



## A Combinatorial Character Formula for Some Highest Weight Modules <sup>★</sup>

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**Abstract.** We give a combinatorial formula for the weight multiplicities of some infinite-dimensional highest weight  $\mathfrak{gl}(n)$ -modules. Our proof, which does not rely on Kazhdan–Lusztig combinatorics, uses a reduction to finite characteristics. The character formula for the corresponding modular representations, which has been computed in a 1997 preprint by the authors, is based on a dual pair which has no obvious counterpart in characteristic zero.

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### Introduction

Set  $\mathfrak{g} = \mathfrak{gl}(n, K)$ , where  $K$  is an algebraically closed field of characteristic zero. Although the characters of highest weight  $\mathfrak{g}$ -modules  $L(\lambda)$  are determined by the Kazhdan–Lusztig polynomials, there are no general combinatorial formulas. For finite-dimensional  $\mathfrak{g}$ -modules, such formulas have been provided by the work of Littlewood and Richardson [LR], which is based on semi-standard tableaux (see also [L]). In this paper, we will show that the combinatorics of semi-standard tableaux applies as well for a certain class of infinite-dimensional highest weight representations. Indeed, the result is a corollary of some character formulas for modular representations of [MP] and is strongly connected with the combinatorics of Verlinde’s formula for modular representations [GP]. Our proof uses a reduction to finite characteristics. However, we believe that there should be a natural purely characteristic zero proof, based on representation theory of the loop algebra.

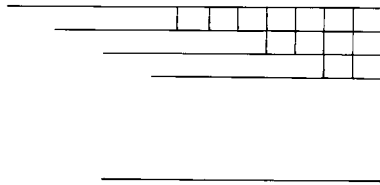
Let  $m \geq 0$ . In the paper, we will determine a combinatorial formula for all highest weight modules  $L(\lambda)$ , where  $\lambda$  is  $m$ -cospecial. By definition, a non-zero weight  $\lambda$  is called  $m$ -cospecial if there are three integers  $i, s, j$ , with  $i \leq s \leq j$ , such that  $\lambda = \sum_{i \leq l \leq j} a_l \omega_l$  (where  $\omega_l$  is the  $l$ th fundamental weight),  $a_l \geq 0$  for all  $l \neq s$  and  $j - i \leq m$ , where  $m = -\sum_{i \leq l \leq j} a_l$ . Typical examples of cospecial weights are the negative multiple of the fundamental weights, i.e. the

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weight  $-m\omega_s$  is  $m$ -cospecial. For simplicity, we will only explain the character formula for  $L(-m\omega_s)$  in the introduction.

Let  $Y_\infty$  be the semi-infinite Young diagram  $\mathbf{Z}_{\leq 0} \times \{1, \dots, s\}$  of height  $s$ . We can draw this semi-infinite Young diagram as follows:



By convention, the first line is the top line, and the last line is the  $s$ th line which is at bottom. A semi-standard tableau of shape  $Y_\infty$  is a filling of the boxes of  $Y$  by indices running from 1 to  $n$ , with the usual convention that the indices are increasing from top to bottom, non decreasing from left to right and with the special requirement that on the  $i$ th line almost all labels are  $i$ . Here is an example of semi-standard tableau of shape  $Y_\infty$ :

...	1	1	1	...	1	2	2	4
...	2	2	2	...	2	3	5	6
...	3	3	...	...	...	...	...	7

As the Young diagram  $Y_\infty$  is infinite, we cannot define the weight of the tableau  $T$  as usual. However, it is easy to renormalize the usual definition, which allows to define its relative weight  $rw(T)$ . Denote by  $L_1 < L_2 \dots < L_s$  the indices on the last column (i.e. the rightmost column) of the tableau  $T$ , and denote by  $\mathcal{P}$  the set of all semi-standard tableaux such that  $L_{s-m} \leq s$  (by convention, this condition is automatically satisfied if  $s - m \leq 0$ ).

**THEOREM** We have:  $\text{ch}(L(-m\omega_s)) = e^{-m\omega_s} \sum_{T \in \mathcal{P}} e^{rw(T)}$ .

For general  $m$ -cospecial weights, the combinatorics is slightly more complicated and it involves a pair consisting of a semi-infinite Young diagram  $Y_\infty$  and an ordinary Young diagram  $Y_f$ .

### 1. A Semi-continuity Principle

Roughly speaking, a semi-continuity principle states that a ‘finite statement’ which holds in characteristic  $p \gg 0$  also holds in characteristic 0. For any algebraically closed field  $k$ , set  $\mathfrak{g}_k = \mathfrak{gl}(n, k)$ , let  $P$  be the lattice of integral weights and let  $H(k)$  be the torus of  $\text{GL}_n(k)$ , i.e. the subgroup of diagonal matrices. Denote by  $\epsilon_1, \dots, \epsilon_n$  the natural basis of  $P$ , by  $\alpha_1 = \epsilon_1 - \epsilon_2, \dots, \alpha_{n-1} = \epsilon_{n-1} - \epsilon_n$  the simple roots and by  $h_1, \dots, h_{n-1} \in \text{Hom}(P, \mathbf{Z})$  the corresponding simple coroots (with

our definition, coroots are not elements in the Cartan subalgebra). Denote by  $Q^+$  the monoid generated by the roots  $\alpha_i$  and set  $Q^- = -Q^+$ .

For any  $\lambda \in P$ , denote by  $L_k(\lambda)$  the simple module with highest weight  $\lambda$ . As we are only interested by its character, we will set  $L_k(\lambda) = L(\lambda)$  if the characteristic of  $k$  is zero, and we will set  $L_k(\lambda) = L_p(\lambda)$  if the characteristic of the field is  $p \neq 0$ . When  $k$  is a field of characteristic  $p$ ,  $L_k(\lambda)$  is a restricted  $\mathfrak{g}_k - H(k)$  module, i.e. it is a restricted  $\mathfrak{g}_k$ -module with a compatible action of  $H(k)$ .

Let  $\chi(p) = \sum_{\lambda \in P} m_\lambda(p) e^\lambda$  be a sequence of characters indexed by all prime numbers  $p$ , and let  $\chi = \sum_{\lambda \in P} m_\lambda e^\lambda$  be a character. We say that the sequence  $(\chi(p))$  converges to the character  $\chi$  if for all  $\lambda \in P$ , we have  $m_\lambda(p) = m_\lambda$  for  $p$  big enough, i.e. for  $p > N(\lambda)$ . In such case, we set  $\lim_{p \rightarrow \infty} \chi(p) = \chi$ .

LEMMA 1. *Let  $\lambda \in P$ .*

- (i) *For any prime number  $p$ , we have  $\text{ch}(L_p(\lambda)) \leq \text{ch}(L(\lambda))$ .*
- (ii) *We have  $\lim_{p \rightarrow \infty} \text{ch}(L_p(\lambda)) = \text{ch}(L(\lambda))$ .*

*Proof.* Let  $k$  be any algebraically closed field. Let  $\mathfrak{g}_k = \mathfrak{n}_k^- \oplus \mathfrak{h}_k \oplus \mathfrak{n}_k^+$  be the triangular decomposition of  $\mathfrak{g}_k$ . Let  $U_k, U_k^\pm, A_k$  be the enveloping algebras of  $\mathfrak{g}_k, \mathfrak{n}_k^\pm, \mathfrak{h}_k$ . Let  $T: U_k \rightarrow A_k$  be the Harish-Chandra projector, which is uniquely defined by  $T(u) = u$  if  $u \in A_k, T(u) = 0$  if  $u \in \mathfrak{n}_k^- \cdot U_k + U_k \cdot \mathfrak{n}_k^+$ . For any weight  $\nu \in Q^-$ , one defines the Shapovalov form  $B_k^\nu: (U_k^+)_{-\nu} \times (U_k^-)_\nu \rightarrow k$  by  $B_k^\nu(u^+, u^-) = \bar{\lambda}(T(u^+ \cdot u^-))$ , where  $\bar{\lambda}: A_k \rightarrow k$  is the algebra homomorphism extending  $\lambda$ . As we are only interested by the rank of the Shapovalov forms, we will set  $B_k^\nu = B^\nu$  if  $k$  is a field of characteristic zero and we will set  $B_p^\nu = B_k^\nu$  if  $k$  is a field of characteristic  $p \neq 0$ .

The Shapovalov form  $B_k^\nu$  is naturally defined over  $\mathbf{Z}$ . Hence, we have  $\text{rk}(B^\nu) \geq \text{rk}(B_p^\nu)$  for any prime number  $p$  and  $\text{rk}(B^\nu) = \text{rk}(B_p^\nu)$  for  $p$  big enough (where  $\text{rk}$  denotes the rank). As the dimension of  $L_k(\lambda)_{\lambda+\nu}$  is the rank of  $B_k^\nu$ , the assertions (i) and (ii) are proved. □

Let  $\lambda \in P$ . Define a weight  $\rho_\lambda \in P$  by the following requirements:

- (i)  $\rho_\lambda(h_i) = 0$  if  $\lambda(h_i) \geq 0$ ,
- (ii)  $\rho_\lambda(h_i) = 1$  if  $\lambda(h_i) < 0$ .

For any prime number  $p$ , set  $\lambda_p = \lambda + p \rho_\lambda$ .

COROLLARY 2.

- (i) *For  $p$  big enough,  $\lambda_p$  is dominant and restricted.*
- (ii) *We have  $\text{ch}(L(\lambda)) = \lim_{p \rightarrow \infty} e^{-p\rho_\lambda} \text{ch}(L_p(\lambda_p))$ .*

*Proof.* For  $p$  big enough, we have  $|\lambda(h_i)| < p$ , for all  $1 \leq i \leq n$ . Hence, the first assertion follows. We have  $\lambda = \lambda_p - p\rho_\lambda$ . Hence, for any field  $k$  of characteristic  $p$ , the  $\mathfrak{g}_k - H(k)$ -module  $L_k(\lambda)$  is isomorphic to  $L_k(\lambda_p) \otimes k_{-p\rho_\lambda}$ , where  $k_{-p\rho_\lambda}$  is the one dimensional  $H(k)$ -module of weight  $-p\rho_\lambda$  with a trivial  $\mathfrak{g}_k$ -action. So the formula follows from Lemma 1.  $\square$

*Remark.* Assume that the characteristic of  $k$  is  $p \gg 0$ . As  $\lambda_p$  is restricted and dominant, Steinberg proved that  $L_k(\lambda_p)$  is indeed the restriction to  $\mathfrak{g}_k$  of the simple  $\mathrm{GL}(n, k)$ -module with highest weight  $\lambda_p$  [St]. Hence, the character of any module of the category  $\mathcal{O}$  is the limit of characters of modular representations. This method of computing the characters of modules in the category  $\mathcal{O}$  is usually not practical, because the character of a general modular representation is usually more complicated than its counterpart in the category  $\mathcal{O}$  (see [So]). However, we will implicitly use a dual pair which holds in finite characteristics and which has no obvious counterpart in characteristic zero (indeed, the dual pair occurs in the proof of Theorem 3, see [MP]).

## 2. Some Results about Modular Theory

Let  $k$  be an algebraically closed field of characteristic  $p$ . Let  $Y$  be a Young diagram. Denote by  $M$  the number of columns of  $Y$ , and by  $c_i(Y)$  the number of boxes on the  $i$ th column. For any subdiagram  $Y'$  in  $Y$ , set  $c_{\mathrm{first}}(Y') = c_1(Y')$  and  $c_{\mathrm{last}}(Y') = c_M(Y')$ . As usual, the dominant weight  $\lambda$  associated to  $Y$  is the weight  $\lambda = \sum_{i \leq M} \omega_{c_i(Y)}$ . The weight  $\lambda$  is called  $M$ -special if and only if  $c_{\mathrm{first}}(Y) - c_{\mathrm{last}}(Y) \leq p - M$  and  $M < p$ . Denote by  $\mathcal{P}(\lambda)$  the set of all semi-standard tableaux  $T$  of shape  $Y$  such that  $c_{\mathrm{first}}(T[l]) - c_{\mathrm{last}}(T[l]) \leq p - M$  for all  $l \leq n$ . For any semi-standard tableau  $T$  of shape  $Y$ , denote by  $w(T)$  its weight and set  $rw(T) = w(T) - \lambda$ .

**THEOREM 3.** *Let  $\lambda$  be a  $M$ -special weight as before. We have*

$$\mathrm{ch}(L_p(\lambda)) = e^\lambda \sum_{T \in \mathcal{P}(\lambda)} e^{rw(T)}.$$

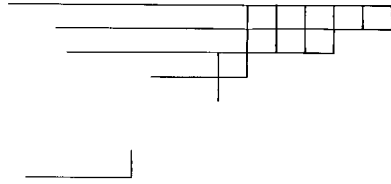
**THEOREM 4.** *Let  $\lambda$  be a  $M$ -special. Then  $L_p(\lambda)|_{\mathrm{GL}(n-1, k)}$  is semi-simple.*

Theorem 3 is proved in [MP] (Theorem 4.3). Theorem 4 has been proved independently by Brundan, Kleshchev, Suprunenko [BKS] and the authors [MP] by very different methods. Soon after that, Brundan, Kleshchev and Suprunenko used their methods to give a new proof of Theorem 3.

## 3. The Character Formula for Some Highest Weight Modules

Let  $K$  be an algebraically closed field of characteristic zero. For  $a \in \mathbf{Z}$ , we denote by  $]-\infty, a]$  the set of all integers  $n \leq a$ . Such a set is called a semi-infinite interval

of  $\mathbf{Z}$ . A semi-infinite Young diagram of height  $s$  is a collection of  $s$  semi-infinite intervals  $I_i$  such that  $I_1 \supset I_2 \supset \dots \supset I_s$ . We draw a semi-infinite Young diagram as follows



A semi-standard tableau of shape  $Y$  is a filling of the boxes of  $Y$  by indices running from 1 to  $n$ , which is increasing from top to bottom, non decreasing from left to right and with the stabilization requirement that almost all boxes on the  $i$ th line are filled with the index  $i$ , as on the introduction.

Because the semi-standard tableau  $T$  is infinite, we cannot define its weight as usual. However for any semi-infinite Young tableau there is an highest semi-standard tableau  $T_h$  of shape  $Y$  for which the  $i$ th line is filled only with the index  $i$ . Then the difference of the weights of  $T$  and  $T_h$  is well defined, and will be called the relative weight of  $T$  (and it will be denoted by  $rw(T)$ ). More precisely, the relative weight  $rw(T)$  of  $T$  is defined as follows. For any box  $b$  on the  $i$ th line of  $T$ , we set  $\alpha(b) = \epsilon_j - \epsilon_i$  if  $b$  is filled with the index  $j$ . For almost all  $b$ , we have  $\alpha(b) = 0$ , and  $\alpha(b)$  is a negative root otherwise. We set  $rw(T) = \sum_b \alpha(b)$ .

Let  $\omega_i = \epsilon_1 + \dots + \epsilon_i$  be the  $i$ th fundamental weight of  $GL(n)$ . Let  $m \geq 0$ . A  $m$ -cospecial weight with support  $[i, j]$  and singular node  $s \in [i, j]$  is a weight  $\lambda$  such that  $\lambda = \sum_{i \leq l \leq j} a_l \omega_l$ , and we assume:

- (i)  $a_l \geq 0$  for any  $l \neq s$ ,
- (ii)  $\sum_{i \leq l \leq j} a_l = -m$  and  $j - i \leq m$ ,
- (iii)  $a_s < 0$ , or equivalently  $\lambda \neq 0$ .

For a  $m$ -cospecial weight  $\lambda$  as before, we associate a pair  $(Y_\infty(\lambda), Y_f(\lambda))$  consisting of a semi-infinite Young diagram  $Y_\infty(\lambda)$  and a ordinary Young diagram  $Y_f(\lambda)$  defined as follows

- (i)  $Y_\infty(\lambda)$  is the semi-infinite Young diagram of height  $s$  defined by the semi-infinite intervals  $I_1 \supset I_2 \supset \dots \supset I_s$  such that  $I_l = ] - \infty, \sum_{\max(l,i) \leq k \leq s} a_k]$ ,
- (ii)  $Y_f(\lambda)$  is the Young diagram associated with the dominant weight  $\sum_{l > s} a_l \omega_l$ .

Let  $T_\infty, T_f$  be semi-standard standard tableaux of shape respectively  $Y_\infty(\lambda)$  and  $Y_f(\lambda)$ . Let  $L$  be the last column of  $T_\infty$  and let  $F$  be the first column of  $T_f$ . For  $l \leq n$ , denote by  $T_f[l]$  (respectively  $T_\infty[l]$ ) the Young subdiagram of  $Y_f(\lambda)$  (respectively of the semi-infinite Young diagram of  $Y_\infty(\lambda)$ ) consisting of all boxes with label  $\leq l$ . We set  $c_{\text{first}}(T_f[l]) = \text{card}(T_f[l] \cap F)$ ,  $c_{\text{last}}(T_\infty[l]) = \text{card}(T_\infty[l] \cap L)$ . However, when  $s = j$ , the Young diagram  $Y_f(\lambda)$  is empty, and the definition of  $c_{\text{first}}(T_f[l])$  is slightly different. In such a case, we set  $c_{\text{first}}(T_f[l]) = \min(l, s)$ .

Let  $\mathcal{T}$  the set of all pair  $(T_\infty, T_f)$  of semi-standard tableaux of shape respectively  $Y_\infty(\lambda)$  and  $Y_f(\lambda)$  such that:

- (i) for any  $l \leq s$ , all boxes of  $l$ th line of  $Y_f(\lambda)$  are filled with the index  $l$ ,
- (ii) for any  $l \leq n$ ,  $c_{\text{first}}(T_f[l]) - c_{\text{last}}(T_\infty[l]) \leq m$ .

**THEOREM 5.** *Let  $\lambda$  be a  $m$ -cospecial weight. We have*

$$\text{ch}(L(\lambda)) = e^\lambda \sum_{(T_\infty, T_f) \in \mathcal{T}} e^{rw(T_\infty) + rw(T_f)}.$$

*Proof.* Let  $p$  be a prime number, and set  $M = p - m$ . For  $p$  big enough, the weight  $\lambda_p = \lambda + p\omega_s$  is restricted (Corollary 2), and by definition  $\lambda_p$  is  $M$ -special. Let  $Y$  be the Young diagram of  $\lambda_p$ , and set  $a = \sum_{l>s} a_l$ ,  $b = p - m - a$ . The first  $a$  columns of  $Y$  is a Young subdiagram  $Y_1$  which is identical to  $Y_f$ . The remaining  $b$  columns of  $Y$  are identical to the Young diagram  $Y_2$  consisting of the last  $b$  columns of the semi-infinite diagram  $Y_\infty$ .

Fix a weight  $\nu = -\sum_{1 \leq i \leq n-1} m_i \alpha_i \in Q^-$ , and set  $N = \sum_{1 \leq i \leq n-1} m_i$ . In what follows, we will assume that  $p$  is big enough, i.e.  $p > N - a_s$ . All lines of  $Y_2$  contains more than  $N + 1$  boxes. Denote by  $\mathcal{P}_p^\nu$  (respectively  $\mathcal{T}^\nu$ ) the set of all semi-standard tableaux  $T \in \mathcal{P}(\lambda_p)$  (respectively the pairs  $(T_\infty, T_f) \in \mathcal{T}$ ) such that  $rw(T) = \nu$  (respectively  $rw(T_\infty) + rw(T_f) = \nu$ ).

Let  $T \in \mathcal{P}_p^\nu$ . For any box  $b \in T$  such that  $\alpha(b) \neq 0$ , we also have  $\alpha(b') \neq 0$  for all  $b'$  in the hook of  $b$ . Thus, if the hook length of  $b$  is  $\geq N + 1$ , we have  $\alpha(b) = 0$ . In particular for all boxes of the first  $s$  lines of  $Y_1$ , we have  $\alpha(b) = 0$ . So, the restriction of  $T$  to  $Y_1$  determines a semi-standard tableau  $T_f(T)$  of shape  $Y_1$  and the  $l$ th line of  $T_f(T)$  is only filled with the index  $l$ , for any  $l \leq s$ . Similarly, the restriction of  $T$  to  $Y_2$  determines a semi-standard tableau of shape  $Y_2$ , and we can extend it to get a semi-standard tableau  $T_\infty(T)$  of shape  $Y_\infty$  by requiring that any box on the  $l$ th line of  $Y_\infty \setminus Y_2$  is filled by the index  $l$ . It is clear that the map  $T \mapsto (T_f(T), T_\infty(T))$  is a bijection from  $\mathcal{P}_p^\nu$  to  $\mathcal{T}^\nu$ . Hence the Theorem 5 follows from Corollary 2 and Theorem 3. □

*Remark.* Assume now that  $s = j$ . In such a case,  $Y_f(\lambda) = \emptyset$ , and an element in  $\mathcal{T}$  consists essentially in one tableau  $T_\infty$ , because  $T_f = \emptyset$ . Let  $T_\infty$  be a semi-standard tableau of shape  $Y_\infty(\lambda)$ . Denote by  $L_1 < \dots < L_i$  be the indices of the boxes on the last column  $L$  of  $Y_\infty$ . We have  $c_{\text{first}}(T_f[l]) = \min(l, s)$  and  $c_{\text{last}}(T_\infty[l]) \leq c_{\text{last}}(T_\infty[l + 1]) \leq c_{\text{last}}(T_\infty[l]) + 1$ . Hence, the function  $l \mapsto c_{\text{first}}(T_f[l]) - c_{\text{last}}(T_\infty[l])$  takes its maximal value at  $s$ . The condition  $s - c_{\text{last}}(T_\infty[s]) \leq m$  is equivalent to  $L_{s-m} \leq s$  (and is automatically satisfied if  $s \leq m$ ). Hence  $T_\infty$  belongs to  $\mathcal{T}$  if and only if  $L_{s-m} \leq s$  or  $s \leq m$ . This proves the theorem stated in the introduction.

The combinatorics presented here is strongly connected with the Verlinde's formula for  $\text{GL}(n, \overline{\mathbb{F}}_p)$ , see [GM] and [MP] for the details. Using Theorem 4 and another version of the semi-continuity principle, we can also deduce that the

restriction of  $L(\lambda)$  to  $\mathfrak{gl}(n-1, K)$  is semi-simple. However, this can be deduced directly. Denote by  $\Lambda$  the set of all weights  $\mu$  such that there exists a pair  $(T_f, T_\infty) \in \mathcal{T}$  such that  $\mu = \lambda + \sum_{b \in Y_f \setminus T_f[n-1]} \alpha(b) + \sum_{b \in Y_\infty \setminus T_\infty[n-1]} \alpha(b)$ . For  $\mu \in \Lambda$ , denote by  $l(\mu)$  the  $\mathfrak{gl}(n-1, K)$ -module with highest weight  $\mu$ .

**COROLLARY 6.** *As a  $\mathfrak{gl}(n-1, K)$ -module, we have:  $L(\lambda) = \bigoplus_{\mu \in \Lambda} l(\mu)$ .*

*Proof.* The proof is identical to the proof in [MP], so it will be only sketched. Using Theorem 5, one proves that  $L(\lambda)$  and  $\bigoplus_{\mu \in \Lambda} L(\mu)$  have the same character. It follows that the multiplicity of any simple module occurring in a Jordan–Holder series of the  $\mathfrak{gl}(n-1, K)$ -module  $L(\lambda)$  is one. It follows that  $L(\lambda)$  is semi-simple.  $\square$

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