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## On the anticyclotomic Iwasawa main conjecture for modular forms

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# On the anticyclotomic Iwasawa main conjecture for modular forms

Masataka Chida and Ming-Lun Hsieh

## ABSTRACT

We generalize the work of Bertolini and Darmon on the anticyclotomic main conjecture for elliptic curves to modular forms of higher weight.

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## Introduction

This is the continuation of our previous work [CH12] on the analytic side of Iwasawa theory for modular forms over the anticyclotomic  $\mathbf{Z}_p$ -extension of imaginary quadratic fields, i.e. the construction of  $p$ -adic  $L$ -functions and explicit interpolation formulas. The purpose of this paper is to prove a one-sided divisibility relation towards the main conjecture in Iwasawa theory for modular forms over anticyclotomic  $\mathbf{Z}_p$ -extensions by generalizing the proof of Bertolini and Darmon [BD05] for elliptic curves. To state our result precisely, we introduce some notation. Let  $f \in S_k(\Gamma_0(N))$  be an elliptic new form of level  $N$  with  $q$ -expansion at the infinity cusp,

$$f(q) = \sum_{n>0} \mathbf{a}_n(f)q^n.$$

Let  $K$  be an imaginary quadratic field with absolute discriminant  $D_K$ . Decompose  $N = N^+N^-$ , where  $N^+$  is only divisible by primes split in  $K$  and  $N^-$  is only divisible by primes inert or ramified in  $K$ . In this paper we assume that

$$N^- \text{ is the square-free product of an odd number of inert primes.} \quad (\text{ST})$$

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Let  $p$  be a distinguished rational prime such that

$$p \nmid ND_K.$$

Fix an embedding  $\iota_p : \bar{\mathbf{Q}} \rightarrow \mathbf{C}_p$ . Let  $E = \mathbf{Q}_p(f)$  be the Hecke field of  $f$  in  $\mathbf{C}_p$ , i.e. the finite extension of  $\mathbf{Q}_p$  generated by  $\{\mathbf{a}_n(f)\}_n$ . Let  $\mathcal{O}$  be the ring of integers of  $E$  and  $\mathbb{F}$  be the residue field. Henceforth, we assume that

$$f \text{ is } p\text{-ordinary,} \tag{ord}$$

i.e. the  $p$ th Fourier coefficient  $\mathbf{a}_p(f)$  is a unit in  $\mathcal{O}$ . Let  $\rho_f : G_{\mathbf{Q}} = \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}) \rightarrow \text{GL}_2(E)$  be the  $p$ -adic Galois representation attached to  $f$ . We have  $\det \rho_f = \varepsilon^{k-1}$ , where  $\varepsilon : G_{\mathbf{Q}} \rightarrow \mathbf{Z}_p^\times$  is the  $p$ -adic cyclotomic character. We consider the self-dual Galois representation

$$\rho_f^* := \rho_f \otimes \varepsilon^{(2-k)/2} : G_{\mathbf{Q}} \rightarrow \text{GL}_2(E). \tag{0.1}$$

Let  $V_f = E^{\oplus 2}$  be the representation space of  $\rho_f^*$ . By the ordinary assumption for  $f$ , there exists a unique rank-one  $G_{\mathbf{Q}_p}$ -invariant subspace  $F_p^+ V_f \subset V_f$  on which the inertia group of  $G_{\mathbf{Q}_p}$  acts via  $\varepsilon^{k/2}$ . We shall fix a  $G_{\mathbf{Q}}$ -stable lattice  $T_f \subset V_f$  once and for all. Let  $A_f := T_f/F_p^+ T_f$  and let  $F_p^+ A_f$  be the image of  $F_p^+ V_f$  in  $A_f$ . Let  $K_\infty$  be the anticyclotomic  $\mathbf{Z}_p$ -extension of  $K$ . Let  $\Gamma = \text{Gal}(K_\infty/K)$  and let  $\Lambda = \mathcal{O}[[\Gamma]]$  be the one-variable Iwasawa algebra over  $\mathcal{O}$ . In this paper we are interested in the  $\Lambda$ -adic minimal Selmer group  $\text{Sel}(K_\infty, A_f)$  for  $\rho_f^*$ . Recall that for each algebraic extension  $L$  over  $K$ , the minimal Selmer group  $\text{Sel}(L, A_f)$  is defined by

$$\text{Sel}(L, A_f) := \ker \left\{ H^1(L, A_f) \rightarrow \prod_{v \nmid p} H^1(L_v, A_f) \times \prod_{v|p} H^1(L_v, A_f/F_p^+ A_f) \right\},$$

where  $v$  runs over places of  $L$  and  $L_v$  is the completion of  $L$  with respect to  $v$ . It is well known that the Pontryagin dual  $\text{Sel}(K_\infty, A_f)^\vee$  of  $\text{Sel}(K_\infty, A_f)$  is a finitely generated  $\Lambda$ -module.

On the other hand, in [CH12, Theorem A] we construct a theta element  $\theta_\infty \in \Lambda$  obtained by the evaluation of a  $p$ -ordinary definite quaternionic modular form at Gross points, and define a complex number  $\Omega_{f, N^-} \in \mathbf{C}^\times$  attached to  $(f, N^-)$  such that for every finite-order character  $\chi : \Gamma \rightarrow \mu_{p^\infty}$  of conductor  $p^n$ , the anticyclotomic  $p$ -adic  $L$ -function  $L_p(K_\infty, f) := \theta_\infty^2$  satisfies the following interpolation formula:

$$\chi(L_p(K_\infty, f)) = \Gamma\left(\frac{k}{2}\right)^2 \cdot \frac{L(f/K, \chi, k/2)}{\Omega_{f, N^-}} \cdot e_p(f, \chi)^2 \cdot p^n \alpha_p(f)^{-2n} (p^n D_K)^{k-2} \cdot u_K^2 \sqrt{D_K}, \tag{0.2}$$

where  $\alpha_p(f)$  is the  $p$ -adic unit root of the Hecke polynomial  $X^2 - \mathbf{a}_p(f)X + p^{k-1}$ ,  $u_K = \#(\mathcal{O}_K^\times)/2$  and  $e_p(f, \chi)$  is the  $p$ -adic multiplier defined by

$$e_p(f, \chi) = \begin{cases} 1 & \text{if } n > 0, \\ (1 - \chi(\mathfrak{p})p^{(k-2)/2}\alpha_p(f)^{-1})(1 - \chi(\bar{\mathfrak{p}})p^{(k-2)/2}\alpha_p(f)^{-1}) & \text{if } n = 0 \text{ and } p = \mathfrak{p}\bar{\mathfrak{p}} \text{ is split,} \\ 1 - p^{k-2}\alpha_p(f)^{-2} & \text{if } n = 0 \text{ and } p = \mathfrak{p} \text{ is inert.} \end{cases}$$

In general, this complex number  $\Omega_{f, N^-}$  belongs to  $\Omega_f \cdot \mathcal{O}$ , where  $\Omega_f$  is Hida’s canonical period. Recall that

$$\Omega_f = \frac{4^{k-1} \pi^k \|f\|_{\Gamma_0(N)}}{\eta_f(N)},$$

where  $\|f\|_{\Gamma_0(N)}$  is the Petersson norm of  $f$  and  $\eta_f(N)$  is the congruence number of  $f$  among forms in  $S_k(\Gamma_0(N))$ .

The main aim of this paper is to prove under certain hypotheses a one-sided divisibility result towards the anticyclotomic main conjecture asserting an equality between the characteristic power series  $\text{char}_\Lambda \text{Sel}(K_\infty, A_f)^\vee$  and the  $p$ -adic  $L$ -function  $L_p(K_\infty, f)$ . To describe our hypotheses explicitly, we need to introduce some notation. Let  $\bar{\rho}_f$  be the residual Galois representation of  $\rho_f$ . Throughout, we assume that the prime  $p$  satisfies the following hypothesis.

**HYPOTHESIS (CR<sup>+</sup>).** (1)  $p > k + 1$  and  $\#(\mathbb{F}_p^\times)^{k-1} > 5$ .

(2) The restriction of  $\bar{\rho}_f$  to the absolute Galois group of  $\mathbf{Q}(\sqrt{(-1)^{(p-1)/2}p})$  is absolutely irreducible.

(3)  $\bar{\rho}_f$  is ramified at  $\ell$  if either (i)  $\ell \mid N^-$  and  $\ell^2 \equiv 1 \pmod{p}$  or (ii)  $\ell \parallel N^+$  and  $\ell \equiv 1 \pmod{p}$ .

(4)  $\bar{\rho}_f$  restricted to the inertia group of  $\mathbf{Q}_\ell$  is irreducible if  $\ell^2 \mid N$  and  $\ell \equiv -1 \pmod{p}$ .

We will further assume that

$$\mathbf{a}_p(f)^2 \not\equiv 1 \pmod{p} \quad \text{if } k = 2. \tag{PO}$$

*Remark 1.* (1) Under the hypothesis (CR<sup>+</sup>), it is proved in [CH12, Proposition 6.1] that

$$\Omega_{f, N^-} = u \cdot \Omega_f \quad \text{for some } u \in \mathcal{O}^\times$$

if we further assume that  $\bar{\rho}_f$  is ramified at all primes dividing  $N^-$ .

(2) (CR<sup>+</sup>2) implies that the definition of the Selmer group  $\text{Sel}(K_\infty, A_f)$  does not depend on the choice of the lattice  $T_f$ .

(3) Note that (PO) is indeed equivalent to saying that  $\alpha_p(f)^2 \not\equiv 1 \pmod{p}$ . Moreover, it implies that  $e_p(f, \mathbf{1}) \not\equiv 0 \pmod{p}$ , where  $\mathbf{1}$  is the trivial character. When  $f$  is attached to an elliptic curve over  $\mathbf{Q}$ , the same hypothesis is also used in [BD94, Assumption 2.15 and Proposition 2.16].

(4) Since  $N^- > 1$  is square-free,  $f$  cannot be a CM form, and hence the hypothesis (CR<sup>+</sup>) holds for all but finitely many primes  $p$  (but it is not known if there are infinitely many ordinary primes for a given modular form  $f$ ).

**THEOREM 1.** *With the hypotheses (CR<sup>+</sup>) and (PO) for the prime  $p$ , we have*

$$\text{char}_\Lambda \text{Sel}(K_\infty, A_f)^\vee \supset (L_p(K_\infty, f)).$$

*Remark 2.* (1) For the case  $k = 2$ , this theorem was proved by Bertolini and Darmon [BD05] with the hypotheses for  $f$  being  $p$ -isolated and the maximality of the image of the residual Galois representation  $\bar{\rho}_f$ . The former assumption was removed by Pollack and Weston [PW11]. We remove the assumption on the image of the residual Galois representation by looking carefully into the Euler system arguments in [BD05].

(2) It is expected that the other divisibility follows from the work of Skinner and Urban [SU14] on the three-variable main conjecture for  $f$  together with the generalization of Vastal’s result on the vanishing of  $\mu$ -invariant of the  $p$ -adic  $L$ -function  $L_p(K_\infty, f)$  [Vat03, CH12].

We obtain the following immediate consequence of Theorem 1 and [CH12, Theorem C].

**COROLLARY 1.** *With the hypotheses in Theorem 1, the  $\Lambda$ -module  $\text{Sel}(K_\infty, A_f)$  is cotorsion and its  $\mu$ -invariant vanishes.*

Combined with control theorems of Selmer groups and the interpolation formula of  $L_p(K_\infty, f)$ , the above theorem yields the following consequence.

COROLLARY 2. *With the hypotheses in Theorem 1, if the central L-value  $L(f/K, k/2)$  is non-zero, then the minimal Selmer group  $\text{Sel}(K, A_f)$  is finite and*

$$\text{Fitt}_{\mathcal{O}}(\text{Sel}(K, A_f)) \cdot \prod_{\ell|N^+} \text{Tam}_{\ell}(f) \supset \left( \frac{L(f/K, k/2)}{\Omega_{f, N^-}} \right) \mathcal{O},$$

where  $\text{Tam}_{\ell}(f) = \text{Fitt}_{\mathcal{O}} H^1(K_{\ell}^{\text{ur}}, T_f)_{\text{tor}}^{G_{K_{\ell}}}$  are the local Tamagawa ideals at  $\ell | N^+$ .

The reader might be aware of the missing local Tamagawa ideals at  $\ell | N^-$  in view of the Bloch–Kato conjecture. This discrepancy is due to the complex number  $\Omega_{f, N^-}$  being different from the canonical period  $\Omega_f$  in general. When  $k = 2$  and  $N$  is square-free, it is proved in [PW11] that the ratio  $\Omega_{f, N^-}/\Omega_f$  is precisely a product of local Tamagawa ideals at  $\ell | N^-$ .

The proof of Theorem 1 relies on the existence of an Euler system together with the first and second explicit reciprocity laws à la Bertolini and Darmon for the Galois module  $T_f$ . This is a generalization of the construction in [BD05] for elliptic new forms of weight two. To explain the main idea of the construction of the Euler system, we introduce some notation. Let  $\varpi$  be a uniformizer of  $\mathcal{O}$  and let  $\Lambda_n = \Lambda \otimes_{\mathcal{O}} \mathcal{O}/\varpi^n \mathcal{O}$  for a positive integer  $n$ . Let  $T_{f,n} = T_f/\varpi^n T_f$  and  $A_{f,n} = \ker\{\varpi^n : A_f \rightarrow A_f\}$ . Following Bertolini and Darmon, we construct by the technique of level-raising the Euler system  $\mathcal{E}_n$  for each  $n$  arising from Heegner points in various Shimura curves with wildly ramified level at  $p$ . This Euler system  $\mathcal{E}_n$  is a collection of norm-compatible cohomology classes  $\kappa_{\mathcal{D}}(\ell)_m \in H^1(K_m, T_{f,n})$  for  $K_{\infty}/K_m/K$  indexed by  $(\ell, \mathcal{D})$ , where  $\ell$  is an  $n$ -admissible prime for  $f$  (Definition 1.1) and  $\mathcal{D} = (\Delta, g)$  is an  $n$ -admissible form, a pair consisting of  $\Delta = N^- \cdot S$  with  $S$  a square-free product of an even number of  $n$ -admissible primes and a weight-two  $p$ -ordinary eigenform  $g$  on the definite quaternion algebra of discriminant  $\Delta$  such that Hecke eigenvalues of  $g$  are congruent to those of  $f$  modulo  $\varpi^n$  (Definition 4.1). To each  $n$ -admissible form  $\mathcal{D} = (\Delta, g)$ , we can associate a finitely generated compact  $\Lambda_n$ -module  $X_{\mathcal{D}}$ , the Pontryagin dual of the  $\Delta$ -ordinary Selmer group for  $T_{f,n}$ , and a theta element  $\theta_{\mathcal{D}} \in \Lambda_n$  obtained by the evaluation of  $g$  at Gross points. The first reciprocity law gives a connection between the Euler system  $\kappa_{\mathcal{D}}(\ell)$  and the theta element  $\theta_{\mathcal{D}}$ , by which one can control the Selmer group  $X_{\mathcal{D}}$  in terms of  $\theta_{\mathcal{D}}$ , and the second reciprocity law is a kind of level-raising argument at two primes, which provides a decreasing induction on theta elements (or rather  $p$ -adic  $L$ -functions). The main novelty in this paper is to establish the connection between this Euler system  $\mathcal{E}_n$  and the  $p$ -adic  $L$ -function  $L_p(K_{\infty}, f)$  of  $f$  modulo  $\varpi^n$  by the congruence between theta elements attached to weight-two forms and higher-weight forms. A key observation is that when  $\Delta = N^-$ , we can construct an  $n$ -admissible form  $\mathcal{D}_0 = (N^-, g_0)$  such that  $X_{\mathcal{D}_0} = \text{Sel}(K_{\infty}, A_f)^{\vee} \pmod{\varpi^n}$  and  $\theta_{\mathcal{D}_0}^2 \equiv L_p(K_{\infty}, f) \pmod{\varpi^n}$  (Proposition 6.13). We remark that we do not make use of the congruence among (definite quaternionic) modular forms of different weights but rather we exploit the congruence between the evaluations of modular forms of weight two and higher weight at Gross points. Thus, our approach does not provide perspective for the two-variable main conjecture in [LV11] by varying  $f$  in Hida families, despite the fact that Hida theory is also a key tool used to avoid the technical difficulties arising from the use of Shimura curves with wildly ramified level at  $p$  in our proof.

The hypothesis  $(\text{CR}^+)$  is responsible for a freeness result of the space of definite quaternionic modular forms as Hecke modules in [CH12, Proposition 6.1]. The application of this freeness result is twofold. On the algebraic side, it is used crucially in the level-raising argument for the construction of Euler system and in the proof of second reciprocity law. On the analytic side, it implies the equality between two periods  $\Omega_f$  and  $\Omega_{f, N^-}$  up to a  $p$ -adic unit. The assumption  $(\text{PO})$

roughly says that  $f$  is not congruent to an eigenform which is Steinberg at  $p$ . It is needed for the application of the version of Ihara’s lemma proved in [DT94]. The hypothesis  $(CR^+)$  is an analogue of  $(CR)$  in [PW11] for the weight-two case. It might be weakened by a careful analysis of the method of the proof of [CH12, Proposition 6.1]. However, it seems difficult to remove  $(PO)$  unless one works out the  $p$ -adic Hodge theory used in [DT94] for the case of semi-stable reduction.

Since we work in the higher-weight situation, one might look for the Euler system arising from CM cycles on Kuga–Sato varieties over Shimura curves. Indeed, the first author in [Chi13] adopts this construction and proves the first explicit reciprocity law for this Euler system without the ordinary assumption for  $p$ . However, there remain issues to be addressed in arithmetic geometry in order to prove the second explicit reciprocity law by a direct generalization of the proof of Bertolini and Darmon, where the crucial ingredient is the surjectivity of the Abel–Jacobi map from the supersingular part of the Jacobian of a Shimura curve over finite fields to the unramified part of Galois cohomology  $H_{\text{fin}}^1(K_\ell, T_{f,n})$  for  $n$ -admissible primes  $\ell$ . In this case, Bertolini and Darmon are able to reduce this surjectivity to a version of Ihara’s lemma proved in [DT94], while in the higher-weight case, we do not even know the surjectivity of the Abel–Jacobi map from the Chow groups to the unramified part of Galois cohomology.

This paper is organized as follows. In §1 we recall basic facts such as control theorems for various Selmer groups. In §§2 and 3 we review the theory of  $p$ -adic modular forms on definite quaternion algebras and Shimura curves. In §§4 and 5 we give the construction of the Euler system and the proof of the explicit reciprocity laws. In §6 we carry out the Euler system argument and prove the main results.

*Notation.* We fix once and for all an embedding  $\iota_\infty : \bar{\mathbf{Q}} \hookrightarrow \mathbf{C}$  and an isomorphism  $\iota : \mathbf{C} \simeq \mathbf{C}_\ell$  for each rational prime  $\ell$ , where  $\mathbf{C}_\ell$  is the completion of the algebraic closure of  $\mathbf{Q}_\ell$ . Let  $\nu_\ell = \iota_\infty \circ \bar{\iota} : \bar{\mathbf{Q}} \hookrightarrow \mathbf{C}_\ell$  be their composition. Let  $\text{ord}_\ell : \mathbf{C}_\ell \rightarrow \mathbf{Q} \cup \{\infty\}$  be the  $\ell$ -adic valuation on  $\mathbf{C}_\ell$  normalized so that  $\text{ord}_\ell(\ell) = 1$ .

Denote by  $\widehat{\mathbf{Z}}$  the profinite completion of the ring  $\mathbf{Z}$  of rational integers. For each place  $q$ , denote by  $\mathbf{Z}_q$  the  $q$ -adic completion of  $\mathbf{Z}$ . If  $M$  is an abelian group, let  $\widehat{M} = M \otimes_{\mathbf{Z}} \widehat{\mathbf{Z}}$  and  $M_q = M \otimes_{\mathbf{Z}} \mathbf{Z}_q$ . If  $R$  is a commutative ring, let  $M_R = M \otimes_{\mathbf{Z}} R$ .

If  $L$  is a number field or a local field, denote by  $\mathcal{O}_L$  the ring of integers of  $L$  and by  $G_L$  the absolute Galois group. If  $L$  is a local field, denote by  $I_L$  the inertia group and by  $L^{\text{ur}}$  the maximal unramified extension of  $L$ .

For a locally compact abelian group  $S$ , we denote by  $S^\vee$  the Pontryagin dual of  $S$ .

The letter  $\ell$  always denotes a rational prime.

We will retain the notation in the introduction. In this paper, in addition to  $(ST)$  and  $(ord)$ , we will assume that the prime  $p \nmid ND_K$  satisfies

$$p > k + 1 \quad \text{and} \quad \#(\mathbb{F}_p^\times)^{k-1} > 5. \tag{CR^+1}$$

## 1. Selmer groups

### 1.1 Galois cohomology groups

Let  $L$  be an algebraic extension of  $\mathbf{Q}$ . For a discrete  $G_L$ -module  $M$ , we put

$$H^1(L_\ell, M) = \bigoplus_{\lambda|\ell} H^1(L_\lambda, M), \quad H^1(I_{L_\ell}, M) = \bigoplus_{\lambda|\ell} H^1(I_{L_\lambda}, M),$$

where  $\lambda$  runs over all primes of  $L$  dividing  $\ell$ . Denote by  $\text{res}_\ell : H^1(L, M) \rightarrow H^1(L_\ell, M)$  the restriction map at  $\ell$ . Define the finite part of  $H^1(L_\ell, M)$  by

$$H_{\text{fin}}^1(L_\ell, M) = \ker\{H^1(L_\ell, M) \rightarrow H^1(I_{L_\ell}, M)\},$$

and define the singular quotient of  $H^1(L_\ell, M)$  by

$$H_{\text{sing}}^1(L_\ell, M) = H^1(L_\ell, M)/H_{\text{fin}}^1(L_\ell, M).$$

The natural map induced by the restriction  $\partial_\ell : H^1(L, M) \rightarrow H_{\text{sing}}^1(L_\ell, M)$  is called the *residue map*. For  $\kappa \in H^1(L, M)$  with  $\partial_\ell(\kappa) = 0$ , we let

$$v_\ell(s) \in H_{\text{fin}}^1(L_\ell, M)$$

denote the image of  $\kappa$  under the restriction map at  $\ell$ .

### 1.2 Selmer groups

We will retain the notation in the Introduction. Recall that we work with the self-dual Galois representation  $\rho_f^* := \rho_f \otimes \varepsilon^{(2-k)/2} : G_{\mathbf{Q}} \rightarrow \text{Aut}_{\mathcal{O}} T_f$  attached to the new form  $f \in S_k^{\text{new}}(\Gamma_0(N))$ . Then it is known that  $\rho_f^*$  satisfies the following properties:

- (1)  $\rho_f^*$  is unramified outside  $pN$ ;
- (2) the restriction of  $\rho_f^*$  to  $G_{\mathbf{Q}_p}$  is of the form  $\begin{pmatrix} \chi_p^{-1} \varepsilon^{k/2} & * \\ 0 & \chi_p \varepsilon^{(2-k)/2} \end{pmatrix}$ , where  $\chi_p$  is unramified and  $\chi_p(\text{Frob}_p) = \alpha_p(f)$ ;
- (3) for all  $\ell$  dividing  $N$  exactly, the restriction of  $\rho_f^*$  to  $G_{\mathbf{Q}_\ell}$  is of the form  $\begin{pmatrix} \varepsilon & * \\ 0 & \mathbf{1} \end{pmatrix}$ , where  $\mathbf{1}$  denotes the trivial character.

Here the third property is a result of Carayol [Car86].

Fix a uniformizer  $\varpi$  of  $\mathcal{O}$ . Let  $n$  be a positive integer. Let  $T_{f,n} = T_f/\varpi^n T_f$  and  $A_{f,n} = \ker\{\varpi^n : A_f \rightarrow A_f\}$ . As  $G_{\mathbf{Q}}$ -modules, we have  $T_{f,n} \simeq A_{f,n}$  and  $A_{f,n} \simeq \text{Hom}_{\mathcal{O}}(T_{f,n}, E/\mathcal{O}(1))$ .

DEFINITION 1.1. A rational prime  $\ell$  is said to be  $n$ -admissible for  $f$  if it satisfies the following conditions:

- (1)  $\ell$  does not divide  $pN$ ;
- (2)  $\ell$  is inert in  $K/\mathbf{Q}$ ;
- (3)  $p$  does not divide  $\ell^2 - 1$ ;
- (4)  $\varpi^n$  divides  $\ell^{k/2} + \ell^{(k-2)/2} - \varepsilon_\ell \mathbf{a}_\ell(f)$  with  $\varepsilon_\ell \in \{\pm 1\}$ .

Let  $L/K$  be a finite extension. In what follows, we introduce a  $G_{\mathbf{Q}_\ell}$ -invariant submodule  $F_\ell^+ A_{f,n}$  and define the ordinary part of  $H^1(L_\ell, A_{f,n})$  for  $\ell$  a prime factor of  $pN^-$  or an  $n$ -admissible prime. For the prime  $p$ , we let  $F_p^+ V_f \subset V$  be the  $E$ -rank-one  $G_{\mathbf{Q}_p}$ -invariant subspace on which the inertia group  $I_{\mathbf{Q}_p}$  acts via  $\varepsilon^{k/2}$ . Let  $F_p^+ A_f$  be the image of  $F_p^+ V_f$  in  $A_f$  and  $F_p^+ A_{f,n} = F_p^+ A_f \cap A_{f,n}$ . If  $\ell \mid N^-$ , we let  $F_\ell^+ V_f \subset V_f$  be the unique  $E$ -rank-one subspace on which  $G_{\mathbf{Q}_\ell}$  acts by either  $\varepsilon$  or  $\varepsilon\tau_\ell$ , where  $\varepsilon$  is the  $p$ -adic cyclotomic character and  $\tau_\ell$  is the non-trivial unramified quadratic character of  $G_{\mathbf{Q}_\ell}$ . Let  $F_\ell^+ A_f$  be the image of  $F_\ell^+ V_f$  in  $A_f$  and  $F_\ell^+ A_{f,n} = F_\ell^+ A_f \cap A_{f,n}$ . If  $\ell$  is  $n$ -admissible, then  $V_f$  is unramified at  $\ell$  and  $(\text{Frob}_\ell - \varepsilon_\ell)(\text{Frob}_\ell - \varepsilon_\ell \ell) = 0$  on  $A_{f,n}$  for the Frobenius  $\text{Frob}_\ell$  of  $G_{\mathbf{Q}_\ell}$ . We let  $F_\ell^+ A_{f,n} \subset A_{f,n}$  be the unique  $\mathcal{O}/(\varpi^n)$ -corank-one submodule on which  $\text{Frob}_\ell$  acts via the multiplication by  $\varepsilon_\ell \ell$ . In all cases, define the ordinary part of  $H^1(L_\ell, A_{f,n})$  by

$$H_{\text{ord}}^1(L_\ell, A_{f,n}) = \ker\{H^1(L_\ell, A_{f,n}) \rightarrow H^1(L_\ell, A_{f,n}/F_\ell^+ A_{f,n})\}.$$

The ordinary part  $H_{\text{ord}}^1(L_\ell, T_{f,n})$  of  $H^1(L_\ell, T_{f,n})$  can be defined in the same way.



Let  $\Delta$  be a square-free integer such that  $\Delta/N^-$  is a product of  $n$ -admissible primes (so  $N^- \mid \Delta$ ) and let  $S$  be a square-free integer with  $(S, p\Delta N) = 1$ .

DEFINITION 1.2. For  $M = A_{f,n}$  or  $T_{f,n}$ , we define the  $\Delta$ -ordinary Selmer group  $\text{Sel}_\Delta^S(L, M)$  attached to  $(f, n, \Delta, S)$  to be the group of elements  $s$  in  $H^1(L, M)$  satisfying the following properties:

- (1)  $\partial_\ell(s) = 0$  for all  $\ell \nmid p\Delta S$ ;
- (2)  $\text{res}_\ell(s) \in H_{\text{ord}}^1(L_\ell, M)$  at the primes  $\ell \mid p\Delta$ ;
- (3)  $\text{res}_\ell(s)$  is arbitrary at the primes  $\ell \mid S$ .

When  $S = 1$ , we simply write  $\text{Sel}_\Delta(L, M)$  for  $\text{Sel}_\Delta^1(L, M)$ .

Let  $m$  be a non-negative integer. Denote by  $H_m$  the ring class field of conductor  $p^m$ . Let  $G_m = \text{Gal}(H_m/K)$  and let  $H_\infty = \bigcup_{m=1}^\infty H_m$ . Let  $K_m = K_\infty \cap H_m$  and  $\Gamma_m = \text{Gal}(K_m/K)$ . Then  $K_\infty = \bigcup_{m=1}^\infty K_m$  and  $\Gamma = \varprojlim_m \Gamma_m$ . Let  $\Lambda = \mathcal{O}[[\Gamma]] = \varprojlim_m \mathcal{O}[\Gamma_m]$  be the one-variable Iwasawa algebra over  $\mathcal{O}$  and let  $\mathfrak{m}_\Lambda$  be the maximal ideal of  $\Lambda$ . Let  $H^1(K_\infty, A_{f,n}) = \varinjlim_m H^1(K_m, A_{f,n})$  and  $\widehat{H}^1(K_\infty, T_{f,n}) = \varprojlim_m H^1(K_m, T_{f,n})$ , where the injective limit is taken with respect to the restriction maps and the projective limit is taken with respect to the corestriction maps. The local cohomology groups  $H_\bullet^1(K_{\infty,\ell}, A_{f,n})$  and  $\widehat{H}_\bullet^1(K_{\infty,\ell}, T_{f,n})$  for  $\bullet \in \{\text{fin}, \text{sing}, \text{ord}\}$  are defined in the same way. We define

$$\begin{aligned} \text{Sel}_\Delta(K_\infty, A_{f,n}) &= \varinjlim_m \text{Sel}_\Delta(K_m, A_{f,n}), \\ \widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n}) &= \varprojlim_m \text{Sel}_\Delta^S(K_m, T_{f,n}). \end{aligned}$$

Then  $\text{Sel}_\Delta(K_\infty, A_{f,n})$  (respectively  $\widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n})$ ) is a discrete (respectively compact)  $\Lambda$ -module induced by the standard  $\Gamma$ -action. Recall that  $\text{Sel}(K_\infty, A_f)$  is the minimal Selmer group in the introduction.

PROPOSITION 1.3. *Suppose that  $(CR^+)$  holds. Then  $\text{Sel}_{N^-}(K_\infty, A_f) = \varinjlim_n \text{Sel}_{N^-}(K_\infty, A_{f,n})$  is a  $\Lambda$ -submodule of  $\text{Sel}(K_\infty, A_f)$  with finite index. If  $\text{Sel}_{N^-}(K_\infty, A_f)$  is  $\Lambda$ -cotorsion, then  $\text{Sel}_{N^-}(K_\infty, A_f) = \text{Sel}(K_\infty, A_f)$ .*

*Proof.* This is shown in the proof of [PW11, Proposition 3.6]. Note that  $\text{Sel}(K_\infty, f_n)$  [PW11, Proposition 3.6] is precisely our  $\text{Sel}_{N^-}(K_\infty, A_{f,n})$ . □

### 1.3 Local cohomology groups and local Tate duality

We gather some standard results on the local cohomology groups in this subsection.

LEMMA 1.4. *Suppose that  $\ell \neq p$ . Then:*

- (1) *if  $\ell$  is split in  $K/\mathbf{Q}$ , then*

$$\widehat{H}_{\text{sing}}^1(K_{\infty,\ell}, T_{f,n}) = \{0\}, \quad H_{\text{fin}}^1(K_{\infty,\ell}, A_{f,n}) = \{0\};$$

- (2) *if  $\ell$  is non-split in  $K/\mathbf{Q}$ , then*

$$\widehat{H}_{\text{sing}}^1(K_{\infty,\ell}, T_{f,n}) \simeq H_{\text{sing}}^1(K_\ell, T_{f,n}) \otimes \Lambda$$

and

$$H_{\text{fin}}^1(K_{\infty,\ell}, A_{f,n}) \simeq \text{Hom}(H_{\text{sing}}^1(K_\ell, T_{f,n}) \otimes \Lambda, E/\mathcal{O}).$$



*Proof.* This is [BD05, Lemmas 2.4 and 2.5]. The argument there holds even if  $\ell \mid N$ . □

LEMMA 1.5. *If  $\ell$  is  $n$ -admissible, then:*

- (1)  $H_{\text{sing}}^1(K_\ell, T_{f,n})$  and  $H_{\text{fin}}^1(K_\ell, T_{f,n})$  are both isomorphic to  $\mathcal{O}/\varpi^n\mathcal{O}$ ;
- (2)  $\widehat{H}_{\text{sing}}^1(K_{\infty,\ell}, T_{f,n})$  and  $\widehat{H}_{\text{fin}}^1(K_{\infty,\ell}, T_{f,n})$  are free of rank one over  $\Lambda/\varpi^n\Lambda$ ;
- (3) we have the decompositions

$$\begin{aligned} \widehat{H}^1(K_{\infty,\ell}, T_{f,n}) &= \widehat{H}_{\text{fin}}^1(K_{\infty,\ell}, T_{f,n}) \oplus \widehat{H}_{\text{ord}}^1(K_{\infty,\ell}, T_{f,n}), \\ H^1(K_{\infty,\ell}, A_{f,n}) &= H_{\text{fin}}^1(K_{\infty,\ell}, A_{f,n}) \oplus H_{\text{ord}}^1(K_{\infty,\ell}, A_{f,n}). \end{aligned}$$

*Proof.* Since  $A_{f,n}$  is unramified at  $\ell$ , we have a direct sum  $A_{f,n} = F_\ell^+ A_{f,n} \oplus F_\ell^- A_{f,n}$  as  $G_{\mathbf{Q}_\ell}$ -modules, where  $F_\ell^- A_{f,n} = (\text{Frob}_\ell - \epsilon_\ell)A_{f,n}$ . An easy calculation shows that

$$H_{\text{fin}}^1(K_\ell, A_{f,n}) = H^1(K_\ell^{\text{ur}}/K_\ell, A_{f,n}) = H^1(K_\ell^{\text{ur}}/K_\ell, F_\ell^- A_{f,n}) \simeq F_\ell^- A_{f,n}.$$

We thus have

$$\begin{aligned} H^1(K_\ell, A_{f,n}) &= H^1(K_\ell, F_\ell^- A_{f,n}) \oplus H^1(K_\ell, F_\ell^+ A_{f,n}) \\ &= H_{\text{fin}}^1(K_\ell, A_{f,n}) \oplus H_{\text{ord}}^1(K_\ell, A_{f,n}). \end{aligned}$$

Combined with Lemma 1.4(2), the lemma follows immediately. □

Since  $A_{f,n}$  and  $T_{f,n}$  are isomorphic to their Cartier duals, the pairing induced by the cup product on the Galois cohomology gives rise to the collection of local Tate pairings at the primes above  $\ell$  over  $K_m$ :

$$\langle \cdot, \cdot \rangle_{m,\ell} : H^1(K_{m,\ell}, T_{f,n}) \times H^1(K_{m,\ell}, A_{f,n}) \rightarrow E/\mathcal{O}.$$

Taking the limit with  $m$ , we obtain a perfect pairing

$$\langle \cdot, \cdot \rangle_\ell : \widehat{H}^1(K_{\infty,\ell}, T_{f,n}) \times H^1(K_{\infty,\ell}, A_{f,n}) \rightarrow E/\mathcal{O}.$$

These pairings are compatible with the action of  $\Lambda$ , so they induce an isomorphism of  $\Lambda$ -modules

$$\widehat{H}^1(K_{\infty,\ell}, T_{f,n}) \simeq H^1(K_{\infty,\ell}, A_{f,n})^\vee.$$

The following result is well known.

PROPOSITION 1.6. *Suppose that  $\ell \neq p$ .  $H_{\text{fin}}^1(K_{\infty,\ell}, A_{f,n})$  and  $\widehat{H}_{\text{fin}}^1(K_{\infty,\ell}, T_{f,n})$  are orthogonal complements under the pairing  $\langle \cdot, \cdot \rangle_\ell$ . In particular,  $H_{\text{fin}}^1(K_{\infty,\ell}, A_{f,n})$  and  $\widehat{H}_{\text{sing}}^1(K_{\infty,\ell}, T_{f,n})$  are the Pontryagin dual of each other.*

*Proof.* This is well known. For example, see [Rub00, Proposition 1.4.3]. □

PROPOSITION 1.7. *Suppose that  $\ell$  is  $n$ -admissible or  $\ell$  divides  $pN^-$ . Assume, further, that (PO) holds and*

$$\bar{\rho}_f \text{ is ramified at } \ell \text{ if } \ell \mid N^- \text{ and } \ell^2 \equiv 1 \pmod{p}. \tag{CR+3}$$

Then we have:

- (1)  $H_{\text{ord}}^1(K_{\infty,\ell}, A_{f,n})$  and  $\widehat{H}_{\text{ord}}^1(K_{\infty,\ell}, T_{f,n})$  are orthogonal complements under the local Tate pairing;

(2) if  $\ell$  is  $n$ -admissible, then  $\widehat{H}_{\text{fin}}^1(K_{\infty,\ell}, T_{f,n})$  and  $H_{\text{ord}}^1(K_{\infty,\ell}, A_{f,n})$  are the Pontryagin dual of each other.

*Proof.* The assertions for  $n$ -admissible primes follow from Lemma 1.5 and Proposition 1.6. Let  $\ell \mid N^-$ . We prove part (1) for  $\ell$ . A simple calculation shows that

$$\#(H^1(K_\ell, A_{f,n})) = \#(A_{f,n}^{G_{K_\ell}})^2, \quad \#(H_{\text{ord}}^1(K_\ell, A_{f,n})) = \#(A_{f,n}^{G_{K_\ell}}).$$

Therefore, it suffices to show that  $H_{\text{ord}}^1(K_\ell, A_{f,n})$  and  $H_{\text{ord}}^1(K_\ell, T_{f,n})$  are orthogonal to each other. If  $\bar{\rho}_f$  is unramified at  $\ell$ , then  $\ell^2 \not\equiv 1 \pmod{p}$  by (CR+3), and the orthogonality follows from  $H^2(K_\ell, \mathcal{O}/\varpi^n \mathcal{O}(\varepsilon^2)) = \{0\}$ . If  $\bar{\rho}_f$  is ramified at  $\ell$ , then

$$H^1(K_\ell^{\text{ur}}/K_\ell, F_\ell^+ A_{f,n}) \xrightarrow{\sim} H_{\text{fin}}^1(K_\ell, A_{f,n}) \hookrightarrow H_{\text{ord}}^1(K_\ell, A_{f,n}).$$

In addition, it is easy to see that  $\#(H_{\text{fin}}^1(K_\ell, A_{f,n})) = \#(A_{f,n}^{G_{K_\ell}})$ . This shows that  $H_{\text{fin}}^1(K_\ell, A_{f,n}) = H_{\text{ord}}^1(K_\ell, A_{f,n})$ , and the assertion follows from Proposition 1.6.

We consider the case  $\ell = p$ . Let  $L/K$  be a finite extension in  $K_\infty$ . By the following Lemma 1.8, we have the duality  $(F_p^+ A_{f,n})^\vee(1) \simeq A_{f,n}/F_p^+ A_{f,n} \simeq \mathcal{O}_n(\chi_p \varepsilon^{(2-k)/2})$  and by a simple calculation, we find that  $H^1(L_p, F_p^+ A_{f,n}) \xrightarrow{\sim} H_{\text{ord}}^1(L_p, A_{f,n})$  and an exact sequence

$$0 \rightarrow H^1(L_p, F_p^+ A_{f,n}) \rightarrow H^1(L_p, A_{f,n}) \rightarrow H^1(L_p, A_{f,n}/F_p^+ A_{f,n}) \rightarrow 0.$$

The assertion for  $\ell = p$  now follows from  $H^2(L_p, \mathcal{O}_n(\varepsilon^k)) = \{0\}$  for  $k > 1$ . □

LEMMA 1.8. Suppose that (PO) holds. Then  $H^0(L_p, A_{f,n}/F_p^+ A_{f,n}) = \{0\}$ .

*Proof.* Let  $T_{f,n}^- := T_{f,n}/F_p^+ T_{f,n}$ . Let  $v$  be a place of  $L$  above  $p$ . Since  $L_v(\mu_{p-1})$  and  $L_v^{\text{ur}}$  are linear disjoint, the cyclotomic character  $\varepsilon : I_{L,v} \rightarrow \mathbf{Z}_p^\times \rightarrow \mathbb{F}_p^\times$  is surjective. If  $k > 2$ , then

$$(T_{f,n}^-)^{I_{L,v}} = (\mathcal{O}_n(\varepsilon^{1-k/2}))^{I_{L,v}} = 0 \implies (T_{f,n}^-)^{G_{L_v}} = \{0\}.$$

If  $k = 2$ , then the Frobenius  $\text{Frob}_v$  acts on  $T_{f,n}^-$  by a scalar  $\alpha_p(f)^{r_v}$ , where  $\alpha_p(f)$  is the unit root of the Hecke polynomial  $X^2 - \mathbf{a}_p(f)X + p^{k-1}$  and  $r_v = 1$  if  $p$  is split and  $r_v = 2$  if  $p$  is inert. By (PO),  $\alpha_p(f)^2 - 1$  is a  $p$ -adic unit. Then we find that

$$(T_{f,n}^-)^{G_{L_v}} = (T_{f,n}^-)[(\text{Frob}_v)^{p^s} - 1] = (T_{f,n}^-)[\alpha_p(f)^{r_v p^s} - 1] = \{0\}.$$

Here  $p^s$  is the inertia degree of  $L_v/K_v$ . This completes the proof. □

### 1.4 Global reciprocity

By the global reciprocity law of class field theory, for  $\kappa \in \widehat{H}^1(K_\infty, T_{f,n})$  and  $s \in H^1(K_\infty, A_{f,n})$ , we have

$$\sum_{q: \text{prime}} \langle \text{res}_q(\kappa), \text{res}_q(s) \rangle_q = 0.$$

Since the local conditions of  $\widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n})$  and  $\text{Sel}_\Delta(K_\infty, A_{f,n})$  are orthogonal at the primes not dividing  $S$ , if  $\kappa$  belongs to  $\widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n})$  and  $s$  belongs to  $\text{Sel}_\Delta(K_\infty, A_{f,n})$ , then we have

$$\sum_{q \mid S} \langle \partial_q(\kappa), v_q(s) \rangle_q = 0. \tag{1.1}$$

**1.5 Control theorem (I)**

Let  $S$  be a square-free product of  $n$ -admissible primes. We prove control theorems for the discrete Selmer groups  $\text{Sel}_\Delta^S(K_\infty, A_{f,n})$ . Let  $L/K$  be a finite extension in  $K_\infty$ .

PROPOSITION 1.9. *Suppose that (PO) holds and*

*the residual Galois representation  $\bar{\rho}_f : G_{\mathbf{Q}} \rightarrow \text{Aut}_{\mathcal{O}}(A_{f,1})$  is absolutely irreducible. (Irr)*

Then:

(1) *the restriction maps*

$$H^1(K, A_{f,n}) \rightarrow H^1(L, A_{f,n})^{\text{Gal}(L/K)}, \quad \text{Sel}_\Delta^S(K, A_{f,n}) \rightarrow \text{Sel}_\Delta^S(L, A_{f,n})^{\text{Gal}(L/K)}$$

*are isomorphisms;*

(2)  $\text{Sel}_\Delta^S(L, A_{f,n}) = \text{Sel}_\Delta^S(L, A_f)[\varpi^n]$ .

*Proof.* Since  $K_\infty/\mathbf{Q}$  is a Galois extension, by the residual irreducibility of  $\bar{\rho}_f$  we have  $T_{f,n}^{G_{K_\infty}} = 0$ , and hence we have an isomorphism  $H^1(K, A_{f,n}) \simeq H^1(L, A_{f,n})^{\text{Gal}(L/K)}$ . To show part (1), it suffices to show:

- (a)  $H^1(K_\ell^{\text{ur}}, A_{f,n}) \rightarrow H^1(L_\ell^{\text{ur}}, A_{f,n})$  is injective for  $\ell \nmid p\Delta$ ; and
- (b)  $H^1(K_\ell, A_{f,n}/F_\ell^+ A_{f,n}) \rightarrow H^1(L_\ell, A_{f,n}/F_\ell^+ A_{f,n})$  is injective for  $\ell \mid p\Delta$ .

Since  $L/K$  is unramified outside  $p$  and anticyclotomic, for each place  $\lambda$  of  $L$  above  $\ell \neq p$ , we have  $K_\lambda^{\text{ur}} = L_\lambda^{\text{ur}}$ . This verifies (a). Part (b) for  $\ell \mid \Delta$  follows from the fact that  $K_\lambda = L_\lambda$  for any prime  $\lambda$  of  $L$  above  $\ell$  which is non-split in  $K$ . Part (b) for  $\ell = p$  is an immediate consequence of Lemma 1.8.

Part (2) can be proved in the same way. The natural map  $\text{Sel}_\Delta^S(L, A_{f,n}) \hookrightarrow \text{Sel}_\Delta^S(L, A_f)[\varpi^n]$  is injective by virtue of the exact sequence

$$0 \rightarrow A_{f,n} \rightarrow A_f \xrightarrow{\varpi^n} A_f \rightarrow 0$$

and the fact that  $A_{f,n}^{G_L} \subset A_{f,n}^{G_{K_\infty}} = 0$ . To show the surjectivity, it remains to show:

- (a1)  $H^1(L_\ell^{\text{ur}}, A_{f,n}) \rightarrow H^1(L_\ell^{\text{ur}}, A_f)$  is injective for  $\ell \nmid p\Delta$ ; and
- (b1)  $H^1(L_\ell, A_{f,n}/F_\ell^+ A_{f,n}) \rightarrow H^1(L_\ell, A_f/F_\ell^+ A_f)$  is injective for  $\ell \mid p\Delta$ .

The injectivity in (a1) and (b1) for  $\ell \neq p$  can be seen from the fact that the actions of  $I_{L,\ell}$  and  $G_{L_\ell}$  on  $A_f$  and  $A_f/F_\ell^+ A_f$  respectively are trivial, and that in (b1) for  $\ell = p$  follows from Lemma 1.8. □

**2.  $p$ -adic modular forms on definite quaternion algebras**

**2.1 Hecke algebras of quaternion algebras**

Let  $B$  be a quaternion algebra over  $\mathbf{Q}$  and let  $\widehat{B} = B \otimes_{\mathbf{Z}} \widehat{\mathbf{Z}}$  be the profinite completion of  $B$ . Denote by  $\Delta_B$  the absolute discriminant of  $B$ . If  $\Sigma$  is a positive integer, then  $\widehat{B}^\times = \widehat{B}^{(\Sigma)\times} \widehat{B}_{(\Sigma)}^\times$ , where

$$\widehat{B}^{(\Sigma)\times} = \{x \in \widehat{B}^\times \mid x_q = 1 \forall q \mid \Sigma\}; \quad \widehat{B}_{(\Sigma)}^\times = \{x \in \widehat{B}^\times \mid x_q = 1 \forall q \nmid \Sigma\}.$$

If  $\mathcal{U}$  is an open compact subgroup of  $\widehat{B}^\times$  and  $x \in \widehat{B}^\times$ , we denote by  $\mathcal{U}_q$  (respectively by  $x_q$ ) the local component of  $\mathcal{U}$  (respectively of  $x$ ) for each prime  $q$ .

The Hecke algebra  $\mathcal{H}(B^\times, \mathcal{U})$  is the space of  $\mathbf{Z}$ -valued bi- $\mathcal{U}$ -invariant functions on  $\widehat{B}^\times$  with compact support, equipped with the convolution product

$$(\alpha * \beta)(x) = \int_{\widehat{B}^\times} \alpha(y)\beta(y^{-1}x) dy,$$

where  $dy$  is the Haar measure on  $\widehat{B}^\times$  normalized so that  $\text{vol}(\mathcal{U}, dy) = 1$ . For each  $x \in \widehat{B}^\times$ , denote by  $[\mathcal{U}x\mathcal{U}]$  the characteristic function of the set  $\mathcal{U}x\mathcal{U}$ . For each prime  $q$ , we set

$$\pi_q = \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix}, \quad z_q = \begin{pmatrix} q & 0 \\ 0 & q \end{pmatrix} \in \text{GL}_2(\mathbf{Q}_q). \tag{2.1}$$

We fix an identification  $i : \widehat{B}^{(\Delta_B)} \simeq M_2(\widehat{\mathbf{Q}}^{(\Delta_B)})$ . Let  $M^+$  be a positive integer with  $(M^+, \Delta_B) = 1$  and let  $R_{M^+}$  be the Eichler order in  $B$  of level  $M^+$  with respect to  $i$ . Suppose that  $p \nmid \Delta_B$ . For a non-negative integer  $n$ , we define a special open compact subgroup  $\mathcal{U}_{M^+, p^n} \subset \widehat{B}^\times$  by

$$\mathcal{U}_{M^+, p^n} := \left\{ x \in \widehat{R}_{M^+}^\times \mid x_p \equiv \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \pmod{p^n}, a, b \in \mathbf{Z}_p \right\}. \tag{2.2}$$

Let  $\mathcal{U} = \mathcal{U}_{M^+, p^n}$ . Let  $\Sigma := p^n M^+ \Delta_B$ . By definition, we have  $i : (\widehat{B}^{(\Sigma)})^\times, \mathcal{U}^{(\Sigma)} \simeq (\text{GL}_2(\widehat{\mathbf{Q}}^{(\Sigma)}), \text{GL}_2(\widehat{\mathbf{Z}}^{(\Sigma)}))$ . Denote by  $\mathbb{T}_B^{(\Sigma)}(M^+)$  the subalgebra of  $\mathcal{H}(B^\times, \mathcal{U})$  generated by  $[\mathcal{U}x\mathcal{U}]$  with  $x \in \widehat{B}^{(\Sigma)\times}$ . Then

$$\mathbb{T}_B^{(\Sigma)}(M^+) = \mathbf{Z}[T_q, S_q, S_q^{-1} \mid q \nmid \Sigma],$$

where  $T_q$  and  $S_q$  are the standard Hecke operators at the prime  $q$  given by

$$T_q = [\mathcal{U}i^{-1}(\pi_q)\mathcal{U}], \quad S_q = [\mathcal{U}i^{-1}(z_q)\mathcal{U}]. \tag{2.3}$$

We proceed to introduce Hecke operators at  $q \mid \Sigma$ . If  $q \mid \Delta_B$ , choose  $\pi'_q \in B_q^\times$  with  $N(\pi'_q) = q$ . Define the Hecke operator  $U_q$  at  $q \mid M^+ \Delta_B$  by

$$U_q = [\mathcal{U}i^{-1}(\pi_q)\mathcal{U}] \quad \text{if } q \mid M^+, \quad U_q = [\mathcal{U}\pi'_q\mathcal{U}] \quad \text{if } q \mid \Delta_B. \tag{2.4}$$

If  $n = 0$ , then  $\mathcal{U} = \widehat{R}_{M^+}^\times$ , and we define

$$\mathbb{T}_B(M^+) = \mathbf{Z}[\{T_q, S_q, S_q^{-1} \mid q \nmid \Sigma\}, \{U_q \mid q \mid \Sigma\}] = \mathbb{T}_B^{(\Sigma)}(M^+)[\{U_q \mid q \mid \Sigma\}]. \tag{2.5}$$

Suppose that  $n > 0$ . For the prime  $p$ , we define Hecke operators  $U_p$  and  $\langle a \rangle$  for each  $a \in \mathbf{Z}_p^\times$  by

$$U_p = [\mathcal{U}i^{-1}(\pi_p)\mathcal{U}], \quad \langle a \rangle = [\mathcal{U}i^{-1}(\mathbf{d}(a))\mathcal{U}] \quad \left( \mathbf{d}(a) = \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \in \text{GL}_2(\mathbf{Z}_p) \right). \tag{2.6}$$

Let  $\mathbb{T}_B(M^+, p^n)$  be the (commutative) subring of  $\mathcal{H}(B^\times, \mathcal{U})$  generated by Hecke operators at all primes. Namely,

$$\begin{aligned} \mathbb{T}_B(M^+, p^n) &= \mathbf{Z}[\{T_q, S_q, S_q^{-1} \mid q \nmid \Sigma\}, \{U_q \mid q \mid M^+ \Delta_B\}, \{U_p, \langle a \rangle \mid a \in \mathbf{Z}_p^\times\}] \\ &= \mathbb{T}_B^{(\Sigma)}(M^+)[\{U_q, \langle a \rangle \mid q \mid \Sigma, a \in \mathbf{Z}_p^\times\}]. \end{aligned} \tag{2.7}$$

We call  $\mathbb{T}_B(M^+, p^n)$  ( $\mathbb{T}_B(M^+)$  if  $n = 0$ ) the complete Hecke algebra of level  $\mathcal{U}$ . For each prime  $\ell$ , let  $\mathbb{T}_B^{(\ell)}(M^+, p^n)$  be the subring of  $\mathbb{T}_B(M^+, p^n)$  generated by Hecke operators at primes  $q \neq \ell$ .

**2.2 Definite quaternion algebras**

We recall some notation from [CH12, §2.1]. Let  $K$  be the imaginary quadratic field with the discriminant  $-D_K < 0$  and let  $\delta = \sqrt{-D_K}$ . Write  $z \mapsto \bar{z}$  for the complex conjugation on  $K$ . Define  $\theta \in K$  by

$$\theta = \frac{D' + \delta}{2}, \quad D' = \begin{cases} D_K & \text{if } 2 \nmid D_K, \\ D_K/2 & \text{if } 2 \mid D_K. \end{cases}$$

Then  $\mathcal{O}_K = \mathbf{Z} + \mathbf{Z} \cdot \theta$  and  $\theta\bar{\theta}$  is a local uniformizer of primes that are ramified in  $K$ .

Let  $B$  be the definite quaternion algebra over  $\mathbf{Q}$  with discriminant  $\Delta_B$ . Suppose that

$$N^- \mid \Delta_B, (\Delta_B, N^+) = 1 \quad \text{and} \quad p \nmid \Delta_B. \tag{2.8}$$

Assume further that every prime factor of  $\Delta_B/N^-$  is inert in  $K$ . Thus, we can regard  $K$  as a subalgebra of  $B$ . Write  $T$  and  $N$  for the reduced trace and norm of  $B$ , respectively. Let  $\mathfrak{p}$  be the prime of  $K$  above  $p$  induced by  $\iota_p : K \hookrightarrow \mathbf{C}_p$ . We choose a basis of  $B = K \oplus K \cdot J$  over  $K$  such that:

- (a)  $J^2 = \beta \in \mathbf{Q}^\times$  with a square-free  $\beta < 0$  and  $Jt = \bar{t}J$  for all  $t \in K$ ;
- (b)  $\beta \in (\mathbf{Z}_q^\times)^2$  for all  $q \mid N^+$  and  $\beta \in \mathbf{Z}_q^\times$  for  $q \mid D_K$ .

The existence of such  $J$  can be seen as follows. We can always choose some  $J' \in B^\times$  satisfying (a) by the Noether–Skolem theorem, and the strong approximation theorem ensures the existence of  $J = \beta J'$  with property (b) for some suitable  $\beta \in K^\times$ .

Fixing a square root  $\sqrt{\beta} \in \mathbf{Q}$  of  $\beta$ , we require the fixed isomorphism  $i = \prod_{q \nmid \Delta_B} i_q : \widehat{B}^{(\Delta_B)} \simeq M_2(\widehat{\mathbf{Q}}^{(\Delta_B)})$  chosen so that for each finite place  $q \mid pN^+$ , the isomorphism  $i_q : B_q = B \otimes_{\mathbf{Q}} \mathbf{Q}_q \simeq M_2(\mathbf{Q}_q)$  is given by

$$i_q(\theta) = \begin{pmatrix} T(\theta) & -N(\theta) \\ 1 & 0 \end{pmatrix}, \quad i_q(J) = \sqrt{\beta} \cdot \begin{pmatrix} -1 & T(\theta) \\ 0 & 1 \end{pmatrix} \quad (\sqrt{\beta} \in \mathbf{Z}_q^\times), \tag{2.9}$$

and for each finite place  $q \nmid pN^+\Delta_B$ ,  $i_q : B_q \simeq M_2(\mathbf{Q}_q)$  satisfies

$$i_q(\mathcal{O}_K \otimes \mathbf{Z}_q) \subset M_2(\mathbf{Z}_q). \tag{2.10}$$

Hereafter, we shall identify  $\widehat{B}^{(\Delta_B)\times}$  with  $M_2(\widehat{\mathbf{Q}}^{(\Delta_B)})$  via  $i$  and let

$$\mathcal{U} = \mathcal{U}_{N^+, p^n}$$

be the open compact subgroup as in (2.2). By definition,

$$\mathcal{U} \supset \widehat{\mathbf{Z}}^\times, \quad \mathcal{U}_\ell = \text{GL}_2(\mathbf{Z}_\ell) \quad \text{if } \ell \nmid pN^+\Delta_B. \tag{2.11}$$

**2.3  $p$ -adic modular forms**

Let  $A$  be a  $p$ -adic ring. Let  $k \geq 2$  be an even integer and let  $L_k(A) = A[X, Y]_{k-2}$  be the space of homogeneous polynomials of degree  $k - 2$  over  $A$ . Let  $\rho_k : \text{GL}_2(A) \rightarrow \text{Aut}_A L_k(A)$  be the unitary representation defined by

$$\rho_k(h)P(X, Y) = \det(h)^{-(k-2)/2} \cdot P((X, Y)h) \quad (h \in \text{GL}_2(A), P(X, Y) \in L_k(A)).$$

Define the space  $\mathcal{S}_k^B(\mathcal{U}, A)$  of  $p$ -adic modular forms on  $B^\times$  of weight  $k$  and level  $\mathcal{U}$  by

$$\mathcal{S}_k^B(\mathcal{U}, A) = \{f : B^\times \backslash \widehat{B}^\times \rightarrow L_k(A) \mid f(bu) = \rho_k(u_p^{-1})f(b), u = (u_q) \in \mathcal{U}\}.$$

The space  $\mathcal{S}_k^B(\mathcal{U}, A)$  is equipped with  $\mathbb{T}_B(N^+, p^n)$ -action defined by

$$\begin{aligned}
 [\mathcal{U}x\mathcal{U}].f(b) &= \sum_{u \in \mathcal{U}/\mathcal{U} \cap x\mathcal{U}x^{-1}} f(bux) \quad \text{if } x \in \widehat{B}^{(p)\times}; \\
 \langle a \rangle .f(b) &= \rho_k(\mathbf{d}(a))f(\mathbf{bd}(a)) \quad (a \in \mathbf{Z}_p^\times); \\
 U_p.f(b) &= \sum_{u \in \mathcal{U}_p/\mathcal{U}_p \cap \pi_p \mathcal{U}_p \pi_p^{-1}} \rho_k(u)\tilde{\rho}_k(\pi_p)f(bu\pi_p) \quad \left( \pi_p = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \in \text{GL}_2(\mathbf{Q}_p) \right),
 \end{aligned} \tag{2.12}$$

where  $\tilde{\rho}_k(\pi_p) \in \text{End}_A L_k(A)$  is defined by  $\tilde{\rho}_k(\pi_p)P(X, Y) := P(pX, Y)$ . If  $p$  is invertible in  $A$ , then

$$U_p.f(b) = p^{(k-2)/2} \cdot \sum_{u \in \mathcal{U}_p/\mathcal{U}_p \cap \pi_p \mathcal{U}_p \pi_p^{-1}} \rho_k(u\pi_p)f(bu\pi_p).$$

We note that the operator  $S_q$  acts trivially and  $U_q$  is an involution if  $q \mid \Delta_B$  on  $\mathcal{S}_k^B(\mathcal{U}, A)$ .

### 2.4 Modular forms of weight two

We shall write  $\mathcal{S}^B(\mathcal{U}, A) = \mathcal{S}_2^B(\mathcal{U}, A)$  for the space of  $A$ -valued modular forms of weight two and level  $\mathcal{U}$ . Denote by  $X_B(\mathcal{U})$  the finite set

$$X_B(\mathcal{U}) := B^\times \backslash \widehat{B}^\times / \mathcal{U}.$$

For each  $b \in \widehat{B}^\times$ , denote by  $[b]_{\mathcal{U}}$  the point in  $X_B(\mathcal{U})$  represented by  $b$ . The Hecke algebra  $\mathbb{T}_B(N^+, p^n)$  acts on the divisor group  $\mathbf{Z}[X_B(\mathcal{U})]$  by Picard functoriality. By definition, we have a canonical identification:

$$\mathcal{S}^B(\mathcal{U}, \mathbf{Z}) = \{f : X_B(\mathcal{U}) \rightarrow \mathbf{Z}\} \simeq \mathbf{Z}[X_B(\mathcal{U})]. \tag{2.13}$$

Define the *Atkin–Lehner involution*  $\tau_n \in \widehat{B}_{(pN^+)}^\times$  by  $\tau_{n,q} = \begin{pmatrix} 0 & 1 \\ p^n N^+ & 0 \end{pmatrix}$  if  $q \mid p^n N^+$  and  $\tau_{n,q} = 1$  if  $q \nmid pN^+$ . Then  $\tau_n$  normalizes  $\mathcal{U}$ , and hence induces a right action on  $X_B(\mathcal{U})$ . Define a perfect pairing  $\langle \cdot, \cdot \rangle_{\mathcal{U}} : \mathcal{S}^B(\mathcal{U}, A) \times \mathcal{S}^B(\mathcal{U}, A) \rightarrow A$  by

$$\langle f_1, f_2 \rangle_{\mathcal{U}} = \sum_{[b]_{\mathcal{U}} \in X_B(\mathcal{U})} f_1(b)f_2(b\tau_n) \cdot \#((B^\times \cap b\mathcal{U}b^{-1})/\mathbf{Q}^\times)^{-1}. \tag{2.14}$$

Then the action of  $\mathbb{T}_B(N^+, p^n)$  on  $\mathcal{S}^B(\mathcal{U}, A)$  is self-adjoint with respect to  $\langle \cdot, \cdot \rangle_{\mathcal{U}}$ . Namely,

$$\langle tf_1, f_2 \rangle_{\mathcal{U}} = \langle f_1, tf_2 \rangle_{\mathcal{U}} \quad \text{for all } t \in \mathbb{T}_B(N^+, p^n).$$

## 3. Shimura curves

### 3.1 Notation

We recall some basic facts on the geometry of Shimura curves, following the exposition in [Nek12, § 1]. In this section, let  $\ell \nmid N^+ \Delta_B$  be a rational prime which is *inert* in  $K$  and  $B'$  be the indefinite quaternion algebra over  $\mathbf{Q}$  with discriminant  $\Delta_B \ell$ . We fix a  $\mathbf{Q}$ -embedding  $t' : K \hookrightarrow B'$  and an isomorphism  $\varphi_{B, B'} : \widehat{B}^{(\ell)} \simeq \widehat{B}'^{(\ell)}$  once and for all such that  $t'$  induces the composite map

$$\widehat{K}^{(\ell)} \rightarrow \widehat{B}^{(\ell)} \xrightarrow{\varphi_{B, B'}} \widehat{B}'^{(\ell)}.$$

We put

$$\mathcal{U}_0(\ell) := \mathcal{U}_{N^+, \ell, p^n} = \left\{ u \in \mathcal{U} \mid u_\ell \equiv \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \pmod{\ell} \right\}.$$

Let  $\mathcal{O}_{B'_\ell}$  be the maximal order of  $B'_\ell$ . Let  $\mathcal{U}'$  be the open compact subgroup of  $\widehat{B}'^\times$  given by

$$\mathcal{U}' := \varphi_{B, B'}(\mathcal{U}^{(\ell)})\mathcal{O}_{B'_\ell}^\times. \tag{3.1}$$

We denote by  $M_{\mathcal{U}'}/\mathbf{Q}$  the Shimura curve attached to  $B'$  of level  $\mathcal{U}'$ . The complex uniformization of  $M_{\mathcal{U}'}$  is given by

$$M_{\mathcal{U}'}(\mathbf{C}) = B'^\times \backslash (\mathbf{C} \setminus \mathbf{R}) \times \widehat{B}'^\times / \mathcal{U}'.$$

For  $z \in \mathbf{C} \setminus \mathbf{R}$  and  $b' \in \widehat{B}'^\times$ , denote by  $[z, b']_{\mathcal{U}'}$  the point of  $M_{\mathcal{U}'}(\mathbf{C})$  represented by  $(z, b')$ .

**3.2  $\ell$ -adic uniformization of Shimura curves**

There is an integral model  $\mathcal{M}_{\mathcal{U}'}$  of  $M_{\mathcal{U}'} \otimes_{\mathbf{Q}} \mathbf{Q}_\ell$  over  $\mathbf{Z}_\ell$ , which is projective over  $\mathbf{Z}_\ell$  if  $\mathcal{U}'$  is sufficiently small [BC91, Theorem (3.4)]. We review the description of the completion  $\widehat{\mathcal{M}}_{\mathcal{U}'}$  of  $\mathcal{M}_{\mathcal{U}'}$  along the special fibre due to Čerednik [Čer76] and Drinfeld [Dri76]. Let  $\mathcal{H}_\ell$  be Drinfeld’s  $\ell$ -adic upper half plane, which is a rigid analytic variety over  $\mathbf{Q}_\ell$  and an analytic subspace of  $\mathbf{P}_{\mathbf{Q}_\ell}^{1, \text{an}}$ . The  $\mathbf{C}_\ell$ -valued points of  $\mathcal{H}_\ell$  are  $\mathcal{H}_\ell(\mathbf{C}_\ell) = \mathbf{P}^1(\mathbf{C}_\ell) \setminus \mathbf{P}^1(\mathbf{Q}_\ell)$ . Then  $\widehat{\mathcal{M}}_{\mathcal{U}'}$  is canonically identified with

$$B^\times \backslash \widehat{\mathcal{H}}_\ell \widehat{\otimes}_{\mathbf{Z}_\ell} \widehat{\mathbf{Z}}_\ell^{\text{ur}} \times \widehat{B}^{(\ell)\times} / \mathcal{U}^{(\ell)}, \tag{3.2}$$

where  $\widehat{\mathcal{H}}_\ell$  is a natural formal model of  $\mathcal{H}_\ell$  and  $b \in B^\times$  acts on  $\widehat{\mathcal{H}}_\ell$  (respectively on  $\widehat{\mathbf{Z}}_\ell^{\text{ur}}$ ) via the natural action of  $B^\times \subset B_\ell^\times \xrightarrow{i_\ell} \text{GL}_2(\mathbf{Q}_\ell)$  on  $\mathbf{P}_{\mathbf{Q}_\ell}^{1, \text{an}}$  (respectively by  $\text{Frob}_\ell^{\text{ord}_\ell(\mathbf{N}(b))}$ ) [BC91, Theorem 5.2]. Denote by  $M_{\mathcal{U}'}^{\text{an}}$  the rigid analytification of  $M_{\mathcal{U}'} \otimes \mathbf{Q}_\ell$ . Then  $M_{\mathcal{U}'}^{\text{an}} \simeq \widehat{\mathcal{M}}_{\mathcal{U}'} \otimes \mathbf{Q}_\ell$ , the generic fibre of  $\widehat{\mathcal{M}}_{\mathcal{U}'}$ , and

$$M_{\mathcal{U}'}^{\text{an}} = B^\times \backslash \mathcal{H}_\ell \widehat{\otimes}_{\mathbf{Q}_\ell} \widehat{\mathbf{Q}}_\ell^{\text{ur}} \times \widehat{B}^{(\ell)\times} / \mathcal{U}^{(\ell)}.$$

Since  $\mathcal{U}^{(\ell)} \supset \widehat{\mathbf{Z}}^{(\ell)\times}$ ,

$$M_{\mathcal{U}'}(\mathbf{C}_\ell) = B^\times \backslash \mathcal{H}_\ell(\mathbf{C}_\ell) \times \widehat{B}^{(\ell)\times} / \mathcal{U}^{(\ell)}. \tag{3.3}$$

**3.3 Bad reduction of Shimura curves**

Let  $\mathcal{T}_\ell = \mathcal{V}(\mathcal{T}_\ell) \sqcup \mathcal{E}(\mathcal{T}_\ell)$  be the dual graph of the special fibre of  $\widehat{\mathcal{H}}_\ell$ , where  $\mathcal{V}(\mathcal{T}_\ell)$  and  $\mathcal{E}(\mathcal{T}_\ell)$  denote the set of vertices and edges of  $\mathcal{T}_\ell$  respectively. Then  $\mathcal{T}_\ell$  is the Bruhat–Tits tree of  $B_\ell^\times \simeq \text{GL}_2(\mathbf{Q}_\ell)$ . Let  $\vec{\mathcal{E}}(\mathcal{T}_\ell)$  be the set of oriented edges. We have the identifications

$$\mathcal{V}(\mathcal{T}_\ell) = B_\ell^\times / \mathcal{U}_\ell \mathbf{Q}_\ell^\times, \quad \vec{\mathcal{E}}(\mathcal{T}_\ell) = B_\ell^\times / \mathcal{U}_0(\ell)_\ell \mathbf{Q}_\ell^\times.$$

Let  $\mathcal{G} = \mathcal{V}(\mathcal{G}) \sqcup \mathcal{E}(\mathcal{G})$  be the dual graph of the special fibre of  $\widehat{\mathcal{M}}_{\mathcal{U}'} \otimes_{\mathbf{Z}_\ell} \mathcal{O}_{K_\ell}$ . The set  $\mathcal{V}(\mathcal{G})$  of vertices of  $\mathcal{G}$  consists of the irreducible components of  $\mathcal{M}_{\mathcal{U}'} \otimes_{\mathbf{Z}_\ell} \mathbb{F}_{\ell^2}$ , and the set  $\mathcal{E}(\mathcal{G})$  of edges of  $\mathcal{G}$  consists of the singular points in  $\widehat{\mathcal{M}}_{\mathcal{U}'} \otimes_{\mathbf{Z}_\ell} \mathbb{F}_{\ell^2}$ . Let  $\text{red}_\ell : M_{\mathcal{U}'}(\mathbf{C}_\ell) \rightarrow \mathcal{G} = \mathcal{V}(\mathcal{G}) \sqcup \mathcal{E}(\mathcal{G})$  be the reduction map. By [Nek12, Proposition 1.5.5], (3.2) induces an identification

$$\begin{aligned} \mathcal{V}(\mathcal{G}) &= B^\times \backslash (\mathcal{V}(\mathcal{T}_\ell) \times \mathbf{Z}/2\mathbf{Z} \times \widehat{B}^{(\ell)\times} / \mathcal{U}^{(\ell)}) = B^\times \backslash (B_\ell^\times / \mathcal{U}_\ell \mathbf{Q}_\ell^\times \times \mathbf{Z}/2\mathbf{Z} \times \widehat{B}^{(\ell)\times} / \mathcal{U}^{(\ell)}) \\ &\xrightarrow{\sim} (B^\times \backslash \widehat{B}^\times / \mathcal{U}) \times \mathbf{Z}/2\mathbf{Z} = X_B(\mathcal{U}) \times \mathbf{Z}/2\mathbf{Z}, \end{aligned} \tag{3.4}$$

where the last isomorphism is given by

$$B^\times (b_\ell \mathcal{U}_\ell, j, b^{(\ell)} \mathcal{U}^{(\ell)}) \mapsto ([b_\ell b^{(\ell)}]_{\mathcal{U}}, j + \text{ord}_\ell(\mathbf{N}(b_\ell)))$$



and

$$\vec{\mathcal{E}}(\mathcal{G}) \xrightarrow{\sim} (B^\times \backslash \widehat{B}^\times / \mathcal{U}_0(\ell)) \times \mathbf{Z}/2\mathbf{Z} = X_B(\mathcal{U}_0(\ell)) \times \mathbf{Z}/2\mathbf{Z}.$$

We will regard  $\mathcal{E}(\mathcal{G})$  as a subset of  $\vec{\mathcal{E}}(\mathcal{G})$  via

$$\mathcal{E}(\mathcal{G}) = X_B(\mathcal{U}_0(\ell)) \xrightarrow{\sim} X_B(\mathcal{U}_0(\ell)) \times \{0\} \hookrightarrow \vec{\mathcal{E}}(\mathcal{G}). \tag{3.5}$$

**3.4 Bad reduction of the Jacobian of the Shimura curve**

Let  $J(M_{\mathcal{U}'})$  be the Jacobian of the Shimura curve  $M_{\mathcal{U}'}$ . If  $L/\mathbf{Q}$  is a field extension, let  $\text{Div}^0 M_{\mathcal{U}'}(L)$  be the group of divisors on  $J(M_{\mathcal{U}'})/L$  of degree zero on each connected component of  $M_{\mathcal{U}'} \otimes_{\mathbf{Q}} \overline{L}$ . For  $D \in \text{Div}^0 M_{\mathcal{U}'}(L)$ , denote by  $cl(D) \in J(M_{\mathcal{U}'})/L$  the point represented by  $D$ . The prime-to- $\ell$  Hecke algebra  $\mathcal{H}^{(\ell)}(B'^\times, \mathcal{U}')$  acts on  $J(M_{\mathcal{U}'})$  via the Hecke correspondence on  $M_{\mathcal{U}'}$  and Picard functoriality (cf. [Nek12, § 1.3.4]). The isomorphism  $\varphi_{B, B'} : \widehat{B}^{(\ell)} \simeq \widehat{B}'^{(\ell)}$  induces an isomorphism

$$\varphi_* : \mathcal{H}^{(\ell)}(B^\times, \mathcal{U}_0(\ell)) \xrightarrow{\sim} \mathcal{H}^{(\ell)}(B'^\times, \mathcal{U}'), \quad [\mathcal{U}_0(\ell)x\mathcal{U}_0(\ell)] \mapsto [\mathcal{U}'\varphi_{B, B'}(x)\mathcal{U}'] \quad (x \in B^{(\ell)\times}).$$

We extend  $\varphi_*$  to a ring homomorphism

$$\varphi_* : \mathbb{T}_B(\ell N^+, p^n) \twoheadrightarrow \mathbb{T}_{B'}(N^+, p^n) \rightarrow \text{End}(J(M_{\mathcal{U}'})/\mathbf{Q})$$

by defining  $\varphi_*(U_\ell) := [\mathcal{U}'\pi'_\ell\mathcal{U}']$  for some  $\pi'_\ell \in B'_\ell$  with  $N(\pi'_\ell) = \ell$ .

Let  $\mathcal{J}$  be the Néron model of  $J(M_{\mathcal{U}'})/\mathbf{Q}_\ell$  over  $\mathbf{Z}_\ell$ . The universal property of Néron models induces a ring homomorphism

$$\varphi_* : \mathbb{T}_B(\ell N^+, p^n) \rightarrow \text{End}(J(M_{\mathcal{U}'})/\mathbf{Q}_\ell) = \text{End}(\mathcal{J}). \tag{3.6}$$

Let  $\mathcal{J}_s$  be the special fibre  $\mathcal{J} \otimes_{\mathbf{Z}_\ell} \mathbb{F}_{\ell^2}$  and  $\mathcal{J}_s^\circ$  be the connected component of the identity of  $\mathcal{J}_s$ . Let  $\Phi_{M_{\mathcal{U}'}} = \mathcal{J}_s/\mathcal{J}_s^\circ$  be the group of connected components of  $\mathcal{J}_s$ . Then  $\Phi_{M_{\mathcal{U}'}}$  is an étale group scheme over  $\mathbb{F}_{\ell^2}$  with a natural  $\mathbb{T}_B(\ell N^+, p^n)$ -module structure induced by (3.6). Let

$$r_\ell : J(M_{\mathcal{U}'})/K_\ell \rightarrow \Phi_{M_{\mathcal{U}'}}$$

be the reduction map.

We recall a description of  $\Phi_{M_{\mathcal{U}'}}$  in terms of the graph  $\mathcal{G}$ . Define the source and target maps  $s, t : \vec{\mathcal{E}}(\mathcal{G}) \rightarrow \mathcal{V}(\mathcal{G})$  so that for each oriented edge  $e$ ,  $s(e) \in \mathcal{V}(\mathcal{G})$  is the source of  $e$  and  $t(e) \in \mathcal{V}(\mathcal{G})$  is the target of  $e$ . Define the morphisms

$$\mathbf{Z}[\mathcal{E}(\mathcal{G})] \xrightarrow{d_* = -s_* + t_*} \mathbf{Z}[\mathcal{V}(\mathcal{G})], \quad \mathbf{Z}[\mathcal{V}(\mathcal{G})] \xrightarrow{d^* = -s^* + t^*} \mathbf{Z}[\mathcal{E}(\mathcal{G})]. \tag{3.7}$$

Let  $\mathbf{Z}[\mathcal{V}(\mathcal{G})]_0$  be the image of  $d_*$  in  $\mathbf{Z}[\mathcal{V}(\mathcal{G})]$ . By [BLR90, § 9.6, Theorem 1], we have a canonical isomorphism

$$\mathbf{Z}[\mathcal{E}(\mathcal{G})]/\text{Im } d_* \xrightarrow{\sim} \mathbf{Z}[\mathcal{V}(\mathcal{G})]_0/\text{Im } d_* d^* \simeq \Phi_{M_{\mathcal{U}'}} \tag{3.8}$$

such that the following diagram commutes:

$$\begin{CD} \text{Div}^0 M_{\mathcal{U}'}(K_\ell) @>cl>> J(M_{\mathcal{U}'})/K_\ell \\ @V r_\nu VV @VV r_\ell V \\ \mathbf{Z}[\mathcal{V}(\mathcal{G})]_0/\text{Im } d_* d^* @>\sim>> \Phi_{M_{\mathcal{U}'}} \end{CD} \tag{3.9}$$

where  $r_{\mathcal{V}} : \text{Div}^0 M_{\mathcal{U}'}(K_{\ell}) \rightarrow \mathbf{Z}[\mathcal{V}(\mathcal{G})]_0$  is the specialization map of divisors defined in [Nek12, § 1.6.6]. We briefly recall the definition of  $r_{\mathcal{V}}$  as follows. For each  $D \in \text{Div}^0 M_{\mathcal{U}'}(K_{\ell})$ , extending  $D$  to a Cartier divisor  $\tilde{D}$  on  $\mathcal{M}_{\mathcal{U}'} \otimes_{\mathbf{Z}_{\ell}} \mathcal{O}_{K_{\ell}}$  by taking closure, define

$$r_{\mathcal{V}}(D) = \sum_{C \in \mathcal{V}(\mathcal{G})} (\tilde{D} \cdot C) C \in \mathbf{Z}[\mathcal{V}(\mathcal{G})]_0, \tag{3.10}$$

where  $(\tilde{D} \cdot C)$  is the intersection number in  $\mathcal{M}_{\mathcal{U}'} \otimes_{\mathbf{Z}_{\ell}} \mathcal{O}_{K_{\ell}}$ .

**3.5 A description of the group of connected components**

We review a description of  $\Phi_{M_{\mathcal{U}'}}$  in terms of spaces of weight-two modular forms [BD05, § 5.5]. Let  $\alpha, \beta : X_B(\mathcal{U}_0(\ell)) \rightarrow X_B(\mathcal{U})$  be the standard degeneracy maps given by

$$x = [b]_{\mathcal{U}_0(\ell)} \mapsto \alpha(x) = [b]_{\mathcal{U}}, \quad \beta(x) = [b\pi_{\ell}^{-1}]_{\mathcal{U}}.$$

According to (3.4) and (3.5), the morphisms  $d_*$  and  $d^*$  in (3.7) are respectively identified with

$$\mathcal{S}^B(\mathcal{U}_0(\ell), \mathbf{Z}) \xrightarrow{\delta_* = (-\alpha_*, \beta_*)} \mathcal{S}^B(\mathcal{U}, \mathbf{Z})^{\oplus 2}, \quad \mathcal{S}^B(\mathcal{U}, \mathbf{Z})^{\oplus 2} \xrightarrow{\delta^* = -\alpha^* + \beta^*} \mathcal{S}^B(\mathcal{U}_0(\ell), \mathbf{Z}).$$

Let  $(\mathcal{S}^B(\mathcal{U}, \mathbf{Z})^{\oplus 2})_0 := \text{Im } \delta_*$  be the image of  $\mathcal{S}^B(\mathcal{U}_0(\ell), \mathbf{Z})$  via  $\delta_*$ . A direct computation shows that

$$\delta_* \delta^* = (-\alpha_*, \beta_*)(\alpha^* - \beta^*) = \begin{pmatrix} -\ell - 1 & T_{\ell} \\ T_{\ell} & -\ell - 1 \end{pmatrix} \in M_2(\text{End}(\mathcal{S}^B(\mathcal{U}, \mathbf{Z}))).$$

Define a ring homomorphism  $\mathbb{T}_B(\ell N^+, p^n) \rightarrow \text{End}(\mathcal{S}^B(\mathcal{U}, \mathbf{Z})^{\oplus 2})$  by

$$\begin{aligned} t &\rightarrow \tilde{t} : (x, y) \mapsto (tx, ty) \quad \text{if } t \in \mathbb{T}_B^{(\ell)}(\ell N^+, p^n) = \mathbb{T}_B^{(\ell)}(N^+, p^n); \\ U_{\ell} &\rightarrow \tilde{U}_{\ell} : (x, y) \mapsto (-\ell y, x + T_{\ell} y). \end{aligned}$$

This makes  $\mathcal{S}^B(\mathcal{U}, \mathbf{Z})^{\oplus 2}$  a  $\mathbb{T}_B(\ell N^+, p^n)$ -module. Moreover, one can check that  $\delta_*$  is indeed a  $\mathbb{T}_B(\ell N^+, p^n)$ -module homomorphism.

PROPOSITION 3.1 [BD05, Proposition 5.13]. *We have an isomorphism as  $\mathbb{T}_B(\ell N^+, p^n)$ -modules*

$$(\mathcal{S}^B(\mathcal{U}, \mathbf{Z})^{\oplus 2})_0 / (\tilde{U}_{\ell}^2 - 1) \mathcal{S}^B(\mathcal{U}, \mathbf{Z})^{\oplus 2} \simeq \Phi_{M_{\mathcal{U}'}}.$$

*Proof.* A direct computation shows that

$$\tilde{U}_{\ell}^2 - 1 = \delta_* \delta^* \circ \tau, \quad \tau(x, y) = (x + T_{\ell} y, y).$$

Since  $\tau$  is an automorphism of  $\mathcal{S}^B(\mathcal{U}, \mathbf{Z})^{\oplus 2}$ , we can deduce the proposition from the identification between  $\mathbf{Z}[\mathcal{E}(\mathcal{G})]$  and  $\mathcal{S}^B(\mathcal{U}_0(\ell), \mathbf{Z})$  as  $\mathbb{T}_B(\ell N^+, p^n)$ -modules combined with the canonical isomorphism (3.8) and the compatibility of Hecke actions [Rib90, pp. 463–464] (cf. [BD05, Proposition 5.8] and [Nek12, § 1.6.7]). □

**3.6 CM points in Shimura curves**

Take a point  $z'$  in  $\mathbf{C} \setminus \mathbf{R}$  fixed by  $i_{\infty}(K^{\times}) \subset \text{GL}_2(\mathbf{R})$ . The set of CM points by  $K$  unramified at  $\ell$  on the curve  $M_{\mathcal{U}'}$  is defined as

$$\text{CM}_K^{\ell-ur}(M_{\mathcal{U}'}) := \{[z', b']_{\mathcal{U}'} \mid b' \in \widehat{B}^{\times}, b'_{\ell} = 1\} \subset M_{\mathcal{U}'}(K^{ab}).$$

Let  $\text{rec}_K : \widehat{K}^\times \rightarrow \text{Gal}(K^{ab}/K)$  be the geometrically normalized reciprocity law. Then Shimura’s reciprocity law says that

$$\text{rec}_K(a)[z', b']_{\mathcal{U}'} = [z', t'(a)b']_{\mathcal{U}'}. \tag{3.11}$$

This implies

$$\iota_\ell : \text{CM}_K^{\ell-ur}(M_{\mathcal{U}'}) \hookrightarrow M_{\mathcal{U}'}(K_\ell).$$

Let  $\text{CM}_K^{\ell-ur}(M_{\mathcal{U}'})^0$  be the subgroup of  $\text{Div}^0 M_{\mathcal{U}'}(K_\ell)$  generated by the degree-zero divisors supported in  $\text{CM}_K^{\ell-ur}(M_{\mathcal{U}'})$ . Then the specialization map  $r_\mathcal{V} : \text{CM}_K^{\ell-ur}(M_{\mathcal{U}'})^0 \rightarrow \mathbf{Z}[\mathcal{V}(\mathcal{G})]_0$  is given by

$$r_\mathcal{V}\left(\sum_i n_i \cdot [z', b'_i]_{\mathcal{U}'}\right) = \sum_i n_i \cdot [\varphi_{B, B'}^{-1}(b'_i)]_{\mathcal{U}}. \tag{3.12}$$

### 4. Construction of the Euler system

#### 4.1 The set-up

Let  $f \in S_k(\Gamma_0(N))$  be an elliptic new form of level  $N$  with  $q$ -expansion at the infinity cusp

$$f(q) = \sum_{n>0} \mathbf{a}_n(f)q^n.$$

Let  $\mathbf{Q}(f)$  be the Hecke field of  $f$ , i.e. the finite extension of  $\mathbf{Q}$  generated by  $\{\mathbf{a}_n(f)\}_n$ . Let  $\mathcal{O}$  be a finite extension of  $\mathbf{Z}_p$  containing the ring of integers of  $\mathbf{Q}(f)$ . Then it is well known that  $\mathbf{a}_q(f)$  belongs to  $\mathcal{O}$ . We set

$$\alpha_p(f) = \text{the } p\text{-adic unit root of } X^2 - \mathbf{a}_p(f)X + p^{k-1} \text{ in } \mathbf{C}_p, \quad \alpha_q(f) := \mathbf{a}_q(f)q^{(2-k)/2} \text{ if } q \neq p.$$

We define an  $\mathcal{O}$ -algebra homomorphism

$$\lambda_f : \mathbb{T}_B(N^+, p)_{\mathcal{O}} = \mathbb{T}_B(N^+, p) \otimes_{\mathbf{Z}} \mathcal{O} \rightarrow \mathcal{O}$$

by  $\lambda_f(T_q) = \alpha_q(f)$ ,  $\lambda_f(S_q) = 1$  if  $q \nmid pN$  and  $\lambda_f(U_q) = \alpha_q(f)$  if  $q \mid pN$ ,  $\lambda_f(\langle a \rangle) = a^{(k-2)/2}$  for  $a \in \mathbf{Z}_p^\times$ .

#### 4.2 Level-raising

Let  $n$  be a positive integer and let  $\mathcal{O}_n = \mathcal{O}/(\varpi^n)$ . Recall that we have introduced the notion of  $n$ -admissible primes for  $f$  in Definition 1.1.

DEFINITION 4.1. An  $n$ -admissible form  $\mathcal{D} = (\Delta, g)$  is a pair consisting of a square-free integer  $\Delta$  of an odd number of prime factors and a  $p$ -adic quaternionic eigenform  $g \in \mathcal{S}^B(\mathcal{U}_{N^+, p^n}, \mathcal{O}_n)$  for the definite quaternion algebra  $B$  over  $\mathbf{Q}$  of discriminant  $\Delta$  such that the following conditions hold:

- (1)  $N^- \mid \Delta$  and every prime factor of  $\Delta/N^-$  is  $n$ -admissible;
- (2)  $g \pmod{\varpi} \not\equiv 0$ ;
- (3)  $g$  is a  $\mathbb{T}_B(N^+, p^n)$ -eigenform, and  $\lambda_g \equiv \lambda_f \pmod{\varpi^n}$ , where  $\lambda_g : \mathbb{T}_B(N^+, p^n)_{\mathcal{O}} = \mathbb{T}_B(N^+, p^n) \otimes_{\mathbf{Z}} \mathcal{O} \rightarrow \mathcal{O}_n$  is the  $\mathcal{O}$ -algebra homomorphism induced by  $g$ . Namely, we have the following equalities in  $\mathcal{O}_n$ :

$$\begin{aligned} \lambda_g(T_q) &= \alpha_q(f) \quad \text{for } q \nmid pN^+\Delta, & \lambda_g(U_q) &= \alpha_q(f) \quad \text{for } q \mid pN, \\ \lambda_g(\langle a \rangle) &= a^{(k-2)/2} \quad \text{for } a \in \mathbf{Z}_p^\times. \end{aligned}$$

We fix an  $n$ -admissible form  $\mathcal{D} = (\Delta, g)$  and an  $n$ -admissible prime  $\ell \nmid \Delta$  with  $\epsilon_\ell \cdot \alpha_\ell \equiv \ell + 1 \pmod{\varpi^n}$ , where  $\epsilon_\ell$  is the sign as in (4) of Definition 1.1. Let  $B$  be the definite quaternion algebra over  $\mathbf{Q}$  of discriminant  $\Delta$ . Write  $\mathcal{U} = \mathcal{U}_{N^+, p^n} \subset \widehat{B}^\times$  for the open compact subgroup defined in (2.2). Let  $\mathbb{T} = \mathbb{T}_B(N^+, p^n)_\mathcal{O}$  and  $\mathbb{T}^{[\ell]} = \mathbb{T}_B(\ell N^+, p^n)_\mathcal{O}$ . We extend  $\lambda_g$  to an  $\mathcal{O}$ -algebra homomorphism  $\lambda_g^{[\ell]} : \mathbb{T}^{[\ell]} \rightarrow \mathcal{O}_n$  by defining  $\lambda_g^{[\ell]}(U_\ell) := \epsilon_\ell$ . Let  $\mathcal{I}_g$  (respectively  $\mathcal{I}_g^{[\ell]}$ ) be the kernel of  $\lambda_g : \mathbb{T} \rightarrow \mathcal{O}_n$  (respectively  $\lambda_g^{[\ell]} : \mathbb{T}^{[\ell]} \rightarrow \mathcal{O}_n$ ). The eigenform  $g$  gives rise to a surjective  $\mathcal{O}$ -module map

$$\psi_g : \mathcal{S}^B(\mathcal{U}, \mathcal{O})/\mathcal{I}_g \rightarrow \mathcal{O}_n, \quad h \mapsto \psi_g(h) := \langle g, h \rangle_{\mathcal{U}}.$$

PROPOSITION 4.2. *Assume that  $(CR^+)$  holds. Then we have an isomorphism*

$$\psi_g : \mathcal{S}^B(\mathcal{U}, \mathcal{O})/\mathcal{I}_g \xrightarrow{\sim} \mathcal{O}_n.$$

*Proof.* Let  $P_k \subset \mathcal{I}_g$  be the ideal of  $\mathbb{T}$  generated by  $\{\langle a \rangle - a^{(k-2)/2} \mid a \in \mathbf{Z}_p^\times\}$ . Let  $e = \lim_{n \rightarrow \infty} U_p^{n!}$  be Hida’s ordinary projector on the space of  $p$ -adic modular forms on  $B$ . Let  $R_{N^+}$  and  $R_{pN^+}$  be the Eichler orders of level  $N^+$  and  $pN^+$  in  $B$ . Let  $\mathcal{V} = \widehat{R}_{N^+}^\times$  and  $\mathcal{V}_0(p) = \widehat{R}_{pN^+}^\times$ . By Hida’s theory for definite quaternion algebras (the case  $q = 0$  in [Hid88, Corollary 8.2 and Proposition 8.3]), we have

$$e \cdot \mathcal{S}^B(\mathcal{U}, \mathcal{O}_n)[P_k] = e \cdot \mathcal{S}_k^B(\mathcal{V}_0(p), \mathcal{O}_n).$$

Taking the Pontryagin dual, we find that

$$e \cdot \mathcal{S}^B(\mathcal{U}, \mathcal{O})/(\varpi^n, P_k) = e \cdot \mathcal{S}_k^B(\mathcal{V}_0(p), \mathcal{O})/(\varpi^n).$$

Let  $e^\circ = \lim_{n \rightarrow \infty} T_p^{n!}$  be the ordinary projector on  $\mathcal{S}_k^B(\mathcal{V}, \mathcal{O})$ . Moreover, the  $p$ -stabilization map gives rise to an isomorphism

$$e^\circ \cdot \mathcal{S}_k^B(\mathcal{V}, \mathcal{O}) \xrightarrow{\sim} e \cdot \mathcal{S}_k^B(\mathcal{V}_0(p), \mathcal{O}), \tag{4.1}$$

and induces a surjective map  $\mathbb{T} \rightarrow e^\circ \cdot \mathbb{T}_B(\mathcal{V})_\mathcal{O}$ , which takes  $U_p \mapsto u_p$ , where  $u_p$  is the unique unit root solution of  $X^2 - T_p X + p^{k-1}$  in  $e^\circ \cdot \mathbb{T}_B(\mathcal{V})$ . Let  $\mathfrak{m}$  be the maximal ideal of  $\mathbb{T}$  containing  $\mathcal{I}_g$ . Since  $U_p - \alpha_p(f) \in \mathfrak{m}$  with  $\alpha_p(f) \in \mathcal{O}^\times$ , we find that

$$\mathcal{S}^B(\mathcal{U}, \mathcal{O})_{\mathfrak{m}}/(\varpi^n, P_k) = \mathcal{S}_k^B(\mathcal{V}_0(p), \mathcal{O})_{\mathfrak{m}}/(\varpi^n) \simeq \mathcal{S}_k^B(\mathcal{V}, \mathcal{O})_{\mathfrak{m}}/(\varpi^n).$$

By [CH12, Proposition 6.8],  $\mathcal{S}_k^B(\mathcal{V}, \mathcal{O})_{\mathfrak{m}}$  is a cyclic  $\mathbb{T}_B(N^+, p^n)_{\mathfrak{m}}$ -module, and hence  $\mathcal{S}^B(\mathcal{U}, \mathcal{O})/\mathcal{I}_g$  is generated by some modular form  $h$  as a  $\mathbb{T}_B(N^+, p^n)$ -module. Since  $\psi_g$  is surjective and Hecke operators in  $\mathbb{T}$  are self-adjoint with respect to  $\langle, \rangle_{\mathcal{U}}$ , it follows that  $\psi_g(h) = \langle g, h \rangle_{\mathcal{U}} \in \mathcal{O}_n^\times$  and the annihilator of  $h$  in  $\mathbb{T}$  is  $\mathcal{I}_g$ . Therefore,

$$\mathcal{S}^B(\mathcal{U}, \mathcal{O})/\mathcal{I}_g \simeq \mathbb{T}/\mathcal{I}_g = \mathcal{O}_n.$$

This completes the proof. □

Let  $B'$  be the indefinite quaternion algebra of discriminant  $\Delta\ell$  and let  $M_n^{[\ell]} = M_{\mathcal{U}'}$  be the Shimura curve attached to  $B'$  of level  $\mathcal{U}'$  introduced in § 3.1. Let  $J_n^{[\ell]} = J(M_n^{[\ell]})$  be the Jacobian of  $M_n^{[\ell]}$  and let  $\Phi^{[\ell]}$  be the group of connected components of the special fibre of the Néron model of  $J_n^{[\ell]}$  over  $\mathcal{O}_{K_\ell}$ .

THEOREM 4.3. *Let  $\Phi_{\mathcal{O}}^{[\ell]} = \Phi^{[\ell]} \otimes_{\mathbf{Z}} \mathcal{O}$ . We have an isomorphism*

$$\Phi_{\mathcal{O}}^{[\ell]}/\mathcal{I}_g^{[\ell]} \simeq \mathcal{S}^B(\mathcal{U}, \mathcal{O})/\mathcal{I}_g \xrightarrow{\psi_g} \mathcal{O}_n.$$

*Proof.* Let  $\mathfrak{m}^{[\ell]}$  be the maximal ideal of  $\mathbb{T}^{[\ell]}$  containing  $\mathcal{I}_g^{[\ell]}$ . Let  $\mathcal{S} = \mathcal{S}^B(\mathcal{U}, \mathcal{O})_{\mathfrak{m}^{[\ell]}}$ . The embedding  $\mathcal{S} \hookrightarrow \mathcal{S}^{\oplus 2}$ ,  $x \mapsto (0, x)$  induces an isomorphism

$$\mathcal{S}/(\epsilon_\ell T_\ell - \ell - 1) \xrightarrow{\sim} \mathcal{S}^{\oplus 2}/(\tilde{U}_\ell - \epsilon_\ell).$$

It is shown in [Nek12, Proposition 1.5.9(1)] that the quotient  $\mathcal{S}^B(\mathcal{U}, \mathcal{O})^{\oplus 2}/(\mathcal{S}^B(\mathcal{U}, \mathcal{O})^{\oplus 2})_0$  is Eisenstein, so we find that  $\mathcal{S}^{\oplus 2} = ((\mathcal{S}^B(\mathcal{U}, \mathcal{O})^{\oplus 2})_0)_{\mathfrak{m}^{[\ell]}}$  (the ideal  $\mathfrak{m}^{[\ell]}$  is not Eisenstein). By Proposition 3.1 we have a  $\mathbb{T}_B(\ell N^+, p^n)$ -module isomorphism

$$(\Phi_{\mathcal{O}}^{[\ell]})_{\mathfrak{m}^{[\ell]}} \simeq \mathcal{S}^{\oplus 2}/(\tilde{U}_\ell^2 - 1) \simeq \mathcal{S}^{\oplus 2}/(\tilde{U}_\ell - \epsilon_\ell) \xrightarrow{\sim} \mathcal{S}/(\epsilon_\ell T_\ell - \ell - 1).$$

In particular, we see that  $U_\ell$  acts on  $\Phi_{\mathcal{O}}^{[\ell]}$  by  $\epsilon_\ell$ . Combined with Proposition 4.2, the theorem follows. □

Denote by  $T_p(J_n^{[\ell]}) = \varprojlim_m J_n^{[\ell]}[p^m](\bar{\mathbf{Q}})$  the  $p$ -adic Tate module of  $J_n^{[\ell]}$ .

**COROLLARY 4.4.** *We have an isomorphism as  $G_{\mathbf{Q}}$ -modules*

$$T_p(J_n^{[\ell]})_{\mathcal{O}}/\mathcal{I}_g^{[\ell]} \simeq T_{f,n}.$$

*Proof.* Let  $T^{[\ell]} := T_p(J_n^{[\ell]})_{\mathcal{O}}$ . The argument in [BD05, Theorem 5.17 and the remarks below], based on the  $\ell$ -adic uniformization of  $J_n^{[\ell]}(\bar{\mathbf{Q}}_\ell)$  and the Eichler–Shimura congruence relation (cf. [Nek12, § 1.6.8]), yields  $T^{[\ell]}/\mathfrak{m}^{[\ell]} \simeq T_{f,1}$  and the exact sequence

$$\Phi_{\mathcal{O}}^{[\ell]}/\mathcal{I}_g^{[\ell]} \rightarrow H^1(K_\ell, T^{[\ell]}/\mathcal{I}_g^{[\ell]}) \rightarrow H_{\text{fin}}^1(K_\ell, \mathcal{X}_{\mathcal{O}}^{[\ell]}/\mathcal{I}_g^{[\ell]}), \tag{4.2}$$

where  $\mathcal{X}_{\mathcal{O}}^{[\ell]} = \mathcal{X}^{[\ell]} \otimes_{\mathbf{Z}} \mathcal{O}$  and  $\mathcal{X}^{[\ell]}$  is the character group of  $\Phi^{[\ell]}$ . In addition, by the proof of [BD05, Lemma 5.16], Theorem 4.3 implies that  $T^{[\ell]}/\mathcal{I}_g^{[\ell]}$  contains a cyclic  $\mathcal{O}$ -submodule of order  $\varpi^n$ . Thus, we find that  $T^{[\ell]}/\mathcal{I}_g^{[\ell]} \simeq \mathcal{O}/(\varpi^n)e_1 \oplus \mathcal{O}/(\varpi^r)e_2$  with  $r \leq n$ . Since the residual Galois representation  $\bar{\rho}_f$  is absolutely irreducible, we have an equality

$$\bar{\rho}_f(\mathbb{F}[G_{\mathbf{Q}}]) = \text{End}_{\mathbb{F}}(T_{f,1}) = \text{End}_{\mathcal{O}}(T^{[\ell]}/\mathfrak{m}^{[\ell]}) \quad (\mathbb{F} = \mathcal{O}/(\varpi)).$$

In particular, there exists an element  $h \in \rho_f(\mathcal{O}[G_{\mathbf{Q}}])$  such that

$$he_2 = ae_1 + be_2, \quad a \in \mathcal{O}^\times, \quad b \in \mathcal{O}.$$

This implies that  $\varpi^r e_1 = 0$ , and hence  $r = n$ . □

Let  $\tilde{r}_\ell : J_n^{[\ell]}(K_m) \rightarrow \Phi_{\mathcal{O}}^{[\ell]} \otimes_{\mathcal{O}_n} \Gamma_m$  be the reduction map

$$\tilde{r}_\ell(D) = \sum_{\sigma \in \Gamma_m} r_\ell(\iota_\ell(\sigma(D)))\sigma.$$

**THEOREM 4.5.** (1) *There is an isomorphism*

$$\psi_g : \Phi_{\mathcal{O}}^{[\ell]}/\mathcal{I}_g^{[\ell]} \xrightarrow{\sim} H_{\text{sing}}^1(K_\ell, T_{f,n})$$

*which is canonical up to the choice of an identification of  $T_p(J_n^{[\ell]})/\mathcal{I}_g^{[\ell]}$  with  $T_{f,n}$ .*

(2) *There is a commutative diagram*

$$\begin{CD} J_n^{[\ell]}(K_m)/\mathcal{I}_g^{[\ell]} @>>> H^1(K_m, T_{f,n}) \\ @V \tilde{r}_\ell VV @VV \partial_\ell V \\ \Phi_{\mathcal{O}}^{[\ell]}/\mathcal{I}_g^{[\ell]} \otimes_{\mathcal{O}} \mathcal{O}_n[\Gamma_m] @>\psi_g>> H_{\text{sing}}^1(K_{m,\ell}, T_{f,n}) \end{CD}$$

where the top horizontal map arises from the natural Kummer map, and  $\partial_\ell$  is the residue map. Moreover, there is a similar commutative diagram

$$\begin{CD} \widehat{J}_n^{[\ell]}(K_\infty)/\mathcal{I}_g^{[\ell]} @>>> \widehat{H}^1(K_\infty, T_{f,n}) \\ @V \tilde{r}_\ell VV @VV \partial_\ell V \\ \widehat{\Phi}_{\mathcal{O}}^{[\ell]}/\mathcal{I}_g^{[\ell]} \otimes_{\mathcal{O}} \mathcal{O}_n[\Gamma] @>\psi_g>> \widehat{H}_{\text{sing}}^1(K_{\infty,\ell}, T_{f,n}) \end{CD}$$

*Proof.* This is a direct generalization of [BD05, Corollary 5.18]. See also [Nek12, § 1.7.3]. In part (2), the lower horizontal map is deduced from the map  $\psi_g$  in part (1) by using the identification  $H_{\text{sing}}^1(K_{m,\ell}, T_{f,n}) \cong H_{\text{sing}}^1(K_\ell, T_{f,n}) \otimes_{\mathcal{O}} \mathcal{O}_n[\Gamma_m]$  as in Lemma 1.4.  $\square$

### 4.3 Construction of the cohomology class $\kappa_{\mathcal{D}}(\ell)$

In this section, we associate a cohomology class  $\kappa_{\mathcal{D}}(\ell)$  in the Selmer group  $\widehat{\text{Sel}}_{\Delta\ell}(K_\infty, T_{f,n})$  to an  $n$ -admissible form  $\mathcal{D} = (\Delta, g)$  and an  $n$ -admissible prime  $\ell \nmid \Delta$ .

4.3.1 *Adelic Heegner points.* Fix a decomposition  $N^+ \mathcal{O}_K = \mathfrak{N}^+ \overline{\mathfrak{N}^+}$  once and for all. For each  $q \mid N^+$ , define  $\varsigma_q \in \text{GL}_2(\mathbf{Q}_q)$  by

$$\varsigma_q = \delta^{-1} \begin{pmatrix} \theta & \overline{\theta} \\ 1 & 1 \end{pmatrix} \in \text{GL}_2(K_w) = \text{GL}_2(\mathbf{Q}_q) \quad \text{if } q = w\overline{w} \text{ is split with } w \mid \mathfrak{N}^+. \tag{4.3}$$

For each positive integer  $m$ , we define  $\varsigma_p^{(m)} \in \text{GL}_2(\mathbf{Q}_p)$  as follows. If  $p = \mathfrak{p}\overline{\mathfrak{p}}$  splits in  $K$ , we put

$$\varsigma_p^{(m)} = \begin{pmatrix} \theta & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} p^m & 0 \\ 0 & 1 \end{pmatrix} \in \text{GL}_2(K_{\mathfrak{p}}) = \text{GL}_2(\mathbf{Q}_p). \tag{4.4}$$

If  $p$  is inert in  $K$ , then we put

$$\varsigma_p^{(m)} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} p^m & 0 \\ 0 & 1 \end{pmatrix}. \tag{4.5}$$

We set

$$\varsigma^{(m)} := \varsigma_p^{(m)} \prod_{q \mid N^+} \varsigma_q \in \text{GL}_2(\widehat{\mathbf{Q}}_{(pN^+)}) \simeq \widehat{B}_{(pN^+)}^\times \hookrightarrow \widehat{B}^\times. \tag{4.6}$$

Let  $\mathcal{R}_m = \mathbf{Z} + p^m \mathcal{O}_K$  be the order of  $K$  of conductor  $p^m$ . It is not difficult to verify immediately that

$$(\varsigma^{(m)})^{-1} \widehat{\mathcal{R}}_m^\times \varsigma^{(m)} \subset \mathcal{U}_{N^+, p^n} \quad \text{if } m \geq n. \tag{4.7}$$

We define a map

$$x_m : \text{Pic } \mathcal{R}_m = K^\times \backslash \widehat{K}^\times / \widehat{\mathcal{R}}_m^\times \rightarrow X_B(\mathcal{U}), \quad K^\times a \widehat{\mathcal{R}}_m^\times \mapsto x_m(a) = [a\varsigma^{(m)}]_{\mathcal{U}}.$$

4.3.2 *The construction.* Let  $\mathcal{U}' = \varphi_{B,B'}(\mathcal{U}^{(\ell)})\mathcal{O}_{B_\ell}^\times \subset \widehat{B}'^\times$  and let  $M_n^{[\ell]} = M_{\mathcal{U}'}$  be the Shimura curve of level  $\mathcal{U}'$ . Let  $m$  be a non-negative integer. To each  $a \in \widehat{K}^\times$ , we associate the Heegner point  $P_m(a)$  defined by

$$P_m(a) := [(z', \varphi_{B,B'}(a^{(\ell)}\zeta^{(m)}\tau_n))]_{\mathcal{U}'} \in M_n^{[\ell]}(\mathbf{C}), \tag{4.8}$$

where  $\tau_n \in \widehat{B}_{(pN^+)}^\times$  is the Atkin–Lehner involution defined in § 2.4. Note that the level subgroup  $\mathcal{U}_{N^+,p^n}$  contains the subgroup  $\widehat{\mathbf{Z}}^\times$ , so from (4.7) and Shimura’s reciprocity law (3.11), we deduce that

$$P_m(a) \in M_n^{[\ell]}(H_m) \cap \text{CM}_K^{\ell-ur}(M_n^{[\ell]}) \quad \text{if } m \geq n$$

and that  $P_m(b)^\sigma = P_m(ab)$  for  $\sigma = \text{rec}_K(a) \in G_m = \text{Gal}(H_m/K)$ .

Choose an auxiliary prime  $q_0 \nmid p\ell N^+\Delta$  such that  $1 + q_0 - \alpha_{q_0} \in \mathcal{O}^\times$ . We define

$$\begin{aligned} \xi_{q_0} : \text{Div } M_n^{[\ell]}(H_m) &\rightarrow J_n^{[\ell]}(H_m)_{\mathcal{O}} = J_n^{[\ell]}(H_m) \otimes_{\mathbf{Z}} \mathcal{O}, \\ P &\mapsto \xi_{q_0}(P) = cl((1 + q_0 - T_{q_0})P) \otimes (1 + q_0 - \alpha_{q_0})^{-1}. \end{aligned}$$

Let  $P_m := P_m(1)$ . Define

$$D_m = \sum_{\sigma \in \text{Gal}(H_m/K_m)} \xi_{q_0}(P_m^\sigma) \in J_n^{[\ell]}(K_m)_{\mathcal{O}}.$$

Denote by  $\text{Kum} : J_n^{[\ell]}(H_m) \otimes_{\mathbf{Z}} \mathcal{O} \rightarrow H^1(H_m, T_p(J_n^{[\ell]})_{\mathcal{O}})$  the Kummer map. Define

$$\kappa_{\mathcal{D}}(\ell)_m := \alpha_p(f)^{-m} \cdot \text{Kum}(D_m) \pmod{\mathcal{I}_g^{[\ell]}} \in H^1(K_m, T_p(J_n^{[\ell]})_{\mathcal{O}}/\mathcal{I}_g^{[\ell]}) = H^1(K_m, T_{f,n}).$$

Note that  $\kappa_{\mathcal{D}}(\ell)_m$  is independent of the choice of the auxiliary prime  $q_0$ . The following lemma says that the collection of classes  $\{\kappa_{\mathcal{D}}(\ell)_m\}_m$  form a norm-compatible system.

LEMMA 4.6.  $\text{cor}_{K_{m+1}/K_m}(D_{m+1}) = U_p \cdot D_m$ .

*Proof.* This is a standard fact. For example, see Longo and Vigni [LV11, Proposition 4.8] (their setting is slightly different, but the proof is identical). It is basically a consequence of Shimura’s reciprocity law.  $\square$

Finally, define the cohomology class  $\kappa_{\mathcal{D}}(\ell)$  associated to an  $n$ -admissible form  $\mathcal{D} = (\Delta, g)$  and an  $n$ -admissible prime  $\ell$  by

$$\kappa_{\mathcal{D}}(\ell) = (\kappa_{\mathcal{D}}(\ell)_m)_m \in \widehat{H}^1(K_\infty, T_{f,n}).$$

PROPOSITION 4.7. *The cohomology class  $\kappa_{\mathcal{D}}(\ell)$  belongs to  $\widehat{\text{Sel}}_{\Delta\ell}(K_\infty, T_{f,n})$ .*

*Proof.* This should be well known to experts. We sketch a proof here for the convenience of the reader. We need to show that for each integer  $m \geq n$ :

- (1)  $\partial_q(\kappa_{\mathcal{D}}(\ell)_m) = 0$  for  $q \nmid p\Delta\ell$ ;
- (2)  $\text{res}_q(\kappa_{\mathcal{D}}(\ell)_m) \in H_{\text{ord}}^1(K_{m,q}, T_{f,n})$  for  $q \mid p\ell\Delta$ .

Part (1) follows from the fact that  $J_n^{[\ell]}$  has good reduction at primes  $q \nmid p\Delta\ell N^+$  and Lemma 1.4(1) for  $q \mid N^+$ . If  $q \mid \ell\Delta$ , then part (2) is a standard consequence of the description of the  $q$ -adic uniformization of  $J_n^{[\ell]}$  at toric reduction primes  $q \mid \Delta\ell$ . It remains to show part (2) for  $q = p$ .



Let  $\mathcal{I} = \mathcal{I}_g^{[\ell]}$  and let  $\mathfrak{m}^{[\ell]}$  be the maximal ideal of  $\mathbb{T}^{[\ell]}$  containing  $\mathcal{I}$ . Let  $T = T_p(J_n^{[\ell]})_{\mathcal{O}}$ . Then the localization  $T_{\mathfrak{m}^{[\ell]}}$  at  $\mathfrak{m}^{[\ell]}$  is a direct summand of  $T$ , and we have maps as  $\mathbb{T}^{[\ell]}[G_{\mathbf{Q}}]$ -modules

$$T \rightarrow T_{\mathfrak{m}^{[\ell]}} \rightarrow T_{\mathfrak{m}^{[\ell]}}/\mathcal{I} = T/\mathcal{I} \simeq T_{f,n}.$$

Let  $E$  be the fractional field of  $\mathcal{O}$ . The  $G_{\mathbf{Q}}$ -module  $V_{\mathfrak{m}^{[\ell]}} := T_{\mathfrak{m}^{[\ell]}} \otimes_{\mathcal{O}} E$  is a direct sum of  $p$ -adic Galois representations  $\rho_g \otimes \epsilon$  attached to  $p$ -ordinary elliptic new forms  $g$  of weight two and nebentype  $\epsilon^{-2}$  with  $\rho_g \otimes \epsilon \equiv \rho_f((2 - k)/2) \pmod{\mathfrak{m}_{\mathcal{O}}}$ . In addition, there is an exact sequence as  $\mathbb{T}^{[\ell]}[G_{\mathbf{Q}_p}]$ -modules

$$0 \rightarrow F_p^+ V_{\mathfrak{m}^{[\ell]}} \rightarrow V_{\mathfrak{m}^{[\ell]}} \rightarrow V_{\mathfrak{m}^{[\ell]}}/F_p^+ V_{\mathfrak{m}^{[\ell]}} \rightarrow 0$$

such that the inertia group of  $G_{\mathbf{Q}_p}$  acts on  $F_p^+ V_{\mathfrak{m}^{[\ell]}}$  (respectively  $V_{\mathfrak{m}^{[\ell]}}/F_p^+ V_{\mathfrak{m}^{[\ell]}}$ ) via  $\varepsilon_{\mathbb{T}}\varepsilon$  (respectively  $\varepsilon_{\mathbb{T}}^{-1}$ ), where  $\varepsilon_{\mathbb{T}} : G_{\mathbf{Q}_p} \rightarrow (\mathbb{T}^{[\ell]})^{\times}$ ,  $\sigma \mapsto \langle \varepsilon(\sigma) \rangle$ . Let  $F_p^+ T_{\mathfrak{m}^{[\ell]}} := F_p^+ V_{\mathfrak{m}^{[\ell]}} \cap T_{\mathfrak{m}^{[\ell]}}$ . Then it is not difficult to see that  $F_p^+ T_{\mathfrak{m}^{[\ell]}}/\mathcal{I} \simeq F_p^+ T_{f,n}$  as  $G_{\mathbf{Q}_p}$ -modules. Consider the commutative diagram

$$\begin{CD} H^1(K_{m,p}, T_{\mathfrak{m}^{[\ell]}}) @>\alpha>> H^1(K_{m,p}, T_{\mathfrak{m}^{[\ell]}}/F_p^+ T_{\mathfrak{m}^{[\ell]}}) \\ @V\beta VV @VV\beta^- V \\ H^1(K_{m,p}, V_{\mathfrak{m}^{[\ell]}}) @>\alpha_E>> H^1(K_{m,p}, V_{\mathfrak{m}^{[\ell]}}/F_p^+ V_{\mathfrak{m}^{[\ell]}}) \end{CD}$$

Let  $\kappa(D_m)_{\mathfrak{m}}$  be the image of  $\kappa(D_m)$  in  $H^1(K_{m,p}, T_{\mathfrak{m}^{[\ell]}})$ . To prove the proposition, it suffices to show that  $\alpha(\kappa(D_m)_{\mathfrak{m}}) = 0$ .

By [BK90, Example 3.11],  $\beta(\kappa(D_m)_{\mathfrak{m}})$  belongs to the local Bloch–Kato Selmer group  $H_f^1(K_{m,p}, V_{\mathfrak{m}^{[\ell]}})$ , which in turn implies that  $\kappa(D_m)_{\mathfrak{m}}$  lies in the kernel of the composition  $\alpha_E \circ \beta = \beta^- \circ \alpha$  in view of [Nek06, Proposition 12.5.8]. On the other hand, the map  $\beta^-$  is injective by (PO) (since  $H^0(K_{m,p}, T_{\mathfrak{m}^{[\ell]}}/F_p^+ T_{\mathfrak{m}^{[\ell]}} \otimes_{\mathcal{O}} E/\mathcal{O}) = 0$  in view of Lemma 1.8), so we conclude that  $\alpha(\kappa(D_m)_{\mathfrak{m}}) = 0$ . □

### 5. First and second explicit reciprocity laws

#### 5.1 First explicit reciprocity law

Let  $\mathcal{D} = (\Delta, g)$  be an  $n$ -admissible form. Define

$$\Theta_m(\mathcal{D}) = \alpha_p(f)^{-m} \sum_{[a]_m \in G_m} g(x_m(a))[a]_m \in \mathcal{O}_n[G_m].$$

Here  $[a]_m := \text{rec}_K(a)|_{H_m} \in G_m$  is the map induced by the geometrically normalized reciprocity law. Then  $\Theta_{m-1}(\mathcal{D})$  coincides with the image of  $\Theta_m(\mathcal{D})$  under the natural quotient map  $G_m \rightarrow G_{m-1}$ , since  $g$  is a  $U_p$ -eigenform with eigenvalue  $\alpha_p(f)$ . Let  $\pi_m : G_m \rightarrow \Gamma_m = \text{Gal}(K_m/K)$  be the natural quotient map and let

$$\theta_m(\mathcal{D}) = \pi_m(\Theta_m(\mathcal{D})) \in \mathcal{O}_n[\Gamma_m], \quad \theta_{\infty}(\mathcal{D}) = (\theta_m(\mathcal{D}))_m \in \mathcal{O}_n[[\Gamma]]. \tag{5.1}$$

**THEOREM 5.1** (First explicit reciprocity law). *For  $m \geq n > 0$ , we have*

$$\partial_{\ell}(\kappa_{\mathcal{D}}(\ell)_m) = \theta_m(\mathcal{D}) \in \mathcal{O}_n[\Gamma_m].$$

Therefore,

$$\partial_{\ell}(\kappa_{\mathcal{D}}(\ell)) = \theta_{\infty}(\mathcal{D}) \in \mathcal{O}_n[[\Gamma]].$$

*Proof.* It follows from the commutative diagram (3.9) and (3.12) that

$$\begin{aligned} \psi_g(r_\ell(D_m^\sigma)) &= \sum_{[b]_m \in \text{Gal}(H_m/K_m)} \langle g, x_m(ab)\tau_n \rangle_{\mathcal{U}} = \sum_{[b]_m \in \text{Gal}(H_m/K_m)} g(x_m(ab)) \\ & \quad (\sigma = \pi_m([a]_m) \in \text{Gal}(K_m/K), a \in \widehat{K}^\times). \end{aligned}$$

Therefore, by Theorem 4.5,

$$\begin{aligned} \partial_\ell(\kappa_{\mathcal{D}}(\ell)_m) &= \sum_{\sigma \in \Gamma_m} \psi_g(r_\ell(D_m^\sigma))\sigma \\ &= \sum_{[a]_m \in G_m} g(x_m(a))\pi_m([a]_m) = \theta_m(\mathcal{D}). \end{aligned} \quad \square$$

*Remark.* This equality depends on the choices of the embedding  $\iota_\ell : \bar{\mathbf{Q}} \hookrightarrow \mathbf{C}_\ell$  and the isomorphism  $T_p(J_n^{[\ell]})/\mathcal{I}_g^{[\ell]} \simeq T_{f,n}$ . Different choices result in a unit factor in  $\mathcal{O}[[\Gamma]]$ .

### 5.2 Ihara’s lemma

In this subsection we retain the notation in §3. Ihara’s lemma is the key ingredient in the proof of the second explicit reciprocity law in [BD05]. We recall the following version of Ihara’s lemma due to Diamond and Taylor [DT94, Theorem 2]. Let  $B'$  be an indefinite quaternion algebra of discriminant  $\Delta_{B'}$ . Let  $\mathcal{V}$  be an open compact subgroup  $\mathcal{V}$  of  $\widehat{B}'^\times$ . Let  $M_{\mathcal{V}/\mathbf{C}_p}$  be the associated Shimura curve. For each  $p$ -adic ring  $A$ , let  $\mathcal{F}_k(A)$  be the local system on  $M_{\mathcal{V}/\mathbf{C}_p}$  attached to  $L_k(A)$  and let  $\mathcal{L}_k(\mathcal{V}, A) = H_{\text{ét}}^1(M_{\mathcal{V}/\mathbf{C}_p}, \mathcal{F}_k(A))$ . Then  $\mathcal{L}_2(\mathcal{V}, \mathbb{F}) = H_{\text{ét}}^1(M_{\mathcal{V}/\mathbf{C}_p}, \mathbb{F})$ . If  $q$  is a prime, let  $\mathcal{V}_0(q) = \mathcal{V} \cap R'_q$ , where  $R'_q$  is an Eichler order of level  $\ell$  in  $B'$ . Let  $\ell \nmid p\Delta_{B'}$  be a rational prime. Denote by  $\mathbb{F} = \mathcal{O}/(\varpi)$  the residue field of  $\mathcal{O}$ . Let  $\mathfrak{m}$  be the maximal ideal of  $\mathbb{T}_{B'}(N^+, p^n) \simeq \mathbb{T}_B(\ell N^+, p^n)$  containing the kernel of the ring homomorphism  $\lambda_g^{[\ell]}$  defined below Definition 4.1. Then  $\mathfrak{m}$  is an ordinary and non-Eisenstein maximal ideal.

**THEOREM 5.2** (Ihara’s lemma). *If  $\mathcal{V}$  is maximal at the prime  $p$  and  $\ell$ , then we have an injective map*

$$1 + \eta_\ell : \mathcal{L}_k(\mathcal{V}, \mathbb{F})_{\mathfrak{m}}^{\oplus 2} \hookrightarrow \mathcal{L}_k(\mathcal{V}_0(\ell), \mathbb{F})_{\mathfrak{m}},$$

where  $\eta_\ell$  is the degeneracy map at  $\ell$ .

We will need Ihara’s lemma for the open compact subgroup  $\mathcal{U}'$ . However,  $\mathcal{U}' = \mathcal{U}'_{N^+, p^n}$  is not maximal at  $p$ , so we cannot apply Theorem 5.2 directly.

**LEMMA 5.3.** *Suppose that (PO) holds. Then*

$$\mathcal{L}_k(\mathcal{V}, \mathbb{F})_{\mathfrak{m}} \simeq \mathcal{L}_k(\mathcal{V}_0(p), \mathbb{F})_{\mathfrak{m}}.$$

*Proof.* We first note that the assumption (PO) implies that the injective map

$$\mathcal{L}_k(\mathcal{V}, \mathbf{C}_p)_{\mathfrak{m}} \rightarrow \mathcal{L}_k(\mathcal{V}_0(p), \mathbf{C}_p)_{\mathfrak{m}} \tag{5.2}$$

is an isomorphism. Indeed, by the Eichler–Shimura isomorphism, the cokernel  $C$  of the map (5.2) is two copies of the space of ordinary modular forms on  $B'$  which are new at  $p$  and hence  $U_p^2 - p^{k-2}$  annihilates  $C$ . We thus conclude that the cokernel of the injective map

$$i : \mathcal{L}_k(\mathcal{V}, \mathcal{O})_{\mathfrak{m}} \hookrightarrow \mathcal{L}_k(\mathcal{V}_0(p), \mathcal{O})_{\mathfrak{m}}$$

is torsion. By [DT94, Lemma 4], for a sufficiently small open compact subgroup  $\mathcal{U}$ ,  $\mathcal{L}_k(\mathcal{U}, \mathcal{O})_{\mathfrak{m}}$  is torsion-free and  $\mathcal{L}_k(\mathcal{U}, \mathcal{O})_{\mathfrak{m}} \otimes \mathbb{F} = \mathcal{L}_k(\mathcal{U}, \mathbb{F})_{\mathfrak{m}}$ . This implies that  $i$  is an isomorphism, and so is  $i \otimes \mathbb{F}$ . □

COROLLARY 5.4. Let  $\mathcal{U}' = \mathcal{U}'_{N^+, p^n}$ . Suppose that (PO) holds. Then

$$1 + \eta_\ell : \mathcal{L}_2(\mathcal{U}', \mathbb{F}_m)[P_k]^{\oplus 2} \rightarrow \mathcal{L}_2(\mathcal{U}'_0(\ell), \mathbb{F}_m)$$

is injective.

*Proof.* Let  $R'_{N^+}$  be an Eichler order of level  $N^+$  in  $B'$ . Let  $\mathcal{V} = \widehat{R}'_{N^+ \times}$ . By Hida theory for indefinite quaternion algebras (the case  $q = 1$  in [Hid88, Corollary 8.2 and Proposition 8.3]), we have

$$\mathcal{L}_2(\mathcal{U}', \mathbb{F}_m)[P_k] \simeq \mathcal{L}_k(\mathcal{V}_0(p), \mathbb{F}_m), \quad \mathcal{L}_2(\mathcal{U}'_0(\ell), \mathbb{F}_m)[P_k] \simeq \mathcal{L}_k(\mathcal{V}_0(\ell p), \mathbb{F}_m).$$

Combined with Theorem 5.2 and Lemma 5.3, the corollary follows immediately. □

### 5.3 Second explicit reciprocity law

Let  $\ell_1, \ell_2 \nmid \Delta$  be two  $n$ -admissible primes. Let  $B''$  be the definite quaternion algebra of discriminant  $\Delta_{B''} = \Delta \ell_1 \ell_2$ . Let  $\ell = \ell_2$ . We briefly discuss the reduction of CM points  $P_m \in M_n^{[\ell_1]}(H_m)$  modulo  $\ell$ , where  $M_n^{[\ell_1]}$  is the Shimura curve defined in the same manner in §4.3.2. Let  $\mathcal{M}_n^{[\ell_1]}$  be the Kottwitz model of  $M_n^{[\ell_1]} \otimes_{\mathbf{Q}} K_\ell$  over  $\mathbf{Z}_{\ell^2} = \mathcal{O}_{K_\ell}$ . We recall that, for a  $\mathbf{Z}_{\ell^2}$ -algebra  $R$  and a geometric point  $\bar{s}$  in  $\text{Spec } R$ ,  $\mathcal{M}_n^{[\ell_1]}(R)$  consists of prime-to- $\ell$  isogeny classes of triples  $[(A, \iota, \eta\mathcal{U}')]$ , where:

- $A$  is an abelian surface over  $R$ ;
- $\iota : \mathcal{O}_{B'} \hookrightarrow \text{End } A \otimes \mathbf{Z}_{(\ell)}$  satisfies the Kottwitz determinant condition;
- $\eta : \widehat{B}'^{(\ell)} \simeq V^{(\ell)}(A_{\bar{s}}) = T^{(\ell)}(A_{\bar{s}}) \otimes \mathbf{Q}$  is an isomorphism of  $\mathcal{O}_{B'}$ -modules in the sense that

$$\eta(bx) = \iota(b)\eta(x) \quad \text{for all } b \in \mathcal{O}_{B'},$$

and  $\eta\mathcal{U}' = \{\eta \cdot u \mid u \in \mathcal{U}'\}$  is a  $\mathcal{U}'$ -equivalence class of isomorphisms. Here  $\eta \cdot u(x) := \eta(x\bar{u})$ .

Now let  $E_{/H_0}$  be an elliptic curve with CM by  $\mathcal{O}_K$  defined over the Hilbert class field  $H_0$  of  $K$  and let  $\mathcal{E}$  be the Néron model of  $E \otimes_{H_0, \iota_\ell} K_\ell$  over  $\mathcal{O}_{K_\ell}$ . Let  $\mathcal{A} = \mathcal{E} \times_{\mathcal{O}_{K_\ell}} \mathcal{E}$  and  $\mathcal{A}_s = \mathcal{A} \otimes \mathbb{F}_{\ell^2}$ . Then  $B'' = \text{End}_{B'}^0(\mathcal{A}_s)$  is the commutant of  $B'$  in  $\text{End}^0(\mathcal{A}_s) = M_2(D)$ , where  $D$  is the definite quaternion algebra ramified at  $\ell$  and  $\infty$ . With a suitable endomorphism and level structure  $(\iota_{\mathcal{A}}, \eta_{\mathcal{A}})$  on  $\mathcal{A}$ , the CM point  $P_0 = [z', 1]_{\mathcal{U}'}$  is represented by  $[(\mathcal{A}, \iota_{\mathcal{A}}, \eta_{\mathcal{A}}\mathcal{U}')] \in M_n^{[\ell_1]}(K_\ell) = \mathcal{M}_n^{[\ell_1]}(\mathcal{O}_{K_\ell})$ . Let  $\varphi_{B'', B'} : \widehat{B}''^{(\ell)\times} \simeq \widehat{B}'^{(\ell)\times}$  be the unique isomorphism such that the following diagram commutes.

$$\begin{array}{ccc} \widehat{B}''^{(\ell)\times} & \xrightarrow{\sim} & V^{(\ell)}(\mathcal{A}_{\bar{s}}) \\ \text{right multiplication by } \varphi_{B'', B'}(b'') \downarrow & & \downarrow b'' \\ \widehat{B}'^{(\ell)\times} & \xrightarrow{\sim} & V^{(\ell)}(\mathcal{A}_{\bar{s}}) \end{array}$$

Let  $\mathcal{U}'' = \varphi_{B'', B'}^{-1}(\mathcal{U}'^{(\ell)})\mathcal{O}_{B''}^\times \subset \widehat{B}''^\times$ . Henceforth, we will identify  $\widehat{B}^{(\ell_1)\times} \simeq \widehat{B}''^{(\ell_1)\times}$  via  $\varphi_{B'', B'}^{-1} \circ \varphi_{B, B'}$ .

THEOREM 5.5 (Second explicit reciprocity law). Assume that  $(\text{CR}^+)$  holds. Then there exists an  $n$ -admissible form  $\mathcal{D}'' = (\Delta \ell_1 \ell_2, g'')$  such that

$$v_{\ell_1}(\kappa_{\mathcal{D}}(\ell_2)) = v_{\ell_2}(\kappa_{\mathcal{D}}(\ell_1)) = \theta_\infty(\mathcal{D}'') \in \mathcal{O}_n[\Gamma].$$

*Proof.* Let

$$\gamma : X_{B''}(\mathcal{U}'') = B'' \times \widehat{B}'' \backslash \widehat{B}'' \times \mathcal{U}'' = (B'' \times \cap \mathcal{O}_{B''}^\times) \backslash \widehat{B}''^{(\ell_2)} \times \mathcal{U}''^{(\ell_2)} \longrightarrow \mathcal{M}_n^{[\ell_1]}(\mathbb{F}_{\ell_2^2})$$

be the map identifying  $X_{B''}(\mathcal{U}'')$  with the set of supersingular points in  $\mathcal{M}_n^{[\ell_1]}(\mathbb{F}_{\ell_2^2})$  defined by

$$\begin{aligned} \gamma([b'']_{\mathcal{U}''}) &= [(\mathcal{A}_s, \iota_s, \eta_s \cdot \varphi_{B'', B'}(b'')\mathcal{U}'')] \\ & \quad (b'' \in \widehat{B}''^{(\ell_2)} \times, (\mathcal{A}_s, \iota_s, \eta_s) = (\mathcal{A}, \iota_{\mathcal{A}}, \eta_{\mathcal{A}}) \otimes \mathbb{F}_{\ell_2^2}). \end{aligned}$$

By the definition (4.8) of Heegner points, we have

$$P_m(a) = [(\mathcal{A}, \iota_{\mathcal{A}}, \eta_{\mathcal{A}} \cdot \varphi_{B, B'}(x_m(a)\tau_n)\mathcal{U}')],$$

and hence

$$P_m \pmod{\ell} = \gamma(x_m(a)\tau_n).$$

Let  $\mathcal{J}_n^{[\ell_1]} = \text{Pic}_{\mathcal{M}_n^{[\ell_1]}/\mathbf{Z}_\ell}^0$ . Then  $\gamma$  in turn induces a  $\mathbb{T}_{B''}^{(\ell_2)}(N^+, p^n)$ -module (via Picard functoriality) map

$$\gamma_* : \mathbf{Z}[X_{B''}(\mathcal{U}'')] \rightarrow \mathcal{J}_n^{[\ell_1]}(\mathbb{F}_{\ell_2^2}) \mathcal{O} / \mathcal{I}_g^{[\ell_1]}, \quad x \mapsto \xi_{q_0}(\gamma(x)) = cl((T_{q_0} - q_0 - 1)\gamma(x)) \otimes (\alpha_{q_0} - 1 - q)^{-1}.$$

By a result of Ihara [Iha99, Remark G, p. 19] and Ihara’s lemma (Corollary 5.4),  $\gamma_*$  is indeed surjective. Therefore, we obtain a surjective map

$$\gamma_* : \mathbf{Z}[X_{B''}(\mathcal{U}'')] \twoheadrightarrow \mathcal{J}_n^{[\ell_1]}(\mathbb{F}_{\ell_2^2}) \mathcal{O} / \mathcal{I}_g^{[\ell_1]} \twoheadrightarrow H_{\text{fin}}^1(K_{\ell_2}, T_{f,n}) \simeq \mathcal{O}_n.$$

Therefore,  $\gamma_*$  gives rise to a unique modular form  $g'' \in \mathcal{S}^{B''}(\mathcal{U}'', \mathcal{O}_n)$  such that  $\gamma_*(h) = \langle h, g'' \rangle_{\mathcal{U}''}$  for all  $h \in \mathbf{Z}[X_{B''}(\mathcal{U}'')] via the identification (2.13). By definition,  $g''$  is an eigenform of  $\mathbb{T}_{B''}^{(\ell_1)}(N^+, p^n)$ . Moreover, by [BD05, Lemma 9.1],  $g''$  is an eigenform of the  $U_{\ell_2}$ -operator with eigenvalue  $\epsilon_{\ell_2}$ . Define an  $\mathcal{O}$ -algebra homomorphism  $\lambda_f^{[\ell_1 \ell_2]} : \mathbb{T}_{B''}(N^+, p^n)_{\mathcal{O}} \rightarrow \mathcal{O}_n$  by  $t \mapsto \lambda_f(t)$  if  $t \in \mathbb{T}_{B''}^{(\ell_1 \ell_2)}(N^+, p^n)$ ,  $U_{\ell_1} \mapsto \epsilon_{\ell_1}$  and  $U_{\ell_2} \mapsto \epsilon_{\ell_2}$ . Then we conclude that  $g''$  is an eigenform of  $\mathbb{T}_{B''}(N^+, p^n)$  such that  $t \cdot g'' = \lambda_f^{[\ell_1 \ell_2]}(t)g''$ . Such an eigenform is unique up to  $\mathcal{O}^\times$ , since  $\mathcal{S}^{B''}(\mathcal{U}'', \mathcal{O})_{\mathfrak{m}'}$  is a cyclic  $\mathbb{T}_{B''}(N^+, p^n)_{\mathcal{O}}$ -module by [CH12, Proposition 6.8]. By definition, we verify that$

$$\begin{aligned} v_{\ell_2}(\kappa_{\mathcal{D}}(\ell_1)_m) &= \sum_{[a]_m \in G_m} \gamma_*(x_m(a)\tau_n)\pi([a]_m) \\ &= \sum_{[a]_m \in G_m} \langle x_m(a)\tau_n, g'' \rangle_{\mathcal{U}''}\pi([a]_m) \\ &= \sum_{[a]_m \in G_m} g''(x_m(a))\pi([a]_m). \end{aligned}$$

The rest of the assertions follow from the discussion in [BD05, pp. 61–62]. □

**6. Euler system argument**

**6.1 Preliminaries for Euler system argument**

In this subsection we assume (Irr), (PO) and (CR+3). Let  $H = \bar{\rho}_f^*(G_{\mathbf{Q}}) \subset \text{GL}_2(\bar{\mathbb{F}}_p)$  be the image of the residual Galois representation  $\bar{\rho}_f^* = \rho_f((2 - k)/2) \pmod{\varpi}$ .

LEMMA 6.1. *The group  $H$  contains the scalar matrix  $-1$ .*

*Proof.* Let  $Z$  be the centre of  $H$ . By (Irr),  $Z$  must be contained in the group  $\bar{\mathbb{F}}_p^\times$  of scalar matrices. If  $p \mid \#H$ , then it is well known that  $H$  contains a conjugate of  $\text{SL}_2(\mathbb{F}_p)$  (cf. [Rib97, Corollary 2.3]), and hence  $-1 \in H$ . Assume that  $H$  has prime-to- $p$  order; then  $H/Z$  must be cyclic, dihedral, or the three exceptional groups  $S_4, A_4, A_5$ . By (Irr),  $H/Z$  cannot be cyclic. Let  $I_p$  be the image of an inertia group at the prime  $p$  in  $H/Z$ , which is a cyclic group of order greater than 5 by the assumption  $\#(\mathbb{F}_p^\times)^{k-1} > 5$  in (CR+1). This excludes the possibility of  $H/Z$  being the other three exceptional groups. Therefore,  $H/Z$  is dihedral and  $I_p$  is contained in the cyclic group of even order ( $k - 1$  is odd). This implies that the centre of  $H/Z$  is of order two or four, from which it is easy to deduce that  $Z$  contains an element of order two.  $\square$

LEMMA 6.2. *There exists an element  $h \in H$  such that  $\text{Tr}(h) = \det(h) + 1$  with  $\det(h) \neq \pm 1 \in \mathbb{F}_p$ .*

*Proof.* If  $p$  does not divide  $\ell^2 - 1$  for some  $\ell \mid N^-$ , then we can take  $h$  to be the Frobenius  $\text{Frob}_\ell$ . Otherwise,  $\bar{\rho}_f$  is ramified at at least one prime  $\ell \mid N^-$  by (CR+3), so  $H$  contains the image of an inertia group at  $\ell$  whose order is divisible by  $p$ . We will show by arguments in [Rib97] that  $H$  contains a conjugate of  $\text{GL}_2(\mathbb{F}_p)$ , and the lemma follows immediately. Since  $p \mid \#H$  and  $H$  is irreducible, we may assume  $H/Z \subset \text{PGL}_2(F) \subset \text{PGL}_2(\bar{\mathbb{F}}_p)$  and  $H$  contains the group  $\text{PSL}_2(F)$  for some finite extension  $F/\mathbb{F}_p$  by a well-known result of Dickson. In other words,  $H \subset \bar{\mathbb{F}}_p^\times \text{GL}_2(F)$  and  $\text{SL}_2(F) \subset H\bar{\mathbb{F}}_p^\times$ . In particular,  $H$  contains the commutator  $[H, H] \supset [\text{SL}_2(F), \text{SL}_2(F)] = \text{SL}_2(F)$ . Let  $C := H \cap \text{GL}_2(F)$  be a normal subgroup of  $H$ . Then  $\text{SL}_2(F) \subset C \subset \text{GL}_2(F)$ . Let  $\gamma \in H$  be a generator of the image of an inertia group  $I_p$  at the prime  $p$ . Then  $\det \gamma = u$  with  $u$  a generator of  $\mathbb{F}_p^\times$ , and the trace  $\text{Tr}(\sigma) = u^{k/2} + u^{1-(k/2)} \in \mathbb{F}_p^\times$  is non-zero as  $\#(\mathbb{F}_p^\times)^{k-1} > 2$ . On the other hand, write  $\gamma = \gamma_0\lambda$  with  $\gamma_0 \in \text{GL}_2(F)$  and  $\lambda \in \bar{\mathbb{F}}_p^\times$ . Then  $\lambda = \text{Tr}(\gamma_0)/\text{Tr}(\gamma) \in F^\times$ . We find that  $\gamma \in C$  and  $\det C = \mathbb{F}_p^\times$ . From this analysis, we conclude that

$$C = \{h \in \text{GL}_2(F) \mid \det h \in \mathbb{F}_p^\times\} \subset H$$

and  $H$  contains  $\text{GL}_2(\mathbb{F}_p)$ . This completes the proof.  $\square$

THEOREM 6.3. *Let  $s \leq n$  be positive integers. Let  $\kappa$  be a non-zero element in  $H^1(K, A_{f,s})$ . Then there exist infinitely many  $n$ -admissible primes  $\ell$  such that  $\partial_\ell(\kappa) = 0$  and the map*

$$v_\ell : \langle \kappa \rangle \rightarrow H_{\text{fin}}^1(K_\ell, A_{f,s})$$

*is injective, where  $\langle \kappa \rangle$  is the  $\mathcal{O}$ -submodule of  $H^1(K, A_{f,s})$  generated by  $\kappa$ .*

*Proof.* We follow the proof of [BD05, Theorem 3.2] with some modification. Suppose that  $\langle \kappa \rangle \simeq \mathcal{O}/\varpi^r\mathcal{O}$ . Since the map  $H_{\text{fin}}^1(K_\ell, A_{f,1}) \rightarrow H_{\text{fin}}^1(K_\ell, A_{f,s})$  induced by the inclusion is injective for all  $n$ -admissible primes  $\ell$ , replacing  $\kappa$  by  $\varpi^{r-1}\kappa$ , we may assume  $s = 1$  and  $\kappa \in H^1(K, A_{f,1})$ . Let  $F_n = \bar{\mathbf{Q}}^{\text{Ker}\rho_n}$  be the finite extension cut out by  $\rho_n := \rho_f^* \pmod{\varpi^n} : G_{\mathbf{Q}} \rightarrow \text{Aut}_{\mathcal{O}}(A_{f,n}) = \text{GL}_2(\mathcal{O}_n)$ . Since  $\rho_n$  is unramified outside  $Np$  and  $(D_K, Np) = 1$ ,  $K$  and  $F_n$  are linearly disjoint. Put  $M = KF$ . Let  $\tau$  be the non-trivial element in  $\text{Gal}(K/\mathbf{Q})$ . Then  $\text{Gal}(M/\mathbf{Q}) = \text{Gal}(K/\mathbf{Q}) \times \text{Gal}(F_n/\mathbf{Q})$  can be identified with the subgroup of  $\langle \tau \rangle \times \text{Aut}_{\mathcal{O}}(A_{f,n})$ . Therefore we may write

an element of  $\text{Gal}(M/\mathbf{Q})$  as a pair  $(\tau^j, \sigma)$  with  $j \in \{0, 1\}$  and  $\sigma \in \text{Aut}_{\mathcal{O}}(A_{f,n})$ . By Lemma 6.1 the image  $H$  contains the scalar matrix  $-1$ , which in turn implies that  $\rho_n(\text{Gal}(F_n/\mathbf{Q}))$  also contains the scalar matrix  $-1$ . It follows that  $H^1(M/K, A_{f,n}) = H^1(F/\mathbf{Q}, A_{f,n}) = 0$  [BD94, Lemma 2.13]. Therefore, the restriction map  $H^1(K, A_{f,1}) \rightarrow H^1(M, A_{f,1}) = \text{Hom}(G_M, A_{f,1})$  is injective. Let  $M_\kappa$  be the (non-trivial) extension on  $M$  cut out by the image  $\bar{\kappa}$  of  $\kappa$  under the restriction to  $H^1(M, A_{f,1}) = \text{Hom}(G_M, A_{f,1})$ . Let  $C_\kappa := \bar{\kappa}(\text{Gal}(M_\kappa/M)) \subset A_{f,1}$  be an  $\mathbb{F}_p[\text{Gal}(M/\mathbf{Q})]$ -submodule, and  $\dim_{\mathbb{F}_p} C_\kappa = 2$  by (Irr).

Assume without loss of generality that  $\kappa$  belongs to an eigenspace for the action of  $\tau$  so that  $\tau\kappa = \delta\kappa$  for some  $\delta \in \{\pm 1\}$ . Under this assumption, the extension  $M_\kappa/\mathbf{Q}$  is Galois. Moreover,  $\text{Gal}(M_\kappa/\mathbf{Q})$  is identified with the group  $A_\kappa \rtimes \text{Gal}(M/\mathbf{Q})$ , where  $\text{Gal}(M/\mathbf{Q})$  acts on  $A_\kappa$  by the rule  $(\tau^j, \sigma)(v) = \delta^j \rho_1(\sigma)v$  for  $(\tau^j, \sigma) \in \text{Gal}(M/\mathbf{Q})$  and  $v \in A_\kappa$ . As  $-1 \in \rho_n(\text{Gal}(F_n/\mathbf{Q}))$ , it follows from Lemma 6.2 that we can choose a triple  $(v, \tau, \sigma)$  as an element of  $\text{Gal}(M_\kappa/\mathbf{Q})$  such that:

- (i)  $\rho_n(\sigma) \in \text{GL}_2(\mathcal{O}_n)$  has eigenvalues  $\delta (= \pm 1)$  and  $\lambda$ , where  $\lambda \in (\mathbf{Z}/p^n\mathbf{Z})^\times$  is not equal to  $\pm 1 \pmod{p^n}$  and the order of  $\lambda$  is prime to  $p$ ;
- (ii) the element  $v \neq 0 \in A_\kappa$  and belongs to the  $\delta$ -eigenspace for  $\sigma$ .

By the Chebotarev density theorem, there exist infinitely many primes  $\ell$  with  $\ell \nmid N$  such that  $\ell$  is unramified in  $M_\kappa/\mathbf{Q}$  and satisfies

$$\text{Frob}_\ell(M_\kappa/\mathbf{Q}) = (v, \tau, \sigma).$$

Then  $\text{Frob}_\ell(M/\mathbf{Q}) = (\tau, \sigma)$  implies that  $\ell$  is  $n$ -admissible. For each prime  $\mathfrak{l}$  of  $M$  above  $\ell$ , let  $d$  be the (even) degree of the residue field corresponding to  $\mathfrak{l}$ . Then we have

$$\text{Frob}_\mathfrak{l}(M_\kappa/M) = (v, \tau, \sigma)^d = v + \delta\sigma v + \sigma^2 v + \dots + \delta\sigma^{d-1} v = dv.$$

Since  $d$  is prime to  $p$ ,  $\bar{\kappa}(\text{Frob}_\mathfrak{l}(M_\kappa/M)) = d\bar{\kappa}(v) \neq 0$  and hence  $v_\ell(\kappa) = (\bar{\kappa}(\text{Frob}_\mathfrak{l}(M_\kappa/M)))_{|\mathfrak{l}} \neq 0$ . This finishes the proof. □

Let  $\Delta$  be a square-free integer such that  $\Delta/N^-$  is a product of  $n$ -admissible primes.

DEFINITION 6.4 ( $n$ -admissible set). A finite set  $S$  of primes is said to be  $n$ -admissible for  $f$  if:

- (1) all  $\ell \in S$  are  $n$ -admissible for  $f$ ;
- (2) the map  $\text{Sel}_\Delta(K, T_{f,n}) \rightarrow \bigoplus_{\ell \in S} H_{\text{fin}}^1(K_\ell, T_{f,n})$  is injective.

PROPOSITION 6.5. Any finite collection of  $n$ -admissible primes can be enlarged to an  $n$ -admissible set.

*Proof.* This is a simple application of Theorem 6.3. □

### 6.2 Control theorem (II)

Let  $S$  be an  $n$ -admissible set for  $f$ . In this subsection we prove control theorems for the compact Selmer group  $\widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n})$ . We begin with some preparations. Let  $L/K$  be a finite extension in  $K_\infty$ .

LEMMA 6.6. The natural map

$$\text{Sel}_\Delta(L, T_{f,n}) \rightarrow \bigoplus_{\ell \in S} H_{\text{fin}}^1(L_\ell, T_{f,n})$$

is injective.

*Proof.* Let  $C$  be the kernel of the map  $\text{Sel}_\Delta(L, T_{f,n}) \rightarrow \bigoplus_{\ell \in S} H_{\text{fin}}^1(L_\ell, T_{f,n})$ . If  $C$  is non-zero, then there exists a non-trivial element  $\kappa$  in  $C$  fixed by  $\text{Gal}(L/K)$  as  $\text{Gal}(L/K)$  is a  $p$ -group. Thus,  $\kappa$  belongs to  $\text{Sel}_\Delta(K, A_{f,n})$  by Proposition 1.9, and the image of  $\kappa$  under the map  $\text{Sel}_\Delta(K, T_{f,n}) \rightarrow \bigoplus_{\ell \in S} H_{\text{fin}}^1(K_\ell, T_{f,n})$  is zero. This contradicts the definition of  $n$ -admissible sets.  $\square$

LEMMA 6.7. *We have an exact sequence*

$$0 \rightarrow \text{Sel}_\Delta(L, T_{f,n}) \rightarrow \text{Sel}_\Delta^S(L, T_{f,n}) \rightarrow \bigoplus_{\ell \in S} H_{\text{sing}}^1(L_\ell, T_{f,n}) \rightarrow \text{Sel}_\Delta(L, T_{f,n})^\vee \rightarrow 0.$$

*Proof.* We have seen in Propositions 1.6 and 1.7 that the local conditions defining the Selmer group  $\text{Sel}_\Delta(L, T_{f,n})$  and  $\text{Sel}_\Delta(L, A_{f,n})$  are orthogonal complements of each other. Therefore, by Poitou–Tate duality (cf. [Rub00, Theorem 1.7.3]) we have an exact sequence

$$0 \rightarrow \text{Sel}_\Delta(L, T_{f,n}) \rightarrow \text{Sel}_\Delta^S(L, T_{f,n}) \rightarrow \bigoplus_{\ell \in S} H_{\text{sing}}^1(L_\ell, T_{f,n}) \rightarrow \text{Sel}_\Delta(L, A_{f,n})^\vee.$$

The last map is indeed surjective by Lemma 6.6.  $\square$

PROPOSITION 6.8. *The  $\mathcal{O}_n[\text{Gal}(L/K)]$ -module  $\text{Sel}_\Delta^S(L, T_{f,n})$  is free of rank  $\#S$ .*

*Proof.* This is a direct generalization of the proof of [BD94, Theorem 3.2] after we replace [BD94, Lemmas 2.19 and 3.1] with Proposition 1.9 and Lemma 6.7, respectively.  $\square$

*Remark.* If  $f$  is a new form attached to an elliptic curve  $E$  over  $\mathbf{Q}$ , the assumption (PO) implies that  $\#E(k_v)$  is prime to  $p$  for all places  $v$  in  $K$  above  $p$ , where  $k_v$  is the residue field of  $K_v$ , i.e.  $p$  is anomalous for  $E$ .

COROLLARY 6.9. *If  $S$  is an  $n$ -admissible set for  $f$ , then:*

- (1) *the natural map  $\widehat{\text{Sel}}_\Delta(K_\infty, T_{f,n}) \rightarrow \text{Sel}(K_m, T_{f,n})$  is surjective;*
- (2)  *$\widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n})$  is free of rank  $\#S$  over  $\Lambda/\varpi^n \Lambda$ .*

*Proof.* Part (2) is an easy consequence of part (1) and Proposition 6.8. Note that Proposition 6.8 implies that the corestriction map  $\text{cor}_m : \text{Sel}_\Delta^S(K_{m+1}, T_{f,n}) \rightarrow \text{Sel}_\Delta^S(K_m, T_{f,n})$  is surjective for all  $m$  by a cardinality consideration. Part (1) follows.  $\square$

PROPOSITION 6.10. *If  $S$  is an  $n$ -admissible set, then we have isomorphisms*

$$\widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n})/\mathfrak{m}_\Lambda \simeq \widehat{\text{Sel}}_\Delta^S(K, T_{f,1}), \quad \widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n})/\varpi \Lambda \simeq \widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,1}).$$

*Proof.* This is a consequence of the combination of Proposition 6.8 and Corollary 6.9.  $\square$

### 6.3 Divisibility

6.3.1 *Notation.* Let  $\varphi : \Lambda \rightarrow \mathcal{O}_\varphi$  be an  $\mathcal{O}$ -algebra homomorphism, where  $\mathcal{O}_\varphi$  is a discrete valuation ring of characteristic 0. Let  $\varpi_\varphi$  be a uniformizer of  $\mathcal{O}_\varphi$  and  $\mathfrak{m}_\varphi$  the maximal ideal of  $\mathcal{O}_\varphi$ . If  $M$  is a finitely generated  $\mathcal{O}_\varphi$ -module, for each  $x \in M$  we define

$$\text{ord}_{\varpi_\varphi}(x) := \sup\{m \in \mathbf{Z}_{\geq 0} \mid x \in \varpi_\varphi^m M\}.$$

It is clear that  $x = 0$  if and only if  $\text{ord}_{\varpi_\varphi}(x) = \infty$ . If  $M$  is a  $\Lambda$ -module, we let  $M \otimes_\varphi \mathcal{O}_\varphi := M \otimes_{\Lambda, \varphi} \mathcal{O}_\varphi$ .



6.3.2 *Proof of the main theorem.* For a finitely generated  $\Lambda$ -module  $M$ , we denote the characteristic ideal attached to  $M$  by  $\text{char}_\Lambda(M)$ . Recall the following result of Bertolini and Darmon [BD05, Proposition 3.1].

LEMMA 6.11. *Let  $M$  be a finitely generated  $\Lambda$ -module and  $L$  be an element of  $\Lambda$ . Suppose that for any homomorphism  $\varphi : \Lambda \rightarrow \mathcal{O}_\varphi$ ,*

$$\text{length}_{\mathcal{O}_\varphi}(M \otimes_\varphi \mathcal{O}_\varphi) \leq \text{ord}_{\varpi_\varphi}(\varphi(L)).$$

Then  $L \in \text{char}_\Lambda(M)$ .

Let  $n$  be a positive integer and let  $\Delta > 1$  be a square-free product of an odd number of prime factors which satisfies Definition 4.1(1). For each  $n$ -admissible form  $\mathcal{D} = (\Delta, f_n)$  as in Definition 4.1, we define two non-negative integers:

$$\begin{aligned} s_{\mathcal{D}} &= \text{length}_{\mathcal{O}_\varphi} \text{Sel}_\Delta(K_\infty, A_{f,n})^\vee \otimes_\varphi \mathcal{O}_\varphi; \\ t_{\mathcal{D}} &= \text{ord}_{\varpi_\varphi} \varphi(\theta_\infty(\mathcal{D})) \quad (\varphi(\theta_\infty(\mathcal{D})) \in \mathcal{O}_n[\Gamma] \otimes_\varphi \mathcal{O}_\varphi = \mathcal{O}_\varphi/(\varpi_\varphi^n)). \end{aligned}$$

The following key proposition is the analogue of [PW11, Proposition 4.3]. The proof will be given in § 6.4.

PROPOSITION 6.12. *Assume that (CR<sup>+</sup>) and (PO) hold. Let  $t^* \leq n$  be a non-negative integer. Let  $\mathcal{D}_{t^*} = (\Delta, f_{n+t^*})$  be an  $(n + t^*)$ -admissible form and let  $\mathcal{D}_0 = \mathcal{D}_{t^*} \pmod{\varpi^n} := (\Delta, f_{n+t^*} \pmod{\varpi^n})$  be an  $n$ -admissible form. Suppose that  $t_{\mathcal{D}_0} \leq t^*$ . Then we have  $s_{\mathcal{D}_0} \leq 2t_{\mathcal{D}_0}$ .*

Note that if  $\mathcal{D} = (\Delta, f_{n+t^*})$  is an  $(n + t^*)$ -admissible form, then  $\mathcal{D} \pmod{\varpi^n} = (\Delta, f_{n+t^*} \pmod{\varpi^n})$  is an  $n$ -admissible form.

Let  $\pi$  be the unitary cuspidal automorphic representation of  $\text{GL}_2(\mathbf{A})$  attached to the new form  $f$ . Let

$$\theta_\infty := \Theta_\infty(\pi, \mathbf{1}) \in \Lambda$$

be the theta element with trivial branch character defined in [CH12, p. 21].

PROPOSITION 6.13. *If  $\Delta = N^-$ , there exists an  $n$ -admissible form  $\mathcal{D}_n^f = (N^-, \mathbf{f}_n^{\dagger, [k-2]})$  such that*

$$\theta(\mathcal{D}_n^f) \equiv \theta_\infty \pmod{\varpi^n}.$$

*Proof.* Let  $\Delta = N^-$  and let  $B$  be the definite quaternion algebra of absolute discriminant  $N^-$ . Let  $\lambda_f^\circ : \mathbb{T}_B(N^+) \rightarrow \mathcal{O}$  be the ring homomorphism defined by  $\lambda_f^\circ(T_q) = \alpha_q(f)$ ,  $\lambda_f^\circ(S_q) = 1$  if  $q \nmid N$  and  $\lambda_f^\circ(U_q) = \alpha_q(f)$  if  $q \mid N$ . By the Jacquet–Langlands correspondence, there exists a modular form  $\mathbf{f} \in \mathcal{S}_k^B(\widehat{R}_{N^+}^\times, \mathcal{O})$  such that  $\mathbf{f} \not\equiv 0 \pmod{\varpi}$  and  $\mathbf{f}$  is an eigenform of  $\mathbb{T}_B(N^+)$  and  $t\mathbf{f} = \lambda_f^\circ(t)\mathbf{f}$  for all  $t \in \mathbb{T}_B(N^+)$ . Let  $\mathbf{f}^\dagger \in \mathcal{S}_k^B(\widehat{R}_{pN^+}^\times, \mathcal{O})$  be the  $p$ -stabilization of  $\mathbf{f}$  defined by

$$\mathbf{f}^\dagger(b) = \mathbf{f}(b) - p^{(k-2)/2} A_p^{-1} \cdot \mathbf{f} \left( b \begin{pmatrix} p^{-1} & 0 \\ 0 & 1 \end{pmatrix} \right).$$

The theta element  $\theta_\infty$  is essentially constructed from the evaluation of  $\mathbf{f}^\dagger$  at Gross points (see [CH12, Definition 4.1]). Define  $\mathbf{f}_n^{\dagger, [k-2]} \in \mathcal{S}^B(\mathcal{U}_{N^+, p^n}, \mathcal{O}_n)$  by

$$\mathbf{f}_n^{\dagger, [k-2]}(b) := \sqrt{\beta}^{-(2-k)/2} \cdot \langle X^{k-2}, \mathbf{f}^\dagger(b) \rangle_k \pmod{\varpi^n}.$$

Following the argument in the proof of [CH12, Theorem 5.7], one can show that  $\mathbf{f}_n^{\dagger, [k-2]} \not\equiv 0 \pmod{\varpi}$ , and  $\mathcal{D}_n^f := (N^-, \mathbf{f}_n^{\dagger, [k-2]})$  is the desired  $n$ -admissible form. □

We deduce our main theorem (Theorem 1) from the above propositions.

**THEOREM 6.14.** *With the hypotheses (CR<sup>+</sup>) and (PO), we have*

$$\text{char}_\Lambda \text{Sel}(K_\infty, A_f) \supset (L_p(K_\infty, f)).$$

*Proof.* Let  $\varphi : \Lambda \rightarrow \mathcal{O}_\varphi$  be an  $\mathcal{O}$ -algebra homomorphism. If  $\varphi(L_p(K_\infty, f)) = 0$ , then  $\varphi(L_p(K_\infty, f))$  clearly belongs to  $\text{Fitt}_{\mathcal{O}_\varphi}(\text{Sel}(K_\infty, A_f)^\vee \otimes_\Lambda \mathcal{O}_\varphi)$ . Therefore we may assume that  $\varphi(L_p(K_\infty, f)) \neq 0$ . Choose  $t^*$  larger than the  $\mathcal{O}_\varphi$ -valuation of  $\varphi(L_p(K_\infty, f))$ . For each positive integer  $n$ , consider the  $(n+t^*)$ -admissible form  $\mathcal{D}_{n+t^*}^f = (N^-, \mathbf{f}_{n+t^*}^{\dagger, [k-2]})$  in Proposition 6.13. Applying Proposition 6.12 to  $\mathcal{D}_{n+t^*}^f$  and  $D_n^f = \mathcal{D}_{n+t^*}^f \pmod{\varpi^n}$ , we find that  $\varphi(L_p(K_\infty, f)) \pmod{\varpi^n} = \varphi(\theta_\infty(\mathcal{D}_n^f)^2)$  belongs to  $\text{Fitt}_{\mathcal{O}_\varphi}(\text{Sel}_{N^-}(K_\infty, A_{f,n})^\vee \otimes_\varphi \mathcal{O}_\varphi)$  for all  $\varphi$  and  $n$ . By Lemma 6.11,  $L_p(K_\infty, f)$  belongs to  $\bigcap_{n=1}^\infty \text{Fitt}_\Lambda \text{Sel}_{N^-}(K_\infty, A_{f,n})^\vee = \text{Fitt}_\Lambda \text{Sel}_{N^-}(K_\infty, A_f)^\vee$ . By [Wat03, CH12],  $L_p(K_\infty, f) \neq 0$ , so  $\text{Sel}_{N^-}(K_\infty, A_f)$  is  $\Lambda$ -cotorsion. The theorem thus follows from Proposition 1.3.  $\square$

**COROLLARY 6.15.** *With the hypotheses (CR<sup>+</sup>) and (PO), if the central  $L$ -value  $L(f/K, k/2)$  is non-zero, then the minimal Selmer group  $\text{Sel}(K, A_f)$  is finite. Assume, further, that  $\bar{\rho}_f$  is ramified at all  $\ell \mid N^-$ . Then*

$$\text{length}_{\mathcal{O}}(\text{Sel}(K, A_f)) + \sum_{\ell \mid N^+} t_f(\ell) \leq \text{ord}_\varpi \left( \frac{L(f/K, k/2)}{\Omega_f} \right),$$

where  $t_f(\ell)$  is the Tamagawa exponent at  $\ell$ .

*Proof.* Note that  $H_{\text{ord}}^1(K_\ell, A_f) = \{0\}$  for  $\ell \mid N^-$ , so we have an exact sequence

$$0 \rightarrow \text{Sel}(K, A_f) \rightarrow \text{Sel}_{N^-}(K, A_f) \xrightarrow{\gamma} \prod_{\ell \mid N^+} H_{\text{fin}}^1(K_\ell, A_f).$$

By the interpolation formula (0.2) of  $L_p(K_\infty, f)$  at the trivial character  $\mathbf{1}$  and the fact that  $e_p(f, \mathbf{1})$  is a  $p$ -adic unit under (PO), we find that

$$\mathbf{1}(L_p(K_\infty, f)) = u \cdot \frac{L(f/K, k/2)}{\Omega_f} \quad \text{for some } u \in \mathcal{O}^\times.$$

By Proposition 1.9 and Theorem 6.14,

$$\text{length}_{\mathcal{O}}(\text{Sel}_{N^-}(K, A_f)) = \text{ord}_\varpi \left( \frac{L(f/K, k/2)}{\Omega_f} \right) < \infty.$$

In particular,  $\text{Sel}_{N^-}(K, A_f)$  is finite, and by [Gre99, Proposition 4.13] the map  $\gamma$  is surjective. Therefore,

$$\begin{aligned} \text{length}_{\mathcal{O}}(\text{Sel}_{N^-}(K, A_f)) &= \text{length}_{\mathcal{O}}(\text{Sel}(K, A_f)) + \sum_{\ell \mid N^+} \text{length}_{\mathcal{O}}(H_{\text{fin}}^1(K_\ell, A_f)) \\ &= \text{length}_{\mathcal{O}}(\text{Sel}(K, A_f)) + \sum_{\ell \mid N^+} t_f(\ell). \end{aligned}$$

This finishes the proof.  $\square$

**6.4 Proof of Proposition 6.12**

We will prove Proposition 6.12 by induction on  $t_{\mathcal{D}_0}$ . If  $t_{\mathcal{D}_0} = \infty$  or  $s_{\mathcal{D}_0} = 0$  then  $s_{\mathcal{D}_0} \leq 2t_{\mathcal{D}_0}$  holds trivially. Therefore, without loss of generality, we may assume that:

- $t_{\mathcal{D}_0} < \infty \iff \varphi(\theta_\infty(\mathcal{D}_0)) \neq 0$ ;
- $s_{\mathcal{D}_0} > 0 \iff \text{Sel}_\Delta(K_\infty, A_{f,n}) \otimes_\varphi \mathcal{O}_\varphi$  is non-trivial.

We write  $t = t_{\mathcal{D}_0}$ . Consider the  $(n + t)$ -admissible form

$$\mathcal{D} := (\Delta, f_{n+t^*} \pmod{\varpi^{n+t}}).$$

Let  $\ell$  be an  $(n + t)$ -admissible prime which does not divide  $\Delta$ . Enlarge  $\{\ell\}$  to an  $(n + t)$ -admissible set  $S$  with  $(S, \Delta) = 1$  and let

$$\kappa_{\mathcal{D}}(\ell) \in \widehat{\text{Sel}}_{\Delta\ell}(K_\infty, T_{f,n+t}) \subset \widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n+t})$$

be the cohomology class attached to  $\mathcal{D}$  and  $\ell$  constructed in § 4.3. By Corollary 6.9, the module  $\mathcal{M}_n := \widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n}) \otimes_\varphi \mathcal{O}_\varphi$  is free over  $\mathcal{O}_\varphi/\varphi(\varpi^n)\mathcal{O}_\varphi$  for all  $n$ . Denote by  $\kappa_{\mathcal{D},\varphi}(\ell)$  the image of  $\kappa_{\mathcal{D}}(\ell)$  in  $\mathcal{M}_{n+t}$  and let

$$e_{\mathcal{D}}(\ell) := \text{ord}_{\varpi_\varphi}(\kappa_{\mathcal{D},\varphi}(\ell))$$

(which definition also depends on an auxiliary choice of  $S$ ). It follows from Theorem 5.1 that

$$e_{\mathcal{D}}(\ell) \leq \text{ord}_{\varpi_\varphi}(\partial_\ell \kappa_{\mathcal{D},\varphi}(\ell)) = \text{ord}_{\varpi_\varphi}(\varphi(\theta_\infty(\mathcal{D}))) = \text{ord}_{\varpi_\varphi}(\varphi(\theta_\infty(\mathcal{D}_0))) = t.$$

Choose an element  $\tilde{\kappa}_{\mathcal{D},\varphi}(\ell) \in \mathcal{M}_{n+t}$  which satisfies  $\varpi_\varphi^{e_{\mathcal{D}}(\ell)} \cdot \tilde{\kappa}_{\mathcal{D},\varphi}(\ell) = \kappa_{\mathcal{D},\varphi}(\ell)$ . Note that  $\tilde{\kappa}_{\mathcal{D},\varphi}(\ell)$  is well defined up to the kernel of the homomorphism  $\mathcal{M}_{n+t} \rightarrow \mathcal{M}_n$ . Let  $\kappa'_{\mathcal{D},\varphi}(\ell)$  be the natural image of the cohomology class  $\tilde{\kappa}_{\mathcal{D},\varphi}(\ell)$  in  $\mathcal{M}_n$ .

LEMMA 6.16. *The cohomology class  $\kappa'_{\mathcal{D},\varphi}(\ell) \in \widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n}) \otimes_\varphi \mathcal{O}_\varphi$  satisfies the following properties:*

- (1)  $\text{ord}_{\varpi_\varphi}(\kappa'_{\mathcal{D},\varphi}(\ell)) = 0$ ;
- (2)  $\text{ord}_{\varpi_\varphi}(\partial_\ell(\kappa'_{\mathcal{D},\varphi}(\ell))) = t - e_{\mathcal{D}}(\ell)$ ;
- (3)  $\partial_q(\kappa'_{\mathcal{D},\varphi}(\ell)) = 0$  for all  $q \nmid \Delta\ell$ ;
- (4)  $\text{res}_q(\kappa'_{\mathcal{D},\varphi}(\ell)) \in \widehat{H}_{\text{ord}}^1(K_{\infty,q}, T_{f,n}) \otimes_\varphi \mathcal{O}_\varphi$  for all  $q \mid \Delta\ell$ .

*Proof.* By Proposition 6.10, the map  $\mathcal{M}_{n+t}/\varpi_\varphi \mathcal{M}_{n+t} \rightarrow \mathcal{M}_n/\varpi_\varphi \mathcal{M}_n$  is an isomorphism, so we have  $\text{ord}_{\varpi_\varphi}(\kappa'_{\mathcal{D},\varphi}(\ell)) = \text{ord}_{\varpi_\varphi}(\tilde{\kappa}_{\mathcal{D},\varphi}(\ell)) = 0$ . Part (1) follows immediately. Part (2) is a direct consequence of Theorem 5.1. Part (3) and (4) follow from the fact that  $\kappa_{\mathcal{D}}(\ell)$  belongs to  $\widehat{\text{Sel}}_{\Delta\ell}(K_\infty, T_{n+t}) \otimes_\varphi \mathcal{O}_\varphi$  and the freeness result of the ordinary cohomology group at  $\ell$  in Lemma 1.5. □

LEMMA 6.17. *Let  $\eta_\ell$  be the natural homomorphism*

$$\eta_\ell : \widehat{H}_{\text{sing}}^1(K_{\infty,\ell}, T_{f,n}) \otimes_\varphi \mathcal{O}_\varphi \rightarrow \text{Sel}_\Delta(K_\infty, A_{f,n})^\vee \otimes_\varphi \mathcal{O}_\varphi$$

*sending  $\kappa$  to  $\eta_\ell(\kappa) : s \mapsto \langle \kappa, v_\ell(s) \rangle_\ell$ . Then  $\eta_\ell(\partial_\ell(\kappa'_{\mathcal{D},\varphi}(\ell))) = 0$ .*

*Proof.* Let  $s \in \text{Sel}_\Delta(K_\infty, A_{f,n})[\ker \varphi]$ . By Lemma 6.16(3), (4), we see that  $\langle \partial_q(\kappa'_{\mathcal{D},\varphi}(\ell)), v_q(s) \rangle_q = 0$  for all  $q \neq \ell$ . The lemma thus follows from the global reciprocity law (1.1). □

LEMMA 6.18. *If  $t = 0$ , then  $s_{\mathcal{D}_0} = 0$ , i.e.  $\text{Sel}_\Delta(K_\infty, A_{f,n})^\vee \otimes_\varphi \mathcal{O}_\varphi$  is trivial.*

*Proof.* If  $t = 0$ , then  $\varphi(\theta_\infty(\mathcal{D}_0))$  is a unit in  $\mathcal{O}_\varphi/(\varpi_\varphi^n)$ . By Theorem 5.1, this implies that  $\partial_\ell(\kappa_{\mathcal{D},\varphi}(\ell))$  generates  $\widehat{H}_{\text{sing}}^1(K_{\infty,\ell}, T_n) \otimes_\varphi \mathcal{O}_\varphi$  for any admissible prime  $\ell$ . Therefore the map  $\eta_\ell$  in the Lemma 6.17 is trivial for all admissible primes. Assume that  $\text{Sel}_\Delta(K_\infty, A_{f,n})^\vee \otimes_\varphi \mathcal{O}_\varphi$  is non-trivial. By Nakayama’s lemma,

$$(\text{Sel}_\Delta(K_\infty, A_{f,n})^\vee \otimes_\varphi \mathcal{O}_\varphi) / \mathfrak{m}_{\mathcal{O}_\varphi} = (\text{Sel}_\Delta(K_\infty, A_{f,n})[\mathfrak{m}_\Lambda]^\vee \otimes_\varphi \mathcal{O}_\varphi / \mathfrak{m}_{\mathcal{O}_\varphi}$$

is non-zero. Let  $s$  be a non-trivial element in  $\text{Sel}_\Delta(K_\infty, A_{f,n})[\mathfrak{m}_\Lambda]$ . By Proposition 1.9(1),  $\text{Sel}_\Delta(K_\infty, A_{f,n})[\mathfrak{m}_\Lambda] = \text{Sel}_\Delta(K, A_{f,1})$ , so  $s$  can be viewed as an element in  $H^1(K, A_{f,1})$ . By Theorem 6.3, we can choose an  $n$ -admissible prime  $\ell \nmid \Delta$  such that  $\partial_\ell(s) = 0$  and  $v_\ell(s) \neq 0$ . Since the local Tate pairing  $\langle \cdot, \cdot \rangle_\ell$  is perfect,  $\eta_\ell$  is non-zero. This is a contradiction.  $\square$

In view of Lemma 6.18, we may assume that  $t > 0$ . Let  $\Pi$  be the set of rational primes  $\ell$  satisfying the following conditions:

- (1)  $\ell$  is  $(n + t^*)$ -admissible and  $\ell \nmid \Delta$ ;
- (2) the integer  $e_{\mathcal{D}}(\ell) = \text{ord}_{\varpi_\varphi}(\kappa_{\mathcal{D},\varphi}(\ell))$  is minimal among  $\ell$  satisfying the condition (1).

Then  $\Pi \neq \emptyset$  by Theorem 6.3. Let  $e = e_{\mathcal{D}}(\ell)$  for any  $\ell \in \Pi$ .

LEMMA 6.19. *We have  $e < t$ .*

*Proof.* Suppose that  $e = t$ . Then  $e_{\mathcal{D}}(\ell) = t$  for all  $(n + t^*)$ -admissible primes  $\ell$  since  $e_{\mathcal{D}}(\ell) \leq t$ . By Proposition 1.9(1),  $H^1(K, A_1) \rightarrow H^1(K_\infty, A_n)[\mathfrak{m}_\Lambda]$  is an isomorphism. Hence there exists a non-zero element

$$s \neq 0 \in \text{Sel}_\Delta(K_\infty, A_{f,n})[\mathfrak{m}_\Lambda] \subset H^1(K, A_1) \otimes_\varphi \mathcal{O}_\varphi.$$

By Theorem 6.3(1), there exists an  $(n + t^*)$ -admissible prime  $\ell$  such that  $v_\ell(s)$  is non-zero in  $H_{\text{fin}}^1(K, A_1) \otimes_\varphi \mathcal{O}_\varphi$ . On the other hand, by Lemma 6.16(4), the image of  $\partial_\ell(\kappa'_{\mathcal{D},\varphi}(\ell))$  in  $H^1(K_\ell, T_{f,1}) \otimes_\varphi \mathcal{O}_\varphi$  is non-zero. Moreover, by Lemma 6.17, the image of  $\partial_\ell(\kappa'_{\mathcal{D},\varphi}(\ell))$  in  $H^1(K_\ell, T_{f,1}) \otimes_\varphi \mathcal{O}_\varphi$  is orthogonal to  $v_\ell(s)$  with respect to the local Tate pairing. Since the local Tate pairing

$$H_{\text{fin}}^1(K, A_{f,1}) \otimes_\varphi \mathcal{O}_\varphi \times H_{\text{sing}}^1(K, T_{f,1}) \otimes_\varphi \mathcal{O}_\varphi \rightarrow \mathcal{O}_\varphi / \varpi_\varphi \mathcal{O}_\varphi$$

is perfect and  $H_{\text{fin}}^1(K, A_{f,1}) \otimes_\varphi \mathcal{O}_\varphi$  and  $H_{\text{sing}}^1(K, T_{f,1}) \otimes_\varphi \mathcal{O}_\varphi$  are one-dimensional vector spaces over  $\mathcal{O}_\varphi / \varpi_\varphi \mathcal{O}_\varphi$ , this is a contradiction.  $\square$

Let  $\ell_1 \in \Pi$  and  $S$  be an  $(n + t^*)$ -admissible set containing  $\ell_1$ . Let  $\kappa_1$  be the image of  $\kappa'_{\mathcal{D},\varphi}(\ell_1)$  in

$$\widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n}) \otimes_\varphi \mathcal{O}_\varphi / \varpi_\varphi \mathcal{O}_\varphi = \widehat{\text{Sel}}_\Delta^S(K_\infty, T_{f,n}) / \mathfrak{m}_\Lambda \otimes_\varphi \mathcal{O}_\varphi \hookrightarrow H^1(K, T_{f,1}) \otimes_\varphi \mathcal{O}_\varphi,$$

where the last map induced by the corestriction is injective by Proposition 6.10. Hence,  $\kappa_1$  is a non-zero element in  $H^1(K, T_{f,1}) \otimes_\varphi \mathcal{O}_\varphi$ . By the first part of Theorem 6.3, there exists an  $(n + t^*)$ -admissible prime  $\ell_2 \nmid \Delta$  such that  $\partial_{\ell_2}(\kappa_1) = 0$  and

$$v_{\ell_2}(\kappa_1) \neq 0 \in H_{\text{fin}}^1(K, T_{f,1}) \otimes_\varphi \mathcal{O}_\varphi.$$

It follows from the fact that  $v_{\ell_2}(\kappa_1) \neq 0$  and the minimality of  $e = e_{\mathcal{D}}(\ell_1) = \text{ord}_{\varpi_\varphi}(\kappa_{\mathcal{D},\varphi}(\ell_1))$  that

$$\text{ord}_{\varpi_\varphi}(v_{\ell_2}(\kappa_{\mathcal{D},\varphi}(\ell_1))) = \text{ord}_{\varpi_\varphi}(\kappa_{\mathcal{D},\varphi}(\ell_1)) \leq \text{ord}_{\varpi_\varphi}(\kappa_{\mathcal{D},\varphi}(\ell_2)) \leq \text{ord}_{\varpi_\varphi}(v_{\ell_1}(\kappa_{\mathcal{D},\varphi}(\ell_2)))$$

(the last inequality is due to the fact that  $v_{\ell_1}$  is a homomorphism). By the second explicit reciprocity law (Theorem 5.5), there exists an  $(n + t^*)$ -admissible form  $\mathcal{D}''_{t^*} = (\Delta\ell_1\ell_2, g_{n+t^*})$  such that

$$v_{\ell_2}(\kappa_{\mathcal{D}}(\ell_1)) = v_{\ell_1}(\kappa_{\mathcal{D},\varphi}(\ell_2)) = \theta_{\infty}(\mathcal{D}''_t) \quad (\mathcal{D}''_t = (\Delta\ell_1\ell_2, g_{n+t^*} \pmod{\varpi^{n+t}})).$$

In particular,  $\text{ord}_{\varpi_{\varphi}}(v_{\ell_1}(\kappa_{\mathcal{D},\varphi}(\ell_2))) = \text{ord}_{\varpi_{\varphi}}(v_{\ell_1}(\kappa_{\mathcal{D},\varphi}(\ell_1)))$ . We thus conclude that

$$\text{ord}_{\varpi_{\varphi}}(v_{\ell_2}(\kappa_{\mathcal{D},\varphi}(\ell_1))) = e_{\mathcal{D}}(\ell_1) = e_{\mathcal{D}}(\ell_2) = e \quad \text{and} \quad \ell_2 \in \Pi.$$

Let  $\mathcal{D}''_0 := (\Delta\ell_1\ell_2, g_{n+t^*} \pmod{\varpi^n})$ . Then we have

$$t_{\mathcal{D}''_0} = \text{ord}_{\varpi_{\varphi}}(\varphi(\theta_{\infty}(\mathcal{D}''_0))) = e < t = t_{\mathcal{D}_0} \leq t^*.$$

Therefore, we can apply the induction hypothesis to  $\mathcal{D}''_{t^*}$  and conclude that  $s_{\mathcal{D}''_0} \leq 2t_{\mathcal{D}''_0}$ . To finish the proof, it suffices to show that

$$s_{\mathcal{D}_0} \leq s_{\mathcal{D}''_0} + 2(t - t_{\mathcal{D}''_0}). \tag{6.1}$$

Let  $S_{[\ell_1\ell_2]}$  denote the subgroup of  $\text{Sel}_{\Delta}(K_{\infty}, T_{f,n})$  consisting of classes which are locally trivial at the primes dividing  $\ell_1$  and  $\ell_2$ . By definition, there are two exact sequences of  $\Lambda$ -modules:

$$\widehat{H}_{\text{sing}}^1(K_{\infty,\ell_1}, T_{f,n}) \oplus \widehat{H}_{\text{sing}}^1(K_{\infty,\ell_2}, T_{f,n}) \xrightarrow{\eta_s} \text{Sel}_{\Delta}(K_{\infty}, A_{f,n})^{\vee} \rightarrow S_{[\ell_1\ell_2]}^{\vee} \rightarrow 0 \tag{6.2}$$

and

$$\widehat{H}_{\text{fin}}^1(K_{\infty,\ell_1}, T_{f,n}) \oplus \widehat{H}_{\text{fin}}^1(K_{\infty,\ell_2}, T_{f,n}) \xrightarrow{\eta_f} \text{Sel}_{\Delta\ell_1\ell_2}(K_{\infty}, A_{f,n})^{\vee} \rightarrow S_{[\ell_1\ell_2]}^{\vee} \rightarrow 0, \tag{6.3}$$

where  $\eta_s$  and  $\eta_f$  are induced by the local pairing  $\langle \cdot, \cdot \rangle_{\ell_1} \oplus \langle \cdot, \cdot \rangle_{\ell_2}$ . Let  $\eta_s^{\varphi}$  (respectively  $\eta_f^{\varphi}$ ) denote the map induced from  $\eta_s$  (respectively  $\eta_f$ ) after tensoring with  $\mathcal{O}_{\varphi}$  via  $\varphi$ . Fixing an isomorphism  $\bigoplus_{i=1}^2 \widehat{H}_{\text{sing}}^1(K_{\infty,\ell_i}, T_{f,n}) \otimes_{\varphi} \mathcal{O}_{\varphi} \simeq \mathcal{O}_{\varphi}^{\oplus 2}$ , from Lemma 6.17 we deduce that  $\eta_s^{\varphi}$  factors through the quotient

$$\mathcal{O}_{\varphi}/(\partial_{\ell_1}(\kappa'_{\mathcal{D},\varphi}(\ell_1))) \oplus \mathcal{O}_{\varphi}/(\partial_{\ell_2}(\kappa'_{\mathcal{D},\varphi}(\ell_2))).$$

Moreover, by Lemma 6.16(4), we have

$$t - t_{\mathcal{D}''_0} = \text{ord}_{\varpi_{\varphi}}(\partial_{\ell_1} \kappa'_{\mathcal{D},\varphi}(\ell_1)) = \text{ord}_{\varpi_{\varphi}}(\partial_{\ell_2} \kappa'_{\mathcal{D},\varphi}(\ell_2)).$$

Hence, from (6.2) we obtain the exact sequence

$$(\mathcal{O}_{\varphi}/(\varpi_{\varphi}^{t-t_{\mathcal{D}''_0}}))^{\oplus 2} \xrightarrow{\eta_s^{\varphi}} \text{Sel}_{\Delta}(K_{\infty}, A_{f,n})^{\vee} \otimes_{\varphi} \mathcal{O}_{\varphi} \rightarrow S_{[\ell_1\ell_2]}^{\vee} \otimes_{\varphi} \mathcal{O}_{\varphi} \rightarrow 0. \tag{6.4}$$

LEMMA 6.20. *The kernel of  $\eta_f^{\varphi}$  contains the elements  $(0, v_{\ell_2}(\kappa'_{\mathcal{D},\varphi}(\ell_1)))$  and  $(v_{\ell_1}(\kappa'_{\mathcal{D},\varphi}(\ell_2)), 0)$ .*

*Proof.* Let  $s \in \text{Sel}_{\Delta\ell_1\ell_2}(K_{\infty}, A_{f,n})[\ker \varphi]$ . By Lemmas 1.7 and 6.16(3), (4), we have

$$\langle \partial_q(\kappa'_{\mathcal{D},\varphi}(\ell_1)), v_q(s) \rangle_q = 0 \quad \text{for } q \nmid \Delta\ell_1 \quad \text{and} \quad \langle \text{res}_{\ell_1}(\kappa'_{\mathcal{D},\varphi}(\ell_1)), \text{res}_{\ell_1}(s) \rangle_{\ell_1} = 0.$$

By the global reciprocity law, we find that  $\langle v_{\ell_2}(\kappa'_{\mathcal{D},\varphi}(\ell_1)), \text{res}_{\ell_2}(s) \rangle_{\ell_2} = 0$ . The same argument shows that  $\langle v_{\ell_1}(\kappa'_{\mathcal{D},\varphi}(\ell_2)), \text{res}_{\ell_1}(s) \rangle_{\ell_1} = 0$ . This completes the proof.  $\square$

Fixing an isomorphism  $\bigoplus_{i=1}^2 \widehat{H}_{\text{fin}}^1(K_{\infty, \ell_i} T_{f,n}) \otimes_{\varphi} \mathcal{O}_{\varphi} \simeq \mathcal{O}_{\varphi}^{\oplus 2}$ , from (6.3) and Lemma 6.20 we deduce the exact sequence

$$\mathcal{O}_{\varphi}/(v_{\ell_2}(\kappa'_{\mathcal{D},\varphi}(\ell_1))) \oplus \mathcal{O}_{\varphi}/(v_{\ell_1}(\kappa'_{\mathcal{D},\varphi}(\ell_2))) \xrightarrow{\eta_f^{\varphi}} \text{Sel}_{\Delta\ell_1\ell_2}(K_{\infty}, A_{f,n})^{\vee} \otimes_{\varphi} \mathcal{O}_{\varphi} \rightarrow S_{[\ell_1\ell_2]}^{\vee} \otimes_{\varphi} \mathcal{O}_{\varphi} \rightarrow 0.$$

Note that

$$\text{ord}_{\varpi_{\varphi}}(v_{\ell_2}(\kappa'_{\mathcal{D},\varphi}(\ell_1))) = \text{ord}_{\varpi_{\varphi}}(v_{\ell_1}(\kappa'_{\mathcal{D},\varphi}(\ell_2))) = t_{\mathcal{D}'_0} - e = 0.$$

We thus find that

$$\text{Sel}_{\Delta\ell_1\ell_2}(K_{\infty}, A_{f,n})^{\vee} \otimes_{\varphi} \mathcal{O}_{\varphi} \xrightarrow{\sim} S_{[\ell_1\ell_2]}^{\vee} \otimes_{\varphi} \mathcal{O}_{\varphi}. \tag{6.5}$$

Now it is clear that (6.1) follows from (6.4) and (6.5).

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