# CONGRUENCE SUBGROUPS OF BRAID GROUPS AND CRYSTALLOGRAPHIC QUOTIENTS. PART I

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#### Abstract

This paper is the first of a two part series devoted to describing relations between congruence and crystallographic braid groups. We recall and introduce some elements belonging to congruence braid groups and we establish some (iso)-morphisms between crystallographic braid groups and corresponding quotients of congruence braid groups.

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## **1. Introduction**

This paper delves into the relationship between two families of groups, subgroups and quotients of classical braid groups: *congruence subgroups of braid groups* and *crystallographic braid groups*, respectively introduced by Arnol'd [Arn68] and Tits [Tit66].

While both families are instances of more general groups with rich theoretical backgrounds, they have also garnered significant attention in recent (and less recent) literature on braid groups and relatives; see for instance [BM18, Nak21, Sty18] and also [ABGH20, BPS22, KM22] for congruence subgroups of braid groups and [A'C79,

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BM20, GGO17] as well as [GGOP21, BGM22, CdSJ023] for crystallographic braid groups. Let us provide an overview of the two general families to which these groups belong.

In the context of groups of matrices, a *congruence subgroup* of a matrix group with integer entries is a subgroup defined as the kernel of the mod *m* reduction of a linear group. The notion of congruence subgroups can be generalised for arithmetic subgroups of certain algebraic groups for which we can define appropriate reduction maps. A classical question about congruence subgroups is the *congruence subgroup problem*, first formulated in [BMS67]: in this seminal paper, Bass, Milnor and Serre prove that for  $n \ge 3$ , the group  $SL_n(\mathbb{Z})$  has the *congruence subgroup property*, meaning that every finite-index subgroup of  $SL_n(\mathbb{Z})$  contains a *principal congruence subgroup*. The literature devoted to this problem in several settings is vast (we refer to [Rag04] for a survey), linking the theory of arithmetic groups and geometric properties of related spaces.

In this spirit, we can define congruence subgroups of any group via a choice of representation into  $GL(n, \mathbb{Z})$ . Let the braid group  $B_n$  be the mapping class group  $Mod(D_n)$  of the disc with *n* marked points  $D_n$ . We can define a symplectic representation and use it to define congruence subgroups of braid groups  $B_n[m]$ . We recall the details in Section 2, but let us give here an idea of the definitions of these groups. We start with the integral Burau representation of  $B_n$ , which is the representation  $\rho: B_n \to GL_n(\mathbb{Z})$  obtained by evaluating the (unreduced) Burau representation  $B_n \to GL_n(\mathbb{Z}[t, t^{-1}])$  at t = -1. Describing the representation from a topological point of view, one can see that the integral Burau representation is symplectic, and can be regarded as a representation:

$$\rho \colon B_n \to \begin{cases} \operatorname{Sp}_{n-1}(\mathbb{Z}) & \text{for } n \text{ odd,} \\ (\operatorname{Sp}_n(\mathbb{Z}))_u & \text{for } n \text{ even,} \end{cases}$$

where  $(\text{Sp}_n(\mathbb{Z}))_u$  is the subgroup of  $\text{Sp}_n(\mathbb{Z})$  fixing a specific vector  $u \in \mathbb{Z}^n$ ; see [GG16, Proposition 2.1] for a homological description of  $(\text{Sp}_n(\mathbb{Z}))_u$  in this context.

The *level m congruence subgroup*,  $B_n[m]$ , is the kernel of the mod *m* reduction of the integral Burau representation

$$\rho_m \colon B_n \to \begin{cases} \operatorname{Sp}_{n-1}(\mathbb{Z}/m\mathbb{Z}) & \text{for } n \text{ odd,} \\ (\operatorname{Sp}_n(\mathbb{Z}/m\mathbb{Z}))_u & \text{for } n \text{ even,} \end{cases}$$
(1-1)

for m > 1.

The second family of groups that we consider are *crystallographic groups*, appearing in the study of isometries of Euclidean spaces; see Section 3 for precise definitions and useful characterisations. In [GGO17], Gonçalves, Guaschi and Ocampo prove that certain quotients of the braid groups  $B_n$  are crystallographic, and use this result to study their torsion and other algebraic properties. The authors use this characterisation to prove that the group  $B_n/[P_n, P_n]$  is crystallographic, where  $P_n$  denotes the pure braid group on n strands and  $[P_n, P_n]$  its commutator subgroup. This quotient, that we refer

to as the *crystallographic braid group*, was introduced by Tits in [Tit66] as *groupe de Coxeter étendu*; see [BGM22] for a short survey.

Congruence subgroups and crystallographic structures share a point of contact. It follows from Arnol'd's work [Arn68] that the pure braid group  $P_n$  can be characterised as the congruence subgroup  $B_n$ [2]. With this equivalence and the results of [GGO17] in mind, it is natural to ask: how are congruence subgroups of braid groups and crystallographic groups related? This question was also recently raised in [KNS24] for small Coxeter groups. In this paper, we propose to explore the interplay between congruence subgroups of braid groups and crystallographic groups of braid groups and crystallographic groups, opening several questions that we will develop in a further work [BDOS24].

The paper is organised as follows. In Section 2, we provide some basic definitions and properties that are useful in this paper, such as the Burau representation, symplectic structures, the definition of congruence subgroups and the actions of half-twists on symplectic groups. Section 3 contains the main body of this work. In Section 3.1, we prove the following general result about crystallographic groups.

**THEOREM 3.4.** Consider the short exact sequence  $1 \longrightarrow K \longrightarrow G \xrightarrow{p} Q \longrightarrow 1$  where K is a free abelian group of finite rank and Q is a finite group such that the representation  $\varphi: Q \to \operatorname{Aut}(K)$ , induced from the action by conjugacy, is not injective. Suppose that the group  $p^{-1}(\operatorname{Ker}(\varphi))$  is torsion free. Then G is a crystallographic group with holonomy group  $Q'_{\operatorname{Ker}}(\varphi)$ .

This theorem plays an important role in this work, since the techniques used in [GGO17] do not apply directly in this paper. This is because the representation

$$\Theta_m: \rho_m(B_n) \to \operatorname{Aut} \left( \begin{array}{c} B_n[m] \\ [B_n[m], B_n[m]] \end{array} \right),$$

induced from the action by conjugacy of  $B_n$  on  $B_n[m]$ , is injective if and only if m = 2 (see Proposition 3.5), where  $\rho_m$  is the homomorphism defined in (1-1). We apply Theorem 3.4 to get the following result, which is proved in Section 3.2, relating congruence subgroups and crystallographic groups.

THEOREM 3.6. Let  $n \ge 3$  be an odd integer and let  $m \ge 3$  be a prime number. If the abelian group  $B_n[m]_{[B_n[m], B_n[m]]}$  is torsion free, then the group  $B_{n'}[B_n[m], B_n[m]]$  is crystallographic with dimension equal to rank  $\binom{B_n[m]_{[B_n[m], B_n[m]]}}{[B_n[m], B_n[m]]}$  and holonomy group  $\rho_m(B_n)_{Z(\rho_m(B_n))}$ .

**THEOREM 3.12.** Let *m* be a positive integer and let  $n \ge 3$ . Consider the map

$$\overline{\xi} \colon \overset{B_n}{\frown} [P_n, P_n] \to \overset{B_n[m]}{\frown} [P_n, P_n] \cap B_n[m]$$

defined by  $\overline{\xi}(\sigma_i) = \sigma_i^m$  for all  $1 \le i \le n-1$ . If m is odd, then  $\overline{\xi}$  is an isomorphism. As a consequence, for  $n \ge 3$  and m odd,  $B_n[m] \not[P_n, P_n] \cap B_n[m]$  is a crystallographic group of dimension n(n-1)/2 and holonomy group  $S_n$ .

#### 2. Congruence subgroups

Let *S* be a connected, orientable surface, possibly with marked points and boundary components. The *mapping class group* Mod(S) of *S* is the group of homotopy classes of homeomorphisms of *S* that preserve the orientation, fix the set of marked points setwise and fix the boundary pointwise.

**2.1. Braid groups and examples.** Let *S* be a surface as above. We introduce a particular element of Mod(S) that is used throughout the paper. Let *A* be an annulus. The homeomorphism depicted in Figure 1 is called a *twist map*.

Now, let  $c \,\subset S$  be a simple closed curve. The regular neighbourhood  $\mathcal{N}(c)$  of c is homeomorphic to an annulus A. Consider the homeomorphism  $f_c$  that acts as a twist map on  $\mathcal{N}(c)$  and as the identity on  $S \setminus \mathcal{N}(c)$ . The homotopy class of  $f_c$  is called a *Dehn twist about c*, denoted by  $T_c$  [FM12, Section 3.1].

Braid groups can be defined in several equivalent ways, long known to be equivalent; see for instance [BB05, KT08]. In this work, it is convenient to define them in terms of mapping class groups. Let  $D_n$  be a disc with  $n \in \mathbb{N}$  marked points in its interior. The *braid group*  $B_n$  is Mod $(D_n)$ . For a geometric insight into twists in the context of braid groups, let  $D_n$  lie on the *xy*-plane with its centre on the *x*-axis. Denote the punctures from left to right by  $p_1, p_2, \ldots, p_n$ : the arc connecting  $p_i$  and  $p_{i+1}$  is denoted by  $a_i$  (see Figure 4). Consider  $a_i$  to be the diameter of a circle *c* such that the points  $p_i$  and  $p_{i+1}$  lie on *c*. Interchanging the points  $p_i$  and  $p_{i+1}$  by rotating them half way along *c* in the clockwise direction gives a homeomorphism of  $D_n$ , and its homotopy class in Mod $(D_n)$  is called a *half-twist*, denoted by  $\sigma_i$ . Note that all conjugates of  $\sigma_i$  are called half-twists. In terms of presented groups, half-twists correspond to the Artin generators from Artin's presentation for  $B_n$  [Art25]:

$$\langle \sigma_1, \ldots, \sigma_{n-1} | \begin{array}{c} \sigma_i \sigma_j = \sigma_j \sigma_i & \text{for } |i-j| > 1 \\ \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j & \text{for } |i-j| = 1 \\ \end{array} \rangle.$$

Let  $c \in D_n$  be a curve surrounding the points  $p_i, p_{i+1}$ . This curve is homotopic to the circle described above. We note that if  $\sigma_i$  is a half-twist, then  $\sigma_i^2$  is a Dehn twist about the curve *c*. This Dehn twist is generalised, for  $1 \le i < j \le n$ , as

$$A_{i,j} = (\sigma_{j-1}\sigma_{j-2}\dots\sigma_{i+1})\sigma_i^2(\sigma_{j-1}\sigma_{j-2}\dots\sigma_{i+1})^{-1}.$$

We recall that a generating set of  $P_n$  is given by  $\{A_{i,j}\}_{1 \le i < j \le n}$ . Geometrically, the element  $A_{i,j}$  can be represented as a Dehn twist about a curve surrounding punctures  $p_i$  and  $p_j$ . For instance, in Figure 2, we describe  $A_{2,5}$  as the Dehn twist about the curve that surrounds punctures  $p_2$  and  $p_5$ .

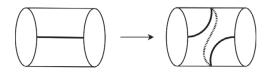


FIGURE 1. Twist map acts on an annulus.

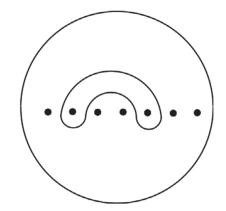


FIGURE 2. Dehn twist along the curve that surrounds the punctures  $p_2$ ,  $p_5$  is  $A_{2,5}$ .

We are interested in the action by conjugation of  $B_n$  on  $P_n$ . Recall from [MK99, Proposition 3.7, Ch. 3] that for all  $1 \le k \le n - 1$  and for all  $1 \le i < j \le n$ ,

$$\sigma_k A_{ij} \sigma_k^{-1} = \begin{cases} A_{ij} & \text{if } k \neq i - 1, i, j - 1, j, \\ A_{ij+1} & \text{if } j = k, \\ A_{ij}^{-1} A_{ij-1} A_{ij} & \text{if } j = k + 1 \text{ and } i < k, \\ A_{ij} & \text{if } j = k + 1 \text{ and } i = k, \\ A_{i+1,j} & \text{if } i = k < j - 1, \\ A_{ij}^{-1} A_{i-1,j} A_{ij} & \text{if } i = k + 1. \end{cases}$$

This action induces an action of  $B_{n}(P_n, P_n]$  on  $P_n(P_n, P_n]$ ; see [GGO17, Proposition 12]: let  $\alpha \in B_{n}(P_n, P_n)$  and let  $\pi$  be the permutation induced by  $\alpha^{-1}$ , then  $\alpha A_{i,j}\alpha^{-1} = A_{\pi(i),\pi(j)}$  in  $P_n(P_n, P_n)$ . Another important element of  $B_n$  that plays a crucial role in the paper is the Dehn

Another important element of  $B_n$  that plays a crucial role in the paper is the Dehn twist (or a full twist) along a curve surrounding all marked points of  $D_n$ . We denote this element by  $\Delta_n^2$ . In fact,  $\Delta_n^2$  generates the centre of  $B_n$  [Cho48]; in terms of half-twists,

$$\Delta_n^2 = (\sigma_1 \sigma_2 \dots \sigma_{n-1})^n.$$

**2.2. Burau representation and symplectic structures.** Braid groups naturally act on the homology of topological spaces obtained from the punctured disk. A construction arising in such a way is the Burau representation [Bur35]. One of the most

famous representations of the braid group, originally introduced in terms of matrices assigned to the generators in Artin's presentation of  $B_n$ , the Burau representation is fundamental in low-dimensional topology. While this representation has been extensively studied, it still retains some mystery: a long standing candidate for proving the linearity of the braid group (later established independently in [Big01, Kra02]), the question of its faithfulness has remained open for quite some time. The Burau representation, faithful for  $n \le 3$  [MP69], eventually proved to be unfaithful for  $n \ge 5$ (Moody [Moo91] proved unfaithfulness for  $n \ge 9$ , Long and Paton [LP93] for  $n \ge 6$ , and Bigelow [Big99] for n = 5). However, the case n = 4 remains open, with advances towards closing the problem being published recently [BB21, BT18, Dat22].

In this work, we are going to take the viewpoint of the Burau representation as a homological representation. Let  $\pi = \pi_1(D_n, q)$  denote the fundamental group of  $D_n$ , where  $q \in \partial D_n$ . The function  $\pi \to \mathbb{Z} \cong \langle t \rangle$  defines a covering space  $\tilde{D}_n \to D_n$ . Let Q be a set of all lifts of q. The action of t on  $\tilde{D}_n$  induces a  $\mathbb{Z}[t]$ -module  $H_1(\tilde{D}_n, Q; \mathbb{Z}[t])$  of dimension n. Every mapping class in  $Mod(D_n)$  lifts to a unique mapping class in  $\tilde{D}_n$ . Hence, the (reducible) Burau representation is given by a map

$$Mod(D_n) \rightarrow Aut(H_1(D_n, Q; \mathbb{Z}[t])).$$

This representation splits into a direct sum of an (n-1)- and a one-dimensional representation.

Fixing t = -1, the covering space becomes a two-fold branch cover  $\Sigma \to D_n$ , where  $\Sigma$  is homeomorphic to a surface of genus g = (n - 1)/2 and one boundary component if *n* is odd, and g = n/2 - 1 and two boundary components if *n* is even [PV96]. As mentioned above, every mapping class in Mod $(D_n)$  lifts to a unique mapping class in Mod $(\Sigma)$  leading to an injection Mod $(D_n) \to \text{Mod}(\Sigma)$ . Let  $q \in \partial D_n$  be a point and Q be a set of all lifts of q. The reducible Burau representation at t = -1 [BM18, Section 2] (see also [BPS22]) is

$$\operatorname{Mod}(D_n) \to \operatorname{Mod}(\Sigma) \to \operatorname{Aut}(\operatorname{H}_1(\Sigma, Q; \mathbb{Z})).$$

For *n* odd, the module  $H_1(\Sigma, Q; \mathbb{Z})$  splits as  $H_1(\Sigma; \mathbb{Z}) \times \mathbb{Z}$  and the induced action of  $Mod(D_n)$  preserves a symplectic form on  $H_1(\Sigma; \mathbb{Z})$ . Hence, the image of the latter representation is conjugate to  $Sp_{n-1}(\mathbb{Z})$  [GG16, Proposition 2.1]. When *n* is even, the module  $H_1(\Sigma, Q; \mathbb{Z})$  carries a symplectic structure. More precisely, if *g* is the genus of  $\Sigma$ , then let  $\Sigma'$  be a surface obtained by gluing a pair of pants in the boundary of  $\Sigma$ . Then  $\Sigma'$  is a surface genus g + 1 with one boundary component. We consider  $H_1(\Sigma, Q; \mathbb{Z})$  as a submodule of  $H_1(\Sigma'; \mathbb{Z})$ . In Figure 3, we give a basis for each of the latter modules.

The representation obtained by the construction above is

$$\rho \colon B_n \to \begin{cases} \operatorname{Sp}_{n-1}(\mathbb{Z}) & \text{for } n \text{ odd,} \\ (\operatorname{Sp}_n(\mathbb{Z}))_u & \text{for } n \text{ even,} \end{cases}$$
(2-1)

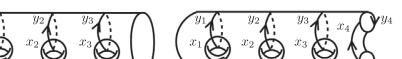


FIGURE 3. Generators for  $H_1(\Sigma'; \mathbb{Z})$  on the left, and  $H_1(\Sigma, Q; \mathbb{Z})$  on the right.

where, without loss of generality, we can choose  $u = y_{2g+1}$ . For the detailed construction, see [BM18, Section 2.1].

An analogue of the principal congruence subgroups for the braid groups  $B_n$  can be defined starting from the integral Burau representation. The *level m congruence sub*group  $B_n[m]$  is the kernel of the mod *m* reduction of the integral Burau representation

$$\rho_m \colon B_n \to \begin{cases} \operatorname{Sp}_{n-1}(\mathbb{Z}/m\mathbb{Z}) & \text{for } n \text{ odd,} \\ (\operatorname{Sp}_n(\mathbb{Z}/m\mathbb{Z}))_u & \text{for } n \text{ even,} \end{cases}$$

for m > 1.

In [Arn68], Arnol'd proved that the pure braid group  $P_n$  is isomorphic to the level 2 congruence subgroup  $B_n[2]$  of the braid group  $B_n$ ; see also [BM18, Section 2] for a sketch of the original argument. In [BM18], Brendle and Margalit go on to prove that  $B_n[4]$  is isomorphic to the subgroup  $P_n^2$ , where  $P_n^2$  is the subgroup of  $P_n$  generated by the squares of all elements.

A well-known family of elements in  $B_n[m]$  are *braid Torelli elements*. Consider the symplectic representation (2-1). The kernel of this representation is denoted by  $\mathcal{BI}_n$  and it is called *braid Torelli* group. Since the representation (1-1) is a mod *m* reduction of  $\rho$ , every element of  $\mathcal{BI}_n$  is actually an element of  $B_n[m]$ . In particular,  $\mathcal{BI}_n$  is generated by squares of Dehn twists about curves surrounding an odd number of marked points in  $D_n$  [BMP15]. In terms of half-twists, these elements are of the form

$$(\sigma_1 \cdots \sigma_k)^{2k+2},$$

where k < n is even. This family of elements can be extended. If, for example, we denote by *c* a curve surrounding an odd number of marked points, then  $T_c^2 \in \mathcal{BI}_n$ . Other families of elements in  $B_n[m]$ , such as mod *p* involutions and centre maps, are described in [Sty18, Section 4].

**2.3.** Actions of half-twists on symplectic groups. Recall that  $B_n \cong Mod(D_n)$  and  $\Sigma \to D_n$  is a two-fold branched cover. The image of the monomorphism  $Mod(D_n) \to Mod(\Sigma)$  is called the *hyperelliptic mapping class group* denoted by  $SMod(\Sigma)$ . Below, we explain how to lift elements of  $Mod(D_n)$  into  $SMod(\Sigma)$ . Then we use these lifts to explain their action on  $H_1(\Sigma, Q; \mathbb{Z})$ .

Let  $\Sigma$  be a genus g surface as in Figure 4. The surface  $\Sigma$  is the 2-fold cover of the disc  $D_n$ . Each simple closed curve  $c_i$  is a lift of the arc  $a_i$ . Recall that  $\sigma_i$  is a half-twist along

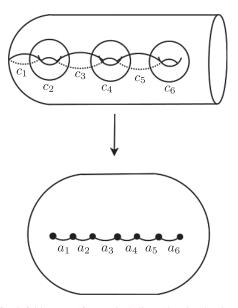


FIGURE 4. An example of a 2-fold cover of a marked disc. The simple closed curve  $c_i$  in the genus 3 surface becomes the arc  $a_i$  in the disc.

 $a_i$ . Then  $\sigma_i$  lifts to the Dehn twist  $T_{c_i}$ . This association describes the homomorphism  $B_n \to \text{SMod}(\Sigma)$  by  $\sigma_i \mapsto T_{c_i}$ .

Suppose that  $\Sigma$  is a genus  $g \ge 1$  surface with one boundary component (similarly for two boundary components). Let  $T_c$  be a Dehn twist about a simple closed curve cand let [c] be its homology class in  $H_1(\Sigma; \mathbb{Z})$ . Denote by  $t_{[c]}$  a transvection induced by  $T_c$ . The action of the transvection  $t_{[c]}$  on a homology class u is defined by  $t_{[c]}(u) = u + i(u, [c])[c]$ , where i(, ) is a symplectic form. Therefore, the homomorphism  $\rho_m: B_n \to \operatorname{Sp}_{n-1}(\mathbb{Z}/m\mathbb{Z})$  is defined by  $\sigma_i \mapsto t_{[c_i]}$  (similarly for two boundary components). The next two lemmas describe the images of particular elements of  $B_n$  in the symplectic group over  $\mathbb{Z}/m\mathbb{Z}$ .

LEMMA 2.1. For 
$$m \ge 2$$
, we have that  $\rho_m(\sigma_i^m) = 1$ .

**PROOF.** Since  $\sigma_i$  is mapped to the transvection  $t_{[c_i]}$ , we only need to compute the matrix form of  $t_{[c_i]}$ . It is easy to calculate the action of  $t_{[c_i]}$  based on Figure 3. The result is conjugate to the following matrix:

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \oplus I,$$

where *I* is the identity matrix of dimension n - 2. The result follows by calculating the *m*th power of the latter matrix over  $\mathbb{Z}/m\mathbb{Z}$ .

Lemma 2.1 leads to the question of whether  $B_n[m]$  coincides with the group normally generated by  $\sigma_i^m$ . This is generally not the case (see [BDOS24] for further

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details): in fact,  $B_n[m]$  is of finite index in  $B_n$ , while the group normally generated by  $\sigma_i^m$  is not (except pairs  $(n, m) \in \{(3, 3), (3, 4), (3, 5), (4, 3), (5, 3)\}$ ; see [Cox59]).

Recall that  $\Delta_n^2$  denotes the element  $(\sigma_1 \sigma_2 \cdots \sigma_{n-1})^n$  in  $B_n$ , generating the centre of  $B_n$ .

**REMARK 2.2.** The full twist  $\Delta_n^2$  has this notation since it is the square of the Garside element  $\Delta_n$ , which is another crucial element in braid theory.

**LEMMA** 2.3. If *n* is odd, then  $\rho_m(\Delta_n^2)$  has order 2. If *n* is even, then  $\rho_m(\Delta_n^2)$  has order *m* if gcd(2, m) = 1 or it has order m/2 if gcd(2, m) = 2.

**PROOF.** Suppose that *n* is odd. The lift of  $(\sigma_1 \sigma_2 \cdots \sigma_{n-1})^n$  to  $\Sigma$  is the product of Dehn twists  $(T_{c_1}T_{c_2}\cdots T_{c_{n-1}})^n$ . Consider the basis  $\{x_i, y_i\}$  depicted in Figure 3. Then the action of the product  $(t_{[c_1]}t_{[c_2]}\cdots t_{[c_{n-1}]})^n$  reverses the orientation of  $x_i, y_i$  [Sty18]. Thus, it has order 2.

Suppose that *n* is even. The lift of  $(\sigma_1 \sigma_2 \cdots \sigma_{n-1})^n$  to  $\Sigma$  is the product  $(T_{c_1}T_{c_2}\cdots T_{c_{n-1}})^n$ . By the chain relation, the latter product is  $T_{q_1}T_{q_2}$ , where the curves  $q_1, q_2$  are parallel to the boundary components of  $\Sigma$  [FM12, Proposition 4.12]. Since  $[q_1] = [q_2] = y_{n-1}$ , we have that  $T_{q_1}T_{q_2}$  is mapped into the square transvection  $t_{y_{n-1}}^2$ . The transvection  $t_{y_{n-1}}$  fixes all basis elements  $\{x_i, y_i\}$  except  $x_{n-1}$ . Hence,

$$t_{y_{n-1}}^2(x_{n-1}) = x_{n-1} + 2y_{n-1}.$$

### 3. Crystallographic structures and congruence subgroups of the braid groups

We recall the definition of a crystallographic group.

DEFINITION 3.1. A group *G* is said to be a *crystallographic group* if it is a discrete and uniform subgroup of  $\mathbb{R}^N \rtimes O(N, \mathbb{R}) \subseteq Aff(\mathbb{R}^N)$ .

In [GGO17], there is a characterisation of crystallographic groups that is convenient in our context; see also [Dek96, Section 2.1].

LEMMA 3.2 [GGO17, Lemma 8]. A group G is crystallographic if and only if there is an integer N and a short exact sequence

 $1 \longrightarrow \mathbb{Z}^N \longrightarrow G \xrightarrow{\zeta} \Phi \longrightarrow 1$ 

such that

- (1)  $\Phi$  is finite;
- (2) the integral representation  $\Theta: \Phi \to \operatorname{Aut}(\mathbb{Z}^N)$ , induced by conjugation on  $\mathbb{Z}^N$  and defined by  $\Theta(\phi)(x) = \pi x \pi^{-1}$ , where  $x \in \mathbb{Z}^N$ ,  $\phi \in \Theta$  and  $\pi \in G$  is such that  $\zeta(\pi) = \phi$  is faithful.

**3.1. A general result on crystallographic groups.** In this subsection, we prove two results that are general and that are applied to the study of crystallographic structures on quotients of the braid group by commutator subgroups of congruence subgroups.

THEOREM 3.3. Let  $\phi: G \to F$  be a surjective homomorphism with F a finite group. Let K denote the kernel of  $\phi$ . Suppose that there is a nontrivial element of the centre of G that does not belong to K. Then the representation  $\eta: F \to \operatorname{Aut}\left(\frac{K}{[K,K]}\right)$ , induced from the action by conjugacy of  $G_{[K,K]}$  on  $K_{[K,K]}$ , is not injective.

**PROOF.** Since [K, K] is characteristic in K and K is normal in G, then [K, K] is normal in G. Hence, we may consider the action by conjugacy of  $G'_{[K, K]}$  on  $K'_{[K, K]}$ . This induces a representation  $\eta: F \to \operatorname{Aut}\left(\frac{K'_{[K, K]}}{K}\right)$ . Let  $z \in Z(G)$  be a nontrivial element in the centre of G such that  $z \notin K$ . We note that  $\overline{z}$  does not belong to  $K'_{[K, K]}$ . Furthermore, since  $z \in Z(G)$ ,

$$\overline{z}\overline{k}\overline{z}^{-1} = \overline{k}$$
, for every element  $\overline{k} \in K_{[K,K]}$ . (3-1)

Let  $\overline{\phi}(\overline{z}) = t$ , where  $\overline{\phi} \colon G_{[K,K]} \to F$ . Notice that *t* is a nontrivial element in *F*. So, we conclude that  $\eta$  is not injective since  $\eta(t)$  is the identity homomorphism (see (3-1)).  $\Box$ 

In the following result, we consider the case where the holonomy representation defined in Lemma 3.2 is not injective and give conditions for the middle group to be a crystallographic group.

**THEOREM 3.4.** Consider the short exact sequence  $1 \longrightarrow K \longrightarrow G \xrightarrow{p} Q \longrightarrow 1$  where K is a free abelian group of finite rank and Q is a finite group such that the representation  $\varphi: Q \to \operatorname{Aut}(K)$ , induced from the action by conjugacy, is not injective. Suppose that the group  $p^{-1}(\operatorname{Ker}(\varphi))$  is torsion free. Then G is a crystallographic group with holonomy group  $Q'_{\operatorname{Ker}}(\varphi)$ .

**PROOF.** First, we note that  $p^{-1}(\text{Ker}(\varphi))$  is a Bieberbach group, since it is finitely generated, torsion free and virtually abelian; see [Dek96, Theorem 3.1.3(4)].

Now, we prove that  $p^{-1}(\text{Ker}(\varphi))$  is free abelian. Since  $p^{-1}(\text{Ker}(\varphi))$  is a Bieberbach group, it fits in a short exact sequence  $1 \to A \to p^{-1}(\text{Ker}(\varphi)) \to F \to 1$  where *F* is a finite group and *A* is a free abelian group containing *K* as a normal subgroup of finite index. Suppose now that *F* is not the trivial group. Let  $x \in p^{-1}(\text{Ker}(\varphi))$  be an element that is mapped onto a nontrivial element in *F*. We know that the induced map  $F \to \text{Aut}(A)$  is injective, so conjugation by *x* induces a nontrivial automorphism of *A*. However, since *K* is of finite index in the free abelian group *A*, this implies that conjugation by *x* also induces a nontrivial automorphism of *K*. But this is not possible since  $x \in p^{-1}(\text{Ker}(\varphi))$ .

Hence,  $p^{-1}(\text{Ker}(\varphi))$  is free abelian and we obtain the sequence

$$1 \longrightarrow p^{-1}(\operatorname{Ker}(\varphi)) \longrightarrow G \xrightarrow{p} \mathcal{Q}_{\operatorname{Ker}(\varphi)} \longrightarrow 1$$

such that the middle group is a crystallographic group.

**3.2.** Crystallographic structures and congruence subgroups of braid groups. In this subsection, we study a quotient of  $B_n$ , namely,  $B_n/[B_n[m], B_n[m]]$ . Since  $B_{n'}[B_n[2], B_n[2]]$  is crystallographic [GGO17, Proposition 1], being isomorphic to the crystallographic braid group  $B_{n'}[P_n, P_n]$ , it is reasonable to ask whether  $B_{n'}[B_n[m], B_n[m]]$  is crystallographic for any positive integer *m*. Here we give conditions for this statement to hold.

The following short exact sequence

$$1 \longrightarrow B_n[m] \longrightarrow B_n \xrightarrow{\rho_m} \rho_m(B_n) \longrightarrow 1$$

induces a short exact sequence on the quotients

$$1 \xrightarrow{B_n[m]} B_n[m], B_n[m]] \xrightarrow{B_n} B_n[m], B_n[m]] \xrightarrow{\overline{\pi}} \rho_m(B_n) \xrightarrow{\pi} 1.$$
(3-2)

The action by conjugacy of  $B_n [m], B_n[m]$  on  $B_n[m] [B_n[m], B_n[m]]$  induces a homomorphism

$$\Theta_m \colon \rho_m(B_n) \to \operatorname{Aut}\left( \overset{B_n[m]}{[B_n[m], B_n[m]]} \right).$$
(3-3)

As a consequence of Theorem 3.3, we have the following result.

**PROPOSITION** 3.5. The representation  $\Theta_m: \rho_m(B_n) \to \operatorname{Aut} \begin{pmatrix} B_n[m] \\ B_n[m], B_n[m] \end{pmatrix}$ , induced from the action by conjugacy of  $B_n$  on  $B_n[m]$ , is injective if and only if m = 2.

**PROOF.** For m = 2, the abelian group  $B_n[2]_{[B_n[2], B_n[2]]}$  has finite rank and is torsion free. Furthermore,  $\Theta_2$  in injective; see [GGO17, Proof of Proposition 1].

Let  $m \ge 3$ . Recall that the element  $\Delta_n^2 = (\sigma_1 \sigma_2 \cdots \sigma_{n-1})^n$  represents the full twist on  $\operatorname{Mod}(D_n) \cong B_n$ , which generates the centre of  $B_n$ . From Lemma 2.3, for any n, the element  $\rho_m(\Delta_n^2)$  is nontrivial and of finite order. Thus,  $\Delta_n^2 \notin B_n[m]$ . Therefore, the induced element in  $B_n/[B_n[m], B_n[m]]$  does not belong to  $B_n[m]/[B_n[m], B_n[m]]$ . From Theorem 3.3, the homomorphism  $\Theta_m : \rho_m(B_n) \to \operatorname{Aut}\left( B_n[m], B_n[m] \right)$  is not injective.

Since the representation  $\Theta_m$  is not injective for  $m \ge 3$ , we cannot apply Lemma 3.2 in this case. However, we may give general conditions such that the group  $B_{n}/[B_n[m], B_n[m]]$  is crystallographic. We have the following result about crystallographic structures and quotients of braid groups by commutators of congruence subgroups.

THEOREM 3.6. Let  $n \ge 3$  be an odd integer and let  $m \ge 3$  be a prime number. If the abelian group  $B_n[m]_{[B_n[m], B_n[m]]}$  is torsion free, then the group  $B_{n'}[B_n[m], B_n[m]]$  is crystallographic with dimension equal to rank  $\binom{B_n[m]_{[B_n[m], B_n[m]]}}{[B_n[m], B_n[m]]}$  and holonomy group  $\rho_m(B_n)_{Z(\rho_m(B_n))}$ .

**PROOF.** From Theorem 3.4, if  $\overline{\rho_m}^{-1}(\text{Ker}(\Theta_m))$  is torsion free, where  $\rho_m$  and  $\Theta_m$  are the homomorphisms defined in (3-2) and (3-3), respectively, then the group  $B_n/[B_n[m], B_n[m]]$  is crystallographic. We note that the Ker( $\Theta_m$ ) is isomorphic to  $Z(\rho_m(B_n))$  the centre of  $\rho_m(B_n)$ , since

We note that the Ker( $\Theta_m$ ) is isomorphic to  $Z(\rho_m(B_n))$  the centre of  $\rho_m(B_n)$ , since the full twist  $\Delta_n^2$  generates the centre of  $B_n$  and  $\rho_m(\Delta_n^2)$  belongs to the normal subgroup Ker( $\Theta_m$ ) of the symplectic group  $\rho_m(B_n)$ . Recall that, under the assumptions of the statement,  $Z(\rho_m(B_n))$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ . We consider now the following short exact sequence:

$$1 \longrightarrow B_n[m]_{[B_n[m], B_n[m]]} \longrightarrow \overline{\rho_m}^{-1}(\operatorname{Ker}(\Theta_m)) \xrightarrow{\overline{\rho_m}} Z(\rho_m(B_n)) \longrightarrow 1$$

such that the kernel is torsion free (by hypothesis), the class of the element  $\Delta_n^2 \in B_n$  is a nontrivial element of  $\overline{\rho_m}^{-1}(\text{Ker}(\Theta_m))$  and  $1 \neq \overline{\Delta_n^4} \in B_n[m] [B_n[m], B_n[m]]$ .

Applying a standard method to give presentations for group extensions [Joh90, Ch. 10] and using the fact that the full twist generates the centre of  $B_n$ , we conclude that the middle group  $\overline{\rho_m}^{-1}(\text{Ker}(\Theta_m))$  is free abelian and its rank corresponds to the rank of the free abelian group  $B_n[m]_{[B_n[m], B_n[m]]}$ .

**REMARK** 3.7. As far as we know, it is still an open problem whether  $B_n[m]_{[B_n[m], B_n[m]]}$  is torsion free for any *n* and *m* except for a few cases. It is well known that the group  $B_n[2]_{[B_n[2], B_n[2]]}$  is free abelian of rank  $\binom{n}{2}$ . Also, the groups  $B_3[3]_{[B_3[3], B_3[3]]}$  and  $B_3[4]_{[B_3[4], B_3[4]]}$  are torsion free of ranks 4 and 6, respectively; see [BDOS24].

**3.3. Symmetric quotients of congruence subgroups of braid groups.** From the definition of congruence subgroups, we get an inclusion  $\iota: B_n[m] \to B_n$  that induces a homomorphism  $\overline{\iota}: B_n[m]_{[P_n, P_n] \cap B_n[m]} \to B_n_{[P_n, P_n]}$ . In general,  $\overline{\iota}$  is not an isomorphism. In the following result, we study it in more detail.

THEOREM 3.8. Let *m* be an odd positive integer and let  $n \ge 3$ . The homomorphism induced from the inclusion  $\iota: B_n[m] \to B_n$ ,

$$\overline{\iota}: {}^{B_n[m]}(P_n, P_n] \cap B_n[m] \to {}^{B_n}(P_n, P_n],$$

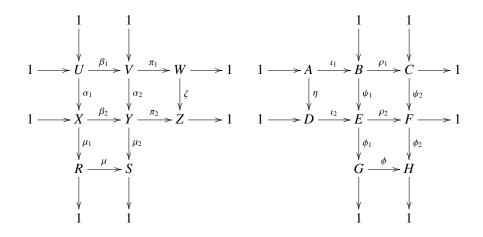
is injective. Furthermore, the group  $\overline{\iota} \Big( B_n[m] / [P_n, P_n] \cap B_n[m] \Big)$  is a normal proper subgroup of  $B_n / [P_n, P_n]$  such that the quotient is isomorphic to  $(\mathbb{Z}/m\mathbb{Z})^{n(n-1)/2}$ . REMARK 3.9. For n = 2, the quotient groups of Theorem 3.8 are isomorphic.

Before delving into the proof, we state two technical lemmas that are needed.

LEMMA 3.10. Let N, H, G groups be such that  $H \leq G$  and N is a normal subgroup of G. Then the inclusion homomorphism  $\iota: H \hookrightarrow G$  induces an injective homomorphism

$$\kappa\colon H_{N\cap H}\to G_{N}.$$

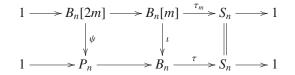
LEMMA 3.11. Consider the following commutative diagrams of (vertical and horizontal) short exact sequences of groups in which every square is commutative:



- (1) (a) If  $\beta_i$  is an inclusion, for i = 1, 2, and  $\zeta$  is an isomorphism, then  $\mu$  is an isomorphism.
  - (b) If  $\alpha_i$  is an inclusion, for i = 1, 2, and  $\mu$  is an isomorphism, then  $\zeta$  is an isomorphism.
- (2) Suppose that, for i = 1, 2, the homomorphisms  $\iota_i$  and  $\psi_i$  are inclusions. Then  $\eta$  is an isomorphism if and only if  $\phi$  is.

Lemma 3.10 is a simple consequence of the standard isomorphism theorem between  $H/N \cap H$  and the subgroup NH/N of G/N, while Lemma~3.11 can be easily proven using diagram chasing.

**PROOF OF THEOREM 3.8.** From [ABGH20, Theorem 3.1 and its proof], we have the following commutative diagram:



where  $\tau$  is the natural surjective homomorphism that sends each braid generator  $\sigma_i$  to the transposition  $(i, i + 1), \tau_m$  is the restriction of  $\tau$  to the subgroup  $B_n[m], \iota$  is the natural inclusion from the definition of congruence subgroups and  $\psi$  is the restriction of  $\iota$  to the subgroup  $B_n[2m]$ .

Now, we consider the following diagram induced from the commutative square on the left, where the vertical arrows on this square are inclusion homomorphisms and  $\psi$ 

is the restriction of  $\psi$ :

From Lemma 3.10, the third arrow  $\overline{\psi}$  on the right is also injective. Since  $P_n/[P_n, P_n]$  is a free abelian group of rank n(n-1)/2, then  $B_n[2m]/[P_n, P_n] \cap B_n[2m]$  is a free abelian group of finite rank, at most n(n-1)/2. From [ABGH20, Corollary 2.4], the element  $A_{i,j}^m$  belongs to  $B_n[2m]$  for all  $1 \le i < j \le n$ , where  $\{A_{i,j} \mid 1 \le i < j \le n\}$  is the set of Artin generators of  $P_n$ . Since  $P_n/[P_n, P_n]$  is generated by the set of cosets  $\{\overline{A_{i,j}} \mid 1 \le i < j \le n\}$ , it follows that  $\{\overline{A_{i,j}^m} \mid 1 \le i < j \le n\}$  is a basis of  $B_n[2m]/[P_n, P_n] \cap B_n[2m]$ , so it has rank n(n-1)/2. Furthermore, from the above,

$$\frac{P_{n}(P_n, P_n]}{\overline{\psi}(B_n[2m](P_n, P_n] \cap B_n[2m])} \cong (\mathbb{Z}/m\mathbb{Z})^{n(n-1)/2}.$$
(3-4)

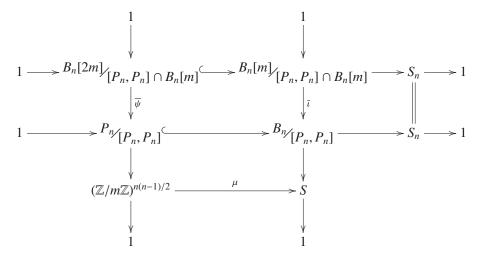
Considering [BPS22, Proposition 3.1], Arnol'd's result  $B_n[2] = P_n$  and with some set theoretical equivalences, we can see that

$$[P_n, P_n] \cap B_n[m] = ([P_n, P_n] \cap P_n) \cap B_n[m]$$
$$= [P_n, P_n] \cap (P_n \cap B_n[m])$$
$$= [P_n, P_n] \cap B_n[2m].$$

The following diagram is induced from the commutative square on the left, where the vertical arrows on this square are inclusion homomorphisms:

From Lemma 3.10, the third arrow  $\bar{\iota}$  on the right is also injective. With this information and (3-4), we construct the following commutative diagram:

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From Lemma 3.11 item (1), the homomorphism  $\mu$  is an isomorphism and we get the result. 

Let  $n \ge 3$ . Recall from [ABGH20, Lemma 2.3] that the element  $\sigma_i^m$  belongs to  $B_n[m]$  for all  $1 \le i \le n-1$ , where  $\{\sigma_i \mid 1 \le i \le n-1\}$  is the set of Artin generators of  $B_n$ . Although the set map  $\xi: B_n \to B_n[m]$  defined by  $\xi(\sigma_i) = \sigma_i^m$ , for all  $1 \le i \le n-1$ , is not a homomorphism, when *m* is odd, it induces an isomorphism on the quotient groups  $\overline{\xi}: B_n/[P_n, P_n] \to B_n[m]/[P_n, P_n] \cap B_n[m]$ , as we show in the next result.

THEOREM 3.12. Let *m* be a positive integer and let  $n \ge 3$ . Consider the map

$$\overline{\xi} \colon {}^{B_n}(P_n, P_n) \to {}^{B_n[m]}(P_n, P_n) \cap B_n[m]$$

defined by  $\overline{\xi}(\sigma_i) = \sigma_i^m$  for all  $1 \le i \le n-1$ . If m is odd, then  $\overline{\xi}$  is an isomorphism. As a consequence, for  $n \ge 3$  and m odd,  $B_n[m]/[P_n, P_n] \cap B_n[m]$  is a crystallographic group of dimension n(n-1)/2 and holonomy group  $S_n$ .

**PROOF.** Suppose that  $n \ge 3$  and m is an odd positive integer and consider the map

$$\overline{\xi} \colon \overset{B_n}{[} P_n, P_n] \to \overset{B_n[m]}{[} P_n, P_n] \cap B_n[m]$$

defined by  $\overline{\xi}(\sigma_i) = \sigma_i^m$  for all  $1 \le i \le n - 1$ . To show that  $\overline{\xi}$  is a homomorphism, it is enough to verify that Artin's relations are preserved by  $\overline{\xi}$ .

Let  $1 \le i, j \le n$  such that  $|i - j| \ge 2$ . From Artin's relation  $\sigma_i \sigma_j = \sigma_j \sigma_i$ , we obtain

 $\sigma_i^m \sigma_j^m = \sigma_j^m \sigma_i^m \text{ in } B_n[m], \text{ which is then preserved by } \overline{\xi}.$ Let  $1 \le i \le n-2$ . The equality  $\sigma_i^m \sigma_{i+1}^m \sigma_i^m \sigma_{i+1}^{-m} = 1$  is valid in  $B_n[m]_{[P_n, P_n] \cap B_n[m]}$ . In fact, suppose that m = 2k + 1, then from the action of conjugation in  $B_{n/[P_n, P_n]}$  described in Section 2.1,

$$\begin{split} \sigma_{i}^{m}\sigma_{i+1}^{m}\sigma_{i}^{m}\sigma_{i+1}^{-m}\sigma_{i}^{-m}\sigma_{i+1}^{-m} &= A_{i,i+1}^{k}\sigma_{i}A_{i+1,i+2}^{k}\sigma_{i+1}A_{i,i+1}^{k}\sigma_{i}\sigma_{i+1}^{-1}A_{i+1,i+2}^{-k}\sigma_{i}^{-1}A_{i,i+1}^{-k}\sigma_{i+1}^{-1}A_{i+1,i+2}^{-k} \\ &= A_{i,i+1}^{k}A_{i,i+2}^{k}A_{i+1,i+2}^{k}A_{i,i+1}^{-k}A_{i,i+2}^{-k}A_{i+1,i+2}^{-k} \\ &= 1 \in {}^{B}n\!\!/[P_{n},P_{n}]. \end{split}$$

From Theorem 3.8, the homomorphism

$$\overline{\iota}: \overset{B_n[m]}{[P_n, P_n]} \cap B_n[m] \to \overset{B_n}{[P_n, P_n]}$$

is injective, and then  $\sigma_i^m \sigma_{i+1}^m \sigma_i^m \sigma_{i+1}^{-m} \sigma_i^{-m} \sigma_{i+1}^{-m} = 1$  in  $B_n[m] \not[P_n, P_n] \cap B_n[m]$ . Now, consider the following commutative diagram of short exact sequences:

As seen in the proof of Theorem 3.8, the free abelian groups  $B_n[2m]_{[P_n, P_n]} \cap B_n[2m]$ and  $P_n/[P_n, P_n]$  of rank n(n-1)/2 have bases  $\{\overline{A_{i,j}^m} \mid 1 \le i < j \le n\}$  and  $\{\overline{A_{i,j}} \mid 1 \le i < j \le n\}$ , respectively. Since

$$\overline{\xi}|\colon {}^{P_n}(P_n,P_n) \to {}^{B_n[2m]}(P_n,P_n) \cap B_n[2m]$$

is a homomorphism such that  $\overline{\xi}|(A_{i,j}) = A_{i,j}^m$ , for all  $1 \le i < j \le n$ , it is an isomorphism. Therefore, from the five lemma,  $\overline{\xi}$  is an isomorphism.

The last part follows from the corresponding result on the crystallographic braid group  $B_{n/[P_n, P_n]}$ ; see [GGO17, Proposition 1].

A group *G* is called *co-Hopfian* if it is not isomorphic to any of its proper subgroups, or equivalently, if every injective homomorphism  $\phi: G \to G$  is surjective. It is known that the braid group  $B_n$  is not co-Hopfian. However, for  $n \ge 4$ , the quotient by its centre is co-Hopfian; see [BM06].

COROLLARY 3.13. Let  $n \ge 3$ . The crystallographic braid group  $B_n/[P_n, P_n]$  is not co-Hopfian.

**PROOF.** It follows from Theorems 3.8 and 3.12.

**REMARK** 3.14. We note that in this paper, we do not use Lemma 3.11 item (2). However, it will be useful in [BDOS24].

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<sup>18</sup> 

## [19] Congruence subgroups of braid groups and crystallographic quotients. Part I

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