

COMPLEXES OF COUSIN TYPE AND MODULES OF GENERALIZED FRACTIONS

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0. Introduction

Let \mathbf{R} be a commutative (Noetherian) ring, \mathbf{M} an \mathbf{R} -module and let $\mathcal{F} = (\mathbf{F}_i)_{i \geq 0}$ be a filtration of $\text{Spec}(\mathbf{R})$ which admits \mathbf{M} .

A complex of \mathbf{R} -modules is said to be of Cousin type if it satisfies the four conditions of ([GO], 3.2) which are reproduced below (Definition (1.5)). In ([RSZ], 3.4), Riley, Sharp and Zakeri proved that the complex, which is constructed from a chain of special triangular subsets defined in terms of \mathcal{F} (Example (1.3)(3)), is of Cousin type for \mathbf{M} with respect to \mathcal{F} (Corollary (3.5)(2)). Gibson and O'carroll ([GO], 3.6) showed that the complex, which is obtained by means of a chain $\mathcal{U} = (\mathbf{U}_i)_{i \geq 1}$ of saturated triangular subsets and the filtration $\mathcal{G} = (\mathbf{G}_i)_{i \geq 0}$ induced by \mathcal{U} and \mathbf{M} , is of Cousin type for \mathbf{M} with respect to \mathcal{G} (Corollary (3.5)(3)).

The purpose of this paper is to show that, when the complex is defined by a chain of triangular subsets, one can give a simpler criterion, consisting of only two conditions, for being of Cousin type (Theorem (3.1) and Corollary (3.2)). In fact, we prove that, for every complex induced by a chain of triangular subsets, the first and the second conditions of the definition of Cousin type hold (Remark (2.5)).

In ([RSZ], 3.3), Riley, Sharp and Zakeri proved that every complex of Cousin type for \mathbf{M} with respect to \mathcal{F} is isomorphic to the Cousin complex. Hence when we investigate the structure of a complex of Cousin type, it is useful to study the complex $\mathbf{C}(\mathcal{U}, \mathbf{M})$ of Cousin type which is constructed from special modules of generalized fractions (Corollary (3.5)) whose properties are well known.

We also get a refinement of the Exactness theorem ([SZ2], 3.3 and [O], 3.1) in our Proposition (2.13).

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1. Preliminaries

Throughout this paper, \mathbf{R} is a commutative ring with identity and \mathbf{M} is an \mathbf{R} -module. We use T to denote matrix transpose and $\mathbf{D}_n(\mathbf{R})$ to denote the set of all $n \times n$ lower triangular matrices over \mathbf{R} . For $\mathbf{H} \in \mathbf{D}_n(\mathbf{R})$, $|\mathbf{H}|$ denotes the determinant of \mathbf{H} . \mathbf{N} denotes the set of positive integers.

DEFINITION (1.1) ([SZ1], 2.1). Let n be a positive integer. A non-empty subset \mathbf{U}_n of \mathbf{R}^n is said to be *triangular* if

- (i) whenever $(a_1, \dots, a_n) \in \mathbf{U}_n$, then $(a_1^{\alpha_1}, \dots, a_n^{\alpha_n}) \in \mathbf{U}_n$ for all choices of positive integers $\alpha_1, \dots, \alpha_n$; and
- (ii) whenever (a_1, \dots, a_n) and $(b_1, \dots, b_n) \in \mathbf{U}_n$, then there exist $(c_1, \dots, c_n) \in \mathbf{U}_n$ and $\mathbf{H}, \mathbf{K} \in \mathbf{D}_n(\mathbf{R})$ such that $\mathbf{H}[a_1 \dots a_n]^T = [c_1 \dots c_n]^T = \mathbf{K}[b_1 \dots b_n]^T$.

DEFINITION (1.2) ([S4], 1.1 and 1.2). Let \mathbf{R} be a ring and \mathbf{M} an \mathbf{R} -module. A *filtration* of $\text{Spec}(\mathbf{R})$ is a descending sequence $\mathcal{F} = (\mathbf{F}_i)_{i \geq 0}$ of subsets of $\text{Spec}(\mathbf{R})$, so that

$$\text{Spec}(\mathbf{R}) \supset \mathbf{F}_0 \supset \mathbf{F}_1 \supset \dots \supset \mathbf{F}_i \supset \mathbf{F}_{i+1} \supset \dots,$$

with the property that, for each $i \geq 0$, each member of $\mathbf{F}_i \setminus \mathbf{F}_{i+1}$ is a minimal member of \mathbf{F}_i with respect to inclusion. We then set $\partial \mathbf{F}_i = \mathbf{F}_i \setminus \mathbf{F}_{i+1}$. We say that the filtration \mathcal{F} admits an \mathbf{R} -module \mathbf{M} if $\text{Supp}(\mathbf{M}) \subset \mathbf{F}_0$. Let $\mathcal{F}_{\mathbf{M}} = (\mathbf{F}_{\mathbf{M}i})_{i \geq 0}$ be the \mathbf{M} -height filtration of $\text{Spec}(\mathbf{R})$, i.e., $\mathbf{F}_{\mathbf{M}i} = \{\mathfrak{p} \in \text{Supp}(\mathbf{M}) : \text{ht}_{\mathbf{M}} \mathfrak{p} \geq i\}$.

We say that a sequence of elements a_1, \dots, a_n of \mathbf{R} is a *poor \mathbf{M} -sequence* if a_i is not a zerodivisor on $\mathbf{M}/(a_1, \dots, a_{i-1})\mathbf{M}$ for each $i = 1, \dots, n$; it is an *\mathbf{M} -sequence* if, in addition, $\mathbf{M} \neq (a_1, \dots, a_n)\mathbf{M}$.

EXAMPLE (1.3). Let \mathbf{R} be a Noetherian ring. Then the following five non-empty sets are triangular subsets of \mathbf{R}^n .

- (1) ([SZ1], 3.10) Let \mathbf{M} be a finitely generated \mathbf{R} -module.
 $(\mathbf{U}_{\mathbf{M}})_n = \{(a_1, \dots, a_n) \in \mathbf{R}^n : a_1, \dots, a_n \text{ forms a poor } \mathbf{M}\text{-sequence}\}$.
- (2) (cf. [SZ2], 5.2) Suppose that \mathbf{M} is a finitely generated \mathbf{R} -module.
 $(\mathbf{U}_{\mathbf{h}})_n = \{(a_1, \dots, a_n) \in \mathbf{R}^n : \text{ht}_{\mathbf{M}}(a_1, \dots, a_i)\mathbf{R} \geq i \quad (1 \leq i \leq n)\}$.
- (3) ([RSZ], 2.3) Assume that \mathbf{M} is an \mathbf{R} -module such that $\text{Ass}(\mathbf{M})$ contains only finitely many minimal members.
 $(\mathbf{U}_{\mathfrak{h}})_n = \{(a_1, \dots, a_n) \in \mathbf{R}^n : \text{for each } i = 1, \dots, n, \quad (a_1, \dots, a_i)\mathbf{R} \not\subset \mathfrak{p} \text{ for all } \mathfrak{p} \in \partial \mathbf{F}_{i-1} \cap \text{Supp}(\mathbf{M})\}$.
- (4) ([C], 1.1) Suppose that \mathbf{M} is a finitely generated \mathbf{R} -module of dimension d .

$(U_s)_n = \{(a_1, \dots, a_n) \in \mathbf{R}^n : \dim \mathbf{M}/(a_1, \dots, a_i)\mathbf{M} = d - i \quad (1 \leq i \leq n)\}$.

(5) ([C], 1.2) Suppose that $(\mathbf{R}, \mathfrak{m})$ is a local ring and \mathbf{M} is a finitely generated \mathbf{R} -module.

$(U_f)_n = \{(a_1, \dots, a_n) \in \mathbf{R}^n : a_1, \dots, a_n \text{ is an } f\text{-regular sequence (See [SV], p. 252) with respect to } \mathbf{M}\}$.

$= \{(a_1, \dots, a_n) \in \mathbf{R}^n : \frac{a_1}{1}, \dots, \frac{a_n}{1} \text{ in } \mathbf{R}_\mathfrak{p} \text{ forms an } \mathbf{M}_\mathfrak{p}\text{-sequence for all } \mathfrak{p} \in \text{Supp}(\mathbf{M}) \setminus \{\mathfrak{m}\} \text{ such that } (a_1, \dots, a_n)\mathbf{R} \subset \mathfrak{p}\}$.

For a given triangular subset U_n of \mathbf{R}^n , let $\bar{U}_n = \{(a_1, \dots, a_i, 1, \dots, 1) \in \mathbf{R}^n : \text{for all } i \ (0 \leq i \leq n), \exists a_{i+1}, \dots, a_n \in \mathbf{R} \text{ s.t. } (a_1, \dots, a_i, a_{i+1}, \dots, a_n) \in U_n\}$. This is a triangular subset of \mathbf{R}^n and is called the *expansion* of U_n ([SZ1], p. 38). Then, by ([SZ1], 3.2), we may assume without loss of the generality that U_n is *expanded*, i.e., $U_n = \bar{U}_n$, when we consider the module of generalized fractions for \mathbf{M} with respect to U_n . So, from now on, we assume that every triangular subset is expanded by means of the expansion of itself.

For a fixed non-negative integer n , $U_{n+1}^{-n-1} \mathbf{M}$ denotes the module of generalized fractions of \mathbf{M} with respect to U_{n+1} ([SZ1]). The other notation and terminology about the module of generalized fractions follow ([SZ1]).

DEFINITION (1.4) ([RSZ], p. 52). Let \mathbf{R} be a ring. A family $\mathcal{U} = (U_i)_{i \geq 1}$ is called a *chain of triangular subsets* on \mathbf{R} if the following conditions are satisfied:

- (i) U_i is a triangular subset of \mathbf{R}^i for all $i \in \mathbf{N}$;
- (ii) $(1) \in U_1$;
- (iii) whenever $(a_1, \dots, a_i) \in U_i$ with $i \in \mathbf{N}$, then $(a_1, \dots, a_i, 1) \in U_{i+1}$; and
- (iv) whenever $(a_1, \dots, a_i) \in U_i$ with $1 < i \in \mathbf{N}$, then $(a_1, \dots, a_{i-1}) \in U_{i-1}$.

Each U_i leads to a module of generalized fractions $U_i^{-i} \mathbf{M}$ and we can obtain a complex

$$0 \xrightarrow{e^{-1}} \mathbf{M} \xrightarrow{e^0} U_1^{-1} \mathbf{M} \xrightarrow{e^1} U_2^{-2} \mathbf{M} \rightarrow \dots \rightarrow U_i^{-i} \mathbf{M} \xrightarrow{e^i} U_{i+1}^{-i-1} \mathbf{M} \rightarrow \dots,$$

denoted by $C(\mathcal{U}, \mathbf{M})$, for which $e^0(m) = \frac{m}{(1)}$ for all $m \in \mathbf{M}$ and

$$e^i\left(\frac{x}{(a_1, \dots, a_i)}\right) = \frac{x}{(a_1, \dots, a_i, 1)}$$

for all $i \in \mathbf{N}$, $x \in \mathbf{M}$ and $(a_1, \dots, a_i) \in U_i$.

$H_U^i(\mathbf{M})$ denotes the i -th cohomology group of $C(\mathcal{U}, \mathbf{M})$. That is $H_U^i(\mathbf{M}) = \text{Ker } e^i / \text{Im } e^{i-1}$.

DEFINITION (1.5) ([GO], 3.2). Let \mathbf{R} be a Noetherian ring and \mathbf{M} an \mathbf{R} -module. Let $\mathcal{F} = (\mathbf{F}_i)_{i \geq 0}$ be a filtration of $\text{Spec}(\mathbf{R})$ that admits \mathbf{M} . A complex $\mathbf{X}^\bullet = \{\mathbf{X}^i : i \geq -2\}$ of \mathbf{R} -modules and \mathbf{R} -homomorphisms is said to be of *Cousin type for \mathbf{M}* with respect to \mathcal{F} if it has the form

$$0 \rightarrow \mathbf{M} \xrightarrow{d^{-2}} \mathbf{X}^0 \xrightarrow{d^{-1}} \mathbf{X}^1 \rightarrow \dots \rightarrow \mathbf{X}^t \xrightarrow{d^t} \mathbf{X}^{t+1} \rightarrow \dots$$

and satisfies the following, for each $n \in \mathbf{N} \cup \{0\}$,

- (i) $\text{Supp}(\mathbf{X}^n) \subset \mathbf{F}_n$;
- (ii) $\text{Supp}(\text{Coker } d^{n-2}) \subset \mathbf{F}_n$;
- (iii) $\text{Supp}(\text{Ker } d^{n-1} / \text{Im } d^{n-2}) \subset \mathbf{F}_{n+1}$; and
- (iv) The natural \mathbf{R} -homomorphism $\xi(\mathbf{X}^n) : \mathbf{X}^n \rightarrow \bigoplus_{\mathfrak{p} \in \partial \mathbf{F}_n} (\mathbf{X}^n)_{\mathfrak{p}}$, such that, for $x \in \mathbf{X}^n$ and $\mathfrak{p} \in \partial \mathbf{F}_n$, the component of $\xi(\mathbf{X}^n)(x)$ in the summand $(\mathbf{X}^n)_{\mathfrak{p}}$ is $x/1$, is an isomorphism.

LEMMA (1.6). Let \mathbf{R} be a ring and \mathbf{M} an \mathbf{R} -module. Let \mathbf{U}_n be an expanded triangular subset of \mathbf{R}^n . Let (a_1, \dots, a_n) and (b_1, \dots, b_n) be elements of \mathbf{U}_n such that $\mathbf{H}[a_1 \dots a_n]^T = [b_1 \dots b_n]^T$ for some $\mathbf{H} \in \mathbf{D}_n(\mathbf{R})$. Then we have

(1) ([SZ1], 2.8 and 3.3(i)) $\frac{m}{(a_1, \dots, a_n)} = \frac{|\mathbf{H}| m}{(b_1, \dots, b_n)}$ and $\frac{a_n m}{(a_1, \dots, a_n)} = \frac{m}{(a_1, \dots, a_{n-1}, 1)}$ in $\mathbf{U}_n^{-n} \mathbf{M}$.

(2) ([SZ1], 3.3(ii) and [SY], 2.2) If $m \in (a_1, \dots, a_{n-1})\mathbf{M}$ then $\frac{m}{(a_1, \dots, a_n)} = 0$ in $\mathbf{U}_n^{-n} \mathbf{M}$. In particular, if each element of \mathbf{U}_n is a poor \mathbf{M} -sequence, then the converse holds.

(3) ([SZ2], 5.1 and [SZ3], 2.1) $\text{Ann}_{\mathbf{R}}\left(\frac{m}{(a_1, \dots, a_n)}\right) = \text{Ann}_{\mathbf{R}}\left(\frac{m}{(a_1, \dots, a_{n-1}, 1)}\right)$.

LEMMA (1.7) ([C], 2.4). Let $(\mathbf{R}, \mathfrak{m})$ be a Noetherian local ring and let \mathbf{M} be a finitely generated \mathbf{R} -module of dimension d . Let $(\mathbf{U}_s)_{d+1}$ be the expansion of the triangular subset $\{(a_1, \dots, a_d, 1) \in \mathbf{R}^{d+1} : \dim \mathbf{M}/(a_1, \dots, a_d)\mathbf{M} = 0\}$. Let $\{x_1, \dots, x_d\}$ be a fixed system of parameters for \mathbf{M} . Then we have

$$(\mathbf{U}_s)_{d+1}^{-d-1} \mathbf{M} \cong \mathbf{U}(x)_d [1]^{-d-1} \mathbf{M} \cong \mathbf{H}_{\mathfrak{m}}^d(\mathbf{M}),$$

where $\mathbf{U}(x)_d [1] = \{(x_1^{\alpha_1}, \dots, x_d^{\alpha_d}, 1) \in \mathbf{R}^{d+1} : \text{there is } i (0 \leq i \leq d) \text{ such that } \alpha_1, \dots, \alpha_i \in \mathbf{N} \text{ and } \alpha_{i+1} = \dots = \alpha_d = 0\}$.

LEMMA (1.8) ([GO], 3.4). *Let \mathbf{R} be a ring. For a positive integer n , suppose that $\frac{m}{(a_1, \dots, a_n, 1)} = 0$ in $\mathbf{U}_{n+1}^{-n-1} \mathbf{M}$. Then there exist $(b_1, \dots, b_{n+1}) \in \mathbf{U}_{n+1}$ and $\mathbf{H} \in \mathbf{D}_n(\mathbf{R})$ such that $\mathbf{H}[a_1 \dots a_n]^T = [b_1 \dots b_n]^T$ and $b_{n+1} \mid \mathbf{H} \mid m \in (b_1, \dots, b_n) \mathbf{M}$.*

LEMMA (1.9) ([GO], 3.3 and [SY], 2.7). *Let \mathbf{R} be a ring and \mathbf{M} an \mathbf{R} -module. Let $\mathcal{U} = (\mathbf{U}_i)_{i \geq 1}$ be a chain of triangular subsets on \mathbf{R} . Then in $\mathbf{C}(\mathcal{U}, \mathbf{M})$, for all $n \in \mathbf{N}$*

$$\text{Coker } e^{n-1} \cong \mathbf{U}_n^{-n} \mathbf{M} / \text{Im } e^{n-1} \cong \mathbf{U}_n[1]^{-n-1} \mathbf{M},$$

where $\mathbf{U}_n[1] = \{(a_1, \dots, a_n, 1) \in \mathbf{R}^{n+1} : (a_1, \dots, a_n) \in \mathbf{U}_n\}$.

2. Associated prime ideals of modules of generalized fractions

LEMMA (2.1). *Let \mathbf{R} be a ring and \mathbf{M} an \mathbf{R} -module. Fix a positive integer n . Let \mathbf{U}_n be a triangular subsets of \mathbf{R}^n . Let $0 \neq \frac{m}{(a_1, \dots, a_n)} \in \mathbf{U}_n^{-n} \mathbf{M}$. Then we have, for all $(b_1, \dots, b_n) \in \mathbf{U}_n$,*

$$(b_1, \dots, b_n) \mathbf{R} \not\subset \left(0 : \frac{m}{(a_1, \dots, a_n)}\right).$$

Proof. Suppose that for some $(b_1, \dots, b_n) \in \mathbf{U}_n$

$$(b_1, \dots, b_n) \mathbf{R} \subset \left(0 : \frac{m}{(a_1, \dots, a_n)}\right).$$

Then by the definition of triangular subset there are $(c_1, \dots, c_n) \in \mathbf{U}_n$ and $\mathbf{H}, \mathbf{K} \in \mathbf{D}_n(\mathbf{R})$ such that $\mathbf{H}[a_1 \dots a_n]^T = [c_1 \dots c_n]^T = \mathbf{K}[b_1 \dots b_n]^T$. Hence we get $(c_1, \dots, c_n) \mathbf{R} \subset (b_1, \dots, b_n) \mathbf{R}$.

On the other hand, by Lemma (1.6)(1)(3) we have

$$\begin{aligned} \left(0 : \frac{m}{(a_1, \dots, a_n)}\right) &= \left(0 : \frac{|\mathbf{H} \mid m}{(c_1, \dots, c_n)}\right) = \left(0 : \frac{|\mathbf{H} \mid m}{(c_1, \dots, c_{n-1}, 1)}\right) \\ &\supset (b_1, \dots, b_n) \mathbf{R} \supset (c_1, \dots, c_n) \mathbf{R}. \end{aligned}$$

Therefore we have the following contradiction.

$$\frac{c_n | \mathbf{H} | m}{(c_1, \dots, c_n)} = \frac{| \mathbf{H} | m}{(c_1, \dots, c_{n-1}, 1)} = 0.$$

From now on, we suppose that $\mathbf{U}_0[1]^{-1} \mathbf{M} = \mathbf{M}$, $\mathbf{U}_0^0 \mathbf{M} = \mathbf{M}$ and n is a non-negative integer.

LEMMA (2.2). *Let \mathbf{R} and \mathbf{M} be as above. Then in $\mathbf{C}(\mathcal{U}, \mathbf{M})$ we have*

$$\text{Supp}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \subset \text{Supp}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) \subset \text{Supp}(\mathbf{U}_n^{-n} \mathbf{M}).$$

Proof. For the first half, this follows from the following short exact sequence

$$\begin{array}{ccccccc} 0 \rightarrow & \text{Ker } e^n / \text{Im } e^{n-1} & \rightarrow & \mathbf{U}_n^{-n} \mathbf{M} / \text{Im } e^{n-1} & \rightarrow & \mathbf{U}_n^{-n} \mathbf{M} / \text{Ker } e^n & \rightarrow 0, \\ & & & \parallel & & \parallel & \\ & & & \mathbf{U}_n[1]^{-n-1} \mathbf{M} & & \text{Im } e^n & \end{array}$$

since $\text{Supp}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) = \text{Supp}(\text{Im } e^n)$ by Lemma (1.6)(3).

For the second inclusion, it follows from Lemma (1.9) that

$$\text{Supp}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) = \text{Supp}(\mathbf{U}_n^{-n} \mathbf{M} / \text{Im } e^{n-1}) \subset \text{Supp}(\mathbf{U}_n^{-n} \mathbf{M}).$$

EXAMPLE (2.3). In general, $\text{Supp}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \neq \text{Supp}(\mathbf{U}_n[1]^{-n-1} \mathbf{M})$. Let $(\mathbf{R}, \mathfrak{m})$ be a Noetherian local ring. Suppose that \mathbf{M} is an f -module (see [SZ4], 1.8(ii)) of dimension d . Then $\text{Supp}((\mathbf{U}_f)_d[1]^{-d-1} \mathbf{M}) = \text{Supp}((\mathbf{U}_s)_{d+1}^{-d-1} \mathbf{M}) = \{\mathfrak{m}\}$. But $\text{Supp}((\mathbf{U}_f)_{d+1}^{-d-1} \mathbf{M}) = \emptyset$ by ([C], 2.3).

LEMMA (2.4). *Let \mathbf{R} and \mathbf{M} be as above. Then in $\mathbf{C}(\mathcal{U}, \mathbf{M})$ we have*

$$\text{Supp}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \subset \text{Supp}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) \subset \mathbf{F}_{M_n} \subset \mathbf{F}_n.$$

Proof. This follows from Lemma (2.2), ([HS], 3.1) and ([C], 2.7).

Remark (2.5). Lemma (2.4) shows that, for every complex $\mathbf{C}(\mathcal{U}, \mathbf{M})$, the first and the second conditions of the definition of Cousin type hold by Lemma (1.9).

LEMMA (2.6). *Let \mathbf{R} and \mathbf{M} be as above. Then in $\mathbf{C}(\mathcal{U}, \mathbf{M})$ we have the following.*

- (1) $\partial \mathbf{F}_n \cap \text{Supp}(\mathbf{M}) = (\cup_{i=0}^n \partial \mathbf{F}_{M_i}) \cap \partial \mathbf{F}_n.$
- (2) (cf. [ST], 2.7) $\partial \mathbf{F}_n \cap \text{Supp}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \subset \partial \mathbf{F}_n \cap \text{Supp}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) \subset \partial \mathbf{F}_n \cap \partial \mathbf{F}_{M_n}.$
- (3) $\partial \mathbf{F}_n \cap \partial \mathbf{F}_{M_n} = \cup_{q \in \partial \mathbf{F}_{n-1} \cap \partial \mathbf{F}_{M(n-1)}} (V(q) \cap \partial \mathbf{F}_n \cap \partial \mathbf{F}_{M_n}).$

Proof. (1) Let $\mathfrak{p} \in \partial \mathbf{F}_n \cap \text{Supp}(\mathbf{M}) \setminus \bigcup_{i=0}^n \partial \mathbf{F}_{M_i}$. Hence $\text{ht}_{\mathbf{M}} \mathfrak{p} > n$. Therefore there is $\mathfrak{q} \in \partial \mathbf{F}_{M_n} (\subset \mathbf{F}_n)$ such that $\mathfrak{q} \subseteq \mathfrak{p}$. That is, \mathfrak{p} is not minimal in \mathbf{F}_n .

(2) Since $\text{Supp}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \subset \text{Supp}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) \subset \mathbf{F}_{M_n}$, we have

$$\begin{aligned} \partial \mathbf{F}_n \cap \text{Supp}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) &\subset \partial \mathbf{F}_n \cap \text{Supp}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) \subset \partial \mathbf{F}_n \cap \text{Supp}(\mathbf{M}) \cap \mathbf{F}_{M_n} \\ &\subset \left(\bigcup_{i=0}^n \partial \mathbf{F}_{M_i} \right) \cap \partial \mathbf{F}_n \cap \mathbf{F}_{M_n} = \partial \mathbf{F}_n \cap \partial \mathbf{F}_{M_n} \end{aligned}$$

by (1).

(3) Let $\mathfrak{p} \in \partial \mathbf{F}_n$ and $\text{ht}_{\mathbf{M}} \mathfrak{p} = n$. Suppose that $\mathfrak{q} \notin \partial \mathbf{F}_{n-1}$ for some $\mathfrak{q} \in \text{Supp}(\mathbf{M})$ such that $\text{ht}_{\mathbf{M}} \mathfrak{q} = n - 1$ and $\mathfrak{q} \subseteq \mathfrak{p}$. Hence $\mathfrak{q} \in \mathbf{F}_n$, since $\partial \mathbf{F}_{n-1} = \mathbf{F}_{n-1} \setminus \mathbf{F}_n$ and $\mathbf{F}_{M(n-1)} \subset \mathbf{F}_{n-1}$. This contradicts that \mathfrak{p} is a minimal element in \mathbf{F}_n .

LEMMA (2.7). *Let \mathbf{R} be a ring and \mathbf{M} an \mathbf{R} -module. Then in $\mathbf{C}(\mathcal{U}, \mathbf{M})$, for each $\frac{m}{(a_1, \dots, a_n)} + \text{Im } e^{n-1} \in H_U^n(\mathbf{M})$, there are $(b_1, \dots, b_{n+1}) \in \mathbf{U}_{n+1}$ and $\mathbf{H} \in \mathbf{D}_n(\mathbf{R})$ such that $\mathbf{H}[a_1 \dots a_n]^T = [b_1 \dots b_n]^T$ and*

$$(b_1, \dots, b_{n+1})\mathbf{R} \subset \left(\text{Im } e^{n-1} : \frac{m}{(a_1, \dots, a_n)} \right).$$

Proof. Since $\frac{m}{(a_1, \dots, a_n)} \in \text{Ker } e^n$, we have $\frac{m}{(a_1, \dots, a_n, 1)} = 0$ in $\mathbf{U}_{n+1}^{-n-1} \mathbf{M}$. Hence by Lemma (1.8) there are $(b_1, \dots, b_{n+1}) \in \mathbf{U}_{n+1}$ and $\mathbf{H} \in \mathbf{D}_n(\mathbf{R})$ such that $\mathbf{H}[a_1 \dots a_n]^T = [b_1 \dots b_n]^T$ and $b_{n+1} \mid \mathbf{H} \mid m \in (b_1, \dots, b_n)\mathbf{M}$. Therefore we have

$$(b_1, \dots, b_{n+1})\mathbf{R} \subset \left(\text{Im } e^{n-1} : \frac{|\mathbf{H}| m}{(b_1, \dots, b_n)} \right) = \left(\text{Im } e^{n-1} : \frac{m}{(a_1, \dots, a_n)} \right).$$

LEMMA (2.8). *Let \mathbf{R} be a ring and \mathbf{M} an \mathbf{R} -module. Let $\mathcal{U} = (\mathbf{U}_i)_{i \geq 1}$ be a chain of triangular subsets on \mathbf{R} . Then in $\mathbf{C}(\mathcal{U}, \mathbf{M})$, for a fixed non-negative integer n , we have the following.*

- (1) $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \cap \text{Supp}(\mathbf{U}_{n+2+i}^{-n-2-i} \mathbf{M}) = \emptyset$ for all $i \geq 0$.
- (2) $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \cap \text{Supp}(\mathbf{U}_{n+1+i}[1]^{-n-2-i} \mathbf{M}) = \emptyset$ for all $i \geq 0$.
- (3) $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) = \text{Ass}(\text{Im } e^n) = \text{Ass}(\text{Ker } e^{n+1})$.
- (4) $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \cap \text{Supp}(H_U^{n+i}(\mathbf{M})) = \emptyset$ for all $i \geq 0$.
- (5) $\text{Ass}(H_U^n(\mathbf{M})) \subset \text{Ass}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) \subset \text{Ass}(H_U^n(\mathbf{M})) \cup \text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M})$.
- (6) If \mathbf{R} is Noetherian, then $\partial \mathbf{F}_n \cap \text{Ass}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) = (\partial \mathbf{F}_n \cap \text{Ass}(H_U^n(\mathbf{M}))) \cup (\partial \mathbf{F}_n \cap \text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}))$.
- (7) $\text{Ass}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) \cap \text{Ass}(\mathbf{U}_{n+1}[1]^{-n-2} \mathbf{M}) \subset \text{Ass}(H_U^n(\mathbf{M}))$.

Proof. (1) and (2) follow from Lemma (2.1) and Lemma (1.6)(2).

(3) Since $\text{Im } e^n \subset \text{Ker } e^{n+1} \subset \mathbf{U}_{n+1}^{-n-1} \mathbf{M}$, this follows from Lemma (1.6)(3).

(4) This follows from Lemma (2.1), Lemma (2.7) and Lemma (1.6)(2).

(5) The following short exact sequence and (3) complete the proof.

$$\begin{array}{ccccccc}
 0 & \rightarrow & \text{Ker } e^n / \text{Im } e^{n-1} & \rightarrow & \mathbf{U}_n^{-n} \mathbf{M} / \text{Im } e^{n-1} & \rightarrow & \mathbf{U}_n^{-n} \mathbf{M} / \text{Ker } e^n \rightarrow 0. \\
 (*) & & \parallel & & \parallel & & \parallel \\
 & & H_U^n(\mathbf{M}) & & \mathbf{U}_n[1]^{-n-1} \mathbf{M} & & \text{Im } e^n
 \end{array}$$

(6) By Lemma (2.4), we have

$$\partial \mathbf{F}_n \cap \text{Supp}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) = \partial \mathbf{F}_n \cap \text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \subset \partial \mathbf{F}_n \cap \text{Ass}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}).$$

Hence the assertion follows from (5).

(7) This follows from (1), (4) and (5).

Remark (2.9). If we also change associated prime to weakly associated in the sense of ([B], p. 289 ex. 17), then we can omit the Noetherian condition of Proposition (2.8)(6).

PROPOSITION (2.10). *Let \mathbf{R} and \mathbf{M} be as above. Assume that $\mathfrak{p} \in \text{Spec}(\mathbf{R})$. In $\mathcal{C}(\mathcal{U}, \mathbf{M})$, consider the following statements:*

- (i) For all $(a_1, \dots, a_{n+1}) \in \mathbf{U}_{n+1}$, $(a_1, \dots, a_{n+1})\mathbf{R} \not\subset \mathfrak{p}$;
- (ii) $(\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \cong (\mathbf{U}_n[1]^{-n-1} \mathbf{M})_{\mathfrak{p}}$;
- (ii') $(H_U^n(\mathbf{M}))_{\mathfrak{p}} = 0$ and $(\mathbf{U}_{n+1}[1]^{-n-2} \mathbf{M})_{\mathfrak{p}} = 0$;
- (iii) $(\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \cong (\text{Im } e^n)_{\mathfrak{p}}$;
- (iii') $(\mathbf{U}_{n+1}[1]^{-n-2} \mathbf{M})_{\mathfrak{p}} = 0$;
- (iii'') $(H_U^{n+1}(\mathbf{M}))_{\mathfrak{p}} = 0$ and $(\mathbf{U}_{n+2}^{-n-2} \mathbf{M})_{\mathfrak{p}} = 0$;
- (iv) $(\text{Ker } e^{n+1})_{\mathfrak{p}} \cong (\text{Im } e^n)_{\mathfrak{p}}$;
- (iv') $(\mathbf{U}_{n+1}[1]^{-n-2} \mathbf{M})_{\mathfrak{p}} \cong (\text{Im } e^{n+1})_{\mathfrak{p}}$.

Then we have the following.

- (1) (ii) \Leftrightarrow (ii').
- (2) (iii) \Leftrightarrow (iii') \Leftrightarrow (iii'').
- (3) (iv) \Leftrightarrow (iv').
- (4) (i) \Rightarrow (ii) \Rightarrow (iii) \Rightarrow (iv). *That is, if (i) holds, then*

$$(\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \cong (\mathbf{U}_n[1]^{-n-1} \mathbf{M})_{\mathfrak{p}} \cong (\text{Im } e^n)_{\mathfrak{p}} \cong (\text{Ker } e^{n+1})_{\mathfrak{p}}.$$

(5) *If $\mathfrak{p} \in \text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M})$, then the above four modules are isomorphic.*

(6) *If $\mathfrak{p} \notin \text{Supp}(\mathbf{U}_{n+2}^{-n-2} \mathbf{M})$, then (iv) \Rightarrow (iii).*

Proof. (1) Using the short exact exact sequence (*), we prove as follows.

(\Rightarrow) Assume that $(\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \cong (\mathbf{U}_n[1]^{-n-1} \mathbf{M})_{\mathfrak{p}}$. Then, from the following short exact sequence

$$0 \rightarrow \text{Im } e^{n-1} \rightarrow \mathbf{U}_n^{-n} \mathbf{M} \rightarrow \mathbf{U}_n^{-n} \mathbf{M} / \text{Im } e^{n-1} \rightarrow 0,$$

$$\parallel$$

$$\mathbf{U}_n[1]^{-n-1} \mathbf{M}$$

we have a commutative diagram with exact rows.

$$0 \rightarrow (\text{Im } e^{n-1})_{\mathfrak{p}} \rightarrow (\mathbf{U}_n^{-n} \mathbf{M})_{\mathfrak{p}} \rightarrow (\mathbf{U}_n[1]^{-n-1} \mathbf{M})_{\mathfrak{p}} \rightarrow 0$$

$$\parallel \qquad \qquad \parallel \qquad \qquad \parallel$$

$$0 \rightarrow (\text{Im } e^{n-1})_{\mathfrak{p}} \rightarrow (\mathbf{U}_n^{-n} \mathbf{M})_{\mathfrak{p}} \xrightarrow{e_{\mathfrak{p}}^n} (\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \rightarrow 0.$$

Therefore we get

$$(\text{Ker } e^n)_{\mathfrak{p}} = (\text{Im } e^{n-1})_{\mathfrak{p}}.$$

Hence, from the following short exact sequence

$$0 \rightarrow (H_U^n(\mathbf{M}))_{\mathfrak{p}} \rightarrow (\mathbf{U}_n[1]^{-n-1} \mathbf{M})_{\mathfrak{p}} \rightarrow (\text{Im } e^n)_{\mathfrak{p}} \rightarrow 0$$

$$\parallel \qquad \qquad \parallel$$

$$0 \qquad \qquad (\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}}$$

induced from the short exact sequence (*), we have

$$(\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \cong (\mathbf{U}_n[1]^{-n-1} \mathbf{M})_{\mathfrak{p}} \cong (\text{Im } e^n)_{\mathfrak{p}}.$$

Therefore from the following short exact sequence

(**)

$$0 \rightarrow \text{Im } e^n \rightarrow \mathbf{U}_{n+1}^{-n-1} \mathbf{M} \rightarrow \mathbf{U}_{n+1}[1]^{-n-2} \mathbf{M} \rightarrow 0$$

we have

$$(\mathbf{U}_{n+1}[1]^{-n-2} \mathbf{M})_{\mathfrak{p}} = 0.$$

(\Leftarrow) By the assumption and the short exact sequences (*)(**), we have

$$(\mathbf{U}_n[1]^{-n-1} \mathbf{M})_{\mathfrak{p}} \cong (\text{Im } e^n)_{\mathfrak{p}} \cong (\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}}.$$

(2) The first equivalence follows immediately from the above short exact sequence (**). For the second half, this follows from

$$\text{Supp}(\mathbf{U}_{n+1}[1]^{-n-2} \mathbf{M}) = \text{Supp}(\mathbf{H}_U^{n+1}(\mathbf{M})) \cup \text{Supp}(\mathbf{U}_{n+2}^{-n-2} \mathbf{M})$$

induced by the short exact sequence (*) with $n + 1$ instead of n and Lemma (2.8) (3).

(3) This follows similarly from the short exact sequence (*) with n replaced by $n + 1$.

(4) Suppose that (i) holds. By the hypothesis and Lemma (1.6)(2) we have $(U_{n+1}[1]^{-n-2}M)_p = 0$. On the other hand, from the assumption and Lemma (2.7), we have $(H_U^n(M))_p = 0$.

The other assertions are obvious.

(5) This follows from the hypothesis, Lemma (2.1) and (4).

(6) This follows easily from (2), since $(H_U^{n+1}(M))_p = 0$.

EXAMPLE (2.11). (1) In Proposition (2.10), (ii) does not imply (i). Let $R = k[[X, Y]]$. Let M be the quotient field of R . Let $U_1 = R \setminus (X)$ and $p = (X, Y)$. Then $(U_1^{-1}M)_p = M = (U_0[1]^{-1}M)_p = (\text{Im } e^0)_p$ but $U_1 \cap p \neq \emptyset$.

(2) ((iii) \Rightarrow (ii)) is not the case. See Example (2.3) and note that $(U_f)_{d+1}[1]^{-d-2}M = 0$. When $p \in \text{Supp}(U_{n+1}^{-n-1}M)$, we don't know whether this holds or not.

(3) If $p \in \text{Supp}(U_{n+2}^{-n-2}M)$, then ((iv) \Rightarrow (ii)) does not hold. Let (R, m) be a Buchsbaum ring of dimension $d \geq 3$ such that $H_m^1(R) \neq 0$ and $H_m^n(R) = 0$ for $n \neq 1, d$. Let $\mathcal{U}_f = ((U_f)_i)_{i \geq 1}$ be the chain of triangular subsets on R in the following Proposition (2.15) (when $M = R$). Then by Proposition (2.15) we have $\text{Ker } f^1 / \text{Im } f^0 = H_m^1(R) \neq 0$ and $\text{Ker } f^n / \text{Im } f^{n-1} = H_m^n(R) = 0$ for $n \neq 1, d$. Hence by the short exact sequence (*) we have

$$(U_f)_{n+1}[1]^{-n-2}R \cong \text{Im } f^{n+1}$$

for $n \neq 0, d - 1$. Let $p \in \text{Spec}(R)$ such that $\text{ht } p = n + 1$ for $n = 1, \dots, d - 2$. Then $(\text{Im } f^{n+1})_p \neq 0$ since $\text{Supp}(\text{Im } f^{n+1}) = \text{Supp}((U_f)_{n+2}^{-n-2}R) = F_{R(m+1)}$ by Lemma (2.8)(3) and ([C], 2.15). Therefore $p \in \text{Supp}((U_f)_{n+1}[1]^{-n-2}R)$.

(4) In general, the converse of Proposition (2.10)(5) is not true. Let $R = k[[X, Y, Z]] / (X) \cap (Y, Z) = k[[x, y, z]]$. Then $\text{Ass}(R) = \{(x), (y, z)\}$. Put $p = (x, y, z)$ and $U_1 = R \setminus p$. Hence $\text{Ass}(R_p) = \{(x), (y, z)\}$. Let $q = (x, y)$. Then $(U_1^{-1}R)_q = (R_p)_q = R_q = (U_0[1]^{-1}R)_q = (\text{Im } e^0)_q \neq 0$ and $U_1 \cap q = \emptyset$. But $q \notin \text{Ass}(R_p)$.

COROLLARY (2.12). Let R be a Noetherian ring and M an R -module. Then we have the following.

- (1) $\text{Ass}(U_{n+1}^{-n-1}M) \subset \text{Ass}(U_n[1]^{-n-1}M)$.
- (2) $\text{Ass}(U_n[1]^{-n-1}M) = \text{Ass}(H_U^n(M)) \cup \text{Ass}(U_{n+1}^{-n-1}M)$.

Proof. (1) Let $p \in \text{Ass}_R(U_{n+1}^{-n-1}M)$. Then $pR_p \in \text{Ass}_{R_p}(U_{n+1}^{-n-1}M)_p$ by ([M], p. 38 Corollary). Hence $pR_p \in \text{Ass}_{R_p}(U_n[1]^{-n-1}M)_p$ by Proposition (2.10)(5).

Therefore $\mathfrak{p} \in \text{Ass}_{\mathbf{R}}(\mathbf{U}_n[1]^{-n-1} \mathbf{M})$ again by ([M], p. 38 Corollary).

(2) This follows from (1) and Lemma (2.8)(5).

PROPOSITION (2.13). *Let \mathbf{R} be a ring and \mathbf{M} an \mathbf{R} -module. Fix a non-negative integer t . Then in $\mathbf{C}(\mathcal{U}, \mathbf{M})$, the following four conditions are equivalent.*

(1) $H_V^n(\mathbf{M}) = 0$ for all $n = 0, \dots, t$.

(2) $\mathbf{U}_n[1]^{-n-1} \mathbf{M} \cong \text{Im } e^n$ for all $n = 0, \dots, t$.

(3) For all $n = 0, \dots, t$, for each $\frac{m}{(a_1, \dots, a_{n+1})} \in \mathbf{U}_{n+1}^{-n-1} \mathbf{M}$,

$$\left(0 : \frac{m}{(a_1, \dots, a_{n+1})}\right) = \left(0 : \frac{m}{(a_1, \dots, a_n, 1)}\right) \text{ where } \frac{m}{(a_1, \dots, a_n, 1)} \in \mathbf{U}_n[1]^{-n-1} \mathbf{M}.$$

(4) For all $n = 0, \dots, t$, each element of \mathbf{U}_{n+1} forms a poor \mathbf{M} -sequence.

In particular, let \mathbf{R} be a Noetherian local ring and let \mathbf{M} be a finitely generated \mathbf{R} -module of dimension d . Assume that the above conditions hold for $t = d - 1$ and $\mathbf{U}_d[1]^{-d-1} \mathbf{M} \neq 0$. Then \mathbf{M} is a Cohen-Macaulay module.

Proof. (1) \Leftrightarrow (2) From the short exact sequence (*) this is clear.

(2) \Rightarrow (3) By Lemma (1.6)(3) this is obvious.

(3) \Rightarrow (4) We proceed by induction on n . In the case $n = 0$, assume that $a_1 m = 0$ for some $0 \neq m \in \mathbf{M}$ and $(a_1) \in \mathbf{U}_1$. Then we have $a_1 \in (0 : m) = \left(0 : \frac{m}{(b_1)}\right)$ for some $\frac{m}{(b_1)} \in \mathbf{U}_1^{-1} \mathbf{M}$ by the hypothesis. This contradicts Lemma (2.1).

Now suppose that each element of \mathbf{U}_n is a poor \mathbf{M} -sequence. Assume that $a_{n+1} m \in (a_1, \dots, a_n) \mathbf{M}$ for some $(a_1, \dots, a_{n+1}) \in \mathbf{U}_{n+1}$ and $m \in \mathbf{M}$. Then by Lemma (1.6)(2) we have $\frac{a_{n+1} m}{(a_1, \dots, a_{n+1})} = 0$. That is, by ([SZ3], 2.1), we have

$$\frac{m}{(a_1, \dots, a_{n+1})} = 0 \text{ in } \mathbf{U}_{n+1}^{-n-1} \mathbf{M}.$$

Hence by the hypothesis we have

$$\frac{m}{(a_1, \dots, a_n, 1)} = 0 \text{ in } \mathbf{U}_n[1]^{-n-1} \mathbf{M}.$$

Then, by the definition of module of generalized fractions, there are $(b_1, \dots, b_n, 1) \in \mathbf{U}_n[1]$ and $\mathbf{H} \in \mathbf{D}_{n+1}(\mathbf{R})$ such that $\mathbf{H}[a_1 \dots a_n 1]^T = [b_1 \dots b_n 1]^T$ and $|\mathbf{H}| m \in (b_1, \dots, b_n) \mathbf{M}$.

On the other hand, since $h_{n+1,n+1} = 1 - (h_{n+1,1}a_1 + \dots + h_{n+1,n}a_n)$, by ([SZ1], 2.2) we have

$$h_{11} \cdots h_{nn}m \in (b_1, \dots, b_n)\mathbf{M}.$$

Note that by the inductive hypothesis b_1, \dots, b_n is a poor \mathbf{M} -sequence and $\mathbf{H}'[a_1 \dots a_n]^T = [b_1 \dots b_n]^T$ where \mathbf{H}' is the top left $n \times n$ submatrix of \mathbf{H} . Hence by ([O], 3.2) we get

$$m \in (a_1, \dots, a_n)\mathbf{M}.$$

(4) \Rightarrow (1) Let $\frac{m}{(a_1, \dots, a_n)} \in \text{Ker } e^n$ with $\frac{m}{(a_0)} = m$. Then $\frac{m}{(a_1, \dots, a_n, 1)} = 0$ in $\mathbf{U}_{n+1}^{-n-1} \mathbf{M}$. Hence by Lemma (1.6)(2), we have

$$m \in (a_1, \dots, a_n)\mathbf{M}.$$

Therefore we have $\frac{m}{(a_1, \dots, a_n)} \in \text{Im } e^{n-1}$.

For the last assertion, since $\mathbf{U}_d[1]^{-d-1} \mathbf{M} \neq 0$, there is $(a_1, \dots, a_d) \in \mathbf{U}_d$ such that a_1, \dots, a_d is an \mathbf{M} -sequence.

Remark (2.14). In Proposition (2.13), if \mathbf{R} is Noetherian, then we can change the condition (3) for $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) = \text{Ass}(\mathbf{U}_n[1]^{-n-1} \mathbf{M})$ for all $n = 0, \dots, t$.

Let $(\mathbf{R}, \mathfrak{m})$ be a Noetherian local ring and let \mathbf{M} be a finitely generated \mathbf{R} -module of dimension d . Let $\mathcal{U}_f = ((\mathbf{U}_f)_i)_{i \geq 1}$ be the chain of the expansions of triangular subsets (Example (1.3)(5)) on \mathbf{R} . Then we have the following complex

$$0 \rightarrow \mathbf{M} \xrightarrow{f^0} (\mathbf{U}_f)_1^{-1} \mathbf{M} \xrightarrow{f^1} (\mathbf{U}_f)_2^{-2} \mathbf{M} \rightarrow \dots \rightarrow (\mathbf{U}_f)_{d-1}^{-d+1} \mathbf{M} \xrightarrow{f^{d-1}} (\mathbf{U}_f)_d^{-d} \mathbf{M} \xrightarrow{f^d} 0,$$

since $(\mathbf{U}_f)_{d+i}^{-d-i} \mathbf{M} = 0$ for all $i \geq 1$ by ([C], 2.3).

PROPOSITION (2.15). *Let \mathbf{R}, \mathbf{M} and \mathbf{U}_f be as above. Then the following four conditions are equivalent.*

- (1) \mathbf{M} is an f -module (see [SZ4], 1.8 (ii)).
- (2) $\text{Ker } f^n / \text{Im } f^{n-1} \cong \mathbf{H}_m^n(\mathbf{M})$ for all $n = 0, \dots, d$.
- (3) $\text{Ass}((\mathbf{U}_f)_n[1]^{-n-1} \mathbf{M}) \subset \{\mathfrak{m}\} \cup \text{Ass}((\mathbf{U}_f)_{n+1}^{-n-1} \mathbf{M})$ for all $n = 0, \dots, d$.
- (4) $\text{Supp}(\text{Ker } f^n / \text{Im } f^{n-1}) \subset \{\mathfrak{m}\}$ for all $n = 0, \dots, d$.

In particular, if \mathbf{M} is a Cohen-Macaulay module, then

$$\begin{cases} \text{Ass}((\mathbf{U}_f)_n[1]^{-n-1} \mathbf{M}) = \text{Ass}((\mathbf{U}_f)_{n+1}^{-n-1} \mathbf{M}) = \mathbf{F}_{M_n} & \text{for all } n < d, \\ \text{Ass}((\mathbf{U}_f)_d[1]^{-d-1} \mathbf{M}) = \{\mathfrak{m}\}. \end{cases}$$

Proof. (1) \Rightarrow (2) In the case $n = 0, \dots, d - 1$, this follows from ([SZ4], 2.4), since $(\mathbf{U}_f)_n = (\mathbf{U}_s)_n$. In the case $n = d$, we have

$$\text{Ker } f^d / \text{Im } f^{d-1} \cong \mathbf{U}_d^{-d} \mathbf{M} / \text{Im } f^{d-1} \cong \mathbf{U}_d[1]^{-d-1} \mathbf{M} \cong (\mathbf{U}_s)_{d+1}^{-d-1} \mathbf{M} \cong \mathbf{H}_m^d \mathbf{M}$$

by Lemma (1.9) and Lemma (1.7).

(2) \Rightarrow (3) \Leftrightarrow (4) These follow from Corollary (2.12)(2) and Lemma (2.8)(4).

(4) \Rightarrow (1) This follows from ([SZ4], 2.3).

The last assertion follows from (2), Corollary (2.12)(2) and ([C], 2.15).

3. Modules of generalized fractions and complexes of Cousin type

In this section, suppose that \mathbf{R} is a Noetherian ring.

THEOREM (3.1). *Let \mathbf{R} be a Noetherian ring and \mathbf{M} an \mathbf{R} -module. Let $\mathcal{U} = (\mathbf{U}_i)_{i \geq 1}$ be a chain of triangular subsets on \mathbf{R} . Let $\mathcal{F} = (\mathbf{F}_i)_{i \geq 0}$ be a filtration of $\text{Spec}(\mathbf{R})$ which admits \mathbf{M} . Then*

the complex $\mathbf{C}(\mathcal{U}, \mathbf{M})$ is of Cousin type for \mathbf{M} with respect to \mathcal{F}

$$\begin{aligned} & \Updownarrow \\ \text{Ass}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) \cap \partial \mathbf{F}_n &= \text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \quad \text{for all } n \geq 0 \text{ and} \\ \mathbf{U}_{n+1}^{-n-1} \mathbf{M} &\cong \bigoplus_{\mathfrak{p} \in \partial \mathbf{F}_n} (\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \quad \text{for all } n \geq 0. \end{aligned}$$

Proof. (\uparrow) We must verify the properties (i)-(iii) of the definition of Cousin type (see (1.4)).

(i) and (ii) By Remark (2.5) these always hold for arbitrary complexes $\mathbf{C}(\mathcal{U}, \mathbf{M})$.

(iii) We must show that $\text{Supp}(H_V^n(\mathbf{M})) \subset \mathbf{F}_{n+1}$. Note that $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) = \text{Ass} \left(\bigoplus_{\mathfrak{p} \in \partial \mathbf{F}_n} (\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \right) \subset \partial \mathbf{F}_n$ by Lemma (2.4). By Lemma (2.8)(5) and Lemma (2.4), we have $\text{Supp}(H_V^n(\mathbf{M})) \subset \text{Supp}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) \subset \mathbf{F}_n$. But it follows from the hypothesis and Lemma (2.8)(4)(6) that $\partial \mathbf{F}_n \cap \text{Supp}(H_V^n(\mathbf{M})) = \emptyset$.

(\downarrow) It is enough to show that the first condition of Theorem holds. By the third and the fourth conditions of the definition of Cousin type, we have $\partial \mathbf{F}_n \cap \text{Supp}(H_V^n(\mathbf{M})) = \emptyset$ and $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \subset \partial \mathbf{F}_n$. Hence Lemma (2.8)(6) completes the proof of Theorem.

COROLLARY (3.2). *With the same notation and assumption as in Theorem (3.1), we have the following.*

(1) *Suppose that $\partial\mathbf{F}_{M_n} \cap \partial\mathbf{F}_n = \text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M})$ for all $n \geq 0$ and*

$$\mathbf{U}_{n+1}^{-n-1} \mathbf{M} \cong \bigoplus_{\mathfrak{p} \in \partial\mathbf{F}_n} (\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \text{ for all } n \geq 0.$$

Then the complex $\mathbf{C}(\mathcal{U}, \mathbf{M})$ is of Cousin type for \mathbf{M} with respect to \mathcal{F} .

(2) *In particular, assume that $\partial\mathbf{F}_{M_n} \cap \partial\mathbf{F}_n \subset \text{Supp}(\mathbf{U}_n[1]^{-n-1} \mathbf{M})$ for all $n \geq 0$.*

Then the converse of (1) is true.

Proof. (1) This follows from Theorem (3.1), since $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \subset \text{Ass}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) \cap \partial\mathbf{F}_n \subset \partial\mathbf{F}_{M_n} \cap \partial\mathbf{F}_n$ by Corollary (2.12)(1) and Lemma (2.6)(2).

(2) It is sufficient to show that $\partial\mathbf{F}_{M_n} \cap \partial\mathbf{F}_n = \text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M})$, since the second isomorphisms hold by the definition of Cousin type.

(\supset) Since $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \subset \partial\mathbf{F}_n$, it follows from Lemma (2.6)(2) that $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \subset \partial\mathbf{F}_{M_n} \cap \partial\mathbf{F}_n$.

(\subset) We proceed by induction on n . In the case $n = 0$, let $\mathfrak{p} \in \partial\mathbf{F}_{M_0} \cap \partial\mathbf{F}_0$. Consider the following complex

$$0 \rightarrow \mathbf{M} \xrightarrow{e^0} \mathbf{U}_1^{-1} \mathbf{M} \xrightarrow{e^1} \mathbf{U}_2^{-2} \mathbf{M} \rightarrow \dots$$

Then by the definition of Cousin type, we have the following exact sequence

$$0 \rightarrow \mathbf{M}_{\mathfrak{p}} \xrightarrow{\cong} (\mathbf{U}_1^{-1} \mathbf{M})_{\mathfrak{p}} \rightarrow 0.$$

Since $\mathfrak{p} \in \text{Ass}(\mathbf{M})$, we have $\mathfrak{p} \in \text{Ass}(\mathbf{U}_1^{-1} \mathbf{M})$ by ([M], p. 38 Corollary).

Suppose that $n \geq 1$. Let $\mathfrak{p} \in \partial\mathbf{F}_{M_n} \cap \partial\mathbf{F}_n$. Consider the following complex

$$\dots \rightarrow \mathbf{U}_{n-1}^{-n+1} \xrightarrow{e^{n-1}} \mathbf{U}_n^{-n} \mathbf{M} \xrightarrow{e^n} \mathbf{U}_{n+1}^{-n-1} \mathbf{M} \rightarrow \dots$$

It follows from the definition of Cousin type that we have the following exact sequence

$$0 \rightarrow (\text{Im } e^{n-1})_{\mathfrak{p}} \rightarrow (\mathbf{U}_n^{-n} \mathbf{M})_{\mathfrak{p}} \rightarrow (\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \rightarrow 0,$$

since $(\text{Ker } e^n)_{\mathfrak{p}} \cong (\text{Im } e^{n-1})_{\mathfrak{p}}$. Hence by the inductive hypothesis and Lemma (2.6)

(3), we have $(\mathbf{U}_n^{-n} \mathbf{M})_{\mathfrak{p}} \neq 0$. On the other hand, by Proposition (2.10)(2) and the assumption $\partial\mathbf{F}_{M_n} \cap \partial\mathbf{F}_n \subset \text{Supp}(\mathbf{U}_n[1]^{-n-1} \mathbf{M})$, we get

$$(\text{Im } e^{n-1})_{\mathfrak{p}} \not\cong (\mathbf{U}_n^{-n} \mathbf{M})_{\mathfrak{p}}.$$

That is $(U_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \neq 0$. Hence we conclude that $\mathfrak{p} \in \text{Ass}(U_{n+1}^{-n-1} \mathbf{M})$ by Lemma (2.4).

Remark (3.3). Using Lemma (2.6)(2), Lemma (2.8)(6), the third and the fourth conditions of the definition of Cousin type, we have another proof of Corollary (3.2)(2) as follows:

$$\begin{aligned} \partial \mathbf{F}_{M_n} \cap \partial \mathbf{F}_n &= \partial \mathbf{F}_n \cap \text{Supp}(U_n[1]^{-n-1} \mathbf{M}) = \partial \mathbf{F}_n \cap \text{Ass}(U_n[1]^{-n-1} \mathbf{M}) \\ &= (\partial \mathbf{F}_n \cap (H_U^n(\mathbf{M}))) \cup (\partial \mathbf{F}_n \cap \text{Ass}(U_{n+1}^{-n-1} \mathbf{M})) \\ &= \partial \mathbf{F}_n \cap \text{Ass}(U_{n+1}^{-n-1} \mathbf{M}) = \text{Ass}(U_{n+1}^{-n-1} \mathbf{M}). \end{aligned}$$

Remark (3.4). If \mathbf{M} is a finitely generated \mathbf{R} -module and a complex $\mathbf{C}(\mathcal{U}, \mathbf{M})$ is of Cousin type for \mathbf{M} with respect to $\mathcal{F}_{\mathbf{M}}$, then $\text{Ass}(U_{n+1}^{-n-1} \mathbf{M}) = \{\mathfrak{p} \in \text{Supp}(\mathbf{M}) : \text{ht}_{\mathbf{M}} \mathfrak{p} = n\}$ by ([RSZ], 3.3), ([C], 2.11) and the following Corollary (3.5) (1).

COROLLARY (3.5). *Let \mathbf{M} be a finitely generated \mathbf{R} -module of dimension d . Let $\mathcal{F} = (\mathbf{F}_i)_{i \geq 0}$ be a filtration of $\text{Spec}(\mathbf{R})$ which admits \mathbf{M} . Let $\mathcal{F}_{\mathbf{M}} = (\mathbf{F}_{M_i})_{i \geq 0}$ be the \mathbf{M} -height filtration.*

- (1) (cf. [SY], 3.9) $\mathbf{C}(\mathcal{U}_h, \mathbf{M})$ is of Cousin type for \mathbf{M} w. r. t. $\mathcal{F}_{\mathbf{M}}$, where $\mathcal{U}_h = ((U_h)_i)_{i \geq 0}$.
- (2) ([RSZ], 3.4) $\mathbf{C}(\mathcal{U}_{\bar{h}}, \mathbf{M})$ is of Cousin type for \mathbf{M} w. r. t. \mathcal{F} , where $\mathcal{U}_{\bar{h}} = ((U_{\bar{h}})_i)_{i \geq 0}$.
- (3) ([GO], 3.6) Let $\mathcal{U} = (U_i)_{i \geq 0}$ be a chain of saturated triangular subsets on \mathbf{R} . Put $\mathbf{G}_0 = \text{Supp}(\mathbf{M})$ and for $i \in \mathbf{N}$, define $\mathbf{G}_i = \{\mathfrak{p} \in \text{Supp}(\mathbf{M}) : \text{there exists } (a_1, \dots, a_i) \in U_i \text{ with } (a_1, \dots, a_i)\mathbf{R} \subset \mathfrak{p}\}$. Assume that $\mathcal{G} = (\mathbf{G}_i)_{i \geq 0}$, induced by \mathcal{U} and \mathbf{M} , is a filtration of $\text{Spec}(\mathbf{R})$ which admits \mathbf{M} . Then $\mathbf{C}(\mathcal{U}, \mathbf{M})$ is of Cousin type for \mathbf{M} w. r. t. \mathcal{G} .
- (4) If $\dim \mathbf{M} = \text{ht}_{\mathbf{M}} \mathfrak{q} + \dim \mathbf{M}/\mathfrak{q}\mathbf{M}$ for all $\mathfrak{q} \in \text{Supp}(\mathbf{M})$, then $\mathbf{C}(\mathcal{U}_s, \mathbf{M})$ is of Cousin type for \mathbf{M} w. r. t. $\mathcal{F}_{\mathbf{M}}$, where $\mathcal{U}_s = ((U_s)_i)_{i \geq 0}$.
- (5) Let $\mathcal{U}_r = ((U_r)_i)_{i \geq 0}$. Then we have the following equivalent conditions.

$$\begin{aligned} &\mathbf{M} \text{ is a Cohen-Macaulay module} \\ \Leftrightarrow &\mathbf{C}(\mathcal{U}_r, \mathbf{M}) \text{ is of Cousin type for } \mathbf{M} \text{ w. r. t. } \mathcal{F}_{\mathbf{M}} \\ \Leftrightarrow &(U_r)_{n+1}^{-n-1} \mathbf{M} \cong \bigoplus_{\text{ht}_{\mathbf{M}} \mathfrak{p} = n} ((U_r)_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \text{ for all } n \geq 0. \end{aligned}$$

- (6) Let \mathbf{R} be a Noetherian local ring. Then

$$\begin{aligned} &\mathbf{M} \text{ is a Gorenstein module} \\ \Leftrightarrow &\begin{cases} \mathbf{C}(\mathcal{U}_r, \mathbf{M}) \text{ is of Cousin type for } \mathbf{M} \text{ w. r. t. } \mathcal{F}_{\mathbf{M}} \text{ and} \\ (U_r)_{d+1}^{-d-1} \mathbf{M} \text{ is an injective } \mathbf{R}\text{-module} \end{cases} \end{aligned}$$

$$\Leftrightarrow \begin{cases} (\mathbf{U}_r)_{n+1}^{-n-1} \mathbf{M} \cong \bigoplus_{\text{ht}_{\mathbf{M}} \mathfrak{p}=n} ((\mathbf{U}_r)_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}} \text{ for all } n \geq 0, \text{ and} \\ (\mathbf{U}_r)_{d+1}^{-d-1} \mathbf{M} \text{ is an injective } \mathbf{R}\text{-module.} \end{cases}$$

Proof. (1) This follows from ([C], 2.11 and 3.3(2)) and Corollary (3.2).
 (2) By ([RSZ], 2.6 or [C], 3.3(1)), we have for all $n \in \mathbf{N} \cup \{0\}$

$$(\mathbf{U}_{\bar{h}})_{n+1}^{-n-1} \mathbf{M} \cong \bigoplus_{\mathfrak{p} \in \partial \mathbf{F}_n} ((\mathbf{U}_{\bar{h}})_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}}.$$

Hence by Lemma (2.4) we get

$$\begin{aligned} \text{Ass}((\mathbf{U}_{\bar{h}})_{n+1}^{-n-1} \mathbf{M}) &= \text{Ass}\left(\bigoplus_{\mathfrak{p} \in \partial \mathbf{F}_n} ((\mathbf{U}_{\bar{h}})_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}}\right) \\ &= \bigcup_{\mathfrak{p} \in \partial \mathbf{F}_n} \text{Ass}\left((\mathbf{U}_{\bar{h}})_{n+1}^{-n-1} \mathbf{M}\right)_{\mathfrak{p}} \subset \partial \mathbf{F}_n. \end{aligned}$$

By Lemma (2.7) and the definition of $(\mathbf{U}_{\bar{h}})_{n+1}$, we have, for all $\mathfrak{p} \in \partial \mathbf{F}_n \cap \text{Supp}(\mathbf{M})$,

$$(H_U^n(\mathbf{M}))_{\mathfrak{p}} = 0.$$

Therefore we have $\partial \mathbf{F}_n \cap \text{Ass}(H_U^n(\mathbf{M})) = \emptyset$, since $\text{Ass}(H_U^n(\mathbf{M})) \subset \text{Supp}(\mathbf{M})$.
 Hence we obtain

$$\partial \mathbf{F}_n \cap \text{Ass}((\mathbf{U}_{\bar{h}})_n[1]^{-n-1} \mathbf{M}) = \partial \mathbf{F}_n \cap \text{Ass}((\mathbf{U}_{\bar{h}})_{n+1}^{-n-1} \mathbf{M}) = \text{Ass}((\mathbf{U}_{\bar{h}})_{n+1}^{-n-1} \mathbf{M}),$$

by Lemma (2.8) (6). Then Theorem (3.1) completes the proof.

(3) By ([GO], 3.6), we have for all $n \in \mathbf{N} \cup \{0\}$

$$\mathbf{U}_{n+1}^{-n-1} \mathbf{M} \cong \bigoplus_{\mathfrak{p} \in \partial \mathbf{G}_n} (\mathbf{U}_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}}.$$

Hence we get $\text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}) \subset \partial \mathbf{G}_n$.

Next for all $\mathfrak{p} \in \partial \mathbf{G}_n$ we have

$$(H_U^n(\mathbf{M}))_{\mathfrak{p}} = 0.$$

In fact, if $(H_U^n(\mathbf{M}))_{\mathfrak{p}} \neq 0$, then there is $x \in H_U^n(\mathbf{M})$ such that $(0 : x) \subset \mathfrak{p}$. But by Lemma (2.7), we have $(a_1, \dots, a_{n+1})\mathbf{R} \subset (0 : x) \subset \mathfrak{p}$ for some $(a_1, \dots, a_{n+1}) \in \mathbf{U}_{n+1}$. Hence from the definition of \mathbf{G}_{n+1} we have $\mathfrak{p} \in \mathbf{G}_{n+1}$. This contradicts $\mathfrak{p} \in \partial \mathbf{G}_n$.

Therefore we have $\partial \mathbf{G}_n \cap \text{Ass}(H_U^n(\mathbf{M})) = \emptyset$.

Then by Lemma (2.8)(6) we get

$$\begin{aligned} \partial \mathbf{G}_n \cap \text{Ass}(\mathbf{U}_n[1]^{-n-1} \mathbf{M}) &= (\partial \mathbf{G}_n \cap \text{Ass}(H_U^n(\mathbf{M}))) \cup (\partial \mathbf{G}_n \cap \text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M})) \\ &= \text{Ass}(\mathbf{U}_{n+1}^{-n-1} \mathbf{M}). \end{aligned}$$

The result follows from Theorem (3.1).

(4) This follows from ([C], 2.12 and 3.3(3)) and Corollary (3.2).

(5) Since $\mathbf{C}(\mathcal{U}_r, \mathbf{M})$ is an exact sequence by Proposition (2.13), the first equivalence follows from ([S2], 2.4). From Proposition (2.13)(3) and Theorem (3.1), we have the second equivalence.

(6) This follows from (5) and ([S2], 3.11).

Remark (3.6). Let $(\mathbf{R}, \mathfrak{m})$ be a Noetherian ring and let \mathbf{M} be a finitely generated f -module of dimension d . Then $\mathbf{C}(\mathcal{U}_s, \mathbf{M})$ is of Cousin type for \mathbf{M} with respect to $\mathcal{F}_{\mathbf{M}}$ (Corollary (3.5)(4)) but $\mathbf{C}(\mathcal{U}_f, \mathbf{M})$ is not, even though $(\mathbf{U}_f)_{n+1}^{-n-1} \mathbf{M} \cong \bigoplus_{\text{ht}_{\mathbf{M}} \mathfrak{p}=n} ((\mathbf{U}_f)_{n+1}^{-n-1} \mathbf{M})_{\mathfrak{p}}$ for all $n \geq 0$ ([C], 3.3(5)). For, by ([C], 2.15), we have $\text{Ass}((\mathbf{U}_f)_{d+1}^{-d-1} \mathbf{M}) = \emptyset$ but $\text{Ass}((\mathbf{U}_f)_d[1]^{-d-1} \mathbf{M}) \cap \partial \mathbf{F}_{\mathbf{M}d} = \text{Ass}((\mathbf{U}_s)_{d+1}^{-d-1} \mathbf{M}) \cap \partial \mathbf{F}_{\mathbf{M}d} = \{\mathfrak{m}\}$. Hence we have

$$\text{Ass}((\mathbf{U}_f)_d[1]^{-d-1} \mathbf{M}) \cap \partial \mathbf{F}_{\mathbf{M}d} \neq \text{Ass}((\mathbf{U}_f)_{d+1}^{-d-1} \mathbf{M}).$$

Therefore the result follows from Theorem (3.1).

EXAMPLE (3.7). Let $\mathbf{R} = k[[x, y, z]]$. Let $\mathbf{U}_1 = \{(tx^\alpha) \in \mathbf{R}^1 : 0 \neq t \in k \text{ and } \alpha \in \mathbf{N} \cup \{0\}\}$. Let $\mathbf{U}_i = \mathbf{U}_{i-1}[1]$ for $i = 2, 3, \dots$. Then $\mathcal{U} = (\mathbf{U}_i)_{i \geq 1}$ is a chain of saturated triangular subsets on \mathbf{R} . Put $\mathbf{G}_0 = \text{Spec}(\mathbf{R})$, $\mathbf{G}_1 = \{\mathfrak{p} \in \text{Spec}(\mathbf{R}) : x \in \mathfrak{p}\}$ and $\mathbf{G}_i = \emptyset$ for $i \geq 2$. Then $\mathcal{G} = (\mathbf{G}_i)_{i \geq 0}$ is induced by \mathcal{U} and \mathbf{M} as in (3) of Corollary (3.5), but is not a filtration of $\text{Spec}(\mathbf{R})$. For, $\partial \mathbf{G}_0 = \mathbf{G}_0 \setminus \mathbf{G}_1 \supset \{(y), (y, z)\}$.

EXAMPLE (3.8). Let $\mathbf{R} = k[[X, Y, Z]] / (X) \cap (Y, Z) = k[[x, y, z]]$. Then \mathbf{R} is not equidimensional and $\{(x), (y, z)\} = \partial \mathbf{F}_{\mathbf{R}0} \cap \text{Spec}((\mathbf{U}_s)_0[1]^{-1} \mathbf{R}) \not\subset \text{Ass}((\mathbf{U}_s)_1^{-1} \mathbf{R}) = \{(x)\}$. Hence $\mathbf{C}(\mathcal{U}_s, \mathbf{R})$ is not of Cousin type for \mathbf{R} w. r. t. $\mathcal{F}_{\mathbf{R}}$. In fact, $k((y, z)) \times k((x)) \cong (\mathbf{U}_h)_1^{-1} \mathbf{R} \not\cong (\mathbf{U}_s)_1^{-1} \mathbf{R} \cong k((y, z))$ (cf. Corollary (3.5)(1) (4)).

EXAMPLE (3.9). Let $\mathbf{R} = k[[x, y]]$. Let $\mathbf{U}_1 = \{(x^\alpha) \in \mathbf{R}^1 : \alpha \in \mathbf{N} \cup \{0\}\}$ and $\mathbf{U}_n = \{(x^\alpha, 1, \dots, 1) \in \mathbf{R}^n : \alpha \in \mathbf{N} \cup \{0\}\}$ for $n \geq 2$. Then we have $\text{Ass}(\mathbf{U}_1^{-1} \mathbf{R}) = \{(0)\} = \partial \mathbf{F}_{\mathbf{R}0} \cap \text{Supp}(\mathbf{U}_0[1]^{-1} \mathbf{R})$, $\text{Ass}(\mathbf{U}_2^{-2} \mathbf{R}) = \{(x)\} = \partial \mathbf{F}_{\mathbf{R}1} \cap \text{Supp}(\mathbf{U}_2^{-2} \mathbf{R}) = \partial \mathbf{F}_{\mathbf{R}1} \cap \text{Ass}(\mathbf{U}_1[1]^{-2} \mathbf{R})$ and $\mathbf{U}_i^{-i} \mathbf{R} = 0$ for all $i \geq 3$. But $\mathbf{U}_2^{-2} \mathbf{R} \not\cong (\mathbf{U}_2^{-2} \mathbf{R})_{(x)}$.

EXAMPLE (3.10). Let $\mathbf{R} = k[[X, Y, Z]]$ and $\mathbf{M} = k[[X, Y, Z]] / (X) \cap (X^2, Y) = k[[x, y, z]]$. Let $\mathbf{U}_1 = \{(Y^n) \in \mathbf{R}^1 : n \geq 0\}$. Let $\mathbf{F}_i = \{\mathfrak{p} \in \text{Spec}(\mathbf{R}) : \text{ht } \mathfrak{p} \geq$

$i + 1\}$ for $i \geq 0$. Then $\text{Ass}(\mathbf{U}_1^{-1}\mathbf{M}) = \{(X)\} = \partial\mathbf{F}_0 \cap \text{Ass}(\mathbf{M}) = \partial\mathbf{F}_0 \cap \text{Ass}(\mathbf{U}_0[1]^{-1}\mathbf{M})$ but $\mathbf{M}_Y \cong \mathbf{U}_1^{-1}\mathbf{M} \not\cong (\mathbf{U}_1^{-1}\mathbf{M})_{(X)} \cong \mathbf{M}_{(X)}$.

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