INTRINSIC FUNCTIONS ON SEMI-SIMPLE ALGEBRAS

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1. Introduction. Rinehart (5) has introduced and motivated the study of the class of intrinsic functions on a linear associative algebra \mathfrak{A} , with identity, over the real field R or the complex field C. In this paper we shall consider a semi-simple algebra $\mathfrak{A} = \mathfrak{A}_1 \oplus \ldots \oplus \mathfrak{A}_t$ over R or C with simple components \mathfrak{A}_i . Let \mathbf{G} be the group of all automorphisms or anti-automorphisms of \mathfrak{A} which leave the ground field elementwise invariant, and let \mathbf{H} be the subgroup of \mathbf{G} such that $\Omega \mathfrak{A}_i = \mathfrak{A}_i$ $(i = 1, 2, \ldots, t)$ for each Ω in \mathbf{H} .

DEFINITION 1. The single-valued function F, with domain D and range in \mathfrak{A} , is called an H-intrinsic function on D if:

(1) $\Omega \mathbf{D} = \mathbf{D}$ for each Ω in \mathbf{H} ,

(2) Z in **D** implies $F(\Omega Z) = \Omega F(Z)$ for all Ω in **H**.

If $\mathbf{H} = \mathbf{G}$, then *F* is said to be intrinsic on **D**. Note that every intrinsic function on a semi-simple algebra \mathfrak{A} is also **H**-intrinsic, but not the converse. If \mathfrak{A} is simple, however, then $\mathbf{H} = \mathbf{G}$ and every **H**-intrinsic function is trivially intrinsic.

Intrinsic functions have been characterized by Rinehart (5) for the algebra of complex numbers over the real field and for the algebra Q of real quaternions. An essentially complete characterization of continuous intrinsic functions on the total matrix algebras C_n (n by n matrices with complex elements) over C and R_n (n by n matrices with real elements) over R has also been achieved by Rinehart (6) and on Q_n (n by n matrices with real quaternion elements) over R by Cullen (2).

It is known that if $\mathfrak{A} = \mathfrak{A}_1 \oplus \ldots \oplus \mathfrak{A}_i$ is semi-simple over R with the \mathfrak{A}_i as simple direct summands, then each \mathfrak{A}_i is isomorphic to C_{n_i} , R_{n_i} , or Q_{n_i} ; whereas \mathfrak{A} semi-simple over C implies that the \mathfrak{A}_i are isomorphic to C_{n_i} (1).

In this paper we shall use (4) and the characterizations in (2, 5, 6) to characterize those intrinsic functions on a general semi-simple algebra over the real or complex field which induce (single-valued) functions on the direct summands. This study was motivated by the attempt to extend the notion of an *n*-ary function to a general semi-simple algebra.

2. Literature to date. There are several (essentially equivalent) methods of extending a function f of a complex variable to a function F on a linear associative algebra \mathfrak{A} ; cf. (7). Such functions F are called primary functions on \mathfrak{A} with stem function f.

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Consider the following definition from (6) and (2).

DEFINITION 2. Given a function $f(z, \sigma_1, \ldots, \sigma_{n-1})$ with domain Σ contained in E_{C^n} (Euclidean complex n-space), and range in C. Define the n-ary function F, induced on R_n (C_n or Q_n) by f, to have functional values

$$F(A) = f_A(A) = f_A(A, \sigma_1[A], \ldots, \sigma_{n-1}[A])$$

where $\sigma_i[A]$ is the *i*th symmetric function of the characteristic roots of A. F is defined at A if the distinct characteristic roots $\alpha_1, \ldots, \alpha_k$ of A satisfy:

(1) $(\alpha_i, \sigma_1[A], \ldots, \sigma_{n-1}[A]) \in \Sigma$ $(i = 1, 2, \ldots, k),$

(2) $f(z, \sigma_1[A], \ldots, \sigma_{n-1}[A])$ as a function of z is analytic at each α_i of index greater than one.

 $(f_A(A))$ is the primary functional value of the extension of the scalar function $f_A(z) = f_A(z, \sigma_1[A], \ldots, \sigma_{n-1}[A])$, considered as a function of z only).

Note that the primary functions are *n*-ary functions that do not depend on the parameters σ_i .

In (6), Rinehart proved the following theorems that motivated the study of n-ary functions.

THEOREM 2.1. An intrinsic function F on C_n induces a single-valued function $f(\lambda, \sigma_1, \ldots, \sigma_{n-1})$ mapping a subset of E_C^n into the complex plane. The function f is defined at any point $P: (\lambda_0, \sigma_1^0, \ldots, \sigma_{n-1}^0)$ for which there exists a nonderogatory matrix A in the domain of F with λ_0 as a characteristic root and with characteristic polynomial

$$c(x, A) = x^{n} - \sigma_{1}^{0} x^{n-1} + \ldots + (-1)^{n-1} \sigma_{n-1}^{0} x + (-1)^{n} \sigma_{n}^{0}.$$

The value of f at P is independent of the choice of the non-derogatory matrix A, and is given by $\lambda_0[F(A)] = L_A(\lambda_0)$, where $L_A(x)$ is a polynomial such that $L_A(A) = F(A)$ and $\lambda[B]$ denotes a characteristic root of B.

THEOREM 2.2. Let F be an intrinsic function on R_n (or C_n) with domain **D** and let A belong to **D**. Let $f(z, \sigma_1, \ldots, \sigma_{n-1})$ be the function on E_c^n to the complex plane induced by F. Let $f_A(z)$ denote the function of z only,

$$f_A(z) = f(z, \sigma_1, \ldots, \sigma_{n-1}),$$

where $\sigma_i = \sigma_i[A]$, the ith symmetric function of the characteristic roots of A. Then F(A) must be given by the primary function value $f_A(A)$ if either:

Case I: A has distinct characteristic roots, or

Case II: A has repeated characteristic roots, A is interior to **D**, F is continuous at A, and $f_A(z)$ is analytic in a z-neighbourhood of the repeated characteristic roots of A.

Thus Rinehart has shown that intrinsic functions on R_n (or C_n) subject to the conditions of the preceding theorem are *n*-ary functions. Cullen (2) has shown that similar conditions also imply that an intrinsic function on Q_n is an *n*-ary function; thus intrinsic functions have been characterized on these three matrix algebras as being essentially *n*-ary functions.

In (4) the authors prove the following:

THEOREM 2.3. Let \mathfrak{A} be an algebra over R (or C) and let F be an intrinsic function from \mathfrak{A} to \mathfrak{A} . Let \mathfrak{M} be an algebra over the same field isomorphic to \mathfrak{A} . F induces on \mathfrak{M} a function G with functional values

$$G(A) = \phi F(\phi^{-1}A) = \phi F(\alpha),$$

where ϕ is an isomorphism of \mathfrak{A} onto \mathfrak{M} and $\phi(\alpha) = A$. Schematically:



if F is intrinsic on \mathfrak{A} , then G is intrinsic on \mathfrak{M} .

Since the isomorphism ϕ maps the zero of \mathfrak{A} into the zero of \mathfrak{M} and also

$$\phi\left(\sum_{k=1}^{t}c_{k}\alpha_{k}\right)=\sum_{k=1}^{t}c_{k}\phi(\alpha_{k}),$$

we have

THEOREM 2.4. If $\phi(\alpha) = A$, then the minimum polynomial of α equals the minimum polynomial of A over the same field.

3. Intrinsic functions on simple algebras. Let \mathfrak{A} be an *n*-dimensional simple algebra over R (or C) and F an intrinsic function on \mathfrak{A} . As discussed in § 1, \mathfrak{A} is isomorphic to a matrix algebra \mathfrak{M} ($\mathfrak{M} = C_n, R_n$, or Q_n) and, by Theorem 2.3, F induces an intrinsic function G on \mathfrak{M} . Let α belong to \mathfrak{A} , $\phi(\alpha) = A$ (where $\phi(\mathfrak{A}) = \mathfrak{M}$), and define a norm on \mathfrak{A} by the isomorphism ϕ , i.e. $||\alpha|| = ||A||$, where $||A|| = 1/n \sup A_{ij}$ for $A = (A_{ij})$. \mathfrak{A} is then a normed ring and the concepts of continuity, interior point, etc. are well defined. We shall extend the definition of *n*-ary function to simple algebras in general by the following:

DEFINITION 3. F is said to be an n-ary function on a simple algebra \mathfrak{A} if its domain and range are contained in \mathfrak{A} , and for each α in the domain of F, $F(\alpha) = g_A(\alpha)$ where

(1)
$$g_A(z) = g_A(z, \sigma_1[A], \ldots, \sigma_{n-1}[A])$$

is the stem function induced by $A = \phi(\alpha)$ on C, in accordance with Theorem 2.1, and $g_A(\alpha)$ is the primary functional value of the extension of $g_A(z)$ to \mathfrak{A} .

Using this extended definition of n-ary functions on simple algebras we now characterize intrinsic functions on simple algebras as follows:

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THEOREM 3.4. If \mathfrak{A} is an n-dimensional simple algebra over C, then an intrinsic function F on \mathfrak{A} is an n-ary function on \mathfrak{A} if for each α in the domain of F, either

Case I: the minimum polynomial of α over C has n distinct roots, or

Case II: α is interior to the domain of F, F is continuous at α , and the scalar function $g_A(z)$ is analytic in a z-neighbourhood of the roots of the minimum polynomial of α .

Proof. By Theorem 2.3, the induced function G on $\mathfrak{M} = C_n$ is intrinsic. G induces by Theorem 2.1 a scalar function (1) on C.

Case I above implies, by Theorem 2.4, that A is non-derogatory, and further that A has distinct characteristic roots.

Case II above implies, by isomorphism of algebras, that A is interior to the domain of the induced function G on $\mathfrak{M} = C_n$, G is continuous at A, and the stem function $g_A(z)$ is analytic in a z-neighbourhood of *all* the roots of A.

In either case, Theorem 2.2 implies that G(A) is the primary value $g_A(A)$ with stem function $g_A(z)$.

Now g_A , being primary on $\mathfrak{M} = C_n$, is also a poly-function (4), and thus there exists a polynomial $L_A(x)$ such that $L_A(A) = g_A(A)$ and

$$F(\alpha) = \phi^{-1}g_A(A) = \phi^{-1}L_A(A) = L_A(\phi^{-1}A) = L_A(\alpha) = g_A(\alpha).$$

That every intrinsic function on a simple algebra over C is not an n-ary function can be shown by the following:

Example 1. Define

$$F(A) = \begin{cases} 0 & \text{if det } A = a_1 + a_2 \, i, \, a_1 \text{ rational,} \\ I & \text{if det } A = a_1 + a_2 \, i, \, a_1 \text{ irrational,} \end{cases}$$

for A in $\mathfrak{A} = C_2$ as an algebra over C. This example is similar to Example 4 in (3) and the method there shows that F(A) is intrinsic on C_2 over C but not *n*-ary on C_2 over C.

Note that if α satisfies Case I of Theorem 3.4, then the stem function (1) can be considered as a function

$$g_{\alpha}(z) = g(z, \sigma_1[\alpha], \ldots, \sigma_{n-1}[\alpha]),$$

where $\sigma_i[\alpha]$ is the *i*th symmetric function of the roots of the minimum polynomial of α , since the minimum polynomial of α equals the characteristic polynomial of A. If α satisfies Case II, however, in general there is no such relationship between the $\sigma_i[\alpha]$ and the $\sigma_i[A]$.

If \mathfrak{A} is simple over R, then an intrinsic function F on \mathfrak{A} is *n*-ary if in addition to the conditions of Theorem 3.4, we also have that the stem function $g_A(z)$ is an intrinsic function of z at the eigenvalues of A (or equivalently at the roots of the minimum polynomial of α). This added condition ensures that the functional value $g_A(A)$ is a real polynomial in A, and thus is an element of \mathfrak{M} ; cf. **(3)**.

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We now prove the following theorem, which is a consistent extension of similar results in (2, 6) for matrix algebras over R or C.

THEOREM 3.5. Every n-ary function F on a simple algebra \mathfrak{A} is an intrinsic function on \mathfrak{A} .

Proof. The function $g_A(z)$ of Definition 4, when extended to the total matrix algebra isomorphic to \mathfrak{A} , yields an *n*-ary function, which by (2, 6) is also intrinsic. Theorem 2.3 then yields the desired result.

4. Intrinsic functions on semi-simple algebras. Let \mathfrak{A} be an *n*-dimensional semi-simple algebra over R (or C); then $\mathfrak{A} = \mathfrak{A}_1 \oplus \ldots \oplus \mathfrak{A}_t$, where the \mathfrak{A}_i are n_i -dimensional simple algebras over R (or C). Let F be a function with domain $\mathbf{D} = \mathbf{D}_1 \oplus \ldots \oplus \mathbf{D}_t$ and range in \mathfrak{A} . For each $\alpha = \alpha_1 + \ldots + \alpha_t$, $\alpha_i \in \mathbf{D}_i$, there are unique β_i in \mathfrak{A}_i such that $F(\alpha) = \beta_1 + \ldots + \beta_t$.

If F is Hausdorff-differentiable, then F_i , the restriction of F to \mathfrak{A}_i , satisfies $F_i(\alpha_i) = \beta_i$, $i = 1, 2, \ldots, t$, cf. (9), and more recently (8). In general F_i need not map \mathfrak{A}_i into \mathfrak{A}_i and in fact the correspondence $\alpha_i \to \beta_i$ may not even define a (single-valued) function. If the correspondence $\alpha_i \to \beta_i$ is a well-defined function F_i $(i = 1, 2, \ldots, t)$, then we shall write $F = F_1 \oplus \ldots \oplus F_t$. Note that F_i maps the *i*th direct summand into itself.

We shall extend the concept of *n*-ary functions to semi-simple algebras by the following:

DEFINITION 4. The function $F = F_1 \oplus \ldots \oplus F_t$ on $\mathfrak{A} = \mathfrak{A}_1 \oplus \ldots \oplus \mathfrak{A}_t$ is a direct sum of n_i -ary functions if each of the functions F_i is n_i -ary on the direct summand \mathfrak{A}_i $(i = 1, 2, \ldots, t)$.

In (4) it is shown that if $F = F_1 \oplus \ldots \oplus F_t$ is H-intrinsic on $\mathfrak{A} = \mathfrak{A}_1 \oplus \ldots \oplus \mathfrak{A}_t$, then the F_i are intrinsic on the direct summands. Since an intrinsic function is H-intrinsic, we also have that F intrinsic on \mathfrak{A} implies that the F_i are intrinsic on the \mathfrak{A}_i . The converse of the former statement is true, but the converse of the latter is false. Using these results from (4) and Theorem 3.4, we have the following characterization of those intrinsic functions on semi-simple algebras which induce (single-valued) functions on the direct summands.

THEOREM 4.1. If $\mathfrak{A} = \mathfrak{A}_1 \oplus \ldots \oplus \mathfrak{A}_i$ is an n-dimensional semi-simple algebra over C (or R) with simple direct summands \mathfrak{A}_i of dimension n_i , and if $F = F_1 \oplus \ldots \oplus F_i$ is an intrinsic function on \mathfrak{A} , then F is a direct sum of n_i -ary functions F_i if for each $\alpha = \alpha_1 + \ldots + \alpha_i$ in the domain of F either:

Case I: the minimum polynomial of α_i over C (or R) has n_i distinct roots (i = 1, 2, ..., t), or

Case II: α_i is interior to the domain of F_i , F_i is continuous at α_i , and the scalar function $g_{A_i}(z)$ induced by α_i is analytic in a z-neighbourhood of the roots of the minimum polynomial of α_i (i = 1, 2, ..., t). (If \mathfrak{A} is semi-simple

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over R, then we also require $g_A(z)$ to be intrinsic at the roots of the minimum polynomial of α_i .)

If we try to replace the conditions of α_i in Theorem 4.1 by equivalent conditions on α , we notice the following problem: In general, α can be interior to the domain of F without α_i being interior to the domain of F_i . For this reason Theorem 4.1 seems to be as far as one might expect to be able to go in the direction of characterizing intrinsic functions on semi-simple algebras in terms of *n*-ary functions. We have the following additional theorems, however:

THEOREM 4.2. If $F = F_1 \oplus \ldots \oplus F_t$ on $\mathfrak{A} = \mathfrak{A}_1 \oplus \ldots \oplus \mathfrak{A}_t$ is a direct sum of n_i -ary functions on \mathfrak{A}_i , then F is H-intrinsic on \mathfrak{A} .

Proof. We have already shown that the n_i -ary functions F_i are intrinsic on \mathfrak{A}_i (Theorem 3.5). By (4) this implies that $F = F_1 \oplus \ldots \oplus F_i$ is H-intrinsic on \mathfrak{A} .

In (4) an example is given of a function $F = F_1 \oplus \ldots \oplus F_i$ which is not intrinsic even though the F_i are intrinsic (in fact n_i -ary). However, using other results of (3), we have

THEOREM 4.3. If $F = F_1 \oplus \ldots \oplus F_i$ on $\mathfrak{A} = \mathfrak{A}_1 \oplus \ldots \oplus \mathfrak{A}_i$ is a direct sum of n_i -ary functions on \mathfrak{A}_i and

(1) if \mathfrak{A}_i is not isomorphic to \mathfrak{A}_j $(i \neq j)$, or

(2) if for any automorphism or anti-automorphism Ω' of \mathfrak{A} such that $\Omega'\mathfrak{A}_i = \mathfrak{A}_j$ $(i \neq j)$ it follows that $F_j(\Omega \alpha_i) = \Omega' F_i(\alpha_i)$, for all α_i in the domain of F_i , then F is intrinsic on \mathfrak{A} .

Proof. Condition (1) or (2) implies that F is intrinsic on \mathfrak{A} if and only if the F_i are intrinsic on the \mathfrak{A}_i ; (4). The result follows from Theorem 3.5.

We now determine whether our Definition 4 (of a direct sum of n_i -ary functions) is consistent with the definition of *n*-ary functions on semi-simple algebras where both may be defined. Consider $\mathfrak{M}_n = \mathfrak{M}_{n_1} \oplus \ldots \oplus \mathfrak{M}_{n_\ell}$ (t > 1) where \mathfrak{M}_n is a subalgebra of R_n (C_n or Q_n) containing matrices which are direct sums $A = A_1 \oplus \ldots \oplus A_l$, with A_i belonging to $\mathfrak{M}_{n_i} = R_{n_i}$ (C_{n_i} or Q_{n_i}).

Let *F* be an *n*-ary function on R_n (C_n or Q_n) with domain $\mathbf{D} = \mathbf{D}_1 \oplus ... \oplus \mathbf{D}_i$ contained in \mathfrak{M}_n . That every *n*-ary function on \mathfrak{M}_n does not induce single-valued functions F_i on the \mathfrak{M}_{n_i} can be seen by the following:

Example 2. Consider the *n*-ary function F on C_4 with domain

$$\mathbf{D} = \{A \mid A = A_1 \oplus A_2, A_i \in C_2\}$$

and functional values F(A) = tr(A). I_4 . The stem function is $f_A(z) = tr A$.

Let $B = I_2 \oplus I_2$ and $P = I_2 \oplus O_2$. Then if we assume that $F = F_1 \oplus F_2$, we have $F(B) = \operatorname{tr} B \cdot I_4 \Longrightarrow F_1(I_2) = 4I_2$ while

$$F(P) = \operatorname{tr} P \cdot I_4 \Longrightarrow F_1(I_2) = 2I_2.$$

Thus F_1 is not single-valued.

The following theorem sheds some light on the above example.

THEOREM 4.4. The only n-ary functions on a direct sum of matrix algebras which induce single-valued functions on the direct summands are primary functions.

Proof. Let F be an *n*-ary function on R_n (C_n or Q_n) with domain **D** contained in \mathfrak{M}_n and with stem function $f_A(z, \sigma_1[A], \ldots, \sigma_{n-1}[A])$. Assume that F induces (single-valued) functions F_i on the direct summands,

$$F = F_1 \oplus \ldots \oplus F_k$$

If f_A is dependent on the σ_i , then there exist matrices A and B in **D** with a common characteristic root z_1 such that :

$$f_A(z_1, \sigma_1[A], \ldots, \sigma_{n-1}[A]) \neq f_B(z_1, \sigma_1[B], \ldots, \sigma_{n-1}[B]).$$

Since F is intrinsic (2, 6), we can assume that A and B are in Jordan canonical form. Without loss of generality we can also assume that z_1 is a root of A_1 (in fact that $[A_1]_{11} = z_1$) and of B_2 (in fact that $[B_2]_{11} = z_1$) where $A = A_1 \oplus \ldots \oplus A_i$ and $B = B_1 \oplus \ldots \oplus B_i$.

Noting that F(A) is the primary functional value of the extension of $f_A(z)$ to \mathfrak{A} , it follows from (7) that:

$$[F_1(A_1)]_{11} = [f_A(A_1)]_{11} = f_A(z_1)$$
 and $[F_2(B_2)]_{11} = [f_B(B_2)]_{11} = f_B(z_1).$

Construct the matrix $C = C_1 \oplus \ldots \oplus C_t$ where $C_1 = A_1, C_j = B_j$ $(j \neq 1)$. $C \in \mathbf{D}$ since F_i has been defined at C_i $(i = 1, 2, \ldots, t)$.

Now $F(C) = \sum c_k C^k$ for complex c_k (note, however, that we cannot imply, nor is it necessary, that $c_k \in R$ if R is the ground field (3)). This implies that

$$[F_1(C_1)]_{11} = [F_1(A_1)]_{11} = [\sum c_k A_1^k]_{11} = \sum c_k z_1^k$$

and

$$[F_2(C_2)]_{11} = [F_2(B_2)]_{11} = [\sum c_k B_2^k]_{11} = \sum c_k z_1^k.$$

Thus

$$f_A(z_1) = [F_1(A_1)]_{11} = \sum c_k z_1^k = [F_2(B_2)]_{11} = f_B(z_1).$$

But $f_A(z_1) \neq f_B(z_1)$ and thus the F_i are not single-valued.

If F is primary, then it is known (8) that F induces single-valued functions on the direct summands which are also primary with the same stem function as F. This completes our proof.

COROLLARY 4.4. The only n-ary functions on a direct sum of matrix algebras that are Hausdorff differentiable are primary functions.

Proof. If F is Hausdorff differentiable, then F induces (single-valued) functions on the simple summands (9).

Using the preceding theorem, we have:

THEOREM 4.5. If $F = F_1 \oplus \ldots \oplus F_i$ (F_i well defined) is an n-ary function on $\mathfrak{A} = \mathfrak{M}_n$, then it is a direct sum of n_i -ary functions.

Proof. Theorem 4.4 implies that F is primary on \mathfrak{M}_n , and this implies by (4) that the F_i are primary on the \mathfrak{M}_{n_i} . Every primary function being *n*-ary, we obtain our conclusion.

That functions $F = F_1 \oplus \ldots \oplus F_t$ on $\mathfrak{A} = \mathfrak{M}_n$ exist which are direct sums of n_i -ary functions (and thus intrinsic) but are not *n*-ary can be shown by the following:

Example 3. Consider the function F on C_4 with domain

$$\mathbf{D} = \{A \mid A = A_1 \bigoplus A_2, A_i \in C_2\}$$

and functional values $F(A) = F_1(A_1) \oplus F_2(A_2) = \operatorname{tr} A_1 \cdot I_2 \oplus A_2^2$. F_1 is 2-ary with stem function $f_{A_1}(z) = \operatorname{tr} A_1$ and F_2 is primary and thus 2-ary with stem function $f_{A_2}(z) = z^2$. Thus F is a direct sum of n_i -ary functions on \mathfrak{M}_{C_4} . F is not n-ary on C_4 however, since if f_A is the associated stem function, then for $A = A_1 \oplus A_1$ we have

$$f_A(A) = f_A(A_1) \oplus f_A(A_1) = \operatorname{tr} A_1 \cdot I_2 \oplus A_1^2,$$

which contradicts the uniqueness of the primary extension to C_2 of the function f_A .

We have thus derived a characterization of intrinsic functions on semisimple algebras which induce (single-valued) functions on the direct summands, as direct sums of n_i -ary functions under similar conditions as those required in (2, 6). Our extensions of the concept of *n*-ary functions to semisimple algebras have been shown to be consistent with the original concepts where both are applicable.

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