

AN EMBEDDING THEOREM FOR FREE INVERSE SEMIGROUPS

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In this note it is shown that if S is a free inverse semigroup of rank at least two and if e, f are idempotents of S such that $e > f$ then S can be embedded in the partial semigroup $eSe \setminus fSf$. The proof makes use of Scheiblich's construction for free inverse semigroups [7, 8] and of Reilly's characterisation of a set of free generators in an inverse semigroup [4, 5].

The terminology and notation throughout is that of Howie [2]. For any two sets M and N we denote by $M \setminus N$ the set of all elements of M that are not in N .

Let X be a nonempty set and let G denote the free group $\mathcal{F}\mathcal{G}_X$ on X [1, Ch. 7]. The elements of G are the 'reduced words' in the alphabet $X \cup X^{-1}$, where X^{-1} denotes the set of formal inverses of the elements of X , and the identity of G is the empty word 1. The length (more precisely, the X -length) $l(a)$ of $a \in G$ is defined by

$$l(a) = \begin{cases} n & \text{if the reduced form of } a \text{ is } x_1x_2 \dots x_n \text{ (} x_i \in X \cup X^{-1} \text{),} \\ 0 & \text{if } a = 1. \end{cases}$$

The cardinal number $|X|$ of X is called the *rank* of G . By [1, Theorem 7.3.3], two free groups are isomorphic if and only if they have the same rank.

For all $a \in G$ the set of all initial segments of a (including 1) will be denoted by \bar{a} and for all nonempty subsets A of G we write $\bar{A} = \{\bar{a} : a \in A\}$. We say that A is *left closed* if and only if $A = \bar{A}$.

Scheiblich [7, 8] constructs the free inverse semigroup on X as follows. Let \mathcal{U} denote the set of all finite left closed subsets of G having at least two elements and let

$$S = \{(A, g) \in \mathcal{U} \times G : g \in A\}.$$

If $(A, g), (B, h) \in S$ then $A \cup gB \in \mathcal{U}$, as is easily checked: consequently, a multiplication can be defined on S by the rule that

$$(A, g)(B, h) = (A \cup gB, gh).$$

With respect to this multiplication S is an inverse semigroup in which

$$(\forall (A, g) \in S) \quad (A, g)^{-1} = (g^{-1}A, g^{-1}).$$

Let $\kappa : X \rightarrow S$ denote the mapping $x \mapsto (\bar{x}, x)$. Then to each mapping θ from X into an arbitrary inverse semigroup T there corresponds a unique homomorphism $\phi : S \rightarrow T$ such that $\theta = \kappa\phi$. Thus S is the free inverse semigroup on X . It will be denoted here by $\mathcal{F}\mathcal{I}_X$.

We call $|X|$ the *rank* of S . It can be shown that two free inverse semigroups are isomorphic if and only if they have the same rank (see [6, Corollary 1.5]).

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The semilattice of S is the set

$$E(S) = \{(A, 1) : A \in \mathcal{Y}\}$$

and its partial ordering is given by the rule:

$$(\forall A, B \in \mathcal{Y}) \quad (A, 1) \geq (B, 1) \Leftrightarrow A \subseteq B.$$

Let $(A, 1), (B, 1) \in E(S)$. We say that $(A, 1)$ covers $(B, 1)$ if and only if $(A, 1) > (B, 1)$ and there is no $(C, 1) \in E(S)$ such that $(A, 1) > (C, 1) > (B, 1)$. Thus $(A, 1)$ covers $(B, 1)$ if and only if $A \subset B$ and $|A| + 1 = |B|$.

The mapping $\pi : S \rightarrow G$ defined by

$$(A, g)\pi = g$$

is evidently a surjective homomorphism (and $\pi \circ \pi^{-1}$ is the least group congruence on S [2, Ch. V, §3]). Let us denote the \mathcal{Y} -component of a typical element a of S by $\mathcal{S}(a)$. This provides us with the following notation for the elements of S :

$$(\forall a \in S) \quad a = (\mathcal{S}(a), a\pi).$$

Let K be a nonempty subset of S . Then the inverse subsemigroup of S generated by K is the subsemigroup $\langle K \cup K^{-1} \rangle$ generated by $K \cup K^{-1}$, where K^{-1} denotes $\{k^{-1} : k \in K\}$. We say that K is a set of free generators of $\langle K \cup K^{-1} \rangle$ if and only if to each mapping θ from K into an arbitrary inverse semigroup T there corresponds a unique homomorphism $\phi : \langle K \cup K^{-1} \rangle \rightarrow T$ such that $\theta = \iota\phi$, where ι denotes the inclusion mapping $K \rightarrow \langle K \cup K^{-1} \rangle$ [4, 5]. If K is a set of free generators of $\langle K \cup K^{-1} \rangle$ then $\langle K \cup K^{-1} \rangle$ is a free inverse subsemigroup of S of rank $|K|$. Note, in particular, that $\{(\bar{x}, x) : x \in X\}$ is a set of free generators of S .

Reilly has provided a useful criterion for a nonempty subset K of S to be a set of free generators of $\langle K \cup K^{-1} \rangle$ [4, Theorem 2.2 and remark on p. 417; 5]. We state his result as

LEMMA 1. Let $S = \mathcal{F}\mathcal{S}_X$ and let K be a nonempty subset of S . Then K is a set of free generators of $\langle K \cup K^{-1} \rangle$ if and only if it satisfies the following two conditions:

- (i) $K \cap K^{-1} = \emptyset$,
- (ii) if $v \in K \cup K^{-1}$ is such that

$$\mathcal{S}(v) \subseteq \bigcup_{i=1}^n \mathcal{S}(v_{i,1}v_{i,2} \dots v_{i,n_i}),$$

where each $v_{i,j} \in K \cup K^{-1}$ and $v_{i,j}^{-1} \neq v_{i,j+1}$ ($j = 1, 2, \dots, n_i - 1$; $i = 1, 2, \dots, n$), then $v = v_{i,1}$ for some i .

Our main theorem follows below.

THEOREM 1. Let $S = \mathcal{F}\mathcal{S}_X$, where $|X| \geq 2$, and let $e, f \in E(S)$ be such that $e > f$. Then S can be embedded in the partial semigroup $eSe \setminus fSf$.

Proof. Choose $g \in E(S)$ such that $e > g \geq f$ and e covers g . Since $gSg \supseteq fSf$ it is enough to prove that S can be embedded in the partial semigroup $eSe \setminus gSg$.

Let $e = (A, 1)$. Then $g = (A \cup \{ua\}, 1)$ for some $u \in A$ and $a \in X \cup X^{-1}$, where the final letter in the reduced form of u is not a^{-1} and $ua \notin A$. Write

$$r = \max\{l(w) + 1 : w \in A\},$$

choose $b \in X \setminus \{a, a^{-1}\}$ (this is possible since $|X| \geq 2$) and define a subset Y of $G (= \mathcal{FIS}_X)$ by

$$Y = \{b^{-r}xb^r : x \in X \setminus \{b\}\} \cup \{a^{-r}ba^r\}.$$

Every element of Y has length $2r + 1$ (being reduced as written). Further, $Y \cap Y^{-1} = \emptyset$, since $X \cap X^{-1} = \emptyset$. Each element of $Y \cup Y^{-1}$ is fully determined by its initial segment of length $r + 1$, and if $p, q \in Y \cup Y^{-1}$ are such that $p \neq q^{-1}$ then the cancellation in the product pq does not reach the central factor of either p or q . Thus the elements of Y have central significant factors.

For all $y \in Y \cup Y^{-1}$, write

$$B_y = A \cup \bar{y} \cup yA.$$

Thus, for all $y \in Y \cup Y^{-1}$,

$$(B_y, y) = (A, 1)(\bar{y}, y)(A, 1) \in eSe$$

and

$$(B_y, y)^{-1} = (B_{y^{-1}}, y^{-1}).$$

Let K denote $\{(B_y, y) : y \in Y\}$ and write $T = \langle K \cup K^{-1} \rangle$. Evidently $T \subseteq eSe$ and $|K| = |Y| = |X|$. To establish the theorem it suffices to show that K is a set of free generators of T and that $T \cap gSg = \emptyset$.

Since $Y \cap Y^{-1} = \emptyset$ it follows that $K \cap K^{-1} = \emptyset$; that is, K satisfies condition (i) of Lemma 1. We prove next that K also satisfies condition (ii). Let $v \in K \cup K^{-1}$ be such that

$$\mathcal{S}(v) \subseteq \bigcup_{i=1}^n \mathcal{S}(v_{i,1}v_{i,2} \dots v_{i,n_i}),$$

where each $v_{i,j} \in K \cup K^{-1}$ and $v_{i,j}^{-1} \neq v_{i,j+1}$ ($j = 1, 2, \dots, n_i - 1; i = 1, 2, \dots, n$). Now $v\pi \in \mathcal{S}(v)$. Hence there exists $k \in \{1, 2, \dots, n\}$ such that

$$v\pi \in \mathcal{S}(v_{k,1}v_{k,2} \dots v_{k,n_k}).$$

For $j = 1, 2, \dots, n_k$ let us write $y_j = v_{k,j}\pi$ and $B_j = B_{y_j}$; thus

$$v_{k,j} = (B_j, y_j) \quad (j = 1, 2, \dots, n_k).$$

Hence

$$v\pi \in B_1 \cup y_1B_2 \cup (y_1y_2)B_3 \cup \dots \cup (y_1y_2 \dots y_{n_k-1})B_{n_k}.$$

Suppose that $v\pi \notin B_1$. Then there exists $t \in \{1, 2, \dots, n_k - 1\}$ such that $v\pi \in (y_1y_2 \dots y_t)B_{t+1}$ and so

$$y_t^{-1}y_{t-1}^{-1} \dots y_1^{-1}(v\pi) \in B_{t+1}.$$

Now $y_j^{-1} \neq y_{j+1}$ ($j = 1, 2, \dots, t-1$) (for otherwise $v_{k,j}^{-1} = v_{k,j+1}$ for some j , which is a contradiction); also $y_1 \neq v\pi$, since $y_1 \in B_1$ while $v\pi \notin B_1$. Hence the initial segment of $y_t^{-1}y_{t-1}^{-1} \dots y_1^{-1}(v\pi)$ of length $r+1$ is just the initial segment of y_t^{-1} of length $r+1$. Thus the initial segment of y_t^{-1} of length $r+1$ lies in B_{t+1} , since B_{t+1} is left closed.

Now $B_{t+1} = A \cup \bar{y}_{t+1} \cup y_{t+1}A$. Since no element of A has length exceeding $r-1$, the only element of B_{t+1} of length precisely $r+1$ is the initial segment of y_{t+1} of this length. Hence y_t^{-1} and y_{t+1} have the same initial segment of length $r+1$ and so $y_t^{-1} = y_{t+1}$, which is a contradiction. Consequently $v\pi \in B_1$.

Since $v\pi \in Y \cup Y^{-1}$ and $B_1 = A \cup \bar{y}_1 \cup y_1A$, a similar argument to that above then shows that $v\pi = y_1$. Hence $v = (B_1, y_1) = v_{k,1}$. We have thus proved that K satisfies condition (ii) of Lemma 1. Thus T is free of rank $|K|$ and so $S \cong T$.

Finally, we show that $T \cap gSg = \emptyset$. Suppose that the conclusion is false and hence that there exists $(C, h) \in T \cap gSg$. As remarked earlier, $g = (D, 1)$, where $D = A \cup \{ua\}$. Since $(C, h) \in gSg$,

$$(C, h) = g(C, h)g = (D \cup C \cup hD, h).$$

Thus $C = (D \cup hD) \cup C$ and so $D \cup hD \subseteq C$. In particular, $ua \in C$.

Since $(C, h) \in T$, there exist $y_1, y_2, \dots, y_n \in Y \cup Y^{-1}$ (not to be confused with the y_i in the first part of the proof) such that

$$(C, h) = (B_1, y_1)(B_2, y_2) \dots (B_n, y_n),$$

where, for each i , we have written B_i for B_{y_i} . But $ua \in C$. Hence

$$ua \in B_1 \cup y_1B_2 \cup (y_1y_2)B_3 \cup \dots \cup (y_1y_2 \dots y_{n-1})B_n.$$

Suppose first that $ua \in B_1 = A \cup \bar{y}_1 \cup y_1A$. Since $ua \notin A$ we have that $ua \in \bar{y}_1 \cup y_1A$. But since $l(ua) \leq r$, this implies that ua is an initial segment of y_1 and hence is of the form a^{-s} or b^{-s} for some positive integer s . Thus $a = a^{-1}$ or $a = b^{-1}$ and in either case we have a contradiction.

Similarly, if $ua \in (y_1y_2 \dots y_t)B_{t+1}$ and $y_1y_2 \dots y_t = 1$ for some $t \in \{1, 2, \dots, n-1\}$, we again obtain a contradiction. Thus, for some $t \in \{1, 2, \dots, n-1\}$, $ua \in (y_1y_2 \dots y_t)B_{t+1}$, where $y_1y_2 \dots y_t \neq 1$. Now we can write $y_1y_2 \dots y_t$ as a reduced word in $Y \cup Y^{-1}$: thus

$$y_1y_2 \dots y_t = z_1z_2 \dots z_k,$$

where each $z_i \in Y \cup Y^{-1}$ and $z_i^{-1} \neq z_{i+1}$ ($i = 1, 2, \dots, k-1$). Hence

$$ua \in z_1z_2 \dots z_kB_{t+1}.$$

Let us write

$$w = z_k^{-1}z_{k-1}^{-1} \dots z_1^{-1}ua. \tag{1}$$

Then $w \in B_{t+1}$. Now cancellation on the right-hand side of (1) cannot reach the central factor of any z_i^{-1} , since $z_i^{-1} \neq z_{i+1}$ ($i = 1, 2, \dots, k-1$) and $l(u) < r$. Also it cannot reach the final letter a since $l(u) < r$ and the final segment of z_1^{-1} of length r is either a^r or b^r .

Hence

$$l(w) \geq r + 3 \tag{2}$$

and the last letter of the reduced form of w is a .

Since $w \in B_{t+1} = A \cup \bar{y}_{t+1} \cup y_{t+1}A$ and no word in A has length exceeding $r - 1$, (2) shows that

$$w \in \bar{y}_{t+1} \cup y_{t+1}A. \tag{3}$$

Hence the initial segments of w and y_{t+1} of length $r + 2$ must coincide; also

$$l(w) \leq (2r + 1) + (r - 1) = 3r. \tag{4}$$

Suppose that $k > 1$. If there exist i, j such that $z_i^{-1} = a^{-r}ba^r$ and $z_j^{-1} = b^{-r}xb^r$ for some $x \in (X \cup X^{-1}) \setminus \{b, b^{-1}\}$ then

$$l(w) \geq (r + 1) + 2r = 3r + 1,$$

which contradicts (4). Thus either

$$z_i^{-1} = b^{-r}x_i b^r \text{ for some } x_i \in (X \cup X^{-1}) \setminus \{b, b^{-1}\} \quad (i = 1, 2, \dots, k)$$

or

$$z_i^{-1} = a^{-r}ca^r \quad (i = 1, 2, \dots, k), \text{ where } c \in \{b, b^{-1}\}.$$

In the first case

$$w = b^{-r}x_1 x_2 \dots x_k b^r u a,$$

where $x_i^{-1} \neq x_{i+1}$ ($i = 1, 2, \dots, k - 1$), and so the initial segment of w of length $r + 2$ is $b^{-r}x_1 x_2$. But the initial segments of w and y_{t+1} of length $r + 2$ are the same. This gives a contradiction since $x_2 \neq b$. In the second case, $w = a^{-r}c^k a^r u a$ and in the same way we again get a contradiction. Thus $k = 1$.

It follows that the initial segments of y_{t+1} and z_1^{-1} of length $r + 1$ coincide. Hence $y_{t+1} = z_1^{-1}$ and so

$$w = y_{t+1} u a. \tag{5}$$

Suppose that $w \in y_{t+1}A$. Then, from (5), $ua \in A$, which is false. Hence, from (3), $w \in \bar{y}_{t+1}$. Thus, since the last letter of w (in reduced form) is a , we see from (2) that $w = a^{-r}ca^s$ and $y_{t+1} = a^{-r}ca^r$, where $c \in \{b, b^{-1}\}$ and $2 \leq s \leq r$. Hence, from (5),

$$a^{-r}ca^s = a^{-r}ca^r u a$$

and so $u = a^{-(r+1-s)}$. Since $s \leq r$ this contradicts the fact that the last letter in the reduced form of u is not a^{-1} .

Consequently $T \cap gSg = \emptyset$ and the proof is complete.

REMARK 1. The result fails for the case $|X| = 1$: for if $S = \mathcal{F}\mathcal{I}_X$, where $|X| = 1$, and $e, f \in E(S)$ are such that e covers f then the set of idempotents in $eSe \setminus fSf$ is totally ordered, whereas $E(S)$ is not totally ordered.

REMARK 2. Let S be a free inverse semigroup of rank 1 and let $e \in E(S)$. Then $eSe \notin E(S)$. Choose a non-idempotent element $a \in eSe$. By [4, Corollary 2.5], $\langle\langle a, a^{-1} \rangle\rangle$ is a free inverse subsemigroup of S of rank 1. This shows that S can be embedded in eSe .

REMARK 3. From Theorem 1 and Remark 2 we see that if M is an ideal of a free inverse semigroup S of arbitrary rank then S can be embedded in M .

To conclude, we extend Theorem 1 with the aid of a result of O'Carroll's [3, p. 19], which we state below as Lemma 2. Recall that, by Schreier's theorem [1, Theorem 7.2.1], every subgroup of a free group is itself free.

LEMMA 2. Let $S = \mathcal{F}\mathcal{I}_X$ and $G = \mathcal{F}\mathcal{G}_X$, where X is a nonempty set. Let H be a non-trivial subgroup of G . Then there exists a free inverse subsemigroup T of S such that $\text{rank of } T = \text{rank of } H$.

Now let c and d be cardinal numbers such that $c \geq 2$ and $0 < d \leq \max\{\aleph_0, c\}$. It can be shown that every free group of rank c contains a (free) subgroup of rank d . Hence, by Lemma 2, every free inverse semigroup of rank c contains a free inverse subsemigroup of rank d . From Theorem 1 we then immediately obtain

THEOREM 2. Let S be a free inverse semigroup of rank c , where $c \geq 2$, and let d be any cardinal number such that $0 < d \leq \max\{\aleph_0, c\}$. Let $e, f \in E(S)$ be such that $e > f$. Then the partial semigroup $eSe \setminus fSf$ contains a free inverse subsemigroup of S of rank d .

In particular, if S is a free inverse semigroup of rank 2 and $e, f \in E(S)$ are such that $e > f$ then $eSe \setminus fSf$ contains a free inverse subsemigroup of S of rank \aleph_0 . This strengthens [4, Corollary 2.7].

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