

GRAPHICAL REGULAR REPRESENTATIONS OF NON-ABELIAN GROUPS, I

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In this paper, all groups and graphs considered are finite and all graphs are simple (in the sense of Tutte [8, p. 50]). If X is such a graph with vertex set $V(X)$ and automorphism group $A(X)$, we say that X is a *graphical regular representation* (*GRR*) of a given abstract group G if

(I) $G \cong A(X)$, and

(II) $A(X)$ acts on $V(X)$ as a regular permutation group; that is, given $u, v \in V(X)$, there exists a unique $\varphi \in A(X)$ for which $\varphi(u) = v$.

That for any abstract group G there exists a graph X satisfying (I) is well-known (cf. [3]). The question of existence or non-existence of a *GRR* for a given abstract group G , however, has been settled to date only for relatively few classes of groups. This problem is the underlying motivation for this paper and its sequel.

In Section 1 we introduce some essential notation and attempt a summary of what is known to date (with references to the literature) about the foregoing problem.

In Section 2 some machinery involving at one time techniques from both group theory and graph theory is developed in order to facilitate proving, when true, that a given graph is indeed a *GRR*. These techniques are then used to prove the main result of the section:

THEOREM 1. *Let the non-abelian group G_1 be a cyclic extension of a group G such that $[G_1:G] \geq 5$. If G admits a *GRR*, then so does G_1 .*

In Section 3, techniques from Section 2 are applied to resolve completely the question of existence of *GRR*'s for all groups which can be represented as the semi-direct product of a cyclic group by a cyclic group.

1. Preliminaries. If G is a finite group and H is a subset of G with $1 \notin H$, then the *Cayley graph* $X_{G,H}$ of G with respect to H is the graph with vertex set $V(X_{G,H}) = G$ and edge set

$$E(X_{G,H}) = \{[x, xh] | x \in G; h \in H\}.$$

We shall continue the notation of the above definition, reserving the symbol H for subsets of $G \setminus \{1\}$ having the property that $u \in H \Rightarrow u^{-1} \in H$. Clearly $X_{G,H}$ is a connected graph if and only if $G = \langle H \rangle$.

Received October 15, 1970 and in revised form, January 25, 1972.

1.1 (G. Sabidussi [6]). *A necessary and sufficient condition for a given graph X to be a Cayley graph $X_{G,H}$ is that $A(X)$ contain a subgroup G_0 which acts regularly on $V(X)$. In that case $G = G_0$. In particular, if $|V(X)| \geq 3$ and X is a GRR of G , then $X = X_{G,H}$ where H generates G .*

We shall say a group G is in *Class I* if G has a GRR.

G is in *Class II* if for each H which generates G , there exists a non-identity group-automorphism α of G such that $\alpha(H) = H$.

1.2 (Watkins [9]). *Class I and Class II are disjoint.* It is conjectured that every finite group is in one or the other of these two classes.

1.3 (Chao [1] and Sabidussi [7]). *All abelian groups other than elementary abelian 2-groups are in Class II.*

1.4 (Imrich [4]). *The elementary abelian 2-group of order 2^n is in Class II for $n = 2, 3, 4$ and is in Class I otherwise.*

We write C_m for the cyclic group of order m .

A *generalized dicyclic group* G is a group generated by an abelian group A and an element $b \notin A$ with relations:

$$(1-1) \quad b^4 = 1, b^2 \in A, \quad \text{and} \quad b^{-1}xb = x^{-1} \quad \text{for all } x \in A.$$

In particular, if $A = C_m$ where $4 \leq m = 2^n$, then G is a generalized quaternion group.

1.5 [5; 9]. *All generalized dicyclic groups are in Class II.*

1.6 [9]. *Let p be a prime and let $G = \langle a, b, c | a^p = b^p = c^p = 1, ac = ca, ab = ba, ab = bac \rangle$. If $p \geq 5$, then G is in Class I. The case for $p = 3$ is undecided.*

1.7 [9]. *Class I, except for the group C_2 , is closed under the operation of direct product.*

1.8. *The dihedral group D_m is in Class II for $m = 3, 4, 5$ and in Class I for $m \geq 6$.*

1.9. *If p is an odd prime, then the group*

$$G = \langle a, b | a^{p^2} = b^p = 1, b^{-1}ab = a^{p+1} \rangle$$

is always in Class I.

From 1.3, 1.4, 1.5 and 1.8 it follows that

1.10. *Every group G in Class I other than C_2 has order ≥ 12 .*

This section concludes with some additional terminology and notational conventions used in the sequel.

Let $X = X_{G,H}$ be a Cayley graph and let

$$A_x = \{ \varphi \in A(X) | \varphi(x) = x \}.$$

The subgroup A_x is known as the *stabilizer* of x .

As mentioned in [9], showing that every element of A_1 fixes H point-wise is sufficient for proving that G is in *Class I*. Even stronger results will be obtained below.

The reader must take care to distinguish between (graph-) automorphisms of $X_{G,H}$ including those which fix 1, and (group-) automorphisms of G . We shall write $\text{Aut}(G)$ for the group of automorphisms of G .

For $g \in G$, we define the vertex-map

$$\lambda_g : x \mapsto gx, \quad x \in V(X_{G,H}),$$

and observe that $\lambda_g \in A(X_{G,H})$. Also $\lambda_g^{-1} = \lambda_{g^{-1}}$. On the other hand, right-multiplication $x \mapsto xg$ yields a graph-automorphism if and only if $g \in Z(G)$, the center of G . The following is immediate:

PROPOSITION 1.11. *For all $x, g \in A(X_{G,H})$,*

$$\lambda_g^{-1} A_{gx} \lambda_g = A_x.$$

This proposition is merely a particularly suitable formulation of the fact that point-stabilizers are conjugate subgroups of a transitive permutation group.

We shall always assume that $|G| > 2$.

If $K \subset G$, the subgroup of G generated by the elements of K will be denoted by $\langle K \rangle$. If $x \in G$, $Z(x)$ denoted the centralizer of x in G .

2. Machinery and a proof of Theorem 1. Let G be given. Let $H \subset G$ with $\langle H \rangle = G$, and let $X = X_{G,H}$.

PROPOSITION 2.1. *Let $K \subset H$. Suppose $\varphi(K) = K$ for all $\varphi \in A_1$. Then $\varphi(\langle K \rangle) = \langle K \rangle$ for all $\varphi \in A_1$.*

Proof. We first show that for all $x \in G$ and $\omega \in A_x$, $\omega(xK) = xK$. By Proposition 1.11, $\lambda_x^{-1} \omega \lambda_x \in A_1$. By hypothesis $\lambda_x^{-1} \omega \lambda_x(K) = K$, so $\omega(xK) = \omega \lambda_x(K) = \lambda_x(K) = xK$.

Let $\varphi \in A_1$ and let $k_1 \in K$. Let $k_2 = \varphi(k_1)$, and let $k \in K$. Then $\varphi(k_1 k_2) = k_2 h$ for some $h \in H$. Observe that

$$\lambda_{k_1 k_2^{-1}} \varphi \in A_{k_1}$$

and so $\lambda_{k_1 k_2^{-1}} \varphi(k_1 K) = k_1 K$. Thus

$$k_2 h = \varphi(k_1 k) \in (\lambda_{k_1 k_2^{-1}})^{-1}(k_1 K) = (k_2 k_1^{-1})(k_1 K) = k_2 K.$$

Thus $h \in K$.

The remainder of the proof is straightforward induction on t . Thus it is first shown that $\omega(xK^t) = xK^t$ for all $x \in G$, $\omega \in A_x$. Secondly one chooses $k \in K^t$, thereby showing that if

$$c = k_1 k_2 \dots k_{t+1} \quad \text{where} \quad k_1, \dots, k_{t+1} \in K, \quad \text{then} \quad \varphi(c) \in \langle K \rangle.$$

PROPOSITION 2.2. *Let $h \in H$ and suppose that $\psi \in A_1 \Rightarrow \psi(h) = h$ or h^{-1} . If $\varphi \in A_1$, then $\varphi(h) = h \Rightarrow \varphi(h^k) = h^k$ for all k , and $\varphi(h) = h^{-1} \Rightarrow \varphi(h^k) = h^{-k}$ for all k .*

Proof. We proceed by induction on k . The proposition is immediate for $k = 1$. Let m be a positive integer and suppose the proposition is true for all $k \leq m$. We prove it for $k = m + 1$.

Let $\varphi \in A_1$. If also $\varphi \in A_h$, then $\lambda_h^{-1}\varphi\lambda_h \in A_1$ by Proposition 1.11. Applying the hypothesis, suppose on the one hand that $\lambda_h^{-1}\varphi\lambda_h(h) = h^{-1}$. Multiplication on the left by h then gives $1 = \varphi\lambda_h(h) = \varphi(h^2)$, or $h^2 = 1$, in which case the proposition holds trivially. Otherwise we have $\lambda_h^{-1}\varphi\lambda_h \in A_h$. By the induction hypothesis

$$h^m = \lambda_h^{-1}\varphi\lambda_h(h^m) = h^{-1}\varphi(h^{m+1}),$$

whence

$$\varphi(h^{m+1}) = h^{m+1}.$$

If $\varphi(h) = h^{-1}$, then clearly $\lambda_h\varphi\lambda_h \in A_1$. Also $\lambda_h\varphi\lambda_h(h^{-1}) = h\varphi(1) = h$. By the induction hypothesis applied to $\lambda_h\varphi\lambda_h$,

$$h^{-m} = \lambda_h\varphi\lambda_h(h^m) = h\varphi(h^{m+1}),$$

whence

$$\varphi(h^{m+1}) = h^{-(m+1)},$$

which proves the proposition.

PROPOSITION 2.3. *Let $K \subset H$. Suppose that $A_k = A_1$ for all $k \in K$. Then $A_k = A_1$ for all $k \in \langle K \rangle$.*

Proof. Let $\varphi \in A_1$ and $k_1, k_2 \in K$. For this proof write $\lambda_i = \lambda_{k_i}$ ($i = 1, 2$).

Since $\lambda_i^{-1}\varphi\lambda_i \in A_1$ ($i = 1, 2$), we have by hypothesis $\lambda_i^{-1}\varphi\lambda_i(k) = k$ for all $k \in K$. Hence

$$k_2 = \lambda_1^{-1}\varphi\lambda_1(k_2) = k_1^{-1}\varphi(k_1k_2),$$

whence

$$(2-1) \quad \varphi(k_1k_2) = k_1k_2.$$

By Proposition 2.2, each $\varphi \in A_1$ fixes each power of k for each $k \in K$, and by (2-1), all $\varphi \in A_1$ fix all powers of any product of any two elements of K . Now suppose $k_3, k_4 \in \langle K \rangle$ and that $\varphi(k_i) = k_i$ ($i = 3, 4$) for all $\varphi \in A_1$. By an identical argument one obtains $\varphi(k_3k_4) = k_3k_4$, and hence that all $\varphi \in A_1$ fix $\langle K \rangle$ point-wise.

COROLLARY 2.4. *Let $K \subset H$ and suppose $\langle K \rangle = G$. Suppose $A_k = A_1$ for all $k \in K$. Then G is in Class I.*

Proof. The result is an immediate consequence of Proposition 2.3 and the definitions.

The following seemingly unmotivated result has application in Section 3.

PROPOSITION 2.5. *Let there exist some $a \in H$ such that $\langle a \rangle \triangleleft G$ and $A_1 = A_a$. Suppose further that for some $b \in H$ and all $\varphi \in A_1$ either $\varphi(b) = b$ or $\varphi(b) = b^{-1}$ while $\varphi_0(b) = b^{-1}$ holds for some $\varphi_0 \in A_1$. Then $b^2 \in Z(a)$.*

Proof. Let the hypothesis be satisfied. Since $\langle a \rangle \triangleleft G$, a relation of the form

$$(2-2) \quad b^{-1}ab = a^k$$

must hold for some integer k . If $a^r = 1$, let us assume $k^2 \not\equiv 1 \pmod r$ or else $b^{-2}ab^2 = a^{k^2} = a$, in which case $b^2 \in Z(a)$.

Since $\varphi_0(b) = b^{-1}$, observe that $\lambda_b\varphi_0\lambda_b \in A_1$ and so by the hypothesis and Proposition 2.2,

$$a^k = \lambda_b\varphi_0\lambda_b(a^k) = b\varphi_0(ba^k),$$

whence

$$(2-3) \quad \varphi_0(ba^k) = b^{-1}a^k.$$

Since $\lambda_a^{-1}\varphi_0\lambda_a \in A_1$, the hypothesis implies that $\lambda_a^{-1}\varphi_0\lambda_a(b) = b$ or b^{-1} . The one case gives

$$b = \lambda_a^{-1}\varphi_0\lambda_a(b) = a^{-1}\varphi_0(ab)$$

whence by (2-2) and (2-3),

$$ab = \varphi_0(ab) = \varphi_0(ba^k) = b^{-1}a^k,$$

and so $bab = a^k = b^{-1}ab$, or $b^2 = 1 \in Z(a)$. The other case gives $b^{-1} = \lambda_a^{-1}\varphi_0\lambda_a(b) = a^{-1}\varphi_0(ab)$, and so by (2-2) and (2-3),

$$ab^{-1} = \varphi_0(ab) = \varphi_0(ba^k) = b^{-1}a^k.$$

Thus

$$a = b^{-1}a^k b = a^{k^2},$$

contrary to assumption, and the proposition is proved.

We shall require the following result from [10].

LEMMA. *Let G be a finite non-abelian group in Class I. If the Cayley graph $X = X_{G,H}$ is a GRR of G , then*

$$3 \leq |H| \leq |G| - 4.$$

We are now prepared for the

Proof of Theorem 1. Let G be a non-abelian group in Class I and let G_1 be an extension of G by the cyclic group C_s . We shall represent C_s as the group $\{e_1, b, b^2, \dots, b^{s-1}\}$ and let t be the smallest positive integer for which $b^t \in G$. Thus $t|s$, and by hypothesis, $t \geq 5$. We mention that $G \triangleleft G_1$ and that G_1/G consists of cosets

$$(2-4) \quad e_1G, bG, b^2G, \dots, b^{t-1}G.$$

The identities of C_s and G will be denoted by $e_1 (= b^0)$ and e_2 , respectively.

The elements of G_1 have unique representation, therefore, as

$$(2-5) \quad b^i x, \quad i = 0, 1, \dots, t - 1; x \in G.$$

There exists an automorphism $\beta \in \text{Aut}(G)$ determined by G_1 where for $x \in G$, $\beta(x)$ is given by

$$b^{-1} x b = \beta(x).$$

Multiplication in G_1 is thus given by

$$(2-6) \quad (b^i x)(b^j y) = b^{i+j} \beta^j(x)y.$$

(While regretting that the notation here introduced is unconventional from the algebraists' standpoint, we feel that it is the least inconvenient for the proof at hand.)

We assert:

$$(2-7) \quad \text{there exists } g \in G \text{ such that } \beta(g) \neq g^{-1}.$$

Were (2-7) to fail, G would admit the inverting automorphism and would therefore be abelian. Since G is in *Class I*, G would be an elementary abelian 2-group, by 1.3 and 1.2, in which case β reduces to the identity automorphism. But then G_1 would be the direct product $G \times C_t$, contrary to the assumption that G_1 is non-abelian.

Let the Cayley graph $X = X_{G,H}$ be a *GRR* of G . If we let $H' = G \setminus (H \cup \{e_2\})$, then $X_{G,H'}$ is a *GRR* of G if and only if $X_{G,H}$ is. Without loss of generality we may therefore assume that

$$(2-8) \quad 2|H| < |G|.$$

In terms of H and an element g assured by (2-7), form the Cayley graph $Y = X_{G_1,J}$ where J is given by

$$J = e_1 H \cup (bG \setminus \{be_2, bg\}) \cup (b^{-1}G \setminus \{b^{-1}e_2, b^{-1}\beta^{-1}(g^{-1})\}) \cup \{b^2e_2, b^{-2}e_2\}.$$

Clearly $\langle J \rangle = G_1$. We shall demonstrate that Y is a *GRR* of G .

Let X_i denote the subgraph of Y induced by the vertices in the coset $b^i G$. Evidently X_i is isomorphic to X for each i . Let Y_0 be the subgraph of Y induced by the set of those vertices of Y identified with elements of J , that is, the set of vertices adjacent to (but not including) the identity $e_1 e_2$ of the group G_1 . Let $J_i = J \cap b^i G$. For $b^i x \in V(Y_0)$, let $\rho_0(b^i x)$ denote the number of vertices in Y_0 adjacent to it.

Let A_e denote the subgroup of $A(Y)$ which stabilizes the vertex $e_1 e_2$. To prove the theorem, it will suffice to show that A_e is trivial. Let $\varphi \in A_e$. Then the restriction of φ to Y_0 belongs to $A(Y_0)$.

We first show that

$$(2-9) \quad \rho_0(b^{\pm 1} y) < \rho_0(e_1 x), \quad \text{for all } e_1 x, b^{\pm 1} y \in J.$$

Since $e_1 x$ is non-adjacent to precisely two vertices of X_1 and two vertices of

X_{-1} and since these four vertices could all lie in Y_0 ,

$$(2-10) \quad \rho_0(e_1x) \geq 2(|G| - 4).$$

The vertex by is adjacent to at most $|H|$ vertices in each of J_0 and J_1 and at most one vertex in each of J_{-1} and J_2 . If $t = 5$, by might be adjacent to $b^{-2}e_2$. Since a symmetrical argument holds also for $b^{-1}y$, it follows from (2-8) that

$$(2-11) \quad \rho_0(b^{\pm 1}y) \leq 2|H| + 3 \leq |G| + 2.$$

If (2-9) were false for some $e_1x, b^{\pm 1}y \in J$, then (2-10) and (2-11) would imply that $|G| + 2 \geq 2|G| - 8$, or $|G| \leq 10$. Since G is in Class I, we must then have $G \cong C_2$ by 1.10. But then G_1 would be abelian, contrary to hypothesis. This proves (2-9).

Observe that the neighbors of b^2e_2 in Y_0 include at most the $|G| - 2$ vertices of J_1 and, in case $s = 6$, the vertex $b^{-2}e_2$. Since a symmetric argument holds for $b^{-2}e_2$, we have

$$(2-12) \quad \rho_0(b^{\pm 2}e_2) \leq |G| - 1.$$

By comparison of (2-10) with (2-12), the foregoing argument implies, *a fortiori*, that $\rho_0(b^{\pm 2}e_2) < \rho_0(e_1x)$.

These inequalities imply that $\varphi(J_0) = J_0$. By Proposition 2.1, φ must fix $e_1G = \langle J_0 \rangle$ set-wise. Hence the restriction of φ to e_1G is a graph-automorphism of X_0 which fixes e_1e_2 . Since X_0 is a GRR of G , it follows that φ restricted to X_0 is the identity; i.e.,

$$(2-13) \quad \varphi(e_1x) = e_1x, \quad x \in G, \varphi \in A_e.$$

Each element of $J_{\pm 1}$ is adjacent to at least $|H| - 2$ vertices in J_0 , and $|H| - 2 > 0$ by the Lemma. Meanwhile b^2e_2 and $b^{-2}e_2$ are adjacent to no vertex in J_0 . Thus φ either fixes b^2e_2 and $b^{-2}e_2$ or interchanges them. Moreover, φ fixes $J_1 \cup J_{-1}$ setwise.

If any one vertex of $X_1 \cup X_{-1}$ were fixed by all $\varphi \in A_e$, then because of (2-13), each $\varphi \in A_e$ would fix point-wise a generating set of G_1 ; the Theorem would then follow by Corollary 2.4. The four vertices

$$(2-14) \quad be_2, b^{-1}e_2, bg, b^{-1}\beta^{-1}(g^{-1})$$

are the only vertices of Y simultaneously non-adjacent to the fixed-point e_1e_2 but adjacent, each, to $|G| - 2$ other vertices of X_0 . Each $\varphi \in A_e$ acts as a permutation on the 4-set of vertices (2-14).

The vertex $e_1g \in V(X_0)$ is non-adjacent to $b^{-1}e_2$ since $(b^{-1}e_2)(bg) = e_1g$. But e_1g is adjacent to $be_2 = (e_1g)(b\beta(g^{-1}))$ since $bg \neq b\beta(g^{-1})$ by (2-7), and so $b\beta(g^{-1}) \in J$. Since e_1g is a fixed-point of A_e , we deduce

$$\varphi(be_2) \neq b^{-1}e_2; \varphi(b^{-1}e_2) \neq be_2; \text{ for all } \varphi \in A_e.$$

Since $(b^{-1}e_2)(b^2e_2) = be_2$, φ must map $\{be_2, b^{-1}e_2\}$ onto an adjacent pair from

(2-14). Writing $(b^{-1}\beta^{-1}(g^{-1}))w = bg$ and solving for w , we obtain $w = (bg)^2 = b^2\beta(g)g$, which is in J only if $\beta(g)g = e_2$, contrary to (2-7). Thus bg is not adjacent to $b^{-1}\beta(g^{-1})$. In summary,

$$(2-15) \quad \varphi \in A_e \setminus A_{be_2} \Rightarrow \varphi \in A_{b^{-1}e_2}$$

and

$$(2-16) \quad \psi \in A_e \setminus A_{b^{-1}e_2} \Rightarrow \psi \in A_{be_2}.$$

Since none of the vertices (2-14) is a fixed-point of A_e , there indeed exist $\varphi, \psi \in A_e$ such that (2-15) and (2-16), respectively, are satisfied non-vacuously. Consider the action of their composite $\psi\varphi \in A_e$. By (2-15) and (2-16), $\psi\varphi(b^{-1}e_2) = \psi(b^{-1}e_2) \neq b^{-1}e_2$. Hence $\psi\varphi(be_2) = be_2 = \psi(be_2)$ by (2-16). But this implies that $\varphi(be_2) = be_2$ contrary to assumption. This completes the proof of the Theorem.

Remark. In the foregoing proof $A(Y)$ is an imprimitive permutation group and the cosets (2-4) comprise a complete block system for $A(Y)$.

3. Classification of semi-direct products of cyclic groups. For some integers r, s , and k , we assume the group G is given by

$$(3-1) \quad G = \langle a, b \mid a^r = b^s = 1; b^{-1}ab = a^k \rangle$$

and understand that (3-1) gives the entire multiplication table for G . It is thus well-known that $|G| = rs$, that

$$(3-2) \quad (r, k) = 1,$$

and that

$$(3-3) \quad k^s \equiv 1 \pmod{r}.$$

THEOREM 2. *The group G given by (3-1) is in Class II under each of the following conditions:*

- (i) $k \equiv 1 \pmod{r}$ (abelian group).
- (ii) $s = 2, r = 3, 4, 5$, and $k \equiv -1 \pmod{r}$ (dihedral groups D_3, D_4, D_5).
- (iii) $s = 4$ and $k \equiv -1 \pmod{r}$ (generalized dicyclic group).
- (iv) G is the group $\langle a, b \mid a^8 = b^2 = 1, bab = a^5 \rangle$.

Otherwise G is in Class I.

The remainder of this section is devoted to demonstrating Theorem 2.

If either (i) or (ii) holds, it is known that G is in Class II. (See 1.8, 1.3, and 1.4 above.)

Under condition (iii), consider the abelian subgroup $A = \langle a, b^2 \rangle$ of G . Then (1-1) is surely satisfied. Thus G is a generalized dicyclic group and hence in Class II. (See 1.5 above.)

PROPOSITION 3.1. *The group $G = \langle a, b; a^8 = b^2 = 1, bab = a^5 \rangle$ is in Class II.*

Proof. We must show that for any arbitrary set H which generates G , there exists a non-identity automorphism $\varphi \in \text{Aut}(G)$ such that $\varphi(H) = H$.

First observe that $Z(G) = \{1, a^2, a^4, a^6\}$. Since a^4 is the only central element of order 2, $\varphi(a^4) = a^4$ for all $\varphi \in \text{Aut}(G)$. Also any automorphism of G either fixes a^2 or interchanges a^2 with its inverse a^6 . So the presence or absence of a^2, a^4, a^6 in H is immaterial.

Note that ba^2 and ba^6 are inverses and are the only non-central elements of order 4 in G . Hence any $\varphi \in \text{Aut}(G)$ either fixes ba^2 or interchanges ba^2 with ba^6 and their membership in H has no effect.

Consider the set

$$S = \{a, a^3, a^5, a^{-1}, ba, ba^3, ba^5, ba^{-1}\}$$

of all elements of order 8. We shall show that for any set $T \subset S$ with $T = T^{-1}$, there exists a non-identity $\varphi \in \text{Aut}(G)$ such that $\varphi(T) = T$. Moreover, whereas b and ba^4 are the only non-central inversions in G , we will show that φ can be chosen so that

$$(3-4) \quad \varphi(b) = b$$

and that, therefore, the presence of b or ba^4 in H is also immaterial.

If $T = \{a, a^3, a^5, a^{-1}\}, \{a, a^{-1}\},$ or $\{a^3, a^5\},$ let

$$(3-5) \quad \varphi(a) = a^{-1}.$$

Then (3-4) and (3-5) determine $\varphi \in \text{Aut}(G)$ by $\varphi(a^j) = a^{-j}$ for all j and $\varphi(ba^j) = ba^{-j} = \varphi(b)\varphi(a^j)$. Observe that $\varphi(T) = T$ and φ is not the identity.

If $T = \{a, a^{-1}, ba, ba^3\},$ let

$$(3-6) \quad \varphi(a) = ba.$$

Then (3-4) and (3-6) are extendable to an automorphism of G by $\varphi(a^j) = (ba)^j$. Hence $\varphi(ba) = \varphi(b)\varphi(a) = b(ba) = a$ and $a^{-1} = [\varphi(ba)]^{-1} = \varphi((ba)^{-1}) = \varphi(ba^3)$, whence $\varphi(T) = T$.

If $T = \{a, a^{-1}, ba^5, ba^{-1}\},$ define

$$(3-7) \quad \varphi(a) = ba^5.$$

Then (3-4) and (3-7) are extendable to an automorphism of G by $\varphi(a^j) = (ba^5)^j$. Then $\varphi(ba^5) = \varphi(b)\varphi(a^5) = b(ba^5)^5 = b(ba) = a$. And so φ interchanges a^{-1} and ba^{-1} . Thus $\varphi(T) = T$.

Finally, if $T = \{ba, ba^3\}$ or $\{ba^5, ba^{-1}\},$ define φ by

$$\varphi(ba) = (ba)^{-1}.$$

Then φ preserves T .

The above cases are all that need be considered, for if $\varphi(T) = T$, then $\varphi(S \setminus T) = S \setminus T$, and the proof of the proposition is complete.

Assuming none of (i)–(iv) to hold, we shall choose for each G given by (3-1) a generating set H and demonstrate that the corresponding graph $X = X_{G,H}$ is a *GRR* for G . As in the previous section, let X_1 always denote the subgraph

of X induced by the vertex set H . Recall that the restriction of each automorphism in A_1 to H belongs to $A(X_1)$.

An edge $[x, z]$ belongs to X_1 if and only if some identity

$$(3-8) \quad xy = z$$

holds for some $x, y, z \in H$. However, (3-8) gives rise to two further identities:

$$z^{-1}x = y^{-1} \quad \text{and} \quad x^{-1}z = y,$$

and so $[z^{-1}, y^{-1}]$ and $[x^{-1}, y]$ are also in $E(X_1)$. An identity of the form

$$(3-9) \quad x^2 = y$$

for $x, y \in H$ implies $x \neq x^{-1}$ and gives rise to identities $x^{-2} = y^{-1}$ and $x^{-1}y = x$, and so edges $[x, y]$, $[x^{-1}, y^{-1}]$, and $[x^{-1}, x] \in E(X_1)$. Thus in general (that is, except when, for example, $y = x^{-1}$ in (3-9)), each identity (3-8) gives rise to three edges in X_1 . One observes, moreover, that vertices x and x^{-1} always have the same valence in X_1 . This valence will be denoted by $\rho_1(x)$.

The foregoing remarks are meant to assist the reader in checking the graphs X_1 in the various figures corresponding to various families of groups (3-1) presently to be considered. Solid lines indicate edges always present in X_1 . Hatched lines represent additional edges present in particular cases to be indicated.

PROPOSITION 3.2. *If $k^2 \not\equiv 1 \pmod r$, then G is in Class I.*

Proof. The cases $k \equiv \pm 1 \pmod r$ are excluded here. Thus $r \geq 5$. (All non-abelian groups (3-1) for which s is odd are covered by this proposition. Also covered are the K -metacyclic groups of Coxeter and Moser [2, p. 11].)

Case 1: $s \geq 5$. Let H consist of elements a, b, b^2, ba, ba^{-k} and their respective inverses $a^{-1}, b^{-1}, b^{-2}, b^{-1}a^{-ks-1}, b^{-1}a$.

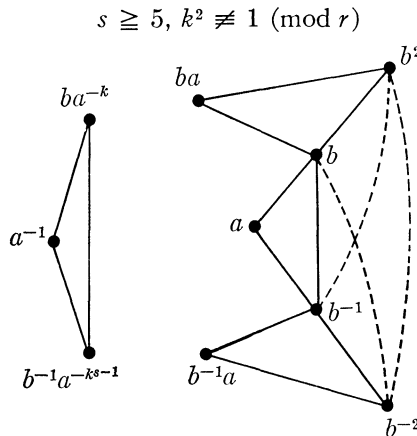


FIGURE 1

It is straightforward (though admittedly rather tedious) to verify that the graph of Figure 1 shows the entire graph X_1 with respect to a given set H (where the hatched lines represent edges present if and only if $s = 5$) except under the following two special conditions.

If

$$(3-10) \quad 2k \equiv -1 \pmod{r}$$

holds, then $a^{-1}(ba^{-k}) = ba^{-2k} = ba$. By the argument preceding this Proposition, X_1 contains three additional edges

$$(3-11) \quad [a^{-1}, ba], [b^{-1}a, b^{-1}a^{-ks-1}], \text{ and } [a, ba^{-k}].$$

If

$$(3-12) \quad k \equiv -2 \pmod{r},$$

then $(b^{-1}a)(ba) = (b^{-1}ab)a = a^{k+1} = a^{-1}$ and so X_1 contains three additional edges

$$(3-13) \quad [b^{-1}a, a^{-1}], [a, b^{-1}a^{-ks-1}], \text{ and } [ba, ba^{-k}].$$

(The lengthy but elementary demonstration that no other congruence (3-8) in H can obtain under the assumptions is omitted.)

Not both (3-10) and (3-12) can hold simultaneously since $r > 3$.

Referring back to Figure 1, vertices b and b^{-1} alone have valence 4 in X_1 if $s > 5$ (valence 5 if $s = 5$). The valence in X_1 of any other vertex is ≤ 3 if $s > 5$ (≤ 4 if $s = 5$), independent of whether (3-10) or (3-12) holds. Thus if $\varphi \in A(X_1)$, φ either fixes or interchanges b and b^{-1} . Since a is the unique vertex adjacent to both b and b^{-1} if $s > 5$ (the vertex of least valence adjacent to b and b^{-1} if $s = 5$), $\varphi(a) = a$.

For any extension $\bar{\varphi} \in A_1$ of any $\varphi \in A(X_1)$, $\bar{\varphi}(a) = a$, and $\bar{\varphi}(b) = b$ or b^{-1} . Since $k^2 \not\equiv 1 \pmod{r}$, $b^2 \notin Z(a)$. Thus $\bar{\varphi}(b) = b$ for all $\bar{\varphi} \in A_1$, by Proposition 2.5. Since $G = \langle a, b \rangle$, G is in Class I by Corollary 2.4.

Case 2: $s = 3$. The procedure is not unlike that of Case 1. The generator b^2 is now redundant and so H is simply

$$H = \{a, a^{-1}, b, b^{-1}, ba, b^{-1}a^{-k^2}, ba^{-k}, b^{-1}a\}.$$

Elements of G not in $\langle a \rangle$, being of order 3, are now adjacent to their inverses in X . The edge $[ba^{-k}, b^{-1}a^{-ks-1}]$ induced by b^2 in Case 1 is now induced by b^{-1} and so the additional edges $[b, b^{-1}a]$ and $[ba, b^{-1}]$ are also present. The graph of Figure 1 now assumes the form of Figure 2.

Observe in Figure 2 that the edge $[b, b^{-1}]$ lies on three triangles while no other edge lies on more than one. Even if one of the congruences (3-10) or (3-12) were to hold (and, as before, at most one of them can hold), no other edge of X_1 would lie on more than two triangles. If $\varphi \in A(X_1)$, then φ must fix this edge $[b, b^{-1}]$. Hence φ must permute the three vertices $a, ba, b^{-1}a$ (which are adjacent to both b and b^{-1}) among themselves. Whether or not

$$s = 3, k^2 \not\equiv 1 \pmod{r}$$

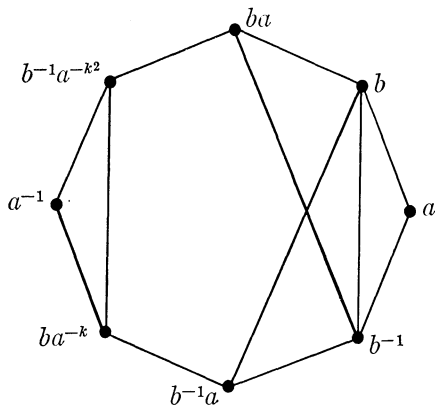


FIGURE 2

(3-10) or (3-12) holds, $\rho_1(a)$ is one less than $\rho_1(ba)$ and $\rho_1(b^{-1}a)$. Hence $\varphi(a) = a$. The final paragraph of Case 1 can now be applied to G .

Case 3: $s = 4$. If neither (3-10) nor (3-12) holds, let

$$H = \{a, a^{-1}, b, b^{-1}, ba, b^{-1}a^{-k^3}, ba^{-k}, b^{-1}a\}.$$

Then X_1 has the form of Figure 3(a) whence it is obvious for all $\varphi \in A(X_1)$ that $\varphi(a) = a$ and $\varphi(b) = b$ or b^{-1} .

$$k^2 \not\equiv 1 \pmod{r}; s = 4$$

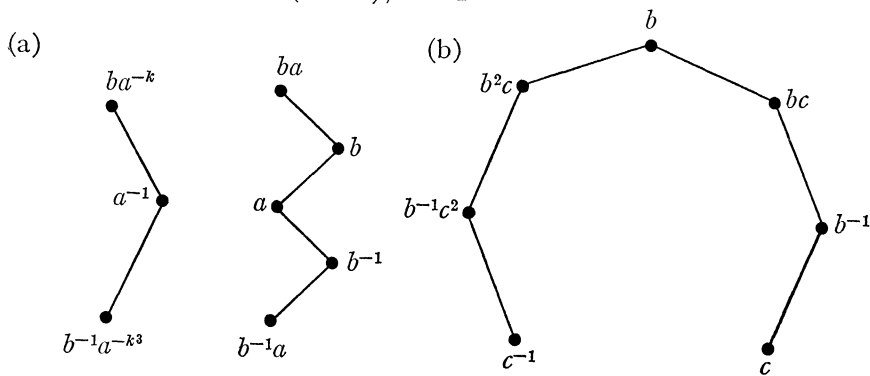


FIGURE 3

We continue then exactly as in Case 1.

If one of these congruences does hold, however, the method of Case 1 is unsuitable. Instead we begin by demonstrating that r must be a divisor of 15.

First assume that (3-12) holds. By (3-3), $16 = k^4 \equiv 1 \pmod{r}$, whence $r|15$. If (3-10) holds, then $k^4 \equiv \pm 2k \pmod{r}$, and by (3-2), $k^3 \equiv \pm 2 \pmod{r}$.

Let $c = b^{-1}$. Then $G = \langle a, c \rangle$ with $a^r = c^4 = 1$ and $c^{-1}ac = bab^{-1} = a^{k^3} = a^{\pm 2}$. By (3-3), $16 = (\pm 2)^4 \equiv 1 \pmod{r}$, and again $r|15$. Since $k^2 \not\equiv 1 \pmod{r}$, either

$$(3-14) \quad r = 5; k = 2$$

or

$$(3-15) \quad r = 15; k = 2 \text{ or } 7.$$

First consider the K -metacyclic group (see [2, p. 11])

$$F = \langle c, b | c^5 = b^4 = 1; b^{-1}cb = c^2 \rangle$$

for which (3-14) applies and choose generating set

$$(3-16) \quad H = \{c, c^{-1}, b, b^{-1}, bc, b^{-1}c^2, b^2c\}.$$

(Note that $(b^2c)^2 = 1$.) The subgraph X_1 of $X_{G,H}$ thus formed is shown in Figure 3(b) to be an arc of even length. As the midpoint of the arc, b is fixed under $A(X_1)$. Hence b^{-1} is fixed under A_1 , by Proposition 2.2. But then A_1 is trivial and F is in Class I.

Now let G be described by (3-15). Set $c = a^3$ and observe that F is the subgroup $\langle a^3, b \rangle$. To the set H of (3-16) adjoin elements a and a^{-1} . The effect on X_1 is to adjoin two isolated vertices but no new edges. If some $\varphi \in A_1$ interchanges a and a^{-1} , then φ interchanges c and c^{-1} by Proposition 2.2. However c is a fixed-point of A_1 by the foregoing argument, and G is in Class I, proving the proposition.

If $k^2 \equiv 1 \pmod{r}$, then (3-3) implies that s must be even and $b^2 \in Z(a)$.

PROPOSITION 3.3. *If $k^2 \equiv 1 \pmod{r}$ but $k \not\equiv -1 \pmod{r}$, then G is in Class I except when G is the group $\langle a, b | a^8 = b^2 = 1; bab = a^5 \rangle$.*

Proof. By hypothesis, $r \geq 8$ and $k \not\equiv \pm 1 \pmod{r}$. Assume that G is not the above-excluded group of order 16.

Case 1: $s = 2$. If one defines

$$H = \{a, a^{-1}, a^2, a^{-2}, b, ba^{-1}, ba^k\},$$

the graph X_1 assumes the form of Figure 4.

$$k^2 \equiv 1 \pmod{r}, k \not\equiv -1 \pmod{r}, s = 2$$

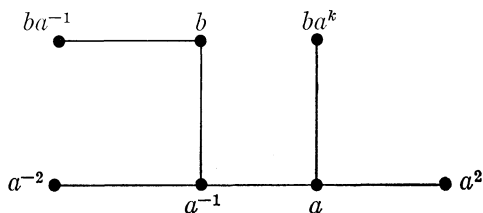


FIGURE 4

Since a is the only vertex of X_1 adjacent to two vertices of valence 1, $\varphi(a) = a$ for all $\varphi \in A(X_1)$. Since b is the only vertex with $\rho_1(b) = 2$, $\varphi(b) = b$ for all $\varphi \in A(X_1)$. Thus any $\varphi \in A_1$ fixes a and b , and G is in *Class I* by Corollary 2.4.

(Remarks. The semi-dihedral groups are covered by the present case. When G is the excluded group, $3k \equiv -1 \pmod r$ obtains, introducing additional identities such as $a^2(ba^k) = ba^{3k} = a^{-1}$, etc.)

Case 2: $s \geq 8$. Let H consist of a, a^2, b, b^2, b^3, ba and their inverses. Note that $(ba)^{-1} = b^{-1}a^{-k}$. Then X_1 has the form of Figure 5, where the hatched lines represent edges present when $s = 8$.

$$k^2 \equiv 1 \pmod r; k \not\equiv -1 \pmod r, s \geq 8$$

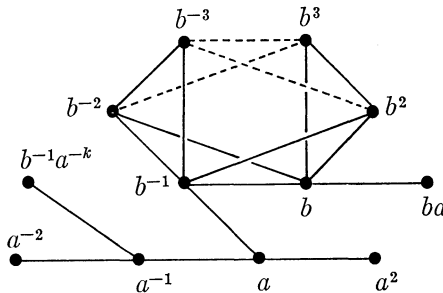


FIGURE 5

If $\varphi \in A(X_1)$, then $\varphi(a^{-1}) = a^{-1}$ since a^{-1} is the only vertex of X_1 adjacent to two vertices of valence 1. By Proposition 2.2, $\varphi(a) = a$ and so $\varphi(b^{-1}) = b^{-1}$. Since $\langle a, b^{-1} \rangle = G$, G is in *Class I*.

Case 3: $s = 6$. The procedure is identical to that of Case 2 except that the vertices b^3 and b^{-3} coalesce.

Case 4: $s = 4$. Take

$$H = \{a, a^{-1}, a^2, a^{-2}, b, b^{-1}, b^2, ba, b^{-1}a^{-k}\}.$$

Now X_1 has the form of Figure 6.

$$k^2 \equiv 1 \pmod r, k \not\equiv -1 \pmod r, s = 4$$

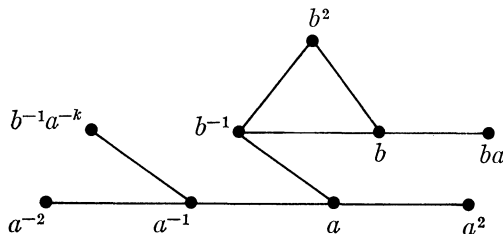


FIGURE 6

The argument is identical to that of Case 2.

The proof of the proposition is complete.

It remains to consider the case where $k \equiv -1 \pmod{r}$. If $s = 2$, then G is dihedral (see 1.8 above). If $s = 4$, then G is in *Class II* as already mentioned. Thus the following proposition is all that is required to complete the proof of Theorem 2.

PROPOSITION 3.4. *If $k \equiv -1 \pmod{r}$ and $s \geq 6$, then G is in *Class I*.*

Remark. When $k \equiv -1 \pmod{r}$ and $s \equiv 2 \pmod{4}$, then, as noted in [2, p. 10], $G = D_r \times C_{s/2}$. If $r \geq 6$, then D_r is in *Class I* (see 1.8) and so when $s \geq 10$, G is also in *Class I* by Theorem 1. Our method of proving Proposition 3.4 will be sufficiently general, however, so that this argument will not be required.

Proof. By assumption, $r \geq 3$. If $s \geq 8$, let H consist of a, b, b^3, ba, b^2a and their inverses $a^{-1}, b^{-1}, b^{-3}, b^{-1}a, b^{-2}a^{-1}$, respectively. Then X_1 has the form of Figure 7, where edge $[a, a^{-1}]$ is present if and only if $r = 3$.

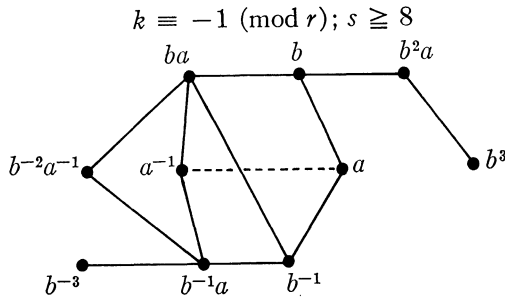


FIGURE 7

If $s = 6$, let H be the same with the understanding that b^3 and b^{-3} are identified. The effect on X_1 (Figure 7) is only to coalesce the two vertices so labeled. If both $r = 3$ and $s = 6$, then b^2a is adjacent to its inverse $b^{-2}a^{-1}$.

Since ba and $b^{-1}a$ are the only vertices of X_1 with valence 4, every $\varphi \in A(X_1)$ either fixes or interchanges them.

When $r > 3$, b^{-1} is the only vertex of valence 3 adjacent to both ba and $b^{-1}a$ and so b^{-1} is fixed under $A(X_1)$. By Proposition 2.2, so is b . Since a is the unique vertex of valence < 4 adjacent to both b and b^{-1} , a is also a fixed-point of $A(X_1)$.

When $r = 3$ and $s > 6$, $b^{-2}a^{-1}$ is the unique vertex in X_1 of valence 2 adjacent to both ba and $b^{-1}a$, and so $b^{-2}a^{-1}$ is fixed by $A(X_1)$. By Proposition 2.2, b^2a is also a fixed-point. But then b is a fixed-point since it is the unique vertex of valence 3 adjacent to b^2a . Finally a is fixed, being the unique vertex of valence 3 adjacent to b .

If $s = 6$, then b^3 is fixed, being the unique vertex of valence 2. Its neighbors b^2a and $b^{-1}a$, being of different valences, are therefore each fixed. But $\{b^3, b^{-1}a\}$ generates G since $b = b^3(b^{-1}a)^2$ and $a = b(b^{-1}a)$.

Thus a and b are fixed under $A(X_1)$, and the argument can proceed as in the previous cases, giving that G is in Class I.

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