

1 **Farm Animal Exposure Setting Impacts Hemolytic Uremic Syndrome Risk Among**
2 **Cases Infected with Shiga toxin-producing *Escherichia coli* — Minnesota, 2010-**
3 **2019**

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1 SUMMARY

2 Shiga toxin-producing *Escherichia coli* (STEC) transmission occurs in ruminant contact
3 settings and can lead to post-diarrheal hemolytic uremic syndrome (HUS). We
4 investigated whether exposure setting (ruminant exposure from living or working on a
5 farm, visiting a farm or animal contact venue, or both) influenced HUS development
6 among individuals with laboratory-confirmed STEC infections using Minnesota
7 surveillance data from 2010-2019. Logistic regression was performed to determine
8 whether exposure setting was associated with HUS independent of age, gender, *stx2*
9 gene detection, and county ruminants per capita. Among confirmed STEC cases,
10 ruminant exposure only from living or working on a farm was not significantly associated
11 with HUS compared to cases without any ruminant exposure (OR: 1.25; 95% CI: 0.51,
12 3.04). However, ruminant exposure only from visiting a farm or public animal contact
13 venue was associated with HUS (OR: 2.53; 95% CI: 1.50, 4.24). Exposure from both
14 settings was also associated with HUS (OR: 3.71; 95% CI: 1.39, 9.90). Exposure to
15 ruminants when visiting farms or animal contact venues is an important predictor of
16 HUS, even among people who live or work on farms with ruminants. All people,
17 regardless of routine ruminant exposure, should take care in settings with ruminants to
18 avoid infection with STEC.

1 Introduction

2 Shiga toxin-producing *Escherichia coli* (STEC) transmission can occur at animal
3 contact venues, which include agricultural fairs, petting zoos, and farm tours [1].
4 Ruminant animals, including cattle, sheep, and goats, are natural reservoirs of STEC
5 [2]. Direct and indirect contact with these ruminants can increase the risk of STEC
6 infection in humans [3,4]. From 2009 through 2018, there were 64 reported STEC
7 outbreaks associated with animal contact in the United States, resulting in 618 illnesses
8 and 125 hospitalizations [5]. Infection with STEC can lead to the development of post-
9 diarrheal hemolytic uremic syndrome (HUS), which is characterized by a triad of
10 microangiopathic hemolytic anemia, thrombocytopenia, and acute renal injury.
11 Progression to HUS is especially evident in younger age groups and among cases
12 exposed to STEC strains that carry Shiga toxin 2 (Stx2), particularly when encoded by
13 *stx2a* or *stx2d* genes [6].

14 A previous study identified an association between farm animal contact and
15 progression to HUS among STEC cases in Indiana [7]. This association, which was
16 independent of known risk factors for HUS (age, infection with an STEC strain that
17 possesses *stx2*), indicates that the source of exposure could have implications for
18 virulence [7]. Although earlier studies suggest that routine exposure to domesticated
19 animals through living or working on a farm confers acquired immunity to STEC and its
20 associated toxins, it is unknown whether HUS risk among STEC cases varies by the
21 extent of prior exposure to farm animals [8,9].

22 In this study, we aimed to determine, using surveillance data from the Minnesota
23 Department of Health (MDH), whether ruminant exposure setting influences HUS risk.

1 **Methods**

2 *Data Collection and Inclusion Criteria*

3 Laboratory-confirmed STEC cases reported to MDH from 2010 to 2019 were
4 reviewed for analysis. STEC infection is required to be reported to MDH, and a clinical
5 specimen or bacterial isolate must be submitted to the MDH Public Health Laboratory
6 [10]. Latex agglutination or O antigen gene detection were used to conduct serotyping.
7 Standardized polymerase chain reaction assay was used to determine *stx* gene profiles.

8 STEC cases were deemed confirmed based on the Council of State and
9 Territorial Epidemiologists case definitions associated with the year of disease
10 notification. Evidence of confirmation included either isolation of *E. coli* O157:H7 or of
11 non-O157 strains accompanied by either *stx* gene detection or evidence of Shiga toxin
12 production [11]. Among cases with confirmed STEC, HUS case classification was in
13 accordance with the national surveillance case definition, which mandates acute illness
14 diagnosed as HUS or thrombotic thrombocytopenic purpura accompanied by anemia
15 and renal injury [12]. HUS is reportable to MDH immediately upon diagnosis [13]. We
16 restricted this analysis to cases who tested positive for either *stx1* and *stx2* bacterial
17 genes or *stx2* only, given that HUS is primarily associated with Stx2-producing strains
18 [14-15].

19 As part of routine surveillance activities, all STEC cases were interviewed with a
20 standard case investigation questionnaire. Cases were asked whether they lived on,
21 worked on, or visited a farm in the 7 days prior to illness onset, or visited a petting zoo,
22 educational exhibit, fair, or other venue with animals in the week prior to illness. Those

23 responding 'yes' to any of the above were asked about contact with specific animals
24 (e.g., cattle, goats, sheep), including an 'other' category (Supp).

25

26 *Statistical Analysis*

27 The primary outcome of interest was HUS development, a binary categorical
28 variable. Because HUS risk among people who lived, worked, or visited a farm without
29 ruminants (3.3%) was similar to HUS risk among people who did not live, work, or visit a
30 farm (4.1%), we classified our primary exposure variable as follows: 1) cases without
31 any ruminant animal exposure; 2) cases whose only exposure to ruminants was
32 because they lived or worked on a farm with ruminants; 3) cases whose only exposure
33 to ruminants was because they visited a farm or animal contact venue with ruminants;
34 and 4) cases who had exposure to ruminants because they both lived or worked on a
35 farm with ruminants AND visited a farm or animal contact venue with ruminants. Visiting
36 a venue did not distinguish between visiting a private farm and a public animal contact
37 venue. Public animal contact venues in Minnesota include traveling petting zoos,
38 pumpkin patches and corn mazes with farm animals, zoos with barnyard exhibits,
39 agritourism farms, goat yoga, indoor petting zoos, and county and state fairs. Ruminant
40 exposure was defined as direct contact with a ruminant or contact with a ruminant
41 animal's environment.

42 A descriptive analysis of the data was performed to determine the distribution of
43 cases by STEC serogroup, detection of *stx* genes, age group, gender, and exposure
44 setting. We also examined the distribution of ruminants per capita in each county [16-
45 18]. Ruminants per capita were generated using cattle, sheep, and goat inventory from

46 the United States Department of Agriculture (USDA) 2017 Census of Agriculture and
47 population estimates from the Minnesota State Demographic Center [19-20]. For
48 continuous outcomes, bivariate comparisons were made using a two sample t-test for
49 binary predictors and one-way analysis of variance (ANOVA) for categorical predictors
50 with three or more categories. For binary outcomes, bivariate comparisons were made
51 using a chi-squared test for binary categorical predictors.

52 We performed multiple imputation by chained equations to handle missing data using
53 the R package “mice” (Supplemental Methods) [21]. We confirmed the relationship
54 between any ruminant exposure and progression to HUS by fitting a logistic regression
55 on each of the imputed datasets, adjusting for age and *stx* profile, and pooled the
56 results (Supp.). For our primary analysis, we fit a logistic regression on each of the
57 imputed datasets with HUS development as the dependent variable and exposure
58 setting as independent variable adjusted for age, gender, *stx* profile of the STEC strain,
59 and county ruminants per capita. We conducted a sensitivity analysis to compare model
60 estimates using STEC O157 cases only to all serogroups. Estimates were not vastly
61 different; thus all serogroups were included in our final model. Results were pooled
62 across datasets. We examined the interaction between age and exposure setting and
63 used a likelihood ratio test to assess the change in residual deviance between the full
64 and reduced model. The interaction term was dropped from our final model after it was
65 determined that the difference between the two models was not significant. Regression
66 coefficients were exponentiated to obtain odds ratios (ORs), and 95% confidence
67 intervals (CIs) were calculated from pooled standard errors obtained using Rubin’s rules
68 [22].

1 Results

2 During 2010 to 2019 in Minnesota, there were 1,660 STEC-confirmed cases with
3 strains that tested positive for either *stx1* and *stx2* or *stx2* only. Of these, 377 (23%)
4 were aged 5 years or under. The majority of cases (1147; 69%) tested positive for
5 STEC O157. In total, 103 cases (6%) developed HUS. Of children aged 5 years or
6 under, 58 (15%) developed HUS (**Table 1**). There was a significant difference in mean
7 county ruminants per capita by exposure setting ($F=9.96$, $p<0.0001$). Mean county
8 ruminants per capita was significantly higher in counties where cases with ruminant
9 exposure lived or worked on a farm compared to cases with no ruminant exposure
10 ($p<0.0001$). There was a significant association between cases who tested positive for
11 *stx2* only and HUS development compared to cases who tested positive for both *stx1*
12 and *stx2* (Chi-square = 18.2, $p<0.0001$).

13 In our sample, 1,350 cases (81%) did not report any ruminant exposure, 88 (5%) only
14 had exposure to ruminants because they lived or worked on a farm with ruminants, 194
15 (12%) only had exposure to ruminants because they visited a farm or other animal
16 venue with ruminants, and 28 (1.7%) both lived or worked on a farm with ruminants
17 AND visited a farm or other animal venue with ruminants (**Table 1**). In our final adjusted
18 model, ruminant exposure only from living or working on a farm was not significantly
19 associated with HUS compared to STEC cases without any ruminant contact or
20 exposure (OR: 1.25; 95% CI: 0.51, 3.04). Conversely, having ruminant exposure only
21 from visiting a farm or other venue was associated with HUS (OR: 2.53; 95% CI: 1.50,
22 4.24). Ruminant exposure from both visiting a farm or other animal venue AND living or
23 working on a farm was also associated with HUS (OR: 3.71; 95% CI: 1.39, 9.90).

24 Relative to strains positive for both *stx1* and *stx2*, strains positive for only *stx2* were
25 significantly associated with HUS (OR: 3.04; 95% CI: 1.91, 4.83). As expected, younger
26 age was associated with HUS development (OR: 0.97; 95% CI: 0.96, 0.98). Female
27 gender was also linked to HUS development (OR: 0.54; 95% CI: 0.35, 0.83). County
28 ruminant per capita was not associated with HUS in the final model (OR: 0.97; 95% CI:
29 0.84, 1.12) (**Table 2**).

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1 Discussion

2 Our findings demonstrate that visiting a farm or other animal venue significantly
3 increases the risk of HUS among individuals infected with STEC, with the magnitude of
4 the risk differing somewhat based on whether they also had contact with ruminants at
5 home or work. This is independent of traditional risk factors for HUS, including age and
6 presence of *stx2*.

7 While several studies have established an increased risk of STEC infection due
8 to direct ruminant contact [23-24], living in a ruminant-dense area [16-18], and visiting
9 farms or petting zoos [25-28], whether ruminant exposure is also associated with
10 increased risk of HUS among individuals with STEC infections is less clear. More recent
11 evidence indicated that the HUS rate in animal contact STEC outbreaks (9%) was
12 significantly higher than the HUS rate in STEC outbreaks with other modes of
13 transmission (6%) [29]. Our findings corroborate findings from Indiana that ruminant
14 animal exposure increases the risk of HUS development among people with STEC
15 infection independent of known risk factors [7]. Specifically, HUS risk significantly
16 increased among people who were exposed to ruminants while visiting a farm or other
17 animal venue. Although county ruminants per capita has a large effect on STEC
18 infection risk, it had no effect on our estimates of HUS risk from animal exposure. This
19 could be a consequence of either specifically examining HUS risk or from accounting for
20 direct exposure in our model.

21 There are several potential explanations for why exposure to ruminants is
22 associated with increased risk of progression to HUS among confirmed STEC cases.
23 Stress associated with transportation and unfamiliar surroundings may cause ruminant

24 animals to shed higher bacterial volumes at animal contact venues [30]. This would
25 impact the exposure dose at such events. The commingling of a variety of animals also
26 increases the diversity of bacterial strains contained in a single location [31]. STEC
27 isolated from ruminants harbor known virulence factors that contribute to clinical
28 severity [32]. Greater diversity of bacterial strains and virulence factors could also
29 contribute to more severe disease manifestations among those infected with STEC at
30 animal contact venues.

31 Our findings suggest that acquired immunity to home farm-specific STEC strains
32 is not protective against other strains that may be present at animal contact venues,
33 particularly among young children. We support this by showing that exposure to
34 ruminants from both living or working on a farm AND visiting a farm or other public
35 animal contact venue was associated with an increased HUS risk, with a higher odds
36 ratio than that observed with visiting a farm or public animal contact venue only.

37 However, all HUS cases in both categories were aged 10 or younger. This is consistent
38 with evidence of acquired immunity to STEC and its associated toxins among adults
39 who live or work on farms [8-9], as acquired immunity is commonly not present yet in
40 younger children who live on farms [4]. These findings are understandable given that,
41 generally, adults have more developed immune systems than young children [33].

42 The results of this study have implications for individual prevention, clinical
43 awareness, and public health intervention. Parents of young children should remain
44 cautious in all exposure settings with live ruminant animals given that immune
45 mechanisms from routine exposure to these animals may not protect against severe
46 clinical outcomes from STEC. Health care providers treating young children or older

47 adults for acute STEC infections should be aware of the increased risk of HUS among
48 cases who visited an animal contact venue with ruminants. Venue operators should
49 make the public aware that exposure to farm animals and livestock from animal contact
50 venues places one at an increased risk of severe clinical consequences from infection,
51 regardless of prior exposure or experience with animals. While there are many sources
52 of STEC infections, and only 19% of cases in our study had ruminant contact, we have
53 demonstrated that ruminant contact significantly increases the likelihood of infection
54 progressing to HUS, with 35% of HUS cases reporting ruminant contact. Thus,
55 measures to reduce infections through ruminant contact have the potential for an
56 outsized impact on HUS burden.

57 This study was limited to STEC infections identified through pathogen-specific
58 surveillance. Surveillance limitations, such as care-seeking biases, may impact the
59 generalizability of our results. Inadequate sample size prevented us from examining
60 non-linear relationships between age and HUS risk. The creation of 4 exposure setting
61 categories was necessary, despite the smaller number of HUS cases in each category,
62 given the differences between them. However, since the number of events were low,
63 particularly in categories where people lived or worked on a farm, model estimates were
64 relatively imprecise. We were also unable to examine potential mediation by known
65 virulence factors. Additionally, we could not examine the effect of exposure to different
66 *stx* subtypes on HUS development given that subtyping information was not available
67 for all isolates.

68 In addition to being a risk factor for STEC infection, exposure to ruminant animals
69 could be an important predictor of HUS among individuals with STEC infection. Visiting

70 a farm or other animal venue with ruminant animals may increase the likelihood of high
71 risk STEC exposure. All members of the public should take additional care at public
72 animal contact venues to avoid infection from animal contact. This can be done by
73 practicing more frequent handwashing, avoiding food consumption or other hand-to-
74 mouth contact in animal areas, and limiting strollers and other inanimate objects in
75 animal areas.

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Table 1. Descriptive Summary of Laboratory-Confirmed Shiga Toxin-Producing *Escherichia coli* Cases by Exposure Setting, Age Group, Serogroup, Shiga Toxin Gene (*stx*) Profile, County Ruminant per Capita, and hemolytic uremic syndrome (HUS) Status—Minnesota 2010-2019.

	Cases without any ruminant animal exposure				Cases whose only exposure to ruminants was because they lived or worked on a farm with ruminants				Cases who had exposure to ruminants because they both lived or worked on a farm with ruminants AND visited a farm or other animal venue with ruminants				Cases whose only exposure to ruminants was because they visited a farm or other animal venue with ruminants.			
Total	n	%	HU	%HU	n	%	HU	%	n	%	HU	%	n	%	HU	%
	135	81.3	67	5.0	88	5.3	6	6.8	28	1.7	6	21.4	194	11.7	24	12.4
Age Group																
<=5 years	280	20.7	35	12.5 ²	24	27.3	4	16.7	10	35.7	5	50.0	63	32.5	14	22.2
6-10 years	104	7.7	11	10.6	5	5.7	1	20.0	4	14.3	1	25.0	31	16.0	5	16.1
11-18 years	189	14.0	4	2.1	13	14.8	0	0.0	9	32.1	0	0.0	36	18.6	2	5.6

¹ Column percentage taken to determine case distribution by age group

² Row percentage taken to determine %HUS by age group

19-45 years	406	30.1	5	1.2	18	20.5	0	0.0	2	7.1	0	0.0	49	25.3	2	4.1
46-65 years	198	14.7	3	1.5	23	26.1	1	4.3	1	3.6	0	0	6	3.1	0	0.0
65+ years	173	12.8	9	5.2	5	5.7	0	0.0	2	7.1	0	0	9	4.6	1	11.1
Gender																
Male	595	44.1	23	3.9	36	40.9	3	8.3	10	35.7	1	10.0	84	43.3	8	9.5
Female	754	55.9	44	5.8	52	59.1	3	5.8	18	64.3	5	27.8	110	56.7	16	14.5
Serogroup																
O157	928	77.5	63	6.8	59	71.1	5	8.5	21	77.8	3	14.3	139	77.2	20	14.4
O103	19	1.6	0	0.0	1	1.2	0	0.0	0	0.0	0	--	3	1.7	0	0.0
O26	26	2.2	0	0.0	1	1.2	0	0.0	0	0.0	0	--	2	1.1	0	0.0
O111	67	5.6	2	3.0	7	8.4	0	0.0	1	3.7	0	0.0	17	9.4	3	17.6
O145	60	5.0	0	0.0	4	4.8	0	0.0	4	14.8	2	50.0	8	4.4	1	12.5
O121	66	5.5	0	0.0	8	9.6	0	0.0	0	0.0	0	--	7	3.9	0	0.0
O45	5	0.4	0	0.0	0	0.0	0	--	0	0.0	0	--	0	0.0	0	--
Other	27	2.3	1	3.7	3	3.6	0	0.0	1	3.7	0	0.0	4	2.2	0	0.0
stx Profile																
<i>stx1</i> & <i>stx2</i>	608	45.0	17	2.8	35	39.8	2	5.7	11	39.3	0	0.0	118	60.8	8	6.8
<i>stx2</i>	742	55.0	50	6.7	53	60.2	4	7.5	17	60.7	6	35.3	76	39.2	16	21.1
County					M											
Ruminant	Med		Med		Med		Med		Med		Med		Med		Med	
per Capita	.	IQR	.	IQR	.	IQR	.	IQR	.	IQR	.	IQR	.	IQR	.	IQR
	0.21	0.86	0.24	1.17	1.	1.69	1.05	0.66	0.80	1.22	0.25	0.92	0.38	1.22	0.27	0.93

Abbreviations: HUS, Hemolytic Uremic Syndrome; *stx*, Shiga toxin bacterial gene; Med., Median

Table 2. Association between Exposure Setting and Hemolytic Uremic Syndrome (HUS) Adjusted for Gender, Age per year of life, Shiga Toxin Gene (*stx*) Profile, and County Ruminant per Capita—Minnesota, 2010–2019

	OR	95% CI	
HUS		LCI	UCI
Exposure Setting			
<i>(Reference: No Ruminant Contact or Exposure)</i>			
Live or Work on a Farm with Ruminants Only	1.25	0.51	3.04
Both Live or Work on a Farm with Ruminants AND Visit a Farm or Other Animal Venue with Ruminants	3.71	1.39	9.90
Visit a Farm or Other Animal Venue with Ruminants Only	2.53	1.50	4.24
Gender			
<i>(Reference: Female)</i>			
Male	0.54	0.35	0.83
<i>stx</i> Profile			
<i>(Reference: stx1 & stx2)</i>			

<i>stx2</i>	3.04	1.91	4.83
Age per year of life	0.97	0.96	0.98
County Ruminant per capita	0.97	0.84	1.12

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Data Availability Statement

The data that support the findings of this study are available from the Minnesota Department of Health. Restrictions apply to the availability of these data.

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