

133

March 1993

John W. Garver

Mary G. Mazur

Bruce J. Dickson

Chen Jian

Lillian Craig Harris

Gaye Christoffersen

John Gittings

Published online by Cambridge University Press



Recent Titles on China from HARVARD'S COUNCIL ON EAST ASIAN STUDIES

Readings in Chinese Literary Thought

Stephen Owen

Two thousand years of Chinese texts on literature in an annotated, dual-language edition.

Harvard-Yenching Institute Monograph Series, 30 \$55.00

Taxing Heaven's Storehouse

Horses, Bureaucrats, and the Destruction of the Sichuan Tea Industry, 1074-1224 Paul I. Smith

"For anyone with a serious interest in Song history, this book is must reading."

—The Journal of Asian Studies

Harvard-Yenching Institute Monograph Series, 32 \$32.00 cloth

Facing Japan

Chinese Politics and Japanese Imperialism, 1931-1937 Parks M. Coble

The responses of the Nanking government, regional leaders, and informed public to Japanese aggression.

Harvard East Asian Monographs, 135

\$30.00 cloth

The Inner Opium War Iames M. Polachek

Chinese political intrigue, literati debates, and foreign policy during the Opium War.

Harvard East Asian Monographs, 151 \$30.00 cloth

Inside a Trade Service

Studies in Contemporary Chinese Prose

Rudolph G. Wagner

An examination of stories, articles, and cartoons from the PRC's popular press. Illustrated.
Harvard-Yenching Institute
Monograph Series, 34

Robert Hart and China's Early Modernization

His Journals, 1863-1866 Richard J. Smith, John K. Fairbank, and Katherine F. Bruner, eds.

Hart's journal entries, with lively accompanying narratives.
Harvard East Asian Monographs, 155

\$35.00 cloth

\$35.00 cloth

Distributed by

Harvard University Press

Cambridge, Massachusetts 617-495-2480 London, England 071-404-0712

WORLD POLITICS

A Quarterly Journal of International Relations

John Waterbury, Editor

Since 1948, World Politics
has offered analytical and
theoretical articles, research notes,
and review articles in international
relations, comparative politics,
political theory, foreign policy, and
modernization, as well as in history,
geography, economics, military
affairs, and sociology.

Under the editorial sponsorship of the center of International Studies at Princeton University, World Politics publishes original papers on the frontiers of research and scholarship. Current articles include: The Politics of Nationalism in Quebec / Ideas, Institution, and the Gorbachev Foreign Policy Revolution / Institutions and Collective Action /

Prepayment is required.
Annual subscriptions: \$22.00, individuals; \$46.00, institutions. Foreign postage: \$4.00 Canada and Mexico; \$10.20 outside North
America. Single-issue price: \$7.00, individuals; \$13.50, institutions. Payment must be drawn on a U.S. bank or made by international money order.
MD residents add 5% sales tax. For orders shipped to Canada add 7% GST (#124004946).

Send orders to: The Johns Hopkins University Press, Journals Publishing Division, PO Box 19966, Baltimore, MD 21211.

Tordering by VISA or
MasterCard? For fastest
service, call toll-free 1-800537-JHUP Mon.-Fri.,
8:30-5:00 ET or FAX
us anytime:
(410) 516-6968.



blic.

Published quarterly in October, January, April, and July.

EA3

FAIRBANK REMEMBERED

Compiled by Paul A. Cohen and Merle Goldman

The personal recollections in this volume, written by the late dean of American sinology's students, professional colleagues, friends, and acquaintances are alternately incisive, affectionate, deeply moving, and hilariously funny. Cumulatively, they form a book that is unusually intimate and honest, not only in what its authors have to say about Fairbank, but also in what they have to say about themselves and the importance Fairbank had in their lives.

John King Fairbank Center for East Asian Research/\$12.95 paper



Harvard University Press Cambridge, MA 02138 (617) 495-2480

Oxford =

FORTHCOMING TITLES

Between Freedom and Subsistence China and Human Rights

Ann Kent

Set in the context of international human rights law, this book offers a comprehensive analysis of China's human rights theory and practice since 1949. It combines legal and institutional documentation with cultural explanation and social, political and economic analysis and it places emphasis on developments in China's human rights in the post-1978 modernization era, particularly since the tragic events of June 1989.

Foreigners within the Gates

The Legations at Peking

Michael J. Moser Yeone Wei-chih Moser

An illustrated history of the Legation Quarter in Peking from the Opium Wars to 'Liberation' in 1949, and indirectly a history of China's changing relations with foreigners and their government representatives.

Oxford University Press

INTERNATIONAL REVIEW OF ECONOMICS AND BUSINESS

Rivista Internazionale di Scienze Economiche e Commerciali

January 1993

F. DONZELLI: Friedrich August von Hayek (1899-1992) - T.V.S.R.RAO: Memory in Dynamic Principal-Agent Problems - T. ANDERSSON and K. BRÄNNAS: Explaining Cross-Country Variation in Nationalization Frequencies - M Di MATTEO: Forms of Trade Control in an Equilibrium North-South Model: A Comparative Evaluation -C. HUNG: Budget Deficits and the Financial Services Industry in the United States: An Empirical Note - C.L.J. SIERMANN and J. DE HAAN: On Sustainability and Political Determinants of Government Debt in Developing

February 1993

V.S. VARTIKAR: Optimum Rate of Inflation - M.S. CATALANI and G.F. CLERICO: Team Cooperation or Independent Assessment? - E.I. Bairam: Autoregressive Conditional Heteroscedasticity and Theories of Inflation - P. Kugler and C. Lenz: Chaos, ARCH, and the Foreign Exchange Market: Empirical Results from Weekly Data - H.L. Brewer. Diversification Measures for Conglomerate Firms - S.I. Ikhidde: Financial Liberalisation and the Inflationary Process: Is There a Link in Adjusting Developing Countries? - V. Anagnostakis: Growth, Profitability, Financial Risk and Firm Size in Greek Manufacturing: A Simultaneous Equation Model

L. JIA: An Examination of the Prerequisites for Implementing an Economic Self-Reliance Policy in China -D.A. GEORGOUTSOS and G.P. KOURETAS: Maximum Likehood Estimation of Cointegration Vectors: Testing for the Existence of Purchasing Power Parity - G.W. BENG: Interest Rates Adjustment in the Money and Credit Markets in Malaysia - P. GHIRARDATO: Non-Additive Measures of Uncertainty: A Survey of Some Recent Developments in Decision Theory - A. Baldissera: Concezioni classiche e concezioni alla Williamson nell'interpretazione dei gruppi aziendali

A monthy journal. Subscription rate: Lire 190.000 (Italy); Lire 260.000 (abroad). - Bound back volumes available at special prices. - Address: R.I.S.E.C. - Via Teuliè 1 - 20136 Milano (Italy) - Tel. & Fax: 0039-2-58317434

If you need to know about China. read The China Quarterly

For over thirty years, The China Quarterly has been essential reading for everyone concerned with modern China. Today it continues to provide the authoritative and provocative perspectives on which China-watchers have come to rely. The China Quarterly has an international circulation that includes diplomats, journalists, financiers and the business community as well as its principal audience in the academic world. The China Quarterly covers all aspects of issues relating to the People's Republic of China, Taiwan, Macao and Hong Kong - politics, economics, international affairs, social change, demography, geography, arts and literature.

THE CHINA QUARTERLY OFFERS: ARTICLES

Carefully researched articles published according to the highest academic standards, after close scrutiny by specialists

ANALYSIS

• Briefing analyses on recent developments keep readers up to date with current events. · Research notes bring specific topics of scholarly interest to

RESEARCH NOTES

FSSAYS ON CONCEPTS AND METHODS

Occasional essays on new concepts and methods in Chinese

SURVEYS OF THE STATE OF THE FIELD

Surveys of the state of the field describe and assess research on China in various countries and academic disciplines.

SPECIAL ISSUES

Periodic special issues devoted to a theme provide

comprehensive analysis of key topics.

CHRONICLE AND DOCUMENTATION

The Chronicle and Documentation section offers a valuable overview of recent events in China and reactions thereto, both domestic and foreign.

TRANSLATIONS **BOOK REVIEWS**

Numerous book reviews and a listing of books received provide a reliable and up-to-date guide to new publications in the China field.



Place your order with Grace Pursey The China Quarterly School of Oriental and African Studies University of London Thornhaugh Street Russell Square London WC1H OXG

at surface rates Individual rate £28 (US\$56) <u>Institutional rate</u> £35 (US\$70) Student rate £14 (US\$28)

Telephone 071 637 2388 Fax 071 580 6836

A SOAS publication

Annual subscription

includes postage

Can you afford not to subscribe to The Australian Journal of Chinese Affairs Issue 29, January 1993 Andrew G. Walder and Gong Xiaoxia, Workers in the Tiananmen Protests: The Politics of the Beijing Workers' Autonomous Anita Chan, Revolution or Corporatism? Workers and Trade Unions in Post-Mao 31 Gordon White, Prospects for Civil Society in 63 China: A Case Study of Xiaoshan City Danching Ruan, Interpersonal Networks and Workplace Controls in Urban China Lucian W. Pye, How China's Nationalism Michael M. Sheng, America's Lost Chance was Shanghaied in China? A Special Offer: Communist Before 1945 This issue free with Wang Gungwu all new subscriptions Reviews Beyond, edited by David Bachman) and Gerald Segal (David Bachman) China in the 1 'One of the few iournals that I Chinese Marxism in the Post-Mao Era, by read cover to cover. Bill Brugger & David Kelly (Su Shaozhi) 217 James L Watson

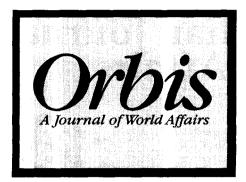
Subscription Rates (2 issues per annum – plus a free copy of Issue 29 for new subscribers): Within Australia: \$25 per year; students \$20; institutions \$30.

Outside Australia: US\$25 per year; students US\$20; institutions US\$30. Or the equivalent in other

Director, Fairbank Center for East Asian Research, Harvard

New three-year subscriptions: \$60/US\$60 (institutions \$75) plus complimentary copies of issues **27, 28 & 29**.

Back issues Nos 7,10, 12 to 22, and 24 to 28 can be purchased at \$15/US\$15 each. Payments should be sent to: Contemporary China Centre, RSPacS, ANU, GPO Box 4, Čanberra, 2601.



"Consistently Important." — Henry Kissinger

Bored by airy "reflections" on world events? Tired of reading the same Big Thinkers, year after year? Put off by academese and bureaucratic jargon?

So are we.

That's why *Orbis* doesn't publish musings called "Whither the World?" Nor speculative articles by somebody who used to be somebody.

Orbis publishes articles explaining today's news — by writers who know what they're talking about. Articles like:

Needed: A Post-Containment Doctrine

Senator Malcolm Wallop

Should the U.N. Have a Real Army?

Jeffrey R. Gerlach

Military Thinking in Today's Russia Mary C. Fitzgerald

And as for writing: Frankly, we give our authors a hard time — so that you won't have a hard time understanding them. The result? Illuminating analyses. Lucid arguments. Readable prose. In the end, a world made more intelligible for you.

Correspondent's Membership in the Ed	oreign Policy Research Institute is \$35.00 per year and is
•	abscriptions to <i>Orbis</i> and the FPRI newsletter, and a 10%
•	outside the United States, please add \$5.00 for surface
	licy Research Institute, 3615 Chestnut Street, Philadel-
phia, PA 19104, Tel: (215) 382-0685, Fa	ax: (215) 382-0131. Institutional subscriptions: Corre-
spond to: JAI Press, 55 Old Post Road, N	No. 2, P.O. Box 1678, Greenwich, CT 06836-1678.
•	No. 2, P.O. Box 1678, Greenwich, CT 06836-1678.
Name	No. 2, P.O. Box 1678, Greenwich, CT 06836-1678.
NameAddress	
NameAddress	No. 2, P.O. Box 1678, Greenwich, CT 06836-1678. State Zip
spond to: JAI Press, 55 Old Post Road, N Name_ Address City_ Country_	
NameAddress	

an international journal for the study of China

March 1993 number 133 £9.00 \$18.00	
The Chinese Communist Party and the Collapse of Soviet Communism John W. Garver Intellectual Activism in China During the 1940s: Wu Han in the United Front and the Democratic League Mary G. Mazur The Lessons of Defeat: The Reorganization of the Kuomintang on Taiwan, 1950–52 Bruce J. Dickson China and the First Indo-China War, 1950–54 Chen Jian	1 27 56 85
Focus on Xinjiang Xinjiang, Central Asia and the Implications for China's Policy in the Islamic World Lillian Craig Harris Xinjiang and the Great Islamic Circle: The Impact of Trans- national Forces on Chinese Regional Economic Planning Gaye Christoffersen	111
Review Essay Understanding Revolutionary China: The Cambridge History of China, Vols. XIV and XV, edited by Roderick MacFarquhar and John K. Fairbank John Gittings	
 Book Reviews Nourish the People: The State Civilian Granary System in China, 1650–1850. By Pierre-Etienne Will and R. Bin Wong, with James Lee. Jonathan D. Spence Disorder Under Heaven: Collective Violence in the Ming Dynasty. By James W. Tong. Thomas Buoye Monarchs and Ministers: The Grand Council in Mid-Ch'ing China, 1723–1820. By Beatrice S. Bartlett. Alexander Woodside The Alienated Academy: Culture and Politics in Republican China 1919–1937. By Yeh Wen-hsin. Marie-Claire Bergère Chinese Boycotts versus Japanese Bombs: The Failure of China's "Revolutionary Diplomacy," 1931–32. By Donald A. Jordan. William C. Kirby Facing Japan: Chinese Politics and Japanese Imperialism, 1931–1937. By Parks M. Coble. Akira Iriye The Greatest Tumult: 1936–1949. By E. R. Hooton. Diana Lary The Study of Change: Chemistry in China, 1840–1949. By James Reardon-Anderson. D. W. Y. Kwok 	162 163 165 166 168 170 171
China and its National Minorities: Autonomy or Assimilation? By Thomas Heberer, translated by Michael Vale. Dru C. Gladney One Step Ahead in China: Guangdong Under Reform. By Ezra F. Vogel.	174

Peter Ferdinand

The United States and China at a Crossroads: Policy and Paper. By The	
Atlantic Council of the United States and The National Committee	
on U.SChina Relations. David Shambaugh	177
New Ideas and Concepts in Sino-American Relations: Conference	
Report. By The American Enterprise Institute and the Shanghai	
Institute for International Studies. David Shambaugh	177
Sino-Soviet Normalization and its International Implications. By Lowell	
Dittmer. John W. Garver	178
Detente in East Asia? Edited by Leslie Palmier. Michael C. Williams	180
Changing Patterns of East Asian Security. Edited by Tai Ming Cheung.	
Gerald Segal	181
Book Notes	183
Books Received	184
Quarterly Chronicle and Documentation (October-December	
1992) (compiled by Robert F. Ash)	188
Contributors	211

© School of Oriental and African Studies, 1993
Printed and Bound in Great Britain by
Burgess, Thames View, Abingdon, Oxfordshire

Editor: David Shambaugh Editorial Assistant: Alison Surry Subscriptions: Grace Pursey Secretary: Katie Jukes

Editorial Board

*Robert F. Ash SOAS
*Hugh D. R. Baker, SOAS
Marianne Bastid, Ecole Normale

Supérieur

Marie-Claire Bergère, Institut National des Langues et Civilisations Orientales Thomas P. Bernstein, Columbia

University
Cyril Birch, University of California,

Berkeley John P. Burns, University of Hong Kong *Elisabeth J. Croll, SOAS

Lev Deliusin, Russian Academy of Sciences

*Anthony R. Dicks, SOAS Arif Dirlik, Duke University Lowell Dittmer, University of California,

Berkeley Jürgen Domes, Universitat des Saarlandes

Vidya Prakash Dutt, University of Delhi *Richard Louis Edmonds, SOAS

Victor Falkenheim, University of Toronto

Thomas B. Gold, University of California, Berkeley Merle Goldman, Boston University Steven M. Goldstein, Smith College *Brian G. Hook, University of Leeds Christopher B. Howe, SOAS

Shigeru Ishikawa, Aoyama Gakuin University

John Israel, University of Virginia William Kirby, Harvard University Tomoyuki Kojima, Keio University Y. Y. Kueh, Lingnan College, Hong Kong Nicholas R. Lardy, University of Washington

Steven I. Levine, Boulder Run Research John W. Lewis, Stanford University Kenneth Lieberthal, University of Michigan

Stanley B. Lubman, San Francisco, in association with Allen & Overy Roderick MacFarquhar, Harvard University

*Members of Executive Committee

Editorial and Business Offices

School of Oriental and African Studies, Thornhaugh Street, Russell Square, London WC1H 0XG. Tel. 071-323 6129 or 071-637 2388. Fax 071-580 6836 or 071-436 3844.

Subscriptions

All subscriptions should be sent to the above address marked "China Quarterly subscriptions."

Subscription rates: £35.00 or US\$70.00 for institutions, £28.00 or US\$56.00 for individuals, post free. Reduced rates for full-time students of £14.00 or U\$\$28.00. Individual copies £9.00 or U\$\$18.00 for current and recent issues, otherwise £9.50 or US\$19.00. Second class postage paid at Rahway N.J. Postmaster: Send address corrections to The China Quarterly, c/o Mercury Airfreight Int. Ltd., 2323 Randolph Ave., Avenel, N.J. 07001.

Bonnie S. McDougall, University of Edinburgh

Michael D. McWilliam (ex officio), SOAS Ramon Myers, Hoover Institution on War, Revolution and Peace

Andrew Nathan, Columbia University Barry Naughton, University of

California, San Diego

*Peter Nolan, University of Cambridge
Michel Oksenberg, East-West Centre William L. Parish, University of Chicago Elizabeth Perry, University of California, Berkeley

David E. Pollard, Chinese University of

Hong Kong Lucian W. Pye, M.I.T. Thomas Rawski, University of

Pittsburgh
Sang-Woo Rhee, Sogang University
Gilbert Rozman, Princeton University Tony Saich, University of Leiden Stuart R. Schram, Harvard University

Benjamin Schwartz, Harvard University
*David Shambaugh, SOAS Vivienne Shue, Cornell University G. William Skinner, University of

California, Davis Dorothy Solinger, University of

California, Irvine Jonathan Spence, Yale University Frederick Teiwes, University of Sydney Ezra Vogel, Harvard University

Rudolf Wagner, Universitat Heidelberg

Frederic Wakeman, University of
California, Berkeley
Andrew G. Walder, Harvard University
Wang Gungwu, University of Hong

Kong
James L. Watson, Harvard University
*Gordon White, University of Sussex
Lynn T. White III, Princeton University
Allen S. Whiting, University of Arizona Martin King Whyte, University of

Michigan
*Michael Yahuda, London School of Economics and Political Science

Manuscripts

The China Quarterly welcomes the submission of manuscripts from scholars, including postgraduate students, on all aspects of contemporary China and from all points of view, including those which deal with Taiwan and Overseas Chinese. Articles on China prior to 1949 are also welcome, particularly, but not exclusively, when these relate directly to contemporary China or to the history of the Chinese Communist Party. Articles should be submitted, in quadruplicate to the editorial office. They should normally not exceed 8,000 words in length; should be typed in double spacing, with footnotes at the end; and should conform to the styling of The China Ouarterly (see below). Submission of a manuscript is taken to imply that it has not previously been published in any form (including non-copyrighted working papers) and that it is not currently being considered elsewhere.

The China Quarterly is published by the School of Oriental and African Studies. University of London. The views expressed in articles are those of individual authors and do not necessarily represent the views of the School.

Style

Romanization

Pinyin is used without tone/diacritical marks, except the following which should use the Wade-Giles system; names such as Chiang Kai-shek, Sun Yat-sen, Taipei and Kuomintang; names of individuals living outside mainland China. Chinese characters should be provided on the first occurrence of each romanization, to assist in proofreading.

Citations

Books:

(Chinese) Author, Chinese title of book (Translation of Title) (Place of Publication: Publisher of Book in Chinese, date), pp. ?.

(English) Author, Title of Book (Place of Publication: Publisher, date), pp. ?. Articles:

(Edited volumes) Author of article, "Title of article," in Author (ed.), Title (Place of Publication: Publisher, date), pp. ?. [with translation of Chinese article and volume titlesl

(Journals) Author of article, "Title of article," Journal Title, Vol. ?, No. ? (year), pp. ?. [with translation of Chinese article and journal titles] Subsequent citations

Use *ibid*. to refer only to the immediately preceding reference or part of it; *idem*. and op. cit. should not be used. The shortened title system is used as follows:

(Chinese) Full name of author, Shortened Title in English, pp. ?.

(English) Surname, Shortened Title, pp. ?.

Articles:

(Chinese) Full name of author, "Shortened title of article in English," pp. ?. (English) Surname, "Shortened title of article." pp. ?.

[no mention of volume necessary]

A more detailed style sheet is available on request.

ISSN 0009-4439