

## SEQUENCES WITH TRANSLATES CONTAINING MANY PRIMES

TOM BROWN, PETER JAU-SHYONG SHIUE AND X.Y. YU

**ABSTRACT.** Garrison [3], Forman [2], and Abel and Siebert [1] showed that for all positive integers  $k$  and  $N$ , there exists a positive integer  $\lambda$  such that  $n^k + \lambda$  is prime for at least  $N$  positive integers  $n$ . In other words, there exists  $\lambda$  such that  $n^k + \lambda$  represents at least  $N$  primes.

We give a quantitative version of this result. We show that there exists  $\lambda \leq x^k$  such that  $n^k + \lambda$ ,  $1 \leq n \leq x$ , represents at least  $\left(\frac{1}{k} + o(1)\right)\pi(x)$  primes, as  $x \rightarrow \infty$ . We also give some related results.

**1. Introduction.** In [1], Abel and Siebert make the wonderful observation that if  $A = \{a_n\}$  is a sequence of natural numbers and  $A(x) = \sum_{a_n \leq x} 1$ , then

$$\sum_{\lambda \leq 2x} \sum_{a_n \leq x} \sum_{p = a_n + \lambda} 1 \geq [\pi(2x) - \pi(x)]A(x),$$

where  $p$  denotes a prime and  $\pi(x)$  denotes the number of primes  $p \leq x$ . They used this inequality, together with Chebyshev's inequalities, to show that if  $\limsup_{x \rightarrow \infty} \frac{A(x)}{\log x} = \infty$ , then for all  $N$  there exists  $\lambda$  such that  $a_n + \lambda$  represents at least  $N$  primes. Forman [2] obtained the same result with methods different from those of Abel and Siebert.

Earlier, Sierpinski [4] showed that  $n^2 + \lambda$  represents arbitrarily many primes. Then Garrison [3] extended this to  $n^k + \lambda$ . Forman [2] and Abel and Siebert [1] showed that  $g(n) + \lambda$  represents arbitrarily many primes, where  $g(x)$  is any polynomial with integer coefficients and positive leading coefficient.

In this note we consider sums of the form

$$S(x) = \sum_{\lambda \leq 2x} \sum_{a_n \leq x} \sum_{b_m = a_n + \lambda} f(b_m) \quad \text{and} \quad T(x) = \sum_{\lambda \leq x} \sum_{a_n \leq x} \sum_{b_m = a_n + \lambda} f(b_m),$$

where  $A = \{a_n\}$  and  $B = \{b_m\}$  are given sequences of natural numbers and  $f$  is a given nonnegative function defined on the natural numbers. In particular, if  $B$  is the sequence of primes and  $f \equiv 1$ , then  $T(x) = (1 + o(1))A(x)\pi(x)$ . This implies that if  $A = \{n^k : n \geq 1\}$ , then  $T(x) = (1 + o(1))x^{\frac{1}{k}}\pi(x)$ . It follows that there exists a positive integer  $\lambda \leq x^k$  such that  $n^k + \lambda$ ,  $n \leq x$ , represents at least  $\left(\frac{1}{k} + o(1)\right)\pi(x)$  primes.

---

Received by the editors May 21, 1996; revised August 8, 1996.

The first author is partially supported by NSERC.

The third author is supported by the National Science Grant of P.R. of China and the Science Grant of Zhejiang Province, P.R. China.

AMS subject classification: 11A48.

©Canadian Mathematical Society 1998.

## 2. Results.

**THEOREM 1.** Let  $A = \{a_n\}$ ,  $B = \{b_m\}$  be sequences of natural numbers, and let  $f$  be a nonnegative function defined on the natural numbers. Let  $A(x) = \sum_{a_n \leq x} 1$ ,  $B(x) = \sum_{b_m \leq x} f(b_m)$ .

Assume that  $B(x) = (1 + o(1))x^\alpha \varphi(x)$ , where  $\varphi$  is monotonic and  $\lim_{x \rightarrow \infty} \frac{\varphi(2x)}{\varphi(x)} = 1$ .

Let  $S(x)$  denote the sum

$$S(x) = \sum_{a_n \leq x} \sum_{\lambda \leq 2x} \sum_{b_m = a_n + \lambda} f(b_m)$$

Then

$$(2^\alpha - 1 + o(1))A(x)B(x) \leq S(x) \leq (3^\alpha + o(1))A(x)B(x).$$

**PROOF.** For the lower bound, we start with Abel and Siebert's inequality

$$S(x) \geq [B(2x) - B(x)]A(x).$$

Next,

$$\frac{B(2x) - B(x)}{B(x)} = \frac{(1 + o(1))(2x)^\alpha \varphi(2x)}{(1 + o(1))x^\alpha \varphi(x)} - 1 \rightarrow 2^\alpha - 1,$$

hence  $B(2x) - B(x) = (2^\alpha - 1 + o(1))B(x)$ .

For the upper bound, we write

$$\begin{aligned} S(x) &= \sum_{a_n \leq x} \sum_{a_n + 1 \leq b_m \leq a_n + 2x} f(b_m) \\ &= \sum_{a_n \leq x} [B(a_n + 2x) - B(a_n)] \leq \sum_{a_n \leq x} B(a_n + 2x). \end{aligned}$$

We now estimate  $B(a_n + 2x)$  from above.

Let  $a$  be an integer,  $1 \leq a \leq x$ . Since  $\varphi$  is monotonic,  $x \leq a + x \leq 2x$ , and  $\frac{\varphi(x)}{\varphi(x)} = 1$ ,  $\frac{\varphi(2x)}{\varphi(x)} \rightarrow 1$ , it follows that for every  $\varepsilon > 0$  there exists  $N = N(\varepsilon)$  such that

$$\frac{\varphi(a + x)}{\varphi(x)} < 1 + \varepsilon, \quad x > N, \quad 1 \leq a \leq x.$$

From this it follows that  $\frac{\varphi(3x)}{\varphi(x)} = \frac{\varphi(3x)}{\varphi(2x)} \cdot \frac{\varphi(2x)}{\varphi(x)} \rightarrow 1$ .

Now since  $2x \leq a + 2x \leq 3x$ ,  $\varphi$  is monotonic, and  $\frac{\varphi(2x)}{\varphi(x)} \rightarrow 1$ ,  $\frac{\varphi(3x)}{\varphi(x)} \rightarrow 1$ , it follows that for every  $\varepsilon > 0$  there exists  $N = N(\varepsilon)$  such that

$$\frac{\varphi(a + 2x)}{\varphi(x)} < 1 + \varepsilon, \quad x > N, \quad 1 \leq a \leq x.$$

It now follows that for any  $a = a(x)$ ,  $1 \leq a \leq x$ , and any  $\varepsilon > 0$ ,

$$\frac{B(a + 2x)}{B(x)} = \frac{(1 + o(1))(a + 2x)^\alpha \varphi(a + 2x)}{(1 + o(1))x^\alpha \varphi(x)} < 3^\alpha + \varepsilon$$

for sufficiently large  $x$ . Hence, independent of the choice of  $a$ ,  $1 \leq a \leq x$ ,

$$B(a + 2x) \leq (3^\alpha + o(1))B(x),$$

and

$$S(x) \leq \sum_{a_n \leq x} B(a_n + 2x) \leq (3^\alpha + o(1))A(x)B(x).$$

This completes the proof of Theorem 1.

Now we let  $B$  be the sequence of primes.

**THEOREM 2.** *Let  $A = \{a_n\}$  be a sequence of natural numbers. Then*

$$S(x) = \sum_{\lambda \leq 2x} \sum_{a_n \leq x} \sum_{p = a_n + \lambda} 1 \geq (1 + o(1))A(x)\pi(x),$$

where  $p$  denotes a prime. Hence there exists  $\lambda$ ,  $1 \leq \lambda \leq 2x$ , such that  $a_n + \lambda$ ,  $1 \leq a_n \leq x$ , represents at least  $(\frac{1}{2} + o(1))\frac{A(x)}{x}\pi(x)$  primes.

**PROOF.** This proof is a direct application of the method of Abel and Siebert. We have

$$S(x) = \sum_{\lambda \leq 2x} \sum_{a_n \leq x} \sum_{p = a_n + \lambda} 1 \geq (\pi(2x) - \pi(x))A(x) = (1 + o(1))A(x)\pi(x),$$

or

$$\frac{1}{2x} \sum_{\lambda=1}^{2x} \left( \sum_{a_n \leq x} \sum_{p = a_n + \lambda} 1 \right) \geq \left( \frac{1}{2} + o(1) \right) \frac{A(x)}{x} \pi(x),$$

so at least one  $\lambda$ ,  $1 \leq \lambda \leq 2x$ , has the required property.

We now improve this result by using part of the method of proof of Theorem 1.

**THEOREM 3.** *Let  $A = \{a_n\}$  be a sequence of natural numbers. Then*

$$T(x) = \sum_{\lambda \leq x} \sum_{a_n \leq x} \sum_{p = a_n + \lambda} 1 = (1 + o(1))A(x)\pi(x),$$

where  $p$  denotes a prime. Hence there exists  $\lambda$ ,  $1 \leq \lambda \leq x$ , such that  $a_n + \lambda$ ,  $1 \leq a_n \leq x$ , represents at least  $(1 + o(1))\frac{A(x)}{x}\pi(x)$  primes.

**PROOF.** As in the proof of Theorem 1, we write

$$T(x) = \sum_{a_n \leq x} \sum_{a_n + 1 \leq p \leq a_n + x} 1 = \sum_{a_n \leq x} [\pi(a_n + x) - \pi(a_n)].$$

It is not hard to show that for every  $\varepsilon > 0$  there exists  $N = N(\varepsilon)$  such that

$$1 - \varepsilon < \frac{\pi(a + x) - \pi(a)}{\pi(x)} < 1 + \varepsilon, \quad x > N, \quad 1 \leq a \leq x.$$

(For fixed  $\varepsilon$ , divide  $[1, x]$  into subintervals of length  $\varepsilon x$ , and use the Prime Number Theorem to estimate  $\frac{\pi(a+x) - \pi(a)}{\pi(x)}$  when  $a \in [(i - 1)\varepsilon x, i\varepsilon x]$ .)

Summing this over all  $a_k$ ,  $a_k \leq x$ , gives

$$(1 - \varepsilon)A(x)\pi(x) < T(x) < (1 + \varepsilon)A(x)\pi(x), \quad x > N,$$

or  $T(x) = (1 + o(1))A(x)\pi(x)$ . The rest follows as in the proof of Theorem 2.

**COROLLARY.** *Let  $k \geq 1$  be given. Then there exists a positive integer  $\lambda \leq x^k$  such that  $n^k + \lambda$ ,  $n \leq x$ , represents at least  $(\frac{1}{k} + o(1))\pi(x)$  primes.*

**PROOF.** Setting  $a_n = n^k$  in Theorem 3, and replacing  $x$  by  $x^k$  in the conclusion of Theorem 3 shows that there exists  $\lambda$ ,  $1 \leq \lambda \leq x^k$ , such that  $n^k + \lambda$ ,  $1 \leq n^k \leq x^k$ , represents at least

$$(1 + o(1)) \frac{(x^k)^{\frac{1}{k}}}{x^k} \pi(x^k) = (1 + o(1)) \frac{x}{x^k \log x^k} = (1 + o(1)) \frac{x}{k \log x} = \left(\frac{1}{k} + o(1)\right) \pi(x)$$

primes.

We now apply our methods to the case when  $B$  is the sequence of square-free numbers.

**THEOREM 4.** *Let  $A = \{a_n\}$  be a given sequence of natural numbers. Let  $A(x) = \sum_{a_n \leq x} 1$ , and let  $\alpha$  be any fixed real number with  $\frac{1}{2} < \alpha < 1$ . Let  $\varepsilon > 0$  be given. Then for all sufficiently large  $x$ , there exists  $\lambda$ ,  $1 \leq \lambda \leq x^\alpha$ , such that more than  $(\frac{6}{\pi^2} - \varepsilon)A(x)$  of the numbers  $a_n + \lambda$ ,  $a_n \leq x$ , are square-free.*

**PROOF.** Let  $B = \{b_m\}$  be the sequence of square-free numbers, and let  $B(x) = \sum_{b_m \leq x} 1$ . It is known (see [4]) that

$$B(x) = \frac{6x}{\pi^2} + O(\sqrt{x}).$$

Let  $\alpha$  be fixed,  $1/2 < \alpha < 1$ , and let  $L$  denote the number  $L = [x^\alpha]$ .

Let  $\varepsilon > 0$  be given. Then

$$\begin{aligned} \sum_{\lambda=1}^L \sum_{a_n \leq x} \sum_{b_m = a_n + \lambda} 1 &= \sum_{a_n \leq x} \sum_{\lambda \leq L} \sum_{b_m = a_n + \lambda} 1 \\ &= \sum_{a_n \leq x} \sum_{a_n + 1 \leq b_m \leq a_n + L} 1 \\ &= \sum_{a_n \leq x} (B(a_n + L) - B(a_n)) \\ &= \sum_{a_n \leq x} \left( \frac{6L}{\pi^2} + O(\sqrt{x+L}) \right) \\ &= \sum_{a_n \leq x} \frac{6L}{\pi^2} (1 + o(1)) \\ &> \left( \frac{6}{\pi^2} - \varepsilon \right) L \sum_{a_n \leq x} 1 \\ &= \left( \frac{6}{\pi^2} - \varepsilon \right) LA(x) \end{aligned}$$

holds for sufficiently large  $x$ . Hence there exists at least one  $\lambda$ ,  $1 \leq \lambda \leq L = [x^\alpha]$ , for which

$$\sum_{a_n \leq x} \sum_{b_m = a_n + \lambda} 1 > \left( \frac{6}{\pi^2} - \varepsilon \right) A(x),$$

which was to be proved.

ACKNOWLEDGMENT. The authors wish to express their sincere thanks to the referee for very helpful comments and suggestions that led to a considerable improvement of this paper.

## REFERENCES

1. V. Abel and H. Siebert, *Sequences with large numbers of prime values*. Amer. Math. Monthly **100**(1993), 167–169.
2. Robin Forman, *Sequence with many primes*. Amer. Math. Monthly **99**(1992), 548–557.
3. B. Garrison, *Polynomials with large numbers of prime values*. Amer. Math. Monthly **97**(1990), 316–317.
4. L. K. Hua, *Introduction to Number Theory*. Springer-Verlag, 1982.
5. W. Sierpinski, *Les binomes  $x^2 + n$  et les nombres premiers*. Bull. Soc. Roy. Sci. Liège **33**(1964), 259–260.

*Department of Mathematics and Statistics*  
*Simon Fraser University*  
*Burnaby, British Columbia*  
*V5A 1S6*  
*e-mail: tbrown@sfu.ca*

*Department of Mathematical Sciences*  
*University of Nevada, Las Vegas*  
*Las Vegas, Nevada 89154-4020*  
*U.S.A.*  
*e-mail: shiue@nevada.edu*

*Department of Mathematics*  
*Hangzhou Teacher's College*  
*96 Wen Yi Road, Hangzhou*  
*Zhejiang*  
*People's Republic of China*