

1 The management of patients with predominant
2 negative symptoms in Slovakia: A 1-year longitudinal,
3 prospective & multicentric cohort study

4
5 Short title

6 Predominant negative symptom patients in Slovakia

7
8 Authors

9 J. Dragasek¹, Z. B. Dombi^{2*}, K. Acsai^{2,3}, V. Dzurilla⁴, Á. Barabássy²

10

11 ¹1st Department of Psychiatry, Pavol Jozef Safarik University, Faculty of Medicine and University

12 Hospital of Louis Pasteur, Trieda SNP 1, 04011 Kosice, Slovak Republic.

13 ²Global Medical Division, Gedeon Richter Plc., Budapest, Hungary

14 ³Ceva Animal Health, Ceva-Phylaxia, Budapest, Hungary

15 ⁴Gedeon Richter Slovakia, Bratislava, Slovakia

16 *dombizsb@richter.hu, Budapest, Gyömrői út 19-21, 1103

17

1

This peer-reviewed article has been accepted for publication but not yet copyedited or typeset, and so may be subject to change during the production process. The article is considered published and may be cited using its DOI.

This is an Open Access article, distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivatives licence (<http://creativecommons.org/licenses/by-nc-nd/4.0/>), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the original work is unaltered and is properly cited. The written permission of Cambridge University Press must be obtained for commercial re-use or in order to create a derivative work.

18 **Abstract**

19

20 **Background**

21 Predominant negative symptoms (PNS) in schizophrenia can affect the patients' psychosocial
22 functioning immensely and are less responsive to treatment than positive symptoms.

23

24 **Aims**

25 The aim of the study was to observe negative symptoms and psychosocial functioning in PNS
26 schizophrenia patients and to understand whether PNS can be improved and with what treatment
27 strategies.

28

29 **Methods**

30 This was a 1-year, prospective, multicentric cohort study conducted in Slovakia. Adult outpatients
31 with diagnosis of schizophrenia according to ICD-10 and PNS evaluated using the criteria by the
32 European Psychiatric Association's guidance were included. Change in negative symptoms,
33 functionality and treatment patterns were observed. Treatment effectiveness was evaluated using the
34 modified Short Assessment of Negative Domain (m-SAND), the Self-evaluation of Negative
35 Symptoms (SNS) scale, the Personal and Social Performance Scale (PSP), and the Clinical Global
36 Impression Severity (CGI-S) and Improvement (CGI-I) scales. Least squares (LS) means were
37 calculated for the change from baseline to final visit for the outcomes.

38

39 **Results**

40 The study included 188 patients. Functionality improved as by the end of the study, fewer patients
41 were unemployed (53%) and more worked occasionally (21%). PNS improved significantly according
42 to both physicians and patients (LS mean change from baseline in m-SAND total score: -10.0 (p-value
43 <0.0001). Most patients received polytherapy throughout the study. Cariprazine was utilized most

44 (20% monotherapy and 76% polytherapy). Only a few patients discontinued treatment due to adverse
45 drug reactions.

46

47 **Conclusions**

48 With the right treatment strategy, it is possible to achieve improvement in PNS and everyday
49 functioning in schizophrenia outpatients.

50

51

52 Keywords: negative symptoms; schizophrenia; antipsychotic medication; observational study

53

54 Introduction

55 Schizophrenia is a chronic psychiatric disorder affecting approximately 1% of the general population
56 [1] and is one of the most disabling health conditions in the world [2]. It is also associated with
57 significant financial and health burdens; patients with schizophrenia have increased risk of non-
58 communicable diseases as well as higher mortality rates [3,4]. In addition, due to functional
59 impairment and the costs of treatment and care, there is a major loss of productivity, affecting not only
60 the patients themselves, but their caregivers too [5]. A recent epidemiological study examining the
61 burden of schizophrenia in Central and Eastern Europe (CEE) found 14% of Slovakian schizophrenia
62 patients to be unemployed and 63% to live on a disability pension [5]. In addition, on average, 4% of
63 caregivers had to stop working to take care of their relatives [5].

64

65 Characterized by a wide range of symptoms, schizophrenia is a multidimensional disorder [6].
66 According to recent conceptualizations, negative symptoms are comprised of five constructs, the so-
67 called “5As”: anhedonia, alogia, avolition, asociality and affective flattening [7–9]. If the severity of
68 negative symptoms exceeds that of the positive symptoms, the patient is called a predominant negative
69 symptom (PNS) schizophrenia patient [7]. Negative symptoms can be primary or secondary depending
70 on their root cause: while primary negative symptoms are intrinsic to the disorder, secondary negative
71 symptoms are triggered by other factors such as adverse effects of treatment, or other symptom
72 domains [7].

73

74 Negative symptoms are well-known to affect daily functioning and quality of life (QoL) immensely
75 [7,10–12]. For instance, in a 3-year study with 17,384 outpatients from 37 countries, QoL was found
76 to correlate with negative symptoms more than with positive symptoms [12]. Furthermore, a recent
77 study by D’Anna et al. evaluating the relationship between negative symptoms and daily time use
78 found that patients with more negative symptomatology spent more time with non-productive
79 activities compared to patients with milder symptoms [11].

80

81 Schizophrenia is primarily treated with antipsychotic medications [13]. According to a recent study in
82 Slovakia, first-line treatment of schizophrenia based on expert opinion is risperidone (36%),
83 olanzapine (28%), and quetiapine (13%) [13]. Having a more balanced safety profile, second-
84 generation antipsychotics are preferred over first-generation ones (~70% vs 30%) in Slovakia in
85 general [13]. In terms of negative symptoms, a recent proposal by Cerveri et al. recommends
86 cariprazine as a first-line medication due to its partial agonist effect on the dopamine D3-D2 receptors
87 [14,15]. Indeed, according to a review involving 17 experts from the Central and Eastern European
88 region, the Cerveri treatment algorithm, has been adapted in Slovakia as well [16].

89

90 The aim of the present cohort study was twofold. First, to observe the negative symptom domain and
91 its association with psychosocial functioning in patients with PNS and the typical treatment patterns in
92 Slovakia. Second, to observe whether PNS can improve in an outpatient setting throughout a 1-year
93 treatment period and with what pharmacological and non-pharmacological treatment strategies.

94

95 **Methods**

96

97 **Study design**

98 This was a longitudinal, prospective, multicentric cohort study conducted in 20 sites in Slovakia. The
99 study duration was 1 year, with three visits after baseline at 3, 6, and 12 months.

100

101 **Patient characteristics**

102 The inclusion criteria were the following: adult outpatients (between ages 18-65) with a schizophrenia
103 diagnosis according to the International Classification of Diseases 10th edition (ICD-10) who exhibited
104 predominant negative symptoms according to the European Psychiatric Association's (EPA) guidance
105 were included in the study [17]. The EPA guidance suggests the presence of at least moderate severity

106 of at least two symptoms, which was evaluated and decided by the doctors based on the patient's
107 anamnesis [17]. Patients with comorbid neurological disorders were excluded. The cohort study
108 received approval by the Ethics Committee of the Košice Self-Governing Region (3618/2020/ODDZ-
109 07169) and informed written consent was obtained from all participants. The study complies with the
110 Declaration of Helsinki.

111

112 Measures

113 Epidemiologic measures were general patient characteristics (sex, age, duration of illness,
114 comorbidities), changes in the frequency of functionality outcomes (employment status, disability
115 status, and disorder insight), changes in the frequency of primary and secondary negative symptoms,
116 as well as changes in the frequency of treatment patterns (frequency of monotherapy, polytherapy and
117 non-pharmacotherapy) throughout the 1-year observational period. Primary and secondary negative
118 symptoms were differentiated using a structured interview based on the guidance provided by the EPA
119 [17]. Insight was defined as *“a person's capacity to understand the nature, significance, and severity*
120 *of his or her own illness”* [18] and whether a patient had full, partial or no insight was determined by
121 the physician based on the clinical interview.

122

123 The effectiveness of the different treatment strategies was assessed via the modified Short Assessment
124 of Negative Domain (m-SAND) scale, the Self-evaluation of Negative Symptoms (SNS) scale [19],
125 the Personal and Social Performance Scale (PSP) [20], and the Clinical Global Impression Severity
126 (CGI-S) and Improvement (CGI-I) scales [21]. Given the nature of the study, safety parameters and
127 adverse events were monitored and addressed as in a routine clinical setting.

128

129 Modified Short Assessment of Negative Domain (m-SAND) scale

130 The original SAND was utilized in a Latvian observational study evaluating the effectiveness of
131 cariprazine in predominant negative symptom patients [22]. The SAND is an anamnesis-based scale
132 that is composed of 7 items: two positive items (delusions and hallucinations), which make the SAND

133 Positive sub-scale (SAND-P) and five negative items (anhedonia, alogia, avolition, asociality and
134 affective flattening), which make the SAND Negative sub-scale (SAND-N) [22]. Each item is rated
135 from 0 to 6 (not observed; minimal; mild; moderate; moderately severe; severe; and extreme). The
136 SAND was chosen due to its simplicity and ability to capture all constructs of the negative symptom
137 domain however, the rating was modified since it is highly difficult to differentiate between 'minimal'
138 and 'mild' severities. Therefore, the m-SAND includes the same items, but it is rated from 0 to 5 (not
139 observed, mild, moderate, moderately severe, severe, and extreme).

140

141 **Self-evaluation of Negative Symptoms (SNS) scale**

142 The Self-assessment of Negative Symptoms (SNS) scale is a self-administered questionnaire that
143 measures the five sub-domains of negative symptoms (the 5As) in schizophrenia and schizoaffective
144 disorder [19]. Being a self-administered questionnaire, SNS is an easily understandable instrument for
145 patients with schizophrenia that provides meaningful information for clinicians regarding the patients'
146 own perception of their negative symptoms [19]. Thus, the SNS can complement observer ratings of
147 negative symptoms as well as increase patient engagement.

148 **Personal and Social Performance Scale (PSP)**

149 The Personal and Social Performance Scale (PSP) is a clinical tool used to measure the routine social
150 functioning of patients with psychiatric disorders [20]. It measures four areas of social and individual
151 performance independently of symptomatology: socially useful activities, personal and social
152 relationships, self-care, and disturbing and aggressive behaviours [20]. The PSP is a useful tool for
153 providing additional valuable information when evaluating social functioning related to schizophrenia
154 and the effectiveness of the treatment [23].

155

156 **Clinical Global Impression Severity (CGI-S) and Improvement (CGI-I) scales**

157 The Clinical Global Impressions (CGI) scale provides an overall clinician-determined summary
158 measure regarding the severity of illness (CGI-S) and improvement (CGI-I) in patients with

159 psychiatric disorders [21]. The CGI is rated on a 7-point scale [21]. It is considered to be a widely
160 accepted tool that synthesizes the clinician's impression of the global illness state of the patient [21].

161

162 **Statistical analyses**

163 Epidemiologic measures were summarised using descriptive statistics in percentages, means and
164 standard deviations. Least squares (LS) means were calculated for the change from baseline to final
165 visit for the effectiveness measures (m-SAND, SNS, PSP and CGI-S) using a mixed model for
166 repeated measures (MMRM). Bland-Altman agreement plots were created to compare how clinicians
167 (m-SAND-N) vs how patients (SNS) rated negative symptoms. All analyses were conducted using
168 Statistical Analysis Software (SAS).

169

170 **Results**

171

172 **Epidemiologic measures**

173

174 **Patient characteristics**

175 Baseline patient characteristics are summarized in [Table 1](#). The mean age of the 188 patients who were
176 included in the cohort study was 39.8 and 64.9% of them was men. The mean duration of illness was
177 12 years, and most of the cohort was diagnosed with paranoid schizophrenia (51.6%). Patients
178 exhibited both psychiatric and somatic comorbidities such as depression (13.3%), substance abuse
179 disorder (11.7%), and personality disorder (8.0%), as well as hypertension (10.6%), obesity (10.1%)
180 and hyperlipidaemia (5.3%). During the 12-month observational period, 148 patients stayed in the
181 cohort study.

182

183 **Functionality & insight**

184 At baseline, most patients were unemployed (63.8%), worked occasionally (10.6%) or part-time
185 (11.2%) as displayed in [Table 2](#). At the end of the 12-month observation, only 53.4% were
186 unemployed and more patients worked occasionally (20.9) or part-time (12.8%). The disability status
187 on the other hand increased from 76.1% to 83.3%. In terms of disorder insight, at baseline, most
188 patients had partial (70.2%) or full (20.2%) insight, while around 10% of patients had no insight at all.
189 By the end of the observational period 53.4% had partial, 44.6% full and 0.2% no insight.

190

191 **Primary and secondary negative symptoms**

192 All patients had primary negative symptoms, both at baseline and at the end of the study [Table 3](#). At
193 baseline, 93% of patients had blunted affect, 87% apathy, 82% anhedonia, 76% asociality and 53%
194 alogia. After one year, most patients still experienced affective blunting (93%); nonetheless, the other
195 aspects of negative symptomatology improved: only 62% of the patients had apathy, 56% anhedonia,
196 50% asociality and 38% alogia. In addition to primary negative symptoms, a significant proportion of
197 patients also had secondary negative symptoms (56%) due to affective symptoms (37%), positive
198 symptoms (26%) and adverse drug reactions (21%) at baseline. Similarly to primary negative
199 symptoms, fewer patients experienced secondary negative symptoms (30%) at the end of the
200 observational period.

201

202 **Treatment patterns**

203 The treatment approaches of PNS changed slightly throughout the 1-year observational period. At
204 baseline, all patients received pharmacotherapy, 18% antipsychotic monotherapy (M) and 82%
205 polytherapy (P) ([Table 4](#)). In addition, 86% of patients received non-pharmacological therapy in the
206 form of supportive psychotherapy (47%), social skills training (13%), and occupational therapy (11%).
207 After 12 months, there was a slight decrease in the number of patients receiving polytherapy (78%)
208 and an increase in non-pharmacological therapies (93%).
209 Regarding the specific type of antipsychotics, cariprazine (M: 5%, P: 72%), olanzapine (M: 6%, P:
210 32%), clozapine (M: 3%, P: 18%) and quetiapine (M: 2%, P: 14%) were prescribed most at baseline.

211 At the final visit, there was an increase in the proportion of patients receiving cariprazine monotherapy
212 (20%) and polytherapy (76%), as well as clozapine polytherapy (21%), while those who received
213 olanzapine (M: 0%, P: 23%) and quetiapine (M: 1%, P: 12%) decreased. All in all, throughout the 1-
214 year period, over 200 patients received cariprazine either as monotherapy or polytherapy, 88 received
215 olanzapine, 46 clozapine, 39 quetiapine, 32 haloperidol, 26 aripiprazole, 20 flupentixol, 16 risperidone
216 and 14 paliperidone ([Figure 1](#)). The most common reason for stopping any antipsychotic treatment
217 was akathisia, extra-pyramidal symptoms, and insomnia (1.6%) ([Table 4](#)).

218

219 Effectiveness of treatment

220 The mean m-SAND score at baseline was 23.6 with an average 4.6 score on the Positive sub-scale and
221 19.1 on the Negative sub-scale ([Table 5](#)). A statistically significant 10-point LS mean change from
222 baseline was observed at the end of the observational period on the m-SAND total score with an effect
223 size (ES) of -2.5. The change from baseline was statistically significant from the first visit onwards
224 ([Figure 2](#)). In terms of the two sub-scales, both m-SAND-P (LS mean change: -1.8, p-value <0.0001,
225 ES: -1.6) and m-SAND-N (LS mean change: -8.3, p-value <0.0001, ES: -2.4) changed significantly
226 over the 12 months. Importantly, patients also reported their negative symptoms to have improved as
227 measured by the SNS (LS mean change -12-point in the SNS total score, p-value <0.0001, ES: -1.7)
228 with significant improvement in all five sub-domains from the first visit onward ([Figure 3](#)). When
229 comparing the views of patients vs. doctors at baseline, patients rated alogia and avolition to be the
230 most severe (based on the SNS), while doctors found affective blunting and then avolition to be the
231 most problematic (based on the m-SAND-N). By the end of the observational period patients had the
232 highest self-reported scores in avolition and affective blunting. Similarly, physicians rated blunted
233 affect, avolition and anhedonia to be the most severe. These similarities between the ratings by the
234 patients and doctors are confirmed by a Bland-Altman agreement plot as well, which shows that the
235 difference between the mean changes from baseline to final visit in the SNS and SAND-N lies within
236 the 95% confidence interval around the zero-bias line with doctors reporting a slightly greater
237 improvement compared to patients in negative symptoms ([Figure 4](#)). Furthermore, according to the

238 CGI-S scale, the participants were moderately ill at baseline (mean score: 4.3) and mildly ill at the end
239 of the observational period (mean score: 3.0). This detected change was also significant (LS mean
240 change: -1.3, p-value <0.0001, ES: -1.5). Indeed, the mean CGI-I score was 2.2 at the end of study,
241 meaning much improvement. Finally, 54.3% of patients manifested disabilities according to the total
242 PSP scores (scores between 31 and 70) and 45.7% poor functioning (scores under 30) at baseline
243 (Table 5). By the end of the observational period this changed to 92.6% 'manifest disabilities' and
244 only 7.4% 'functioning is poor'. This was reflected on the subscales as well where statistically
245 significant change was detected in all categories: socially useful activities (LS mean change: -1.4, p-
246 value <0.0001, ES: -1.5), personal and social relationships (LS mean change: -1.7, p-value <0.0001,
247 ES: -2.0), self-care (LS mean change: -1.5, p-value <0.0001, ES: -1.6), and disturbing and aggressive
248 behaviour (LS mean change: -0.9, p-value <0.0001, ES: -1.9).

249

250 Discussion

251 This was the first outpatient, longitudinal, prospective, multicentric cohort study in Slovakia that
252 focused specifically on patients with schizophrenia and predominant negative symptoms. The aim was
253 to do an epidemiologic assessment of the characteristics of negative symptoms, functionality status,
254 disorder insight and treatment patterns in this patient population throughout a 1-year observational
255 period, along with evaluating the effectiveness of treatment approaches.

256

257 According to the results, throughout the 1-year observational period, there has been a significant
258 improvement in all negative symptom domains. Importantly, this positive change was observed by
259 both physicians and patients. As articulated in the most recent guidance by the European Psychiatric
260 Association (EPA), including self-report measures is encouraged in negative symptom studies as they
261 can further complement the observer-rated scales when assessing negative symptoms of schizophrenia
262 [17]. In the present case, results based on the SNS and the m-SAND-N scales indicated an agreement
263 between patients and doctors regarding the changes in negative symptoms and highlighted some slight
264 differences in terms of what subdomains of the negative construct are most affected. This comparison

265 was only possible since the SNS and m-SAND-N scales measure the same negative symptom
266 subdomains, the 5As (anhedonia, affective blunting, avolition, alogia, and asociality). It is important to
267 note however that one negative symptom, blunted affect (the decreased expression of emotion),
268 seemed to be the most difficult to treat since both at baseline and final visit 93% of patients were
269 described to exhibit it. Indeed, blunted affect is often unresponsive to treatment and is difficult to
270 measure via rating scales as they are relatively insensitive to change [24]. Nonetheless, according to
271 both the SNS and m-SAND-N scales, the severity of blunted affect decreased significantly, suggesting
272 that some improvement is still possible.

273

274 By the end of the study, patients also improved in their functioning, with fewer patients being
275 unemployed and more working occasionally and significant changes in the PSP scores. This is not
276 surprising given the fact that negative symptoms are known to impact everyday functioning [7] and
277 numerous studies reported a link between greater negative symptoms and reduced work functioning
278 [25,26]. It is important to note however that even though there had been a reduction in the
279 unemployment status, the proportion of patients being unemployed was still higher than what was
280 reported in a study by Szkultecka-Dębek et al. in 2016 (53% vs. 14%) [5]. Additionally, while
281 Szkultecka-Dębek et al. reported 63% of Slovakian patients with schizophrenia to live on disability
282 pension or retirement or employed on sick leave, in the current study 84% had a disability due to
283 psychiatric illness. Both aspects might be explained by the fact that the participants in the former study
284 were not patients with PNS specifically. In terms of disability status, no improvement was found as the
285 frequency of patients being disabled due to psychiatric illness increased. It is important to note
286 however that in most social care systems [27,28], schizophrenia is recognized as a qualified condition
287 for disability benefits. Therefore, improvements in overall functioning due to successful treatment
288 does not necessarily translate into a decline of financial support needed that is associated with
289 disability status.

290

291 Besides employment and disability status, there was a change in the patients' insight as well. Insight is
292 defined as „the patient's capacity to acknowledge some awareness of having an illness" [29] and has
293 also been repeatedly reported to be associated with negative symptoms [30]. For instance, Kemp and
294 Lambert found a correlation between negative symptoms and insight in subjects who improved with
295 treatment [31]. This also seemed to be the case in the present study where alongside the improvement
296 in negative symptoms, the proportion of patients with full insight doubled (from 20% to 45%) and the
297 number of participants with no insight declined.

298

299 In terms of typical treatment approaches in Slovakia, the present study showed that most patients
300 received combination therapy (78% at final visit). Although it is not recommended by guidelines,
301 polytherapy is quite common in everyday clinical practice [32]. Indeed, in a survey conducted in five
302 European countries, polypharmacy rates were reported to increase from 19% to 27% between 2000
303 and 2015 [33]. Interestingly, various studies underline the superiority of polypharmacy compared to
304 monotherapy, especially on parameters such as re-hospitalisation rates [34] or total symptom reduction
305 [35]. In fact, clozapine combined with a D₂ partial agonist antipsychotic medication was associated
306 with the lowest risk of rehospitalization even compared to clozapine monotherapy [34], the gold
307 standard in treatment resistant schizophrenia. Similarly, the most often used augmentation strategy in
308 the present study was an atypical antipsychotic and cariprazine. This might be related to the unique
309 mechanism of action of cariprazine and its efficacy on negative symptoms [15,22,36]. Additionally,
310 recent evidence also endorsed the augmentation strategy of clozapine with cariprazine [37–40] by
311 reporting good tolerability and safety, as well as further reduction in negative symptoms. [14,41]

312

313 Cariprazine was the most popular medication as monotherapy too with 20% of participants being on
314 cariprazine treatment alone at final visit. This is in line with the treatment algorithm by Cerveri et al
315 [42]. The results also provide confirmation to the claim that this algorithm has been adapted in
316 Slovakia [16]. Rancans et al. conducted a 16-week observational study on the effectiveness of
317 cariprazine with PNS patients as well [22]. The results of the observational study are comparable to

318 this cohort study; participants in both studies were patients with PNS with a baseline CGI of moderate
319 severity (present study: 4.3, Rancans et al.: 4.4) and the primary outcome measure was the SAND [22]
320 and the m-SAND. [22]In addition, it also shows that improvement in this symptom domain is slower
321 and continuous with no plateauing of improvement at any point of the 12 months.
322 The present study has multiple limitations. First, due to the nature of the study design, results have
323 limited internal validity due to probable selection and different biases such as observer bias, inter-rater
324 bias, information bias and measurement bias [43,44]. Internal validity plays a crucial role in
325 establishing the effectiveness of a treatment, it ensures that the observed effects are directly
326 attributable to the treatment itself, rather than being influenced by other external factors [44].
327 However, the primary objective of this study was not to establish efficacy, but to understand the
328 typical treatment and symptom patterns of patients with schizophrenia and PNS in Slovakia. The
329 second limitation is that the primary outcome measure of the study was a non-validated scale.
330 Nonetheless, using standardized scales in real-life settings is often not feasible and thus to better
331 mimic real-life settings, the m-SAND was utilized [22]. Although the m-SAND scale is not validated,
332 it is based on the Clinical Global Impression Severity (CGI-S) scale, which is known to have good
333 inter-rater reliability among clinicians [21,22]. Future research should aim to further investigate what
334 combinations are the most effective in improving PNS as we have seen that besides cariprazine, most
335 patients took an additional antipsychotic medication as well.

336

337 Conclusion

338 In conclusion, with the right treatment strategy, it is possible to improve PNS as well as everyday
339 functioning in outpatients with schizophrenia. One of the most used antipsychotic medications in this
340 patient population was cariprazine, which had been utilized both alone and in combination with other
341 antipsychotics. This strategy is in line with the treatment algorithm for negative symptoms in
342 schizophrenia suggested by Cerveri et al., which recommends cariprazine as a first-line medication for
343 the treatment of negative symptoms [42]. It is also important to note that the improvement in negative

344 symptoms was continuous throughout the one-year observation with no plateauing at any point,
345 suggesting that patience is key in negative symptom treatment.

346

347 Tables & Figures

348 Table 1. Patient characteristics

Population	
Safety population, n (%)	188 (100)
Demographics	
Age, mean (SD), y	39.8 (10.8)
Males, n (%)	122 (64.9)
Schizophrenia characteristics	
Duration of illness, mean (SD), y	12.0 (9.0)
Schizophrenia diagnosis, n (%)	
Paranoid schizophrenia	97 (51.6)
Residual schizophrenia	36 (19.1)
Undifferentiated schizophrenia	24 (12.7)
Simple schizophrenia	17 (9.0)
Other type of schizophrenia	14 (7.4)
Comorbidities	
Psychiatric comorbidity, n (%)	
Depression	25 (13.3)
Substance abuse	22 (11.7)
Personality disorder	15 (8.0)
Somatic comorbidity, n (%)	
Hypertension	20 (10.6)
Obesity	19 (10.1)
Hyperlipidaemia	10 (5.3)

349

350 Table 2. Functionality & insight

	BASELINE	FINAL VISIT*
	(n = 188)	(n = 148)
Employment status, n (%)		
Full-time job	17 (9.0)	10 (6.8)
Part-time job	21 (11.2)	19 (12.8)
Occasionally working	20 (10.6)	31 (20.9)
Unemployed	120 (63.8)	79 (53.4)
Student	5 (2.7)	4 (2.7)
Prisoner	5 (2.7)	5 (3.4)
Disability, n (%)		
No disability	44 (23.4)	23 (15.5)
Disability due to psychiatric illness	143 (76.1)	124 (83.8)
Disability due to non-psychiatric illness	1 (0.05)	1 (0.07)
Insight, n (%)		
Full insight	38 (20.2)	66 (44.6)
Partial insight	132 (70.2)	79 (53.4)
No insight	18 (9.6)	3 (0.2)
<i>*12 months from baseline</i>		

351

352

353 Table 3. Primary & secondary negative symptoms

	BASELINE	FINAL VISIT*
	(n = 188)	(n = 148)
Primary negative symptoms, n (%)		
Total	188 (100.0)	148 (100.0)
Affective blunting	175 (93.1)	137 (92.6)
Alogia	100 (53.2)	56 (37.8)
Avolition, apathy	163 (86.7)	91 (61.5)
Anhedonia	154 (81.9)	83 (56.1)
Asociality	143 (76.1)	74 (50.0)
Secondary negative symptoms, n (%)		
Total	105 (55.9)	44 (29.7)
Due to positive symptoms	48 (25.5)	21 (14.2)
Due to affective symptoms	69 (36.7)	33 (22.3)
Due to adverse drug reactions	38 (21.2)	9 (6.1)
<i>*12 months from baseline</i>		

354

355

356 Table 4. Treatment approaches

	BASELINE		FINAL VISIT*	
	(n = 188)		(n = 148)	
Type of therapy, n (%)				
Pharmacotherapy	188 (100.0)		148 (100.0)	
Antipsychotic monotherapy	34 (18.1)		33 (22.3)	
Antipsychotic polytherapy	154 (81.)		115 (77.7)	
Non-pharmacological therapy	162 (86.2)		138 (93.2)	
Supportive psychotherapy	89 (47.3)		73 (49.3)	
Other types of psychotherapy	10 (5.3)		14 (9.5)	
Social skills training	24 (12.8)		20 (13.5)	
Occupational therapy	21 (11.2)		17 (11.5)	
Electroconvulsive therapy	2 (1.1)		1 (0.7)	
Other	16 (8.5)		13 (8.8)	
Type of antipsychotic, n (%)**				
	Mono	Poly	Mono	Poly
Cariprazine	10 (5.3)	135 (71.8)	29 (19.6)	113 (76.4)
Olanzapine	12 (6.4)	60 (31.9)	-	44 (23.4)
Clozapine	5 (2.7)	34 (18.1)	2 (1.4)	31 (20.9)
Quetiapine	3 (1.6)	27 (14.4)	1 (0.7)	17 (11.5)
Aripiprazole	1 (0.5)	22 (11.7)	-	12 (8.1)
Haloperidol	-	23 (12.2)	-	11 (7.4)
Flupentixol	1 (0.5)	17 (9.0)	-	8 (5.4)
Risperidone	1 (0.5)	13 (6.9)	-	7 (4.7)
Paliperidone	1 (0.5)	10 (5.3)	1 (0.7)	7 (4.7)
Reason for stopping antipsychotic treatment, n (%)				
Attenuation	1 (0.5)			
Anxiety	2 (1.1)			
Akathisia	3 (1.6)			
Extra-pyramidal symptoms	3 (1.6)			

Insomnia	3 (1.6)
Other	5 (2.7)

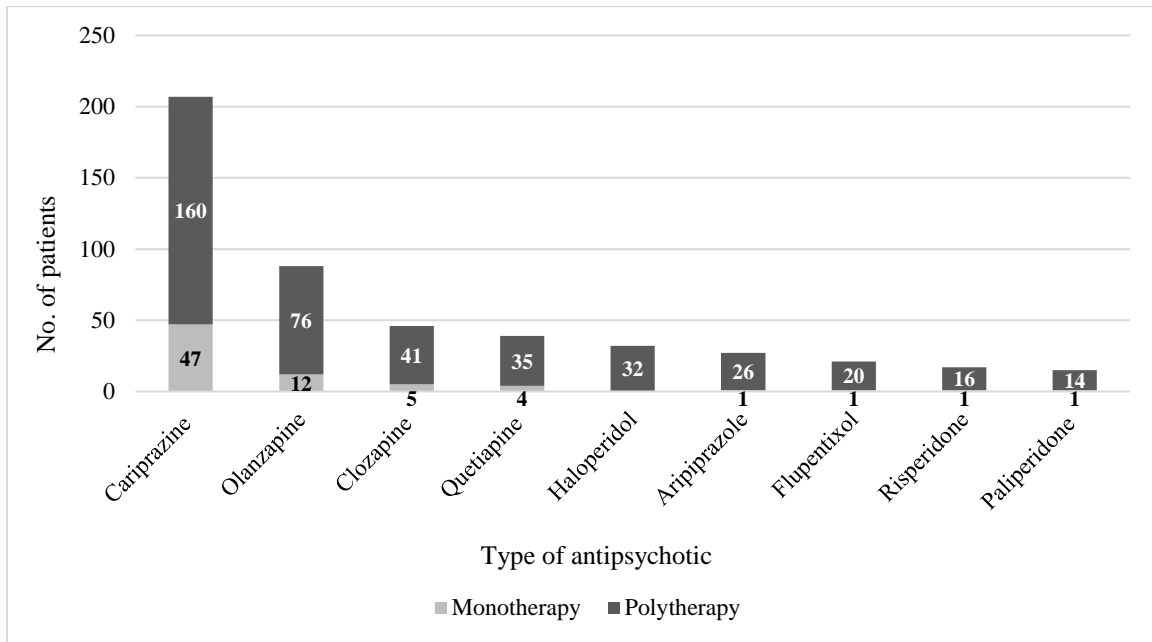
**12 months from baseline*

***Taken by more than 5% of patients*

357

358

359 Figure 1. Number of patients taking different types of antipsychotics throughout
 360 the observational period*



361

362 **Patients taking multiple medications are counted at each drug, drugs with multiple occurrences within a*
 363 *patient are counted only once*

364

365 Table 5. Effectiveness of treatment

	BASELINE	FINAL VISIT	LS mean change (SE)	ES
	mean (SD)	means (SD)		
m-SAND Total	23.6 (5.0)	13.8 (4.4)	-10.0 (0.33)***	-2.5
m-SAND-P	4.6 (2.2)	2.9 (1.3)	-1.8 (0.09)***	-1.6
Hallucinations	2.1 (1.2)	1.4 (0.7)	-0.7 (0.05)***	-1.2
Delusions	2.5 (1.3)	1.5 (0.8)	-1.1 (0.06)***	-1.5
m-SAND-N	19.1 (3.8)	11.0 (3.7)	-8.3 (0.28)***	-2.4
Anhedonia	4.0 (1.0)	2.2 (1.0)	-1.8 (0.08)***	-1.9
Affective blunting	4.3 (0.9)	2.7 (0.9)	-1.6 (0.07)***	-1.9
Avolition, apathy	4.2 (1.0)	2.3 (1.0)	-1.9 (0.07)***	-2.1
Alogia	2.9 (1.4)	1.8 (0.9)	-1.2 (0.06)***	-1.6
Asociality	3.6 (1.3)	1.9 (1.0)	-1.7 (0.07)***	-1.9
SNS Total	27.4 (7.3)	15.4 (7.1)	-12.0 (0.56)***	-1.7
Asociality / items 1-4	5.5 (2.1)	2.9 (1.7)	-2.7 (0.13)***	-1.6
Affective blunting / items 5-8	5.2 (1.7)	3.2 (1.5)	-1.9 (0.11)***	-1.3
Alogia / items 9-12	5.7 (1.9)	3.1 (1.7)	-2.7 (0.13)***	-1.6
Avolition / items 13-16	5.7 (2.0)	3.3 (1.8)	-2.4 (0.14)***	-1.4
Anhedonia / items 17-20	5.3 (1.9)	2.9 (1.6)	-2.4 (0.12)***	-1.6
CGI-I	-	2.2 (0.8)	-	-
CGI-S	4.3 (1.1)	3.0 (1.0)	-1.31 (0.07)***	-1.5
PSP				
Socially useful activities	3.9 (1.1)	2.6 (1.0)	-1.35 (0.07)***	-1.5
Personal and social relationships	4.2 (1.0)	2.5 (0.9)	-1.70 (0.07)***	-2.0
Self-care	3.3 (1.0)	1.9 (1.0)	-1.52 (0.07)***	-1.6
Disturbing and aggressive behaviour	2.0 (1.3)	1.2 (0.5)	-0.90 (0.4)***	-1.9
PSP Total	BASELINE	FINAL VISIT		
	n (%)	n (%)		
only mild difficulties (100-70)	0 (0.0)	0 (0.0)		

manifest disabilities (70-31)	102 (54.3)	137 (92.6)
functioning is poor (30-0)	86 (45.7)	11 (7.4)

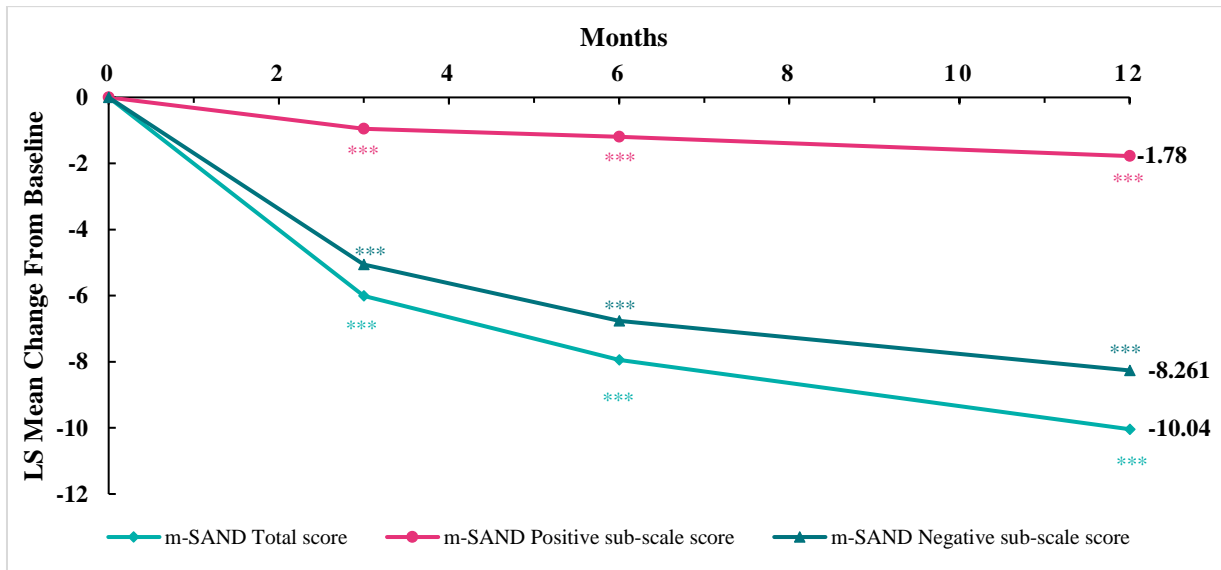
*** p-value <0.0001

CGI-I, Clinical Global Impressions-Improvement; CGI-S, Clinical Global Impressions-Severity; ES, effect size; LS, least squares; PSP, the Personal and Social Performance Scale; m-SAND, modified Short Assessment of Negative Domains; m-SAND-N, modified Short Assessment of Negative Domains Negative symptom sub-scale; m-SAND-P, modified Short Assessment of Negative Domains Positive symptom sub-scale; SNS, Self-evaluation of Negative Symptoms; SD, standard deviation; SE, standard error

366

367

368 Figure 2. Mean change from baseline in m-SAND Total, Positive sub-scale, and
369 Negative sub-scale scores by months

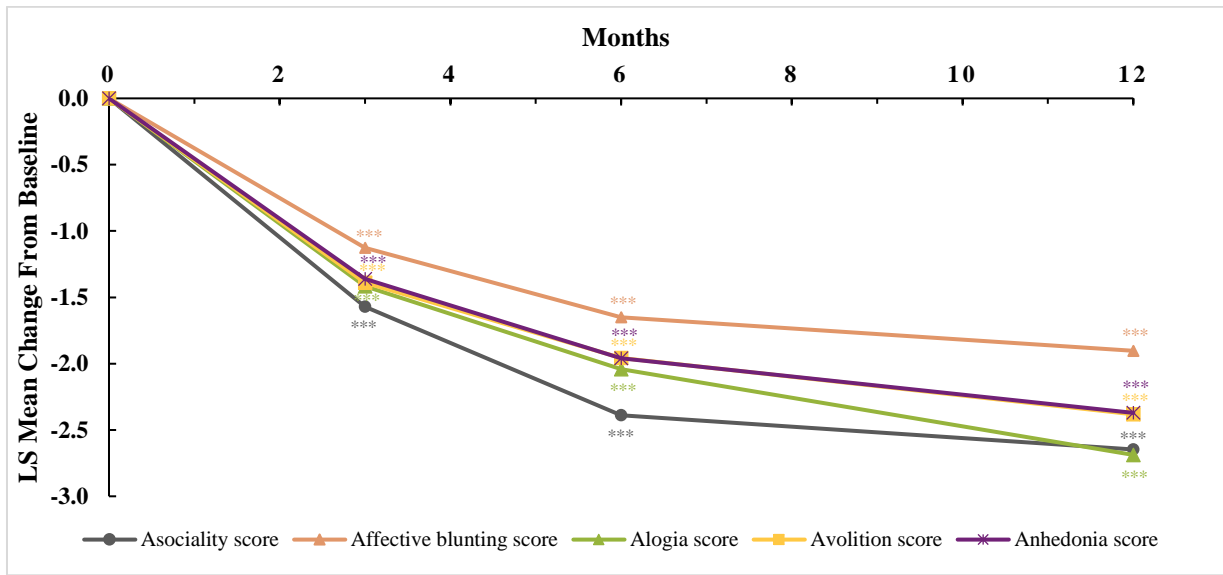


370

371 *** p-value <0.0001

372

373 Figure 3. Mean change from baseline in SNS sub-scores by months

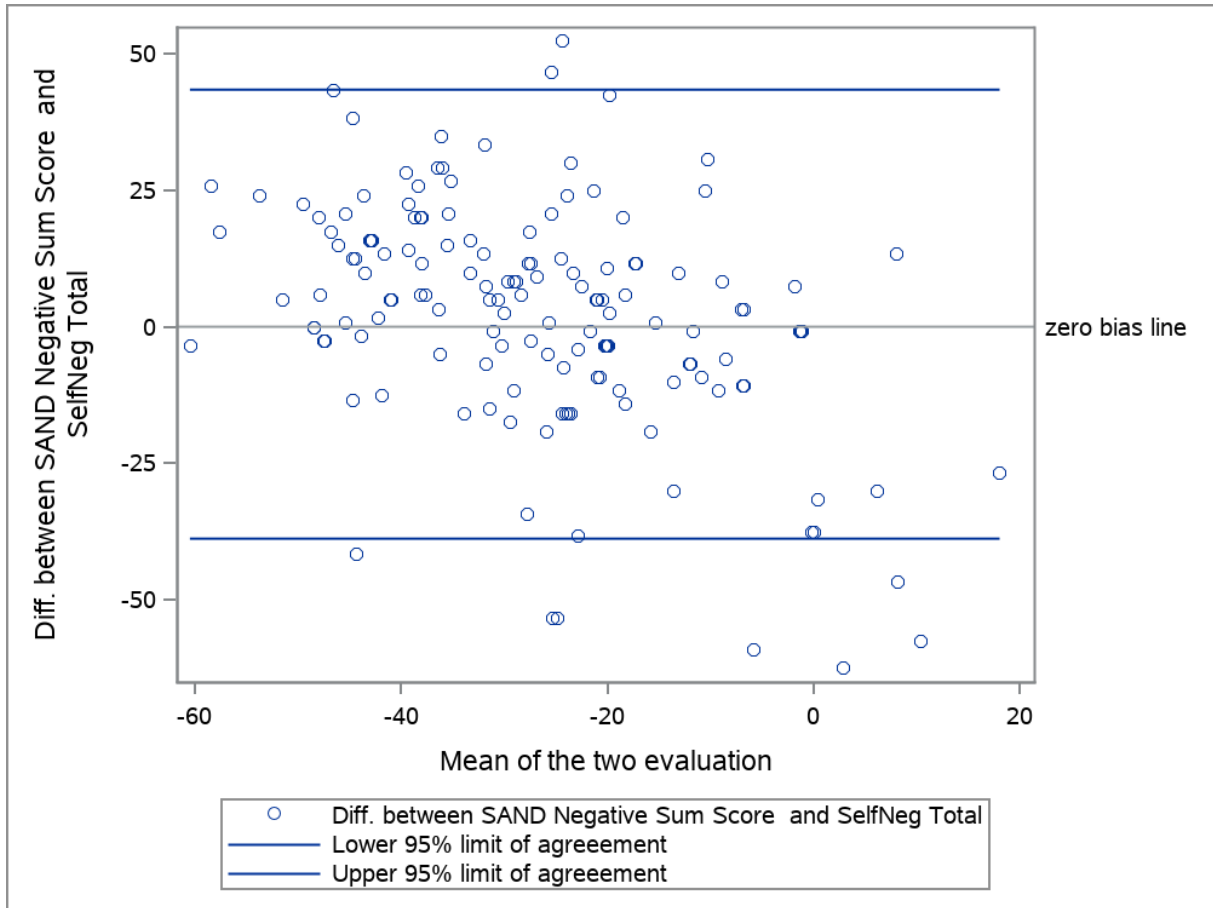


374

375 *** p-value <0.0001

376

377 Figure 4. Bland-Altman agreement plot: difference between SAND Negative Sub-
378 Score and SNS Total score (or change) vs. their average scores are expressed as
379 % of the corresponding max value



380

381

382 **Financial support**

383 The authors declare that this study received funding from Gedeon Richter Slovakia. The funder had
384 the following involvement in the study: support to data collection.

385

386 **Competing interests**

387 Z. B. Dombi, K. Acsai, V. Dzurilla and Á. Barabácssy are employees of Gedeon Richter Plc., the
388 originator company of cariprazine. J. Dragašek received honoraria or consultation fees outside of this
389 work from Gedeon Richter Slovakia.

390

391 **Ethical standards**

392 The cohort study received approval by the Ethics Committee of the Košice Self-Governing Region
393 (3618/2020/ODDZ-07169) and informed written consent was obtained from all participants.

394

395 **Availability of Data and Materials**

396 The data that support the findings of this study are available from the corresponding author, Z. B.
397 Dombi , upon reasonable request.

398

399 **References**

400 [1] Moreno-Küstner B, Martín C, Pastor L. Prevalence of psychotic disorders and its association

401 with methodological issues. A systematic review and meta-analyses. PLoS One 2018;13.

402 <https://doi.org/10.1371/journal.pone.0195687>.

403 [2] Vos T, Abajobir AA, Abbafati C, Abbas KM, Abate KH, Abd-Allah F, et al. Global, regional,

404 and national incidence, prevalence, and years lived with disability for 328 diseases and injuries

405 for 195 countries, 1990-2016: A systematic analysis for the Global Burden of Disease Study

406 2016. The Lancet 2017;390. [https://doi.org/10.1016/S0140-6736\(17\)32154-2](https://doi.org/10.1016/S0140-6736(17)32154-2).

- 407 [3] Nielsen RE, Banner J, Jensen SE. Cardiovascular disease in patients with severe mental illness.
408 Nat Rev Cardiol 2021;18. <https://doi.org/10.1038/s41569-020-00463-7>.
- 409 [4] He Y, Tanaka A, Kishi T, Li Y, Matsunaga M, Tanihara S, et al. Recent findings on subjective
410 well-being and physical, psychiatric, and social comorbidities in individuals with
411 schizophrenia: A literature review. *Neuropsychopharmacol Rep* 2022;42.
412 <https://doi.org/10.1002/npr2.12286>.
- 413 [5] Szkultecka-Debek M, Miernik K, Stelmachowski J, Jakovljevic M, Jukic V, Aadamsoo K, et
414 al. Schizophrenia causes significant burden to patients' and caregivers' lives. *Psychiatr Danub*
415 2016;28.
- 416 [6] Opler LA, Hwang MY. Schizophrenia: A Multidimensional Disorder. *Psychiatr Ann*
417 1994;24:491–5. <https://doi.org/10.3928/0048-5713-19940901-12>.
- 418 [7] Correll CU, Schooler NR. Negative symptoms in schizophrenia: A review and clinical guide
419 for recognition, assessment, and treatment. *Neuropsychiatr Dis Treat* 2020;16.
420 <https://doi.org/10.2147/NDT.S225643>.
- 421 [8] Barabassy A, Szatmári B, Laszlovszky I, Németh G. Negative Symptoms of Schizophrenia:
422 Constructs, Burden, and Management. *Psychotic Disorders - An Update*, 2018.
423 <https://doi.org/10.5772/intechopen.73300>.
- 424 [9] Marder SR, Galderisi S. The current conceptualization of negative symptoms in schizophrenia.
425 *World Psychiatry* 2017;16. <https://doi.org/10.1002/wps.20385>.
- 426 [10] García-Fernández L, Romero-Ferreiro V, Sánchez-Pastor L, Dompablo M, Martínez-Gras I,
427 Espejo-Saavedra JM, et al. Impact of Negative Symptoms on Functioning and Quality of Life
428 in First Psychotic Episodes of Schizophrenia. *J Clin Med* 2022;11.
429 <https://doi.org/10.3390/jcm11040983>.
- 430 [11] D'Anna G, Zarbo C, Cardamone G, Zamparini M, Calza S, Rota M, et al. Interplay between
431 negative symptoms, time spent doing nothing, and negative emotions in patients with

- 432 schizophrenia spectrum disorders: results from a 37-site study. *Schizophrenia* 2023;9.
433 <https://doi.org/10.1038/s41537-023-00372-x>.
- 434 [12] Novick D, Montgomery W, Cheng Y, Moneta V, Haro J. Impact of Negative Symptoms on
435 Quality of Life in Patients with Schizophrenia. *Value in Health* 2015;18.
436 <https://doi.org/10.1016/j.jval.2015.09.351>.
- 437 [13] Szkultecka-Debek M, Miernik K, Stelmachowski J, Jakovljević M, Jukić V, Aadamsoo K, et
438 al. Treatment patterns of schizophrenia based on the data from seven central and eastern
439 European countries. *Psychiatr Danub* 2016;28.
- 440 [14] Stahl SM. Mechanism of action of cariprazine. *CNS Spectr* 2016;21:123–7.
441 <https://doi.org/10.1017/S1092852916000043>.
- 442 [15] Németh G, Laszlovszky I, Czobor P, Szalai E, Szatmári B, Harsányi J, et al. Cariprazine versus
443 risperidone monotherapy for treatment of predominant negative symptoms in patients with
444 schizophrenia: a randomised, double-blind, controlled trial. *The Lancet* 2017;389:1103–13.
445 [https://doi.org/10.1016/S0140-6736\(17\)30060-0](https://doi.org/10.1016/S0140-6736(17)30060-0).
- 446 [16] Bitter I, Mohr P, Raspopova N, Szulc A, Samochowiec J, Micluia IV, et al. Assessment and
447 Treatment of Negative Symptoms in Schizophrenia—A Regional Perspective. *Front Psychiatry*
448 2022;12. <https://doi.org/10.3389/fpsy.2021.820801>.
- 449 [17] Galderisi S, Mucci A, Dollfus S, Nordentoft M, Falkai P, Kaiser S, et al. EPA guidance on
450 assessment of negative symptoms in schizophrenia. *European Psychiatry* 2021;64.
451 <https://doi.org/10.1192/j.eurpsy.2021.11>.
- 452 [18] Reddy M. Insight and psychosis. *Indian J Psychol Med* 2015;37. <https://doi.org/10.4103/0253-7176.162909>.
- 453
454 [19] Dollfus S, Mach C, Morello R. Self-Evaluation of Negative Symptoms: A Novel Tool to
455 Assess Negative Symptoms. *Schizophr Bull* 2016;42. <https://doi.org/10.1093/schbul/sbv161>.

- 456 [20] White S, Dominise C, Naik D, Killaspy H. The reliability of the Personal and Social
457 Performance scale – informing its training and use. *Psychiatry Res* 2016;243.
458 <https://doi.org/10.1016/j.psychres.2016.06.047>.
- 459 [21] Busner J, Targum SD. The clinical global impressions scale: applying a research tool in clinical
460 practice. *Psychiatry (Edgmont)* 2007;4.
- 461 [22] Rancans E, Dombi ZB, Mátrai P, Barabácssy Á, Sebe B, Skrivele I, et al. The effectiveness and
462 safety of cariprazine in schizophrenia patients with negative symptoms and insufficient
463 effectiveness of previous antipsychotic therapy: an observational study. *Int Clin*
464 *Psychopharmacol* 2021;36. <https://doi.org/10.1097/YIC.0000000000000351>.
- 465 [23] Jelastopulu E, Giourou E, Merkoulias G, Mestousi A, Moratis E, Alexopoulos EC.
466 Correlation between the Personal and Social Performance scale (PSP) and the Positive and
467 Negative Syndrome Scale (PANSS) in a Greek sample of patients with schizophrenia. *BMC*
468 *Psychiatry* 2014;14. <https://doi.org/10.1186/1471-244X-14-197>.
- 469 [24] Cohen AS, Morrison SC, Callaway DA. Computerized facial analysis for understanding
470 constricted/blunted affect: Initial feasibility, reliability, and validity data. *Schizophr Res*
471 2013;148. <https://doi.org/10.1016/j.schres.2013.05.003>.
- 472 [25] Hunter R, Barry S. Negative symptoms and psychosocial functioning in schizophrenia:
473 Neglected but important targets for treatment. *European Psychiatry* 2012;27.
474 <https://doi.org/10.1016/j.eurpsy.2011.02.015>.
- 475 [26] Shamsi S, Lau A, Lencz T, Burdick KE, DeRosse P, Brenner R, et al. Cognitive and
476 symptomatic predictors of functional disability in schizophrenia. *Schizophr Res* 2011;126.
477 <https://doi.org/10.1016/j.schres.2010.08.007>.
- 478 [27] gov.uk. When a mental health condition becomes a disability 2023. [https://www.gov.uk/when-](https://www.gov.uk/when-mental-health-condition-becomes-disability)
479 [mental-health-condition-becomes-disability](https://www.gov.uk/when-mental-health-condition-becomes-disability) (accessed January 5, 2024).
- 480 [28] Disability Benefits Center. Schizophrenia and Social Security Disability 2023.

- 481 [29] Carpenter WT, Strauss JS, Bartko JJ. Flexible system for the diagnosis of schizophrenia:
482 Report from the WHO International Pilot Study of Schizophrenia. *Science* (1979) 1973;182.
483 <https://doi.org/10.1126/science.182.4118.1275>.
- 484 [30] Joseph B, Narayanaswamy J, Venkatasubramanian G. Insight in schizophrenia: Relationship to
485 positive, negative and neurocognitive dimensions. *Indian J Psychol Med* 2015;37.
486 <https://doi.org/10.4103/0253-7176.150797>.
- 487 [31] Kemp RA, Lambert TJR. Insight in schizophrenia and its relationship to psychopathology.
488 *Schizophr Res* 1995;18. [https://doi.org/10.1016/0920-9964\(95\)00018-6](https://doi.org/10.1016/0920-9964(95)00018-6).
- 489 [32] Lin SK. Antipsychotic polypharmacy: A dirty little secret or a fashion? *International Journal of*
490 *Neuropsychopharmacology* 2021;23. <https://doi.org/10.1093/IJNP/PYZ068>.
- 491 [33] Toto S, Grohmann R, Bleich S, Frieling H, Maier HB, Greil W, et al. Psychopharmacological
492 Treatment of Schizophrenia Over Time in 30 908 Inpatients: Data From the AMSP Study.
493 *International Journal of Neuropsychopharmacology* 2019;22.
494 <https://doi.org/10.1093/IJNP/PYZ037>.
- 495 [34] Tiihonen J, Taipale H, Mehtälä J, Vattulainen P, Correll CU, Tanskanen A. Association of
496 Antipsychotic Polypharmacy vs Monotherapy with Psychiatric Rehospitalization among Adults
497 with Schizophrenia. *JAMA Psychiatry* 2019;76.
498 <https://doi.org/10.1001/jamapsychiatry.2018.4320>.
- 499 [35] Galling B, Roldán A, Hagi K, Rietschel L, Walyzada F, Zheng W, et al. Antipsychotic
500 augmentation vs. monotherapy in schizophrenia: systematic review, meta-analysis and meta-
501 regression analysis. *World Psychiatry* 2017;16. <https://doi.org/10.1002/wps.20387>.
- 502 [36] Reagila Summary of Product Characteristics n.d.
503 [https://www.ema.europa.eu/en/documents/product-information/reagila-epar-product-](https://www.ema.europa.eu/en/documents/product-information/reagila-epar-product-information_en.pdf)
504 [information_en.pdf](https://www.ema.europa.eu/en/documents/product-information/reagila-epar-product-information_en.pdf).

- 505 [37] De Berardis D, Rapini G, Olivieri L, Giardini A, De Lauretis I, Serroni N, et al. Cariprazine
506 add-on in inadequate clozapine response: A report on two cases. *Clinical Psychopharmacology
507 and Neuroscience* 2021;19:174–8. <https://doi.org/10.9758/CPN.2021.19.1.174>.
- 508 [38] Darriba HB. Combined use of clozapine and cariprazine in treatment-resistant schizophrenia, is
509 it a good choice? *European Psychiatry* 2021;64. <https://doi.org/10.1192/j.eurpsy.2021.2111>.
- 510 [39] Pappa S, Kalniunas A, Sharma H, Raza-Syed A, Kamal M, Larkin F. Efficacy and safety of
511 cariprazine augmentation in patients treated with clozapine: a pilot study. *Ther Adv
512 Psychopharmacol* 2022;12. <https://doi.org/10.1177/20451253221132087>.
- 513 [40] Oloyede E, Clark I, Mace S, Whiskey E, Taylor D. Clozapine augmentation with cariprazine
514 for negative symptoms: a case series and literature review. *Ther Adv Psychopharmacol*
515 2022;12:1–9.
- 516 [41] Hjorth S. The More, the Merrier...? Antipsychotic Polypharmacy Treatment Strategies in
517 Schizophrenia From a Pharmacology Perspective. *Front Psychiatry* 2021;12.
518 <https://doi.org/10.3389/fpsy.2021.760181>.
- 519 [42] Cerveri G, Gesi C, Mencacci C. Pharmacological treatment of negative symptoms in
520 schizophrenia: Update and proposal of a clinical algorithm. *Neuropsychiatr Dis Treat* 2019;15.
521 <https://doi.org/10.2147/NDT.S201726>.
- 522 [43] Leon AC. Evaluation of psychiatric interventions in an observational study: Issues in design
523 and analysis. *Dialogues Clin Neurosci* 2011;13. <https://doi.org/10.31887/dcns.2011.13.2/aleon>.
- 524 [44] Cohen AT, Goto S, Schreiber K, Torp-Pedersen C. Why do we need observational studies of
525 everyday patients in the real-life setting? *European Heart Journal, Supplement* 2015;17.
526 <https://doi.org/10.1093/eurheartj/suv035>.

527