} z \mapsto \infty$  and  $\infty \mapsto 1$ , hence they are equal.

Now,

$$\begin{aligned} \log_p \left( \frac{t - \gamma_\Psi^{-n} z}{t - \gamma_\Psi^{-(n+1)} z} \right) &= \log_p \left( 1 + \frac{t - y_\Psi}{y_\Psi - \gamma_\Psi^{-n} z} \right) \\ &\quad - \log_p \left( 1 + \frac{t - y_\Psi}{y_\Psi - \gamma_\Psi^{-(n+1)} z} \right) + \log_p \left( \frac{y_\Psi - \gamma_\Psi^{-n} z}{y_\Psi - \gamma_\Psi^{-(n+1)} z} \right) \\ &= - \sum_{i \geq 1} \frac{1}{i} \left( \frac{t - y_\Psi}{\gamma_\Psi^{-n} z - y_\Psi} \right)^i + \sum_{i \geq 1} \frac{1}{i} \left( \frac{t - y_\Psi}{\gamma_\Psi^{-(n+1)} z - y_\Psi} \right)^i, \end{aligned}$$

where the last term is zero because

$$\begin{aligned} \log_p \left( \frac{y_\Psi - \gamma_\Psi^{-n} z}{y_\Psi - \gamma_\Psi^{-(n+1)} z} \right) f &= \log_p \left( \frac{M_\Psi(\gamma_\Psi \cdot \gamma_\Psi^{-(n+1)} z)}{M_\Psi(\gamma_\Psi^{-(n+1)} z)} \right) \\ &= \log_p(p^s) \text{ as } M_\Psi(\gamma_\Psi z) = p^s M_\Psi(z) \quad \text{for any } z \\ &= 0. \end{aligned}$$

We have  $P_\Psi(t) = (ct + \nu)^{\frac{k-2}{2}} = c^{\frac{k-2}{2}}(t - y_\Psi)^{\frac{k-2}{2}}$ , so

$$\begin{aligned} & \text{Trunc}_{U(e_*, y_\Psi)}^{k-2} \left[ \log_p \left( \frac{t - \gamma_\Psi^{-n} z}{t - \gamma_\Psi^{-(n+1)} z} \right) P_\Psi(t) \right] \\ &= \sum_{i=1}^{\frac{k-2}{2}} \left( - \left( \frac{1}{\gamma_\Psi^{-n} z - y_\Psi} \right)^i + \left( \frac{1}{\gamma_\Psi^{-(n+1)} z - y_\Psi} \right)^i \right) \frac{c^{\frac{k-2}{2}}}{i} (t - y_\Psi)^{i + \frac{k-2}{2}}. \end{aligned}$$

Again, these coefficients tend to zero as  $n$  increases, so the first limit is also zero. ■

To evaluate the remaining parts we need the lemma:

**Lemma 6.3**

$$\int_{\mathcal{F}_\Psi} P_\Psi(t) d\mu(t) = 0.$$

**Proof** This follows immediately because

$$\int_{\mathcal{F}_\Psi} P_\Psi(t) d\mu(t) = \kappa(e_s, P_\Psi) - \kappa(e_0, P_\Psi) = 0. \quad \blacksquare$$

Hence the integral  $LI_\Psi$  is approximated by

$$\begin{aligned} LI_{\Psi,n} &= \sum_{-n}^n \int_{\gamma_\Psi^j \mathcal{F}_\Psi} \log_p \left( \frac{t - \gamma_\Psi z}{t - z} \right) P_\Psi(t) d\mu(t) \\ &= \int_{\mathcal{F}_\Psi} \log_p \left( \frac{t - \gamma_\Psi^{1+n} z}{t - \gamma_\Psi^{-n} z} \right) P_\Psi(t) d\mu(t) \quad \text{by a similar method to Darmon's case.} \end{aligned}$$

**Lemma 6.4** As  $n \rightarrow \infty$ , the limit of the above is

$$LI_\Psi = \int_{\mathcal{F}_\Psi} \log_p (M_\Psi(t)) P_\Psi(t) d\mu(t).$$

**Proof** The integrand in  $LI_{\Psi,n}$  involves a Möbius transform taking  $\gamma_\Psi^{1+n} z$  to 0 and  $\gamma_\Psi^{-n} z$  to  $\infty$ . But  $\gamma_\Psi^{1+n} z \rightarrow y_\Psi$  and  $\gamma_\Psi^{-n} z \rightarrow x_\Psi$ . The idea is that in the limit we can replace the Möbius transform by one taking  $y_\Psi$  to 0 and  $x_\Psi$  to  $\infty$ , i.e., the transform  $M_\Psi$ . More precisely, write

$$M_n(t) = \frac{-y_\Psi \gamma_\Psi^{-n} z}{\gamma_\Psi^{n+1} z} \cdot \frac{t - \gamma_\Psi^{1+n} z}{t - \gamma_\Psi^{-n} z};$$

then

$$LI_{\Psi,n} = \int_{\mathcal{F}_\Psi} \log_p (M_n(t)) P_\Psi(t) d\mu(t)$$



by Lemma 6.3. The multiplier inside the  $\log_p$  is chosen such that all the Möbius maps take  $0 \mapsto -\gamma_\Psi$ .

Then  $M_n(t) \rightarrow M_\Psi(t)$  as  $n \rightarrow \infty$ , for any  $t \in \mathcal{F}_\Psi$ , so by continuity of the integral,

$$\int_{\mathcal{F}_\Psi} \log_p(M_n(t)) P_\Psi(t) d\mu(t) \rightarrow \int_{\mathcal{F}_\Psi} \log_p(M_\Psi(t)) P_\Psi(t) d\mu(t). \quad \blacksquare$$

**Proposition 6.2**

$$LI_{\Psi,\nu} = \beta \int_{J_{\infty,\nu}} w^{j(t)} t_p^{\frac{k-2}{2}} \log_p(t_p) d\mu_{f,\text{MTT}}^{w_\infty}(t),$$

where  $\mu_{f,\text{MTT}}^{w_\infty}$  is the Mazur-Tate-Teitelbaum measure associated to  $f_0$ ,  $\beta$  is as in Proposition 6.1, and

$$\begin{aligned} J_{\infty,\nu} &= (\pi_0^\infty)^{-1} J_\nu \cap \mathbb{Z}_{p,c}^* \\ &= \{b \in \mathbb{Z}_{p,c}^* : b/\nu \equiv p^j \pmod{c} \text{ for some } j = j(b)\}. \end{aligned}$$

**Proof** We have

$$\begin{aligned} LI_{\Psi,\nu} &= \int_{\mathcal{F}_\Psi} \log_p(z + \nu/c)(cz + \nu)^{\frac{k-2}{2}} d\mu(z) \\ &= \int_{\mathcal{F}_\Psi} \log_p(cz + \nu)(cz + \nu)^{\frac{k-2}{2}} d\mu(z) \quad \text{by Lemma 6.3} \\ &= \int_{\mathcal{F}_\Psi} p^{j(z)(\frac{k-2}{2})} \log_p\left(\frac{cz + \nu}{p^{j(z)}}\right) \left(\frac{cz + \nu}{p^{j(z)}}\right)^{\frac{k-2}{2}} d\mu(z) \quad \text{as } \log_p(p^j) = 0 \\ &= \beta \int_{J_{\infty,\nu}} w^{j(t)} t_p^{\frac{k-2}{2}} \log_p(t_p) d\mu_{f,\text{MTT}}^{w_\infty}(t) \quad \text{by Lemma 6.1.} \quad \blacksquare \end{aligned}$$

Now assume again that we are not in the case  $\beta = 0$ .

**Corollary 6.2** If  $\chi$  is a primitive Dirichlet character of conductor  $c$ , and  $\chi(p) = w$  then

$$\begin{aligned} \sum_{\nu \in (\mathbb{Z}/c\mathbb{Z})^*} \chi(\nu) LI_{\Psi,\nu} &= s \int_{\mathbb{Z}_{p,c}^*} \chi(t) t_p^{\frac{k-2}{2}} \log_p(t_p) d\mu_{f,\text{MTT}}^{w_\infty}(t) \\ &= \begin{cases} s \frac{d}{dt} \mathbf{L}_p(f_0, wp^{\frac{k-2}{2}}, \chi(x)x_p^{\frac{k-2}{2}}, t) \Big|_{t=0} & \text{if } \chi(-1) = w_\infty, \\ 0 & \text{if } \chi(-1) = -w_\infty. \end{cases} \end{aligned}$$

**Proof** First,  $\chi(\nu)w^{j(t)} = \chi(t)$ . Secondly, each coset  $a + p\mathbb{Z}_{p,c}$  which is contained in  $\mathbb{Z}_{p,c}^*$  is contained in precisely  $s$  of the sets  $J_{\infty,\nu}$ , for  $\nu \equiv a, a/p, \dots, a/p^{s'-1} \pmod{c}$ , and  $\beta s' = s$ .

Note that if  $\chi(-1) = w_\infty$ ,

$$L_p^{w_\infty} (f_0, w p^{\frac{k-2}{2}}, x p^{\frac{k-2}{2}} \chi(x), t) = \mathbf{L}_p (f_0, w p^{\frac{k-2}{2}}, x p^{\frac{k-2}{2}} \chi(x), t),$$

as then  $(-1) p^{\frac{k-2}{2}} \chi(-1) = (-1) \frac{k-2}{2} w_\infty$ .

If  $\chi(-1) = -w_\infty$  then

$$L_p^{w_\infty} (f_0, w p^{\frac{k-2}{2}}, x p^{\frac{k-2}{2}} \chi(x), t) = 0,$$

so assume we are in the former case.

By definition,

$$\begin{aligned} & \frac{d}{dt} L_p^{w_\infty} (f_0, w p^{\frac{k-2}{2}}, \chi(x) x p^{\frac{k-2}{2}}, t) \Big|_{t=0} \\ &= \left( \frac{d}{dt} \int_{Z_{p,c}^*} \chi(x) x p^{\frac{k-2}{2}} \langle x \rangle^t d\mu_{f, \text{MTT}}^{w_\infty}(x) \right) \Big|_{t=0} \\ &= \left( \frac{d}{dt} \int_{Z_{p,c}^*} \chi(x) x p^{\frac{k-2}{2}} \left( \sum_{r=0}^{\infty} \frac{t^r}{r!} (\log_p \langle x \rangle)^r \right) d\mu_{f, \text{MTT}}^{w_\infty}(x) \right) \Big|_{t=0} \\ &= \int_{Z_{p,c}^*} \chi(x) x p^{\frac{k-2}{2}} \log_p \langle x \rangle d\mu_{f, \text{MTT}}^{w_\infty}(x) \\ &= \int_{Z_{p,c}^*} \chi(x) x p^{\frac{k-2}{2}} \log_p (x_p) d\mu_{f, \text{MTT}}^{w_\infty}(x). \quad \blacksquare \end{aligned}$$

If we had constructed the distribution using  $\kappa_f$  instead of  $\kappa_f^{w_\infty}$ , so that it took values in  $V_f = \mathbb{C}_p \otimes_{\mathbb{Q}} \tilde{\mathbb{Q}}L_f$ , then the algebraic part  $\mathbf{L}_p$  would be replaced by  $L_p$  in this Corollary. This is not as useful, because the eigenspaces of the cohomology classes constructed in this way may not be 1-dimensional (see the next section).

## 7 Cohomology and Hecke Operators

### 7.1 Spaces Involved and Exact Sequences

Let  $V_{k-2} = \mathcal{P}_{k-2}(\mathbb{C}_p)^* \cong \text{Hom}(\mathcal{P}_{k-2}, \mathbb{C}_p)$ . Let  $\mathcal{F} = \mathcal{F}_{k-2} = \text{Hom}(\mathbb{D}, V_{k-2})$  and  $\mathcal{M} = \mathcal{M}_{k-2} = \text{Hom}(\mathbb{D}_0, V_{k-2})$ . Then there is an exact sequence:

$$0 \rightarrow V_{k-2} \rightarrow \mathcal{F} \rightarrow \mathcal{M} \rightarrow 0.$$

This leads to the long exact sequence

$$\begin{aligned} (11) \quad 0 &\rightarrow V_{k-2}^{\Gamma_0(M)} \rightarrow \mathcal{F}^{\Gamma_0(M)} \rightarrow \mathcal{M}^{\Gamma_0(M)} \rightarrow \\ &\rightarrow H^1(\Gamma_0(M), V_{k-2}) \rightarrow H^1(\Gamma_0(M), \mathcal{F}) \rightarrow H^1(\Gamma_0(M), \mathcal{M}) \rightarrow \\ &\rightarrow H^2(\Gamma_0(M), V_{k-2}) \rightarrow \dots \end{aligned}$$

This sequence is compatible with the action of Hecke operators  $T(l)$  for  $l$  prime to  $N$ , and  $W_\infty$ . Its behaviour for  $k = 2$  is considered in Darmon's paper, so assume  $k > 2$  is even. Several terms in (11) are known:

$V_{k-2}^{\Gamma_0(M)} = 0$ , as  $V_{k-2}$  is an irreducible  $\Gamma_0(M)$ -module ([Hi] p. 165 Lemma 2).  
 (This is not true when  $k = 2$  as then  $V_{k-2} = \mathbb{C}_p$ .)  
 $H^2(\Gamma_0(M), V_{k-2}) = 0$  by [Hi, p. 162 Proposition 1].

We have

$$\mathcal{F} = \bigoplus_x \text{Ind}_{\Gamma_x}^{\Gamma_0(M)} V_{k-2}$$

where  $x$  runs over the distinct cusps, so

$$H^0(\Gamma_0(M), \mathcal{F}) = \bigoplus_x V_{k-2}^{\Gamma_x} \quad \text{and}$$

$$H^1(\Gamma_0(M), \mathcal{F}) = \bigoplus_x H^1(\Gamma_x, V_{k-2}).$$

Each  $\Gamma_x$  is free on a generator  $\pi_x$ , so  $V_{k-2}^{\Gamma_x} = V_{k-2}^{\pi_x}$  and

$$H^1(\Gamma_x, V_{k-2}) = V_{k-2}/(\pi_x - 1)V_{k-2}.$$

Note that by [Hi, p. 166 (2a)], each of these has dimension 1. This shows that the dimensions of  $H^0(\Gamma_0(M), \mathcal{F})$  and  $H^1(\Gamma_0(M), \mathcal{F})$  are both equal to  $S$ , the number of cusps.

By definition of the parabolic cohomology groups  $H_p^1$  as in [Hi], the sequence (11) now divides into the following parts:

$$(12) \quad 0 \rightarrow \mathcal{F}^{\Gamma_0(M)} \rightarrow \mathcal{M}^{\Gamma_0(M)} \rightarrow H_p^1(\Gamma_0(M), V_{k-2}) \rightarrow 0,$$

$$(13) \quad 0 \rightarrow H_p^1(\Gamma_0(M), V_{k-2}) \rightarrow H^1(\Gamma_0(M), V_{k-2}) \\ \rightarrow H^1(\Gamma_0(M), \mathcal{F}) \rightarrow H^1(\Gamma_0(M), \mathcal{M}) \rightarrow 0.$$

Over the complex numbers there are Eichler-Shimura isomorphisms ([Hi, Section 6.2])

$$H^1(\Gamma_0(M), V_{k-2}(\mathbb{C})) \cong S_k(\Gamma_0(M)) \oplus S_k^c(\Gamma_0(M)) \oplus E_k(\Gamma_0(M)) \\ H_p^1(\Gamma_0(M), V_{k-2}(\mathbb{C})) \cong S_k(\Gamma_0(M)) \oplus S_k^c(\Gamma_0(M)).$$

where  $E_k(\Gamma_0(M))$  is the space of Eisenstein series.

We have

$$\dim_{\mathbb{C}_p} H^1(\Gamma_0(M), V_{k-2}) = \dim_{\mathbb{C}} H^1(\Gamma_0(M), V_{k-2}(\mathbb{C}))$$

and similarly for  $H_p^1$ .

But the dimension of  $E_k(\Gamma_0(M))$  is also  $S$ , by [Miy, p. 179–180, 1°], so looking at the alternating sum of dimensions in (13) we see  $H^1(\Gamma_0(M), \mathcal{M}) = 0$ . (In the case  $k = 2$  the dimension of  $E_k(\Gamma_0(M))$  is  $S - 1$ .)

Now we look at sequence (12). We know the dimensions of the Hecke eigenspaces in  $H_p^1(\Gamma_0(M), V_{k-2}(\mathbb{C}))$  by the Eichler-Shimura isomorphism, so also of the Hecke eigenspaces in  $H_p^1(\Gamma_0(M), V_{k-2})$ .

**7.2 Description of Cusps and Hecke Action on  $\mathfrak{F}^{\Gamma_0(M)}$**

According to [Mil] the cusps of  $\Gamma_0(M)$  can be described as follows: there is a cusp  $\begin{pmatrix} \bar{a} \\ d \end{pmatrix}$  for each  $d|M$  and each  $\bar{a} \in (\mathbb{Z}/t\mathbb{Z})^*$  where  $t = (d, M/d)$ . Write  $a$  for a representative in  $\mathbb{Z}$  of the class  $\bar{a}$ , which is prime to  $M$ . Then  $a/d$  is a representative of the cusp  $\begin{pmatrix} \bar{a} \\ d \end{pmatrix}$ .

To tell whether two rational numbers  $p/q$  and  $r/s$  are equivalent under  $\Gamma_0(M)$  ([Cr]) we write  $p/q = P\infty$  and  $r/s = R\infty$  with  $P = \begin{pmatrix} p & u \\ q & v \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$  and  $R = \begin{pmatrix} r & w \\ s & z \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$ . Then they are equivalent if and only if there exists  $h \in \mathbb{Z}$  such that  $R^{-1}\gamma P = \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix}$  for some  $\gamma \in \Gamma_0(M)$ , i.e.,

$$(14) \quad \gamma = R \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} P^{-1} \in \Gamma_0(M).$$

So they are equivalent if and only if there is a solution  $h$  to the congruence  $qsh \equiv sv - qz \pmod{M}$ . Assuming  $r$  and  $p$  are prime to  $M$  this is equivalent to

$$rspqh \equiv rs - pq + qs(ru - sw) \pmod{M}$$

**Lemma 7.1** *The Hecke operator  $T(l)$  acts on the cusps by*

$$T(l) \begin{pmatrix} \bar{a} \\ d \end{pmatrix} = l \begin{pmatrix} l\bar{a} \\ d \end{pmatrix} + \begin{pmatrix} l^{-1}\bar{a} \\ d \end{pmatrix}.$$

**Proof** Use representatives for the cosets

$$\delta_j = \begin{pmatrix} 1 & j \\ 0 & l \end{pmatrix} \quad 0 \leq j \leq l-1 \text{ and } \delta_l = \begin{pmatrix} l & 0 \\ 0 & 1 \end{pmatrix}.$$

First,  $\delta_l(a/d) = (la/d)$  is clearly a representative of the cusp  $\begin{pmatrix} l\bar{a} \\ d \end{pmatrix}$ .

Now assume  $l$  does not divide  $a + jd$ . Then to show  $\delta_j(a/d) = (a + jd)/ld \sim la/d$  we need to solve the above congruence with  $p = a + jd, q = ld, r = la$ , and  $s = d$ . Then

$$\begin{aligned} rspqh &\equiv rs - pq + qs(ru - sw) \pmod{M} \\ \iff lad(a + jd)ldh &\equiv lad - (a + jd)ld + ld^2(lau - dw) \pmod{M} \\ \iff l^2 a(d/t)(a + jd)h &\equiv -j(d/t)l + l(d/t)(lau - dw) \pmod{M/dt}, \end{aligned}$$

which is soluble as  $l, a, (d/t)$  and  $a + jd$  are all prime to  $M/dt$ .

Finally, for some  $j, l$  does divide  $a + jd$ . Then  $(a + jd)/l \equiv al^{-1} \pmod{t}$ , so  $\delta_j(a/d) = ((a + jd)/l)/d$  represents the cusp  $\begin{pmatrix} l^{-1}\bar{a} \\ d \end{pmatrix}$ . ■

We have a decomposition

$$\begin{aligned} \mathfrak{F}^{\Gamma_0(M)} &= \bigoplus_x V_{k-2}^{\Gamma_x} \\ \phi &\mapsto \bigoplus_x \phi(x), \end{aligned}$$

where  $x$  runs over a set of representatives of the cusps, and each component is 1-dimensional.

**Lemma 7.2** *There is a natural basis element  $v(x)$  of  $V_{k-2}^{\Gamma_x}$  given by  $v(x)(P) := P(x)$  (or  $v(x) = 1 \in \mathbb{C}_p$  if  $k = 2$ ).*

Thus for  $\phi \in \mathcal{F}^{\Gamma_0(M)}$  we can write  $\phi(x) = \lambda_\phi(x)v(x)$ , so

$$\phi = \sum \lambda_\phi(a/d)v(a/d),$$

where  $d$  runs over divisors of  $M$  and  $a$  is a representative as above of  $\bar{a}$  which runs through  $(\mathbb{Z}/t\mathbb{Z})^*$ .

**Lemma 7.3** *The  $\lambda_\phi(a/d)$  are independent of the choice of representative  $a \in \mathbb{Z}$  of the class  $\bar{a}$ .*

**Proof** This follows from the fact that for  $\gamma \in \Gamma_0(M)$ ,

$$(15) \quad \lambda_\phi(\gamma x) = j(\gamma, x)^{k-2} \lambda_\phi(x),$$

with the usual notation

$$j(\gamma, x) = \frac{(Cx + D)}{(\det \gamma)^{1/2}}$$

if  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{GL}_2(\mathbb{Q})^+$ .

This follows from the definition of the  $\lambda_\phi$  and the  $\Gamma_0(M)$ -invariance of  $\phi$ .

Now suppose  $a$  and  $a'$  are two representatives of the class  $\bar{a}$ , prime to  $M$ . Multiplying out the equation (14) for  $\gamma$ , with  $p/q = a/d$  and  $r/s = a'/d$ , we see that if  $\gamma a/d = a'/d$ , then  $j(\gamma, a/d) = 1$ . ■

**Lemma 7.4** *The action of the Hecke operator  $T(l)$  on  $\mathcal{F}^{\Gamma_0(M)}$  is such that*

$$\lambda_{T(l)\phi}(a/d) = l\lambda_\phi(la/d) + \lambda_\phi(l'a/d)$$

where  $a, d$  are as above and  $l'$  is an integer prime to  $M$  with  $ll' \equiv 1 \pmod t$ .

**Proof** Fix  $\phi \in \mathcal{F}^{\Gamma_0(M)}$  and let  $\lambda(x) := \lambda_\phi(x)$  for all  $x \in \mathbb{P}^1(\mathbb{Q})$ . Then

$$\begin{aligned} T(l)\phi(x)(P) &= l^{\frac{k-2}{2}} \sum_j (\phi|\delta_j)(x)(P) \\ &= l^{\frac{k-2}{2}} \left( \sum_j \lambda(\delta_j x) j(\delta_j, x)^{-(k-2)} \right) P(x). \end{aligned}$$

So when  $\delta_j(a/d) \sim a'/d$  we need to know how  $\lambda(\delta_j(a/d))$  is related to  $\lambda(a'/d)$ . As above we have  $\gamma \in \Gamma_0(M)$  such that  $\gamma\delta_j(a/d) = a'/d$ . Then by (15),

$$\lambda(\delta_j(a/d)) = \lambda(a'/d)j(\gamma^{-1}, a'/d)^{k-2}.$$

When  $j = l$  and  $a' = la$  then  $\gamma = 1$  and so  $\lambda(\delta_l(a/d)) = \lambda(la/d)$ .

When  $l$  does not divide  $a + jd$ , and  $a' = la$ ,

$$\begin{aligned}\gamma^{-1} &= \begin{pmatrix} a + jd & u \\ dl & v \end{pmatrix} \begin{pmatrix} 1 & -h \\ 0 & 1 \end{pmatrix} \begin{pmatrix} z & -w \\ -d & a' \end{pmatrix} \\ &= \begin{pmatrix} \cdot & \cdot \\ dlz - (-hdl + v)d & -wdl + a'(-hdl + v) \end{pmatrix}\end{aligned}$$

so  $j(\gamma^{-1}, a'/d) = a'lz - wdl = l$  and  $\lambda(\delta_j(a/d)) = l^{k-2}\lambda(la/d)$

When  $l$  divides  $a + jd$ , and  $a' = l'a$  then  $\gamma = \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix}$  for some  $h$  and  $\lambda(\delta_j(a/d)) = \lambda(l'a/d)$ .

So we have overall

$$\begin{aligned}\lambda_{T(l)\phi}(a/d) &= l^{\frac{k-2}{2}} \left( \sum_j \lambda(\delta_j(a/d)) j(\delta_j, (a/d))^{-(k-2)} \right) \\ &= l^{\frac{k-2}{2}} \left( l^{\frac{k-2}{2}} \lambda(la/d) + (l-1)l^{-\frac{k-2}{2}} \cdot l^{k-2} \lambda(la/d) + l^{-\frac{k-2}{2}} \lambda(l'a/d) \right) \\ &= (l^{k-2} \lambda(la/d) + \lambda(l'a/d)).\end{aligned}$$

■

Then writing  $v\left(\frac{\tilde{a}}{d}\right)$  for  $v(a/d)$  for a suitable choice of representative  $a$ , we have

$$\mathcal{F}^{\Gamma_0(M)} = \bigoplus_{\text{cusps}} v\left(\frac{\tilde{a}}{d}\right) \mathbb{C}_p$$

and

$$T(l)v\left(\frac{\tilde{a}}{d}\right) = l^{k-1}v\left(\frac{l^{-1}\tilde{a}}{d}\right) + v\left(\frac{l\tilde{a}}{d}\right).$$

This action is diagonalizable with eigenvalues

$$l^{k-1}\chi(l) + \chi^{-1}(l),$$

where  $\chi$  is any Dirichlet character of conductor  $t = (d, M/d)$  for any  $d|M$ .

From [Miy, p. 179–180] we see that these are precisely the eigenvalues of the Hecke operator acting on Eisenstein series.

**Remark** The results and methods of this section still hold for  $k = 2$ . There is an error here in [Dar], where equation (150) in fact only holds if  $N$  is squarefree, so that all  $T(l)$  act trivially (*i.e.*, as multiplication by  $(l+1)$ ) on the cusps. In general, one can only say that the eigenvalues of  $T(l)$  on  $\mathcal{F}^{\Gamma_0(N)}$  and on the Eisenstein series are of the form  $l\chi(l) + \chi^{-1}(l)$ , for  $\chi$  as above.

### 7.3 Dimension of Eigenspaces

Let  $f$  be a newform for  $\Gamma_0(N)$  with  $T(l)f = a_l f$ . For a module  $A$  with Hecke operators acting on it, then  $A^{f, w_\infty}$  will denote the eigenspace in  $A$  where  $T(l) = a_l$  for  $l$  prime to  $N$ , and  $W_\infty = w_\infty$ .

The results of the previous section together with sequence (12) shows that the non-zero eigenspaces in  $\mathcal{M}^{\Gamma_0(M)}$  correspond either to eigenvalues of Eisenstein series, or to eigenvalues of newforms for  $\Gamma_0(M)$ , so  $(\mathcal{M}^{\Gamma_0(M)})^{f, w_\infty} = 0$ .

A similar argument using the equivalent sequence for  $\Gamma_0(N)$ , or reference to [GS], shows that  $(\mathcal{M}^{\Gamma_0(N)})^{f, w_\infty}$  is 1-dimensional. Now we know enough to prove

**Proposition 7.1**

$$\dim_{\mathbb{C}_p}(H^1(\Gamma, \mathcal{M})^{f, w_\infty}) = 1.$$

**Proof** We use the exact sequence from [Ser]

$$\mathcal{M}^{\Gamma_0(M)} \oplus \mathcal{M}^{\Gamma_0(M)'} \rightarrow \mathcal{M}^{\Gamma_0(N)} \rightarrow H^1(\Gamma, \mathcal{M}) \rightarrow H^1(\Gamma_0(M), \mathcal{M}) \oplus H^1(\Gamma_0(M)', \mathcal{M})$$

where  $\Gamma_0(M)' = \text{Stab}_\Gamma(\alpha v_*) = \alpha \Gamma_0(M) \alpha^{-1}$ . Thus

$$\begin{aligned} (\mathcal{M}^{\Gamma_0(M)})^{f, w_\infty} \oplus (\mathcal{M}^{\Gamma_0(M)'})^{f, w_\infty} &\rightarrow (\mathcal{M}^{\Gamma_0(N)})^{f, w_\infty} \rightarrow (H^1(\Gamma, \mathcal{M}))^{f, w_\infty} \\ &\rightarrow H^1(\Gamma_0(M), \mathcal{M})^{f, w_\infty} \oplus H^1(\Gamma_0(M)', \mathcal{M})^{f, w_\infty} \end{aligned}$$

We know the first and last terms here are zero (using similar methods for the conjugate subgroup  $\Gamma_0(M)'$ ), and that  $\dim(\mathcal{M}^{\Gamma_0(N)})^{f, w_\infty} = 1$ . ■

### 7.4 The Exceptional Zero Conjecture

The  $p$ -adic  $L$ -function attached to the newform  $f$  for  $\Gamma_0(N)$  satisfies

$$\mathbf{L}_p(f, \omega^j \chi, j) = e_p(\chi, j) K(\chi, j) L(f_{\bar{\chi}}, j + 1) / \Omega_f^{w_\infty},$$

where  $\omega$  is the Teichmüller character,  $\chi$  is a primitive Dirichlet character of conductor  $c$ ,

$$e_p(\chi, j) = \frac{1}{a_p^{v(c)}} \left( 1 - \frac{\chi(p)p^j}{a_p} \right)$$

is the  $p$ -adic multiplier, an algebraic number, and

$$K(\chi, j) = \frac{c^{j+1} j!}{(-2\pi i)^j \tau(\bar{\chi})}$$

is an element of  $\mathbb{C}$ .

When  $e_p(\chi, j) = 0$ , we say there is an exceptional zero. So there is an exceptional zero when  $j = \frac{k-2}{2}$  and  $\chi(p) = w$ .

**Proposition 7.2 (The Exceptional Zero is of Local Type)** *There is a constant  $\mathcal{L}_p^{w_\infty}(f) \in \mathbb{C}_p$  such that for  $\chi$  any primitive Dirichlet character of conductor  $c$  prime to  $N$  satisfying  $\chi(p) = w$  and  $\chi(-1) = w_\infty$ ,*

$$\mathbf{L}'_p(f, \omega^{\frac{k-2}{2}} \chi, t)|_{t=\frac{k-2}{2}} = \mathcal{L}_p^{w_\infty}(f) \Lambda\left(f, \chi, \frac{k-2}{2}\right).$$

**Proof** We know that the cohomology classes  $\text{lc}_f$  and  $\text{oc}_f$  are both contained in the same 1-dimensional eigenspace. Hence, provided  $\text{oc}_f$  is nonzero, we can choose a constant  $\mathcal{L}_p^{w_\infty}(f) \in \mathbb{C}_p$  such that  $\text{lc}_f = \mathcal{L}_p^{w_\infty}(f) \text{oc}_f$ . Hence for any  $\Psi = \Psi_\nu$ ,  $LI_\Psi = \mathcal{L}_p^{w_\infty}(f) W_\Psi$ . Thus, summing and applying Corollaries 6.1 and 6.2, we get the result.

By Corollary 6.1,  $\text{oc}_f$  will be non-zero provided there exists a conductor  $c$  and some Dirichlet character  $\chi$  as above satisfying

$$L(f, \chi, k/2) \neq 0.$$

This holds by a general result of Rohrlich, the theorem in the introduction of [Ro]. ■

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