Characterization of polyparaphenylene (PPP)-based carbons

M. J. Matthews
Department of Physics, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139

M. S. Dresselhaus
Department of Electrical Engineering and Computer Science and Department of Physics,
Massachusetts Institute of Technology, Cambridge, Massachusetts 02139

M. Endo, Y. Sasabe, T. Takahashi, and K. Takeuchi
Faculty of Engineering, Shinshu University, 500 Wakasato, Nagano 380, Japan

Polyparaphenylene (PPP)-based carbons heat-treated at temperatures (T_{HT}) from 600 °C up to 3000 °C have been characterized both structurally and in terms of their physical properties. Special attention is given to PPP heat-treated at 700 °C (denoted by PPP-700), since samples heat-treated to this temperature were observed to have exceptionally high lithium affinities when electrochemically doped with Li. At low T_{HT} below 700 °C, it is found that the local structure of PPP-based samples can be characterized mostly in terms of a disordered polymer. As a result of heat treatment to high temperature, PPP-based carbon shows graphitization behavior with regard to x-ray diffraction d_{002} (graphite c-axis d-spacing) development and to the increase of the Raman I_G/I_D intensity ratio (where I_G and I_D are the integrated intensities of the 1580 cm^{-1} and 1360 cm^{-1} Raman modes, respectively), as is found in so-called graphitizing carbons. However, development of the c-axis crystallite size (L_c) is restricted to very small values, in the range of so-called nongraphitizing carbons, while the a-axis crystallite size (L_a) attains values up to roughly 120 Å for heat treatments near 3000 °C. These structural properties of PPP-based carbons are consistent with the observed electrical characteristics and their dependence on T_{HT}. Low temperature magnetic susceptibility measurements were analyzed, along with Raman spectra, allowing for the characterization of disorder in terms of localized spin states for several heat-treated PPP samples. By interpreting the results of these various characterization techniques, we are able to present an insightful perspective on the nature of PPP-based carbons and the role of PPP-700 as an effective lithium host material for secondary battery applications.

I. INTRODUCTION

To enhance the capabilities of many present lap-top computers and other electronic products, it would be highly beneficial to increase the power storage capacity of rechargeable batteries, such as so-called lithium ion batteries. Much effort has been expended and a large number of disordered and well-ordered graphite materials have been tested for use as carbon anodes in high capacity lithium ion batteries.[1–6] Polyparaphenylene (PPP)-based carbons, heat-treated at temperatures as low as 700 °C, have been shown to yield a superior negative electrode material, with energy storage capacities as high as 1170 A-h/kg. This extremely high Li storage capacity in PPP-based, low heat-treatment temperature materials, which is about three times greater than that of well-ordered, graphite-based, first stage intercalation compounds (e.g., LiC$_6$), is believed to arise from the special structure of PPP-based carbon.[7] Indeed, these low T_{HT} PPP-based carbons contrast most Li$_x$C$_6$ host materials

not only in structure, but in chemical composition as well (atomic H/C $\sim$ 0.24 for PPP-700).

To characterize PPP-based carbons, we have studied the structure and electrical transport properties of PPP-based carbons as a function of heat-treatment temperature, T_{HT}, from 600 °C extending to 3000 °C. The structural characterization measurements include x-ray diffraction studies, yielding the d_{002} distance and the c-axis crystallite size L_c, and Raman scattering which can be used to obtain information on the L_a crystallite length and the degree of in-plane order for $T_{HT} > 800$ °C. Raman spectroscopy was also used to study the interesting structural changes brought about by the thermal decomposition of PPP in the range 600 °C $< T_{HT} <$ 800 °C. Magnetic susceptibility and resistivity measurements were performed in order to characterize the electronic states of localized and delocalized carriers as a function of measurement temperature for all heat-treated samples. TEM and SEM measurements were also carried

out on samples heat-treated to 700 °C to determine other special features associated with this material, since PPP-700 has been shown to be optimum for Li uptake.

II. EXPERIMENTAL

The PPP used in the present experiments has been synthesized by the Kovacic method (see Fig. 1) using a reaction vessel maintained at 30 °C, yielding PPP in granular form.[8] Samples of this material were subsequently heat-treated to various temperatures between 600 and 3000 °C in high purity argon gas, using a conventional resistance furnace for heat-treatment temperatures <1000 °C and a graphite resistance furnace for $T_{HT} > 1000$ °C. A residence time of 1 h was used for the heat treatment. Subsequent to preparation and heat treatment, the samples were characterized by x-ray diffraction (XRD). In order to form a sample suitable for electrical measurements, the granular PPP material was pressed into appropriate shapes (2 mm × 2 mm × 15 mm), and these shaped samples were heat-treated in the same manner as the granular sample material. Electrical measurements were made on the shaped samples using four-point electrical resistance techniques to obtain values for the room temperature resistance and then for the more detailed temperature-dependent electrical resistivity for each of the heat-treated PPP bulk samples. The voltage between the middle two contacts was measured using a Keithley model 181 digital nanovoltmeter, while the current through the outer two leads was provided by a Keithley model 225 nanoampere current source. The temperature scans from about 25 K up to 300 K took place through natural warming of the sample in the He cryostat. The resistivity of each sample was calculated using the measured resistance and the measured cross-sectional area and length. For PPP-based carbon material heat-treated at $T_{HT} \sim 700$ °C and 2900 °C, additional characterization was carried out using high resolution SEM and TEM. The TEM studies were carried out on a JEOL 400 kV TEM instrument. Raman scattering experiments were performed at ambient conditions using a back-scattering geometry with 4880 Å as the laser excitation wavelength. Scattered light was resolved through a 300 μm slit into a SPEX double monochromator and measured using a liquid nitrogen cooled CCD detector. Magnetic susceptibility measurements were made using a Quantum Design SQUID magnetometer over the temperature range 4–200 K in magnetic fields up to 5 kG.

III. SAMPLE PREPARATION

As a function of T_{HT}, PPP changes more gradually to a graphitic material than is common for so-called graphitizing materials[9] as is shown in Fig. 2, where the carbon yield for PPP vs T_{HT} is plotted. In this work, carbon yield is defined as the ratio of the weight of carbon produced through heat treatment to the weight of starting material (PPP). The results show a gradual decrease in the carbon yield with increasing T_{HT} as more hydrogen and hydrocarbon volatiles are vaporized from the sample over the range 600 °C $< T_{HT} <$ 2400 °C. The data show the superior carbon yield behavior of PPP in contrast to a furfurol alcohol-based carbon, a typical nongraphitizing (glassy) carbon which shows a very rapid decrease in carbon yield with increasing T_{HT} as well as a lower value of the carbon yield at stabilization. As T_{HT} increases above 2400 °C, the carbon yield for PPP stabilizes at ~70%. For characterization purposes, PPP with a T_{HT} of 600 °C has a dark bronze color, which changes to black for $T_{HT} \geqslant 700$ °C. Figure 2 shows that PPP-based carbon can thus be characterized as one of the high yield carbons.[10]

IV. EXPERIMENTAL RESULTS

A. X-ray diffraction

Figure 3 shows the (002) x-ray diffraction peaks for PPP-based samples heat-treated at various temperatures. A silicon powder sample is used as an internal standard for calibration of the interlayer separation d_{002} and to determine the crystallite thickness L_c. The PPP-700 material shows a very broad (002) peak as seen in Fig. 3, indicating a highly disordered carbonaceous material, while PPP-2900 shows a sharp graphitic structure. Little change in the x-ray spectra is seen between the PPP-700, PPP-800, and PPP-1000 samples. However, major changes in the peak resolution and linewidth are observed between the PPP-1000, PPP-1500, and PPP–2000 traces in Fig. 3. Finally, a sharp (002) feature appears for the PPP-2400 trace, and an even sharper (002) feature for the PPP-2900 trace. We note that no (112) diffraction peak, which would appear at 83.6°, was observed for PPP-2900, indicative of the turbostratic behavior of the material. For a given T_{HT}, no clear difference in the x-ray diffraction profile was observed between powder samples and bulk samples (pressed from powder) which were used for transport measurements.
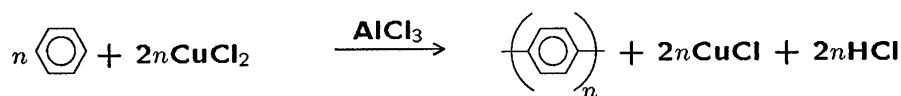
FIG. 1. Chemical reaction for the formation of PPP (polyparaphenylene) by the Kovacic method.
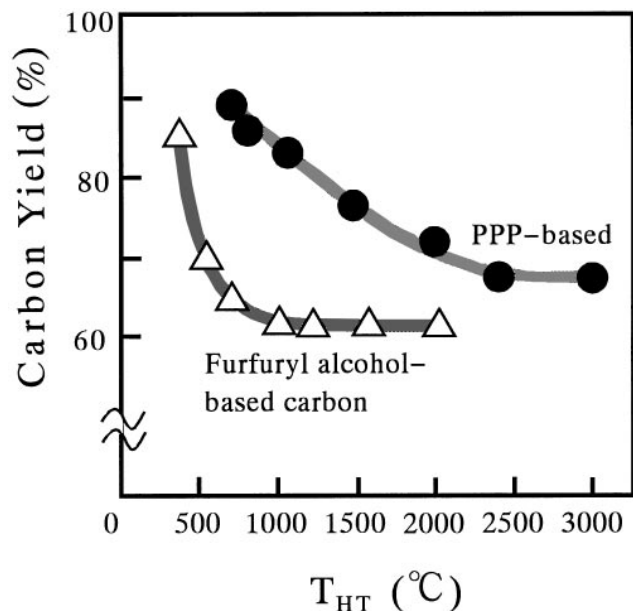
FIG. 2. Carbon yield of PPP-based carbon as a function of T_{HT} in an argon atmosphere. Included for comparison is the carbon yield of furfuryl alcohol-based carbon.

Figures 4(a) and 4(b), respectively, show the T_{HT} dependence of the interlayer separation distance d_{002} and the apparent c-axis crystallite size L_c, as determined by XRD measurements, indicating graphitizing behavior of PPP at high heat-treatment temperatures. The L_c in Fig. 4 were determined from the half-width of the (002) diffraction line, taking into account Lorentz polarization and the atomic scattering factor, and using the Si(111) peak as an internal standard. In Fig. 4, a comparison is also made between the graphitization of PPP-based carbon and vapor-grown carbon fibers (VGCF's), which represent one of the typical graphitizing carbons. The interlayer spacing of PPP-700 is 3.77 Å, which is a rather large value even for a turbostratic carbon, but d_{002} decreases progressively with increasing T_{HT}, reaching a value of 3.37 Å at $T_{HT} = 2900$ °C, close to the graphite value of 3.35 Å for d_{002}. It is clearly seen from the large change in d_{002} as a function of T_{HT} that PPP-based carbons are transformed from a highly disordered material at $T_{HT} \sim 700$ °C to a relatively well-graphitized carbon form for PPP-2900. On the other hand, the crystallite thickness L_c in Fig. 4(b) does not show such a large increase with T_{HT} as is normally found in graphitizing carbons. Rather, Fig. 4(b) shows increases in L_c from ~10 Å at $T_{HT} \sim 700$ °C to ~90 Å at $T_{HT} \sim 2900$ °C. Figure 4 further shows that L_c for vapor-grown carbon fibers increases from ~50 Å for $T_{HT} \sim 1050$ °C (as-prepared sample) to about 300 Å for $T_{HT} \sim 1900$ °C, and to over 1000 Å for $T_{HT} \sim 3000$ °C (not shown). The properties of PPP-based carbon samples are summarized in Table I with the degree of graphitization G for $T_{HT} \geqslant$
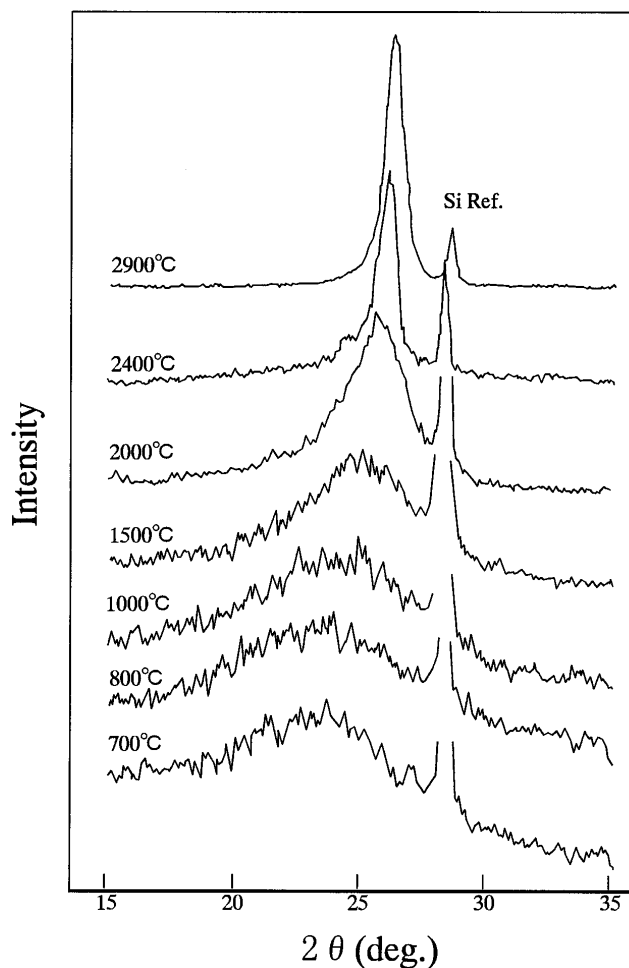

FIG. 3. (002) X-ray diffraction profiles for PPP-based carbons heat-treated at 700–2900 °C. The Si(111) peak is used as an internal standard.

2000 °C given by

$$G = \frac{3.44 - d_{002}}{3.44 - 3.354},\qquad(1)$$

where $G = 0$ corresponds to the turbostratic limit ($d_{002} = 3.44$ Å) and $G = 1$ corresponds to a completely graphitized material ($d_{002} = 3.354$ Å). Thus, in PPP-based carbon, graphitization starts at a relatively high temperature, such as $T_{HT} = 2000$ °C, which is about 600 °C higher than that for typical graphitizing carbons such as VGCF.

From a structural standpoint, the PPP-based carbon shows graphitizing behavior in some ways, but not in others. When the PPP-based carbon is characterized by the interlayer separation, it appears to be a graphitizing carbon, but with a crystallite thickness L_c that is restricted to small values characteristic of nongraphitizing carbons. The restrictions to the growth of L_c may arise from the molecular structure of the precursor PPP, which has a chain molecular configuration. As the crystallite
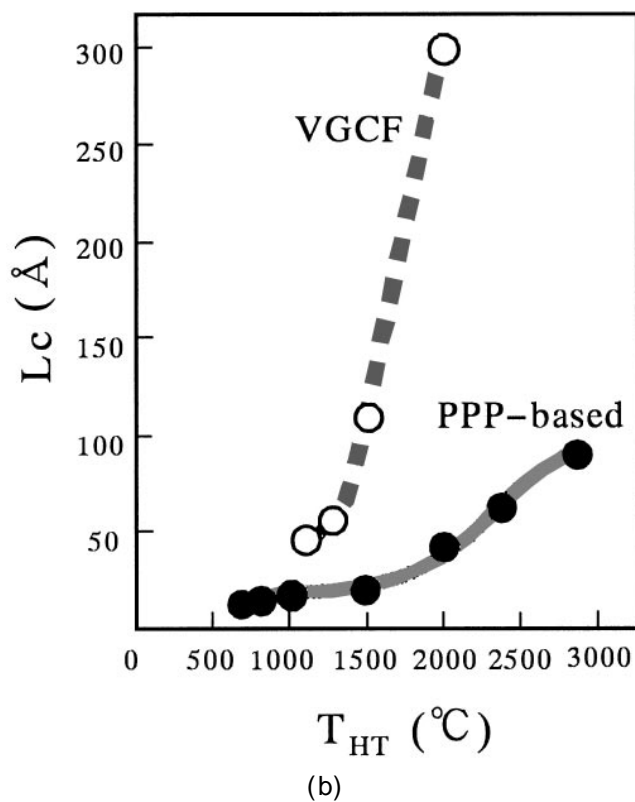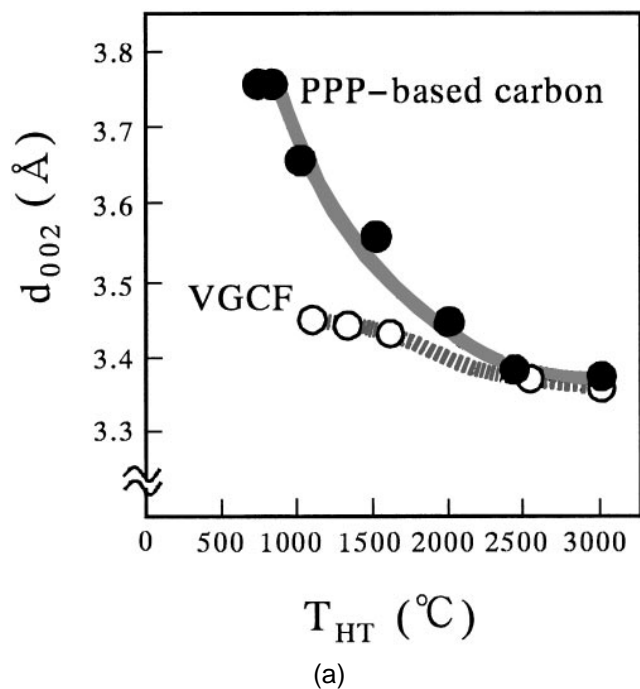
FIG. 4. The interlayer separation distance d_{002} (a) and crystallite thickness L_c (b) versus heat-treatment temperature T_{HT}. The data for PPP are compared with corresponding data for vapor-grown carbon fibers (VGCF's).

TABLE I. Summary of samples studied.

Sample	L_c (Å)	d_{002} (Å)	$\mathcal{G}$	I_D/I_G[a]	L_a (Å)
PPP-700	11	3.77	$\cdots$	$\cdots$	$\cdots$
PPP-800	15	3.77	$\cdots$	1.7	26
PPP-1000	20	3.65	$\cdots$	1.7	26
PPP-1500	23	3.56	$\cdots$	1.0	44
PPP-2000	42	3.44	0	0.65	68
PPP-2400	65	3.38	0.70	0.48	92
PPP-2900	90	3.37	0.81	0.36	122
VGCF-1050	46	3.44	0	0.90	49
VGCF-1900	300	3.36	0.93	0.35	126

[a]The ratio of Raman intensities is a measure of the degree of graphitization.

develops, the chains stack several layers deep, but remain entangled with each other. Thus the interlayer spacing of PPP develops as a graphitizing carbon, but the increase in L_c develops slowly, in a manner of nongraphitizing carbons.

B. SEM and TEM

Figure 5 shows a high resolution field emission FE-SEM micrograph of the original PPP sample and of the heat-treated samples PPP-700, PPP-2400, and PPP-2900 obtained at low acceleration voltages ($\sim$5 keV). To avoid charging effects, the original PPP sample was coated with gold in taking the FE-SEM image. The heat-treated granular samples were directly mounted on the SEM observation stage without further pulverization. The SEM micrographs indicate a characteristic porous and granular microstructure for each sample, different from that of common graphitizing carbons which show a platelet-like appearance with a well-developed stacked structure.[11] It would be worthwhile to identify the high density of pores in the PPP-700 sample which can act as a pathway for the electrolyte motion in secondary battery applications.

We now compare the texture of the PPP-700, PPP-2400, and PPP-2700 samples. The PPP-700 sample shows a porous structure arising from outgasing and carbonization. Upon heating the sample to 2400 °C, the material initially becomes more bulky as a result of increased densification, but upon further heat treatment to 2900 °C, the sample becomes more porous again as a result of graphitization, with a final porosity similar to the porosity of the PPP-700 sample. It is also interesting to note in the SEM image of Fig. 5(b) that the PPP-700 samples typically consist of two types of pores: larger pores with a width of $\sim$1 μm size (arrow L) among the granular areas, and smaller pores with widths in the range between 50 and several hundred nm (arrow S), presumably formed by a puffing mechanism. From these small pores, pyrolytically decomposed gases from PPP are ejected.
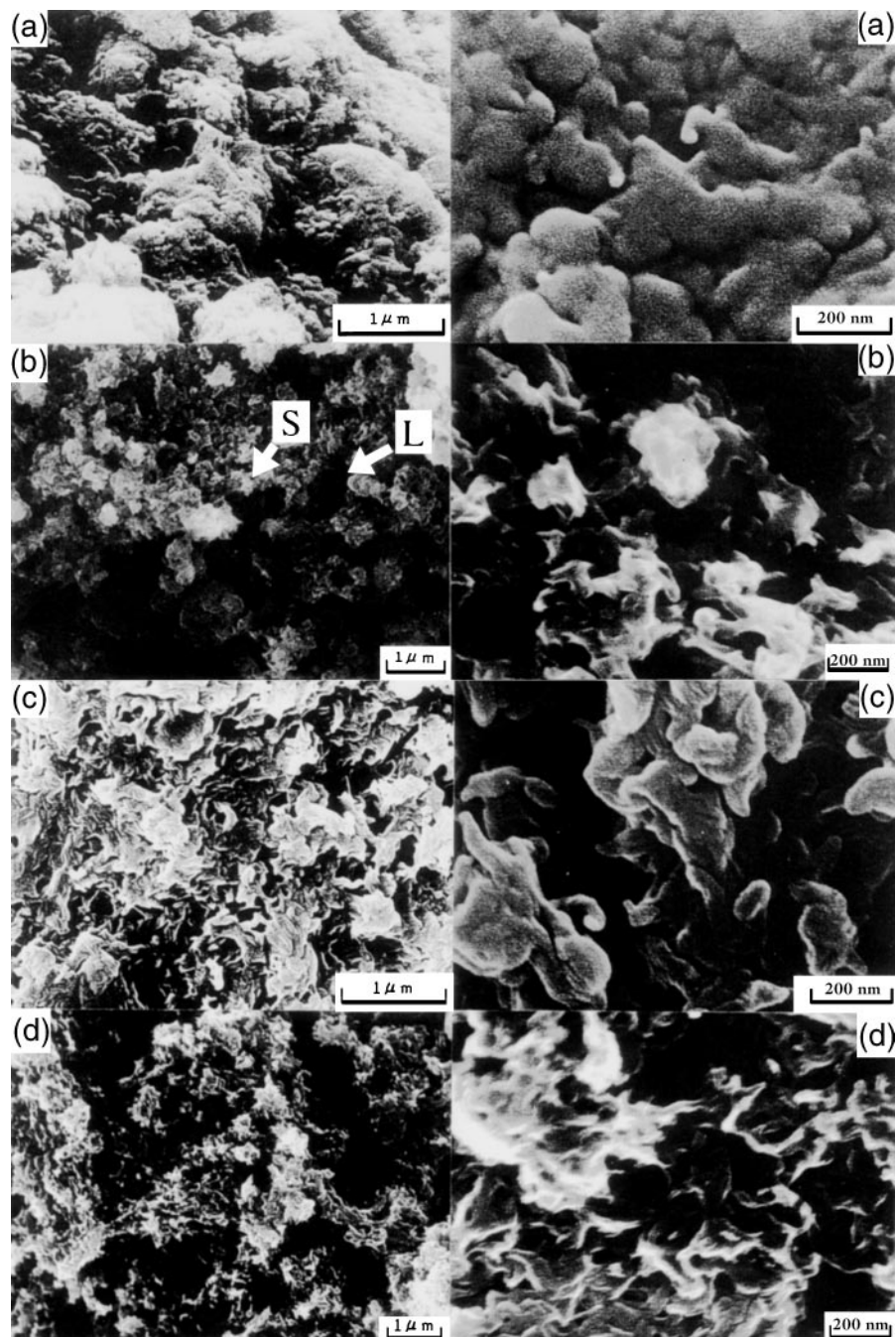
FIG. 5. High resolution FE-SEM pictures of the original PPP sample (a) and the heat-treated PPP-700 (b), PPP-2400 (c), and PPP-2900 (d) powder samples. Low- and high-resolution micrographs are shown on the left and right, respectively, for each sample.

Figure 6 shows the high resolution TEM images of PPP-700 and PPP-2900, for samples similar to those shown in Fig. 5, and in both cases, the samples were not pulverized. The granular samples were directly mounted on the carbon TEM microgrid, and the periphery of the particles was observed in the TEM images, so that the original pore and bulk structures could be observed directly. The periphery of the particles was sufficiently thin for electron beam penetration by electrons accelerated by 400 kV in the TEM instrument. In Fig. 6, short (002) carbon fringes are seen to be randomly arranged in a ribbon-like fashion for PPP-2900, with small regions showing several parallel layer stackings. These structures for PPP-2900 tend to give an interlayer spacing that is graphitic, but the entanglement seen in the TEM image (Fig. 7) inhibits an increase in L_c to sizes typical of nongraphitizing carbons.
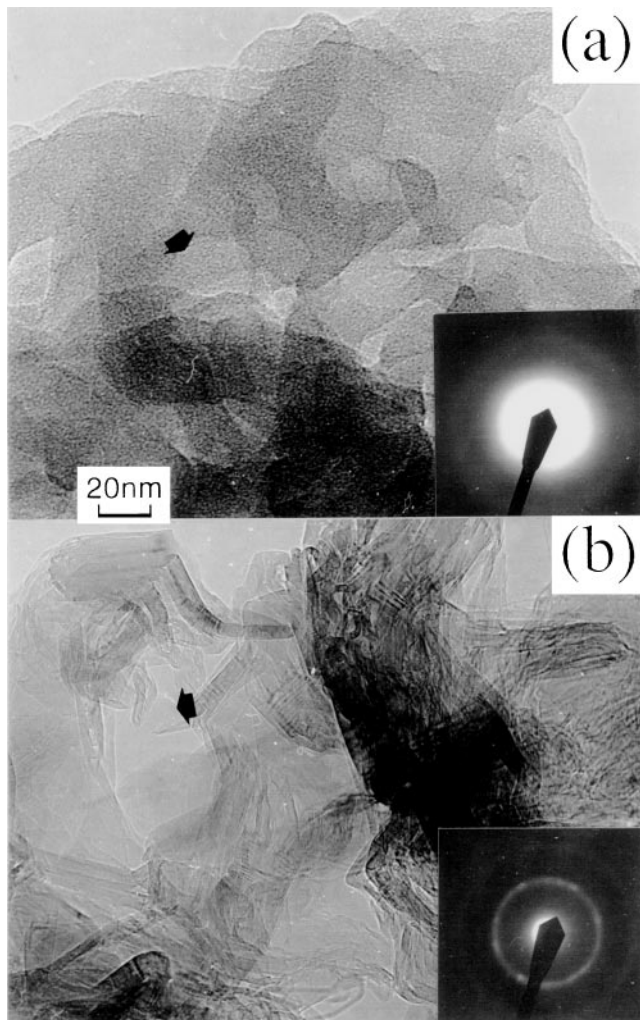
FIG. 6. High resolution, bright-field TEM pictures of PPP-700 (a) and PPP-2900 (b). Insets: Selected area diffraction pattern taken from corresponding images.

FIG. 7. Lattice images corresponding to the bright-field images in Fig. 6 for PPP-700 (a) and PPP-2900 (b).

The porous structure and amorphous texture are clearly seen in both the bright-field images [see Fig. 6(a)] and the inserted selected area electron diffraction (SAD) pattern for the PPP-700 sample. Oblique pores, as small as 20 nm in length, are clearly seen (arrow) in the particles, and these small pores may have their origin from the PPP precursor structure. By graphitization at 2900 °C, the PPP-based carbon structure changes to a fibril structure, shown in Fig. 6(b), which remains highly porous, but has a texture intermediate between that of a typical graphitizing and a nongraphitizing carbon, heat-treated at the same temperature.[12] The inserted SAD pattern in Fig. 6(b) shows 002, 10, 004, and 11 rings, but no 112 three-dimensional diffraction line is observed. This indicates that the crystallites are still turbostratic, and consist of small crystallite segments, as shown by x-ray diffraction measurements (see Sec. IV. A).

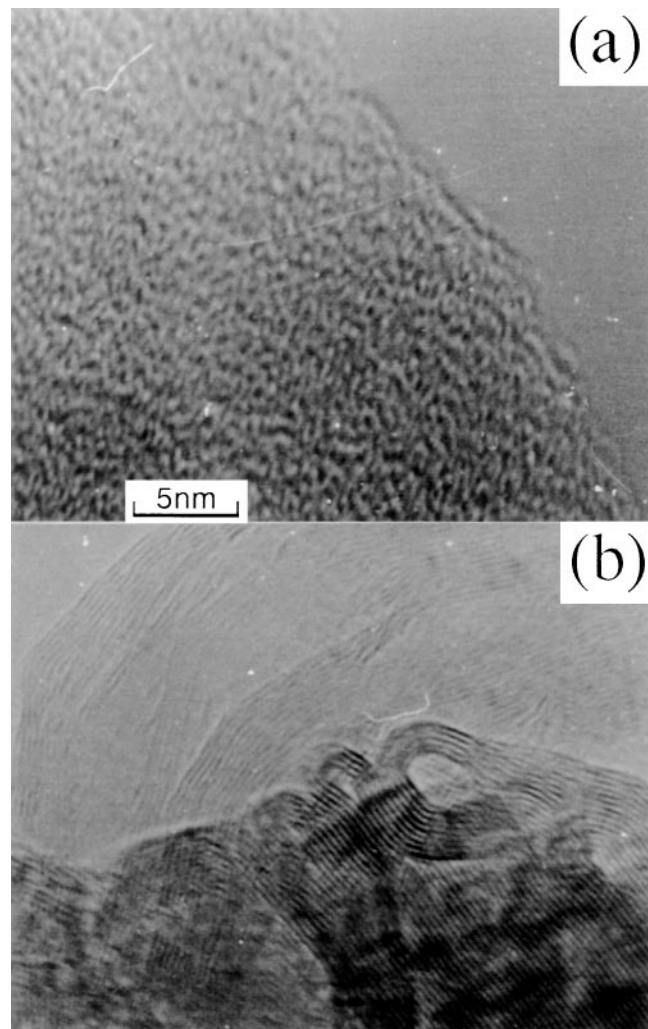Figure 7 shows the lattice images corresponding to the bright-field images of Fig. 6. The very disordered lattice images of PPP-700 in Fig. 7(a) change to rather long but poorly aligned fringes upon heat treatment to 2900 °C in Fig. 7(b), indicative of a fibril structure containing defective (002) layers in the PPP-2900 sample. The carbon interlayer spacing in the PPP-700 sample is found to be $\approx$4 Å, while the (002) stacking distance in the PPP-2900 sample is $\approx$3.4 Å. The wider interlayer spacing in PPP-700 thus changes to approximately that of graphite by heat treatment to 2900 °C. These structural characteristics of PPP-2900 support the relatively low Li storage capacity reported for PPP-2900, similar to that for less-ordered crystalline graphite.[13]

C. Raman spectroscopy

Figure 8 shows Raman spectra taken for samples heat-treated over the broad range 700 °C $\leq T_{HT} \leq$ 2700 °C. Additional spectra were taken for samples heat-treated to 2400 °C and 2900 °C, so as to compare
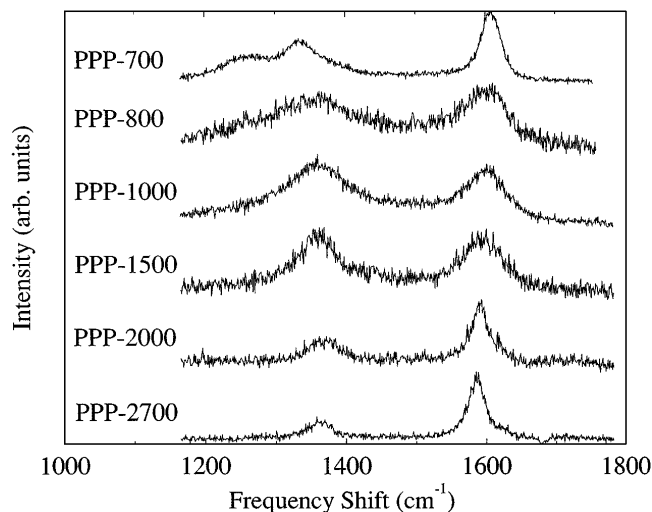
FIG. 8. Raman spectra for several heat-treated PPP samples. The PPP-700 sample exhibits an interesting 3-peak structure due to PPP mode remnants, whereas at higher T_{HT} the usual "disorder" and "graphite" peaks are observed.

with the XRD data presented in Sec. IV. A and displayed in Table I. Except for PPP-700, each spectrum exhibits two distinct features, one near 1600 cm^{-1} and the other around 1350 cm^{-1}. An additional third feature around 1260 cm^{-1} is observed for PPP-700, but this feature seems to be quenched through heat treatment at higher temperatures. For $T_{HT} > 1000\,°C$, we may identify these two features in the Raman spectra as the familiar Raman-allowed E_{2g_2} mode of graphite ($\sim$1580 cm^{-1}) and a disorder-induced mode arising from a breakdown in the k-selection rules for graphite ($\sim$1360 cm^{-1}). We see that the E_{2g_2} peak for lower T_{HT} is slightly upshifted, with respect to pure graphite, due to the scattering by disorder-induced non-zone center modes of slightly higher frequency (and probably some residual PPP A_g modes as well), but the peak frequency decreases with increasing T_{HT} to a value of 1585 cm^{-1} at 2700 °C, nearly equal to the HOPG (highly oriented pyrolytic graphite) value of 1582 cm^{-1}. Correspondingly, as the amount of graphitic order is increased through heat treatment, the intensity of the graphite E_{2g_2} peak (I_G) increases and the intensity of the disorder-induced peak (I_D) decreases.[14] The ratio of these two peaks can be used as a measure of the degree of order within the 2D graphene sheets, whereas the a-axis microcrystallite size is proportional to the ratio I_G/I_D. We note further that the "disorder" peak frequency also shifts as a function of heat-treatment temperature from roughly 1340 cm^{-1} for PPP-700 to 1360 cm^{-1} for PPP-2900. This is most likely due to a quenching of PPP-derived peaks near 1330 cm^{-1} as T_{HT} is increased, as discussed below.

It has been found that, for various graphitic carbons such as coke, glassy carbons and HOPG, a simple relation between L_a and the I_G/I_D ratio is observed, yielding the empirical formula[15]

$$L_a = 44 \text{ Å} \frac{I_G}{I_D}. \tag{2}$$

Table I lists the values of L_a found through this relation for samples heat-treated above 800 °C. For T_{HT} below 1000 °C L_a is on the order of 20–30 Å, but reaches a maximum value of 120 Å for T_{HT} near 3000 °C. It should be stressed that this relation holds approximately for a variety of carbons. However, such a relation has been shown to yield an underestimate in L_a for the case of nuclear-grade graphite.[16] This analysis was not applied to PPP-700, since the peaks observed in the Raman spectrum for this sample are influenced by the characteristics of the precursor more than by those of a typical graphitic material. In fact, a more careful study reveals that much of the peak intensities observed for PPP-700 arises from several A_g modes of a highly disordered PPP molecular crystal.

In order to study these modes more carefully in the T_{HT} regime about 700 °C, Raman spectra were taken for samples heat-treated from 650 °C to 750 °C in increments of $\Delta T_{HT} = 25\,°C$. Figure 9 displays the results of these scans, revealing a detailed peak profile which quickly evolves over the given temperature range. Scans on lower T_{HT} samples proved difficult since a strong luminescence background obscured the Raman peaks. This luminescence effect has been observed in non-heat-treated Kovacic PPP, as well as in other pure polymer samples, and tends to prevent the use of laser excitations in the visible for Raman spectroscopy.[17,18]

The Raman spectrum of pristine PPP has been studied extensively.[17,19–21] The PPP molecule in its ground state possesses D_{2h} symmetry, yielding the following
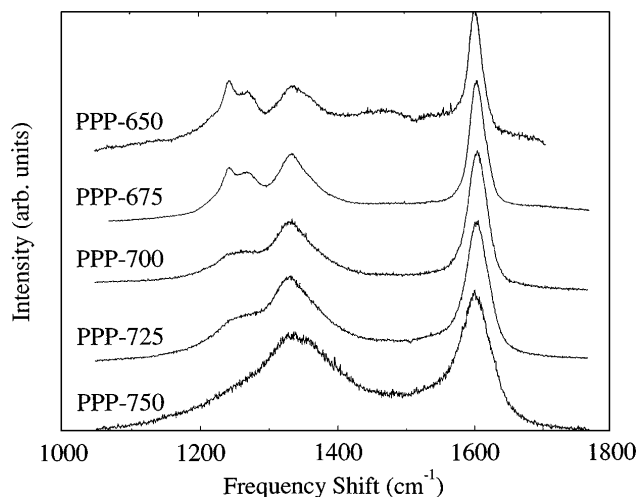

FIG. 9. Raman spectra for PPP heat-treated to temperatures between 650 °C and 750 °C showing several PPP-derived A_g modes.

in-plane modes at $k = 0$:

$$5A_g + 5B_{1g} + 4B_{2u} + 4B_{3u} \ .$$

Of these, 3 A_g modes produce strong Raman lines in the range 1100 cm^{-1} to 1800 cm^{-1}, namely at $\sim 1220 \text{ cm}^{-1}$, $\sim 1280 \text{ cm}^{-1}$, and $\sim 1600 \text{ cm}^{-1}$. In our Raman spectrum for PPP-650 and PPP-675, however, we find a relatively sharp peak centered at 1242 cm^{-1}, a broad peak centered at around 1270 cm^{-1}, and a broad, but strong, peak centered at 1334 cm^{-1}, along with the relatively unchanged 1600 cm^{-1} peak. Upon careful decomposition of Lorentzian lineshapes through a least-squares fitting procedure, two other peaks near 1218 cm^{-1} and 1360 cm^{-1} could be accounted for, thereby producing the best fit. Although the benzenoid (nondegenerate) ground state of PPP produces lines around 1220 cm^{-1} and 1280 cm^{-1}, it is well known that a (higher energy) quinoid state exists for the molecule, producing Raman lines near 1240 cm^{-1} and 1330 cm^{-1}, slightly higher in frequency from those for the benzenoid system due to a redistribution of C–C double-bonds. The C–C stretch mode producing the peak near 1600 cm^{-1} remains unshifted as a result of heat treatment in this T_{HT} range. Thus, the lines at 1240 cm^{-1} and 1330 cm^{-1} could imply the presence of regions in the sample with a quinoid-like structure produced by the introduction of defect states through heat treatment. Although the ideal quinoid structure, which is a planar structure due to the double-bond bridging of phenyl groups, has a higher overall energy per PPP chain than the ideal benzenoid structure, strong correlation between exposed *ortho*-sites of neighboring PPP chains in the defective PPP material may act to lower the energy of the quinoid state per *group* of neighboring PPP chains. Ultimately, a planar configuration of phenyl groups is energetically favored as the heat-treated solid loses more hydrogen and eventually forms small graphene sheets. The lines near 1218 and 1270 cm^{-1}, then, could be due to benzenoid PPP peak remnants, which appear slightly downshifted.

It should be noted that, at these low heat-treatment temperatures ($T_{\text{HT}} \leqslant 700\,°\text{C}$), the PPP samples have lost much (though not all) of their hydrogen, and many molecular defects due to hydrogen vacancies will be present. Indeed, previous work on PPP thin films indicates that at temperatures near $675\,°\text{C}$ (for 1 h residence time), H_2 and CH_4 gases begin to evolve from the polymer.[22] Therefore, an interpretation of the Raman spectra for low T_{HT} samples in terms of a disordered PPP, rather than a disordered graphitic carbon, seems reasonable. It is interesting to note that the Raman peak near 1600 cm^{-1} is relatively robust through the range $650\,°\text{C} \leqslant T_{\text{HT}} \leqslant 725\,°\text{C}$, indicating that most of the carbon ring systems do not become dismantled through heat treatment. Hence, the high frequency C–C stretch mode within each ring persists despite drastic

structural changes resulting from heat treatment. The stretch mode associated with the C–C nearest neighbors eventually becomes an E_{2g_2} graphite mode under D_{6h}^4 space group symmetry for $T_{\text{HT}} > 800\,°\text{C}$. The special properties of PPP-700 can be attributed to the presence of both a PPP-like short-range structure and an immaturely formed disordered graphene arrangement. Raman analysis suggests that, at a T_{HT} of $700\,°\text{C}$, the PPP samples are only partially carbonized and show the signature of a highly disordered quinoid-like PPP structure.

D. Electrical properties

Figure 10 shows the effect of heat treatment on the room temperature resistivity of small PPP sample bars. The resistivity results in Fig. 10 show three clear regions of behavior, as is also observed in typical graphitizing carbons. At low T_{HT} values (700–$1000\,°\text{C}$), the resistivity drops by many orders of magnitude, from $\rho \sim 3.6 \times 10^1$ Ω-cm at $T_{\text{HT}} \sim 600\,°\text{C}$ to 3.0×10^{-2} Ω-cm at $1000\,°\text{C}$. This drop in ρ is identified with the formation of a π-band from the insulating molecular coordination of PPP ($E_g \sim 3.4$ eV). The second region ($1000\,°\text{C} < T_{\text{HT}} < 2000\,°\text{C}$) shows almost no change in ρ, corresponding to a competition effect between the decrease in dangling bond concentration (see Sec. IV. E.) and a decrease in carrier localization
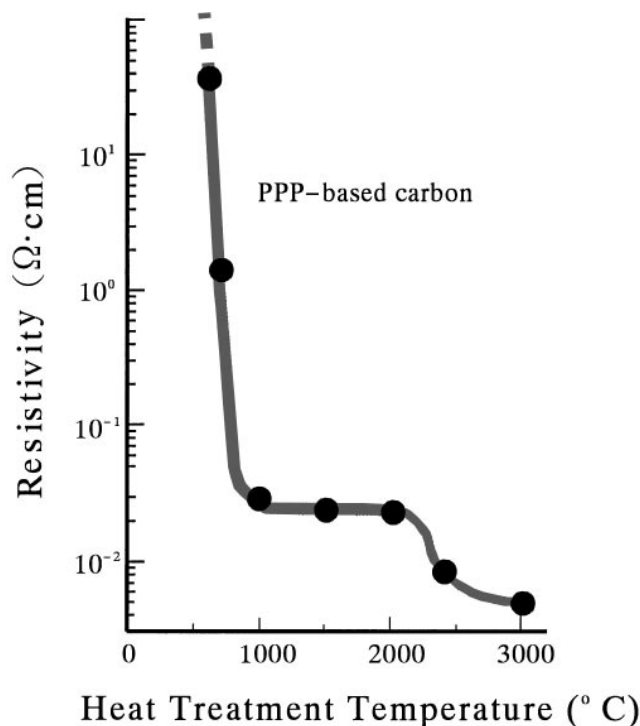

FIG. 10. Room temperature resistivity versus heat-treatment temperature showing typical soft carbon behavior for PPP-derived carbon. The plateau corresponds to a competition between decreasing carrier density and increasing carrier mobility due to a decrease in carrier scattering.

and the increased mobility through the development of L_a, the in-plane crystallite size. Above 2000 °C, the graphene band structure starts first to develop as 2D ordering and at the highest T_{HT} value near 3000 °C, some 3D interplanar correlation sets in. These structural changes begin to influence carrier conduction, producing a resistivity of 5.0×10^{-3} Ω-cm for PPP-3000. These three temperature regions are closely correlated with the dependence of d_{002} on T_{HT} described in Fig. 4. However, the lowest resistivity obtained for the PPP-3000 sample is ~2 orders of magnitude higher than for conventional graphite materials. This high ρ value is explained by the small crystallite size of the PPP-based carbon, even after graphitization. This is consistent with the dual graphitizing and nongraphitizing aspects of the PPP-based carbon. Graphitic aspects are found in terms of demonstrating the three regions of resistivity behavior shown in Fig. 10. In contrast, nongraphitizing carbons show only two regions in $\rho(T)$ as a function of T_{HT}. The relatively high value of ρ at 300 K for PPP-based carbon, however, indicates the presence of nongraphitic behavior, which we attribute to short L_a and L_c values.

Figure 11 shows the temperature dependent $\rho(T)$ for various T_{HT} samples. The $\rho(T)$ curves for PPP-700 and PPP-800 show a clear "semiconducting" T dependence, typical of disordered carbons. But as T_{HT} increases, $\rho(T)$ shows approximately T-independent behavior, and somewhat lower values of the room temperature resistivity, characteristic of the intermediate region of T_{HT} behavior
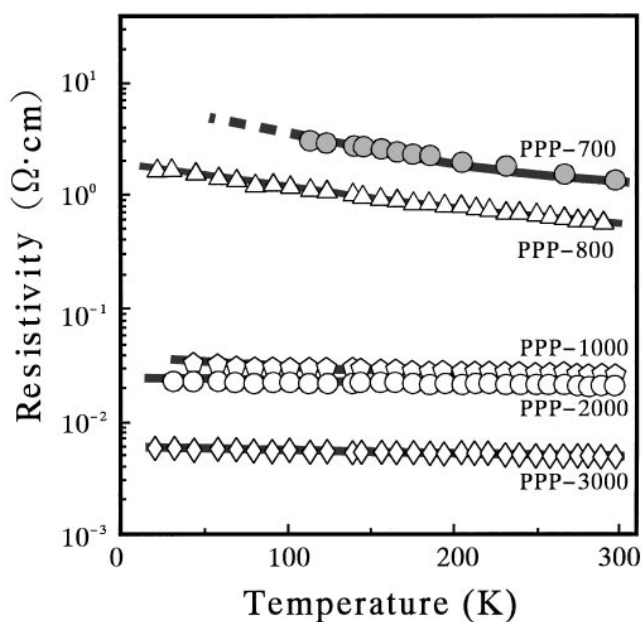
in Fig. 10. In this regime $\rho(T)$ varies very weakly with T_{HT}. However, as the high T_{HT} regime is reached, the room temperature ρ value again starts to decrease, while $\rho(T)$ remains flat. As shown in Fig. 11, the $\rho(T)$ for $T_{HT} \sim 3000$ °C shows no metallic temperature behavior at low temperature, consistent with the small L_c value of the PPP-3000 and corresponding high density of scattering centers.

E. Magnetic susceptibility

Temperature-dependent susceptibility measurements (Fig. 12) for samples heat-treated in the range 650 °C $\leqslant T_{HT} \leqslant 2700$ °C are well fit by a Curie law $\chi = (C/T) + \chi_0$ over the measurement temperature range $4 < T < 20$ K where the constant offset, χ_0, represents the temperature-independent diamagnetic contribution. From the slope of χ vs $1/T$, the Curie constant

$$C = \frac{N_{loc}S(S + 1)g^2\mu_B^2}{3k_B} \qquad (3)$$

is obtained, from which we extract the localized spin concentration (N_{loc}) for each PPP sample (Fig. 13). We see that the number of unpaired localized spins increases with increasing T_{HT} at low heat-treatment temperatures, reaches a maximum between 750 °C and 800 °C, and gradually decreases upon heat treatment to higher temperature. For $T_{HT} > 800$ °C, we can tentatively correlate N_{loc} with the relative integrated intensity of the disorder peak from Raman data, $(I_D/I_G)^{-1}$. Thus, as graphitization proceeds at higher T_{HT} values, the number of unpaired spins per unit volume formed at the dangling bonds along the edges of the pregraphitic microcrystallites tends to decrease as the amount of "edge" versus "volume" of a given microcrystallite decreases.

FIG. 11. $\rho(T)$ for PPP samples heat-treated to various T_{HT} values. The flatness of the curves and the absence of a "metallic" temperature dependence for $\rho(T)$ at high heat-treatment temperatures is associated with small L_c values.
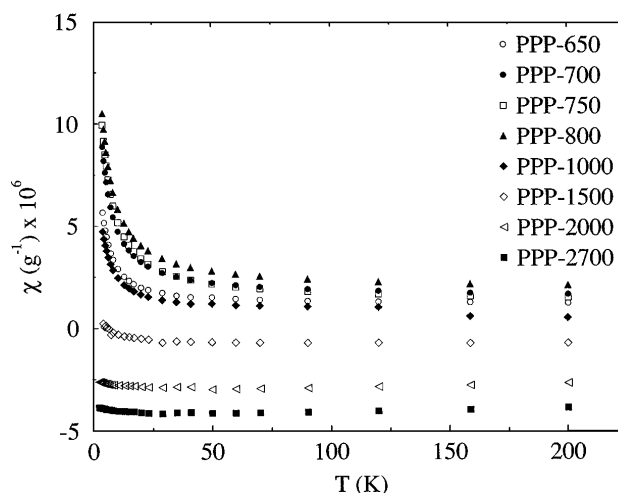

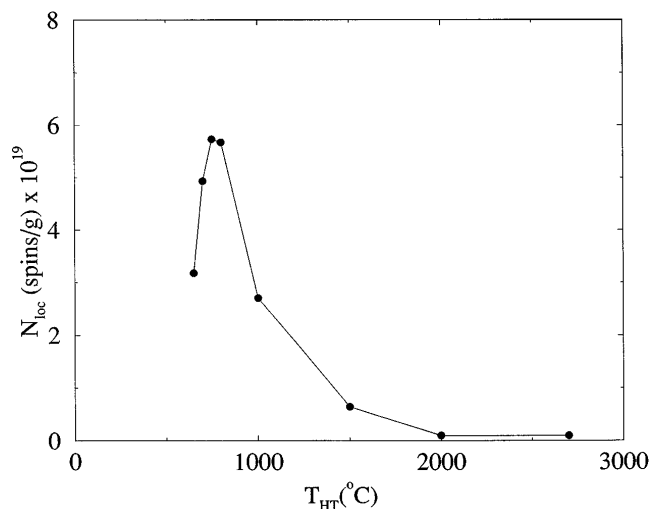FIG. 12. Temperature dependent magnetic susceptibility measurements for PPP samples heat-treated to various T_{HT} values.

FIG. 13. Localized spin density (N_{loc}) as a function of T_{HT}.

As indicated earlier, analysis of samples heat-treated in the range 650 °C $\leq T_{HT} \leq$ 800 °C is best done from the perspective of a disordered ($T_{HT} <$ 775 °C) polymer, as opposed to a disordered carbon ($T_{HT} >$ 775 °C). Hence, disorder decreases as we move away from the peak near $T_{HT} \sim$ 775 °C in Fig. 13, for either increasing or decreasing T_{HT}. At a T_{HT} of 650 °C, many edge sites of the carbonaceous clusters, consisting of partially dehydrogenated PPP, are terminated by hydrogen atoms. These hydrogen atoms are quickly expelled at higher T_{HT}, thus exposing unpaired electrons localized at carbon atoms along the edges and within the bulk of the crystallites. For sample PPP-700, the exposure of hydrogen-terminated sites is only partially complete, implying that each crystallite will have many dangling bonds as well as hydrogen atoms at edge locations. Interestingly, very near $T_{HT} =$ 700 °C we find a global maximum in the total number of unpaired spins in our system. This peak bears a striking resemblance to the peak in evolved H_2 as a function of T_{HT} reported in Ref. 22 for measurements done on thin film PPP. Thus, the special properties of PPP-700 may be related to this maximal exposure of *ortho*-sites which produces a maximum of localized spins.

V. DISCUSSION

In the present work, PPP-based carbons were characterized in terms of structural, lattice, and electronic properties in an attempt to better understand these materials, particularly those heat-treated in the 675 °C $< T_{HT} <$ 775 °C temperature range, where the most dramatic changes in physical properties occur due to the carbonization of the polymer precursor. In this low-T_{HT} regime, XRD measurements reveal short range (3.77 Å) d_{002}-spacing consistent with literature values for highly disordered carbon structures. Raman studies reveal that,

for PPP samples with $T_{HT} \sim$ 700 °C, features in the Raman spectrum between 1200 cm^{-1} and 1400 cm^{-1} may be identified with modes derived from each of the resonant PPP molecular structures. Thus, at low T_{HT}, Raman and XRD results suggest the coexistence of a mostly quinoid-like disordered PPP material with smaller amounts of benzenoid-PPP and turbostratic graphene constituents. Furthermore, the transport behavior for $T_{HT} <$ 800 °C is dominated by strongly localized carriers originating from the large band gap of PPP prior to heat treatment. Although many aromatic ring structures exist for $T_{HT} <$ 800 °C, mostly in small PPP-like clusters, the high density of dangling bonds and the semiconducting nature of the disordered PPP indicate the absence of a conductive pathway, and therefore of extended π-bands. This picture drastically changes as T_{HT} is increased above 800 °C, where carbonization proceeds, the dangling bond concentration drops, and correspondingly the conductivity increases by over 3 orders of magnitude. This increase in pregraphitic carbon conductivity occurs as the PPP character of the samples diminishes, leaving behind a novel form of disordered carbon. Whereas these PPP-based carbons can almost be characterized as a glassy carbon in terms of their limiting L_a and L_c values at high T_{HT}, the short interplanar distance (3.37 Å) near 3000 °C is almost equal to the d_{002} spacings of graphite (3.35 Å). The tendency for PPP-700 to accommodate large concentrations of Li is believed to be linked with these coexisting graphitizing/nongraphitizing characteristics in that they both arise from the strong structural influence of the aromatic polymer precursor. In terms of graphitizability, the formation of extended graphene sheets from columnar crystallites in pregraphitic PPP-based carbons is prevented by the presence and entanglement of PPP chains up to relatively high temperatures ($\sim$800 °C). This high-temperature stability, in fact, is one of the outstanding characteristics of PPP, enabling it to form an entangling structure at high temperatures. However, since the partially dehydrogenated molecules formed below 800 °C are expected to be planar due to their quinoid confirmation, adjacent chains are able to pack closely, allowing for close d_{002}-spacings at higher T_{HT}. In the low-T_{HT} regime, PPP-based carbons are thought to consist of a long-range polymeric entanglement, while supporting a local environment of mostly quinoid-PPP chains arranged roughly in coplanar alignments, with smaller amounts of benzenoid-PPP and disordered carbon constituents. The large d_{002}-spacings for the disordered carbon regions imply the presence of large open channels, both in the carbonized and precarbonized quinoid-PPP regions, consistent with SEM observations. These large open channels should enhance the mobility of Li dopants, as well as providing accessibility of lithium to various sites. Furthermore, we also expect

an increase in total available localization sites for Li in PPP-700, since a highly flexible structure with many edge sites is created through dehydrogenation. Thus, the delicate structure produced at $T_{HT} = 700\,°C$ can accommodate large amounts of Li due to a maximum in both vacant and accessible sites.

ACKNOWLEDGMENTS

REFERENCES

1. M. Mohri, J. Yanagisawa, Y. Tajima, H. Tanaka, T. Mitate, S. Nakajima, M. Yoshida, Y. Yoshimoto, T. Suzuki, and H. Wada, J. Power Sources **26**, 545 (1989).
2. I. Kuribayashi, M. Yokoyama, and M. Yamashita, J. Power Sources **54**, 1 (1995).
3. R. Yazami and D. Guerard, J. Power Sources **43**, 39 (1993).
4. T. Zheng, Y. Liu, E. W. Fuller, S. Tseng, U. von Sacken, and J. R. Dahn, J. Electrochem. Soc. **142**, 2581 (1995).
5. K. Tatsumi, N. Iwashita, H. Sakeabe, H. Shioyama, S. Higuchi, A. Mabuchi, and H. Fujimoto, J. Electrochem. Soc. **142**, 716 (1995).
6. J. R. Dahn, A. K. Sleigh, H. Shi, B. M. Way, W. J. Weycanz, J. N. Reimers, Q. Zhong, and U. von Sacken, in *New Materials and Perspectives* (North-Holland, Amsterdam, 1993).
7. K. Sato, M. Noguchi, A. Demachi, N. Oki, and M. Endo, Science **264**, 556 (1994).
8. P. Kovacic and A. Kyriakis, J. Am. Chem. Soc. **85**, 454 (1963).
9. I. L. Spain, in *Chemistry and Physics of Carbon,* edited by P. L. Walker, Jr. and P. A. Thrower (Marcel Dekker, New York, 1981), Vol. 16, p. 119.
10. M. S. Dresselhaus, G. Dresselhaus, K. Sugihara, I. L. Spain, and H. A. Goldberg, *Graphite Fibers and Filaments* (Springer-Verlag, Berlin, 1988), Vol. 5 of *Springer Series in Materials Science.*
11. Y. Hishiyama, Y. Kaburagi, and M. Inagaki, Mater. Sci. Forum **91–93**, 239 (1992).
12. A. Oberlin, in *Chemistry and Physics of Carbon,* edited by P. A. Thrower (Dekker, New York, 1989), Vol. 22, p. 1.
13. M. Endo, Y. Nishimura, T. Takahashi, K. Takeuchi, and M. S. Dresselhaus, J. Phys. Chem. Solids **57**, 725 (1996).
14. F. Tuinstra and J. L. Koenig, J. Chem. Phys. **53**, 1126 (1970).
15. D. S. Knight and W. B. White, J. Mater. Res. **4**, 385 (1989).
16. L. Nikiel and P. Jagodzinski, Carbon **31**, 1313 (1993).
17. S. Krichene, S. Lefrant, G. Froyer, F. Maurice, and Y. Pelous, J. Phys. (Paris) Colloq. **44**, C3-733 (1983).
18. S. Krichene, J. P. Buisson, and S. Lefrant, Synth. Met. **17**, 589 (1987).
19. Z. Iqbal, H. Bill, and R. H. Baughman, J. Phys. (Paris) Colloq. **44**, C3-761 (1983).
20. J. P. Buisson, S. Krichene, and S. Lefrant, Synth. Met. **21**, 229 (1987).
21. G. Zannoni and G. Zerbi, J. Chem. Phys. **82**, 31 (1985).
22. Y. Yamada, T. Furuta, M. Shiraishi, M-A. Sato, and K. Kaeriyama, J. Mater. Sci. **24**, 3113 (1989).