

## POLYNOMIAL LIE SUBALGEBRAS OF THE INFINITE MATRIX LIE ALGEBRA

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ABSTRACT. We construct two classes of Lie subalgebras of the infinite matrix Lie algebra  $\mathfrak{gl}_\infty(\mathbb{C})$  and prove that they are all simple Lie algebras.

**1. Introduction.** The infinite matrix Lie algebras  $\mathfrak{gl}_\infty(\mathbb{C})$  and  $\mathfrak{sl}_\infty(\mathbb{C}) = [\mathfrak{gl}_\infty(\mathbb{C}), \mathfrak{gl}_\infty(\mathbb{C})]$  have been discussed by many authors (for example [K], [KR]). In this paper we construct two classes of Lie subalgebras of  $\mathfrak{sl}_\infty(\mathbb{C})$  and prove that they are all simple Lie algebras.

Recall that the Lie algebra  $\mathfrak{gl}_\infty(\mathbb{C})$  is given by

$$\mathfrak{gl}_\infty(\mathbb{C}) = \sum_{i,j \in \mathbb{Z}} \mathbb{C}E_{ij}$$

with Lie bracket

$$[E_{ij}, E_{kl}] = \delta_{jk}E_{il} - \delta_{li}E_{kj}.$$

Let  $p(t) = a_0 + a_1t + \dots + a_r t^r$ ,  $a_r \neq 0$ . Define

$$E_{ij}^{p(t)} := \sum_{k=0}^r a_k E_{i,j+k}$$

and

$$\mathfrak{gl}_\infty(p(t)) := \sum_{i,j \in \mathbb{Z}} \mathbb{C}E_{ij}^{p(t)}.$$

Then  $\mathfrak{gl}_\infty(p(t))$  is a Lie subalgebra of  $\mathfrak{gl}_\infty(\mathbb{C})$  and  $\mathfrak{sl}_\infty(p(t)) := [\mathfrak{gl}_\infty(p(t)), \mathfrak{gl}_\infty(p(t))]$  is a simple Lie algebra (see Section 1). Further, we define

$$F_{ij}^{p(t)} := \sum_{k,l=0}^r a_k a_l E_{i+k,j+l}$$

and

$$\tilde{\mathfrak{gl}}_\infty(p(t)) := \sum_{i,j \in \mathbb{Z}} \mathbb{C}F_{ij}^{p(t)}.$$

Then  $\tilde{\mathfrak{gl}}_\infty(p(t))$  is a Lie subalgebra of  $\mathfrak{gl}_\infty(p(t))$  and

$$\tilde{\mathfrak{sl}}_\infty(p(t)) := [\tilde{\mathfrak{gl}}_\infty(p(t)), \tilde{\mathfrak{gl}}_\infty(p(t))]$$

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is a simple Lie subalgebra (see Section 3). Since the  $n \times n$  matrix Lie algebra  $\mathfrak{gl}_n(\mathbb{C}) = \sum_{1 \leq i, j \leq n} \mathbb{C}E_{ij}$  is a Lie subalgebra of  $\mathfrak{gl}_\infty(\mathbb{C})$ , we naturally get Lie subalgebras

$$\begin{aligned} \mathfrak{gl}_n(p(t)) &:= \mathfrak{gl}_\infty(p(t)) \cap \mathfrak{gl}_n(\mathbb{C}), \\ \mathfrak{sl}_n(p(t)) &:= \mathfrak{sl}_\infty(p(t)) \cap \mathfrak{gl}_n(\mathbb{C}), \\ \widetilde{\mathfrak{gl}}_n(p(t)) &:= \widetilde{\mathfrak{gl}}_\infty(p(t)) \cap \mathfrak{gl}_n(\mathbb{C}), \\ \widetilde{\mathfrak{sl}}_n(p(t)) &:= \widetilde{\mathfrak{sl}}_\infty(p(t)) \cap \mathfrak{gl}_n(\mathbb{C}), \end{aligned}$$

if  $\deg(p(t)) < n$ . We call  $\mathfrak{gl}_\infty(p(t))$ ,  $\mathfrak{sl}_\infty(p(t))$ ,  $\widetilde{\mathfrak{gl}}_\infty(p(t))$ ,  $\widetilde{\mathfrak{sl}}_\infty(p(t))$  the polynomial Lie subalgebras of  $\mathfrak{gl}_\infty(\mathbb{C})$ , and  $\mathfrak{gl}_n(p(t))$ ,  $\mathfrak{sl}_n(p(t))$ ,  $\widetilde{\mathfrak{gl}}_n(p(t))$ ,  $\widetilde{\mathfrak{sl}}_n(p(t))$  the polynomial Lie subalgebras of  $\mathfrak{gl}_n(\mathbb{C})$ .

This paper is organized as follows. In Section 1, we prove that  $\mathfrak{sl}_\infty(p(t))$  is a simple Lie algebra for every  $p(t) \in \mathbb{C}[t]$ . In Section 2, we discuss representations of  $\mathfrak{gl}_\infty(p(t))$ . In Section 3, we prove that  $\widetilde{\mathfrak{sl}}_\infty(p(t))$  is a simple Lie algebra for every  $p(t) \in \mathbb{C}[t]$ , and in Section 4 we discuss  $\mathfrak{gl}_n(p(t))$  and  $\widetilde{\mathfrak{gl}}_n(p(t))$  in the cases  $n = 2$  and  $n = 3$ .

In this paper, we denote the complex number field by  $\mathbb{C}$  and denote the polynomial ring with one variable  $t$  over  $\mathbb{C}$  by  $\mathbb{C}[t]$ .

**2. First class polynomial Lie subalgebras of  $\mathfrak{gl}_\infty(\mathbb{C})$ .** We discuss the first class of polynomial Lie algebras of  $\mathfrak{gl}_\infty(\mathbb{C})$  in this section.  $\mathfrak{gl}_\infty(\mathbb{C}) = \sum_{i, j \in \mathbb{Z}} \mathbb{C}E_{ij}$  is an infinite dimensional Lie algebra with Lie bracket

$$[E_{ij}, E_{kl}] = \delta_{jk}E_{il} - \delta_{li}E_{kj}.$$

Let  $p(t) = a_0 + a_1t + \dots + a_r t^r \in \mathbb{C}[t]$ , where  $a_r \neq 0$ . Define  $E_{ij}^{p(t)} := \sum_{k=0}^r a_k E_{i, j+k}$ , and  $\mathfrak{gl}_\infty(p(t)) := \sum_{i, j \in \mathbb{Z}} \mathbb{C}E_{ij}^{p(t)}$ . Then we have the following:

PROPOSITION 1.  $\mathfrak{gl}_\infty(p(t))$  is a Lie subalgebra of  $\mathfrak{gl}_\infty(\mathbb{C})$ .

PROOF.

$$\begin{aligned} [E_{ij}^{p(t)}, E_{kl}^{p(t)}] &= \sum_{m, n=0}^r a_m a_n [E_{i, j+m}, E_{k, l+n}] \\ &= \sum_{m, n=0}^r a_m a_n (\delta_{j+m, k} E_{i, l+n} - \delta_{l+n, i} E_{k, j+m}) \\ &= \left( \sum_{m=0}^r a_m \delta_{j+m, k} \right) E_{il}^{p(t)} - \left( \sum_{n=0}^r a_n \delta_{l+n, i} \right) E_{kj}^{p(t)} \in \mathfrak{gl}_\infty(p(t)) \end{aligned}$$

for all  $i, j, k, l \in \mathbb{Z}$ . ■

REMARKS. (1) If  $p(t)$  is a non-zero constant, then

$$\mathfrak{gl}_\infty(p(t)) = \mathfrak{gl}_\infty(\mathbb{C}).$$

(2) If  $p(t) = \sum_m a_m t^m \in \mathbb{C}[t]$ , and  $q(t) = \sum_n b_n t^n \in \mathbb{C}[t]$ , and we use the notation  $(E_{ij}^{p(t)})^{q(t)} = \sum_n b_n E_{i, j+n}^{p(t)}$ , then

$$(E_{ij}^{p(t)})^{q(t)} = (E_{ij}^{q(t)})^{p(t)} = E_{ij}^{p(t)q(t)}.$$

(3) Without loss of generality, we assume in the rest of the paper that  $a_0 = 1$ .

PROPOSITION 2. Let  $p(t) = 1 + a_1t + \dots + a_r t^r$ ,  $q(t) = 1 + b_1t + \dots + b_s t^s$ ,  $a_r \neq 0$ ,  $b_s \neq 0$ . Then

- (a)  $\mathfrak{gl}_\infty(q(t)) \subseteq \mathfrak{gl}_\infty(p(t))$  iff  $p(t) \mid q(t)$ .
- (b) If  $\text{g. c. d.}(p(t), q(t)) = 1$ , then

$$\mathfrak{gl}_\infty(p(t)q(t)) = \mathfrak{gl}_\infty(p(t)) \cap \mathfrak{gl}_\infty(q(t)).$$

In particular, if

$$p(t) = \prod_{j=1}^l (1 + \alpha_j t)^{k_j},$$

where  $\alpha_1, \dots, \alpha_l$  are distinct, then

$$\mathfrak{gl}_\infty(p(t)) = \bigcap_{i=1}^l \mathfrak{gl}_\infty((1 + \alpha_i t)^{k_i}).$$

PROOF. (a) It is clear that if  $p(t) \mid q(t)$ , then  $\mathfrak{gl}_\infty(q(t)) \subseteq \mathfrak{gl}_\infty(p(t))$ . Conversely, if  $\mathfrak{gl}_\infty(q(t)) \subseteq \mathfrak{gl}_\infty(p(t))$ , we have

$$E_{i_0}^{q(t)} = \sum_k c_k E_{ik}^{p(t)} = E_{i_0}^{p(t)r(t)},$$

where  $r(t) = \sum_{k \geq 0} c_k t^k \in \mathbb{C}[t]$ . So  $p(t) \mid q(t)$ .

(b) Let

$$X \in \mathfrak{gl}_\infty(p(t)) \cap \mathfrak{gl}_\infty(q(t)).$$

We may write

$$X = \sum_{m \leq k \leq n, m_1 \leq l \leq n_1} c_{kl} E_{kl}^{p(t)} = \sum_k E_{k, m_1}^{p(t)c_k(t)},$$

where  $c_k(t) = \sum_l c_{kl} t^l \in \mathbb{C}[t]$ . On the other hand, we may write  $X = \sum_k E_{k, m_2}^{q(t)d_k(t)}$ , where  $d_k(t) = \sum_l d_{kl} t^l \in \mathbb{C}[t]$ . So for every  $k$ ,  $q(t)d_k(t) = p(t)c_k(t)$ . But  $\text{g. c. d.}(p(t), q(t)) = 1$ , so  $q(t) \mid c_k(t)$  and hence

$$X \in \mathfrak{gl}_\infty(p(t)q(t)).$$

Now (b) follows at once. ■

PROPOSITION 3. Let  $p(t) = 1 + a_1t + \dots + a_r t^r$ ,  $a_r \neq 0$ . Define

$$\mathfrak{sl}_\infty(p(t)) = [\mathfrak{gl}_\infty(p(t)), \mathfrak{gl}_\infty(p(t))].$$

Then  $\mathfrak{sl}_\infty(p(t))$  is a simple Lie algebra and

$$\mathfrak{gl}_\infty(p(t)) = \mathbb{C}E_{00}^{p(t)} \ltimes \mathfrak{sl}_\infty(p(t)).$$

PROOF. We first prove the following

CLAIM 1.

$$\begin{aligned} \mathfrak{sl}_\infty(p(t)) = & \sum_{j \in \mathbb{Z}, i \neq j, \dots, j+r} \mathbb{C}E_{ij}^{p(t)} + \sum_{i \in \mathbb{Z}} \mathbb{C}(E_{ii}^{p(t)} - E_{i-1, i-1}^{p(t)}) \\ & + \sum_{i \in \mathbb{Z}, s=1, \dots, r} \mathbb{C}(a_s E_{ii}^{p(t)} - E_{i+s, i}^{p(t)}). \end{aligned}$$

PROOF OF CLAIM 1. We have

$$(1) \quad [E_{ij}^{p(t)}, E_{kl}^{p(t)}] = \left( \sum_{m=0}^r a_m \delta_{j+m, k} \right) E_{il}^{p(t)} - \left( \sum_{n=0}^r a_n \delta_{l+n, i} \right) E_{kj}^{p(t)}.$$

For  $i < l$  or  $i > l+r$ ,  $\sum_n a_n \delta_{l+n, i} = 0$ . So

$$\sum_{l \in \mathbb{Z}, i \neq l, \dots, l+r} \mathbb{C}E_{il}^{p(t)} \subseteq \mathfrak{sl}_\infty(p(t)).$$

Let  $i = l, k = j = i - 1$  in (1). We get

$$\sum_i \mathbb{C}(E_{ii}^{p(t)} - E_{i-1, i-1}^{p(t)}) \subseteq \mathfrak{sl}_\infty(p(t)).$$

Finally, let  $i = j = l$  in (1), we get

$$\sum_{i \in \mathbb{Z}, m=1, \dots, r} \mathbb{C}(a_m E_{ii}^{p(t)} - E_{i+m, i}^{p(t)}) \subseteq \mathfrak{sl}_\infty(p(t)).$$

But

$$E_{00}^{p(t)} \notin \mathfrak{sl}_\infty(p(t)).$$

In fact, if

$$E_{00}^{p(t)} = E_{00} + a_1 E_{01} + \dots + a_r E_{0r} \in \mathfrak{sl}_\infty(p(t)),$$

then  $E_{00} \in \mathfrak{sl}_\infty(\mathbb{C})$ . This is a contradiction. Since

$$\begin{aligned} \mathfrak{gl}_\infty(p(t)) = & \mathbb{C}E_{00}^{p(t)} + \sum_{j \in \mathbb{Z}, i \neq j, \dots, j+r} \mathbb{C}E_{ij}^{p(t)} + \sum_{i \in \mathbb{Z}} \mathbb{C}(E_{ii}^{p(t)} - E_{i-1, i-1}^{p(t)}) \\ & + \sum_{i \in \mathbb{Z}, s=1, \dots, r} \mathbb{C}(a_s E_{ii}^{p(t)} - E_{i+s, i}^{p(t)}), \end{aligned}$$

we proved the Claim 1.

Now let  $N \neq 0$  be an ideal of  $\mathfrak{sl}_\infty(p(t))$ , and let  $0 \neq X \in N$ . We may write

$$X = \sum_{k=m}^n E_{kl}^{p(t)c_k(t)},$$

where  $c_k(t) \in \mathbb{C}[t]$ . Choose  $q \gg 0$ . Then  $E_{qn}^{p(t)} \in \mathfrak{sl}_\infty(p(t))$ , and

$$\begin{aligned} X_1 = & [E_{qn}^{p(t)}, X] \\ = & [E_{qn}^{p(t)}, E_{nl}^{p(t)c_n(t)}] \\ = & \sum_{j=m_1}^{n_1} c_j [E_{qn}^{p(t)}, E_{n, l+t_j}^{p(t)}] \\ = & \sum_{j=m_1}^{n_1} c_j E_{q, l+t_j}^{p(t)} \in N, \end{aligned}$$

where  $c_n(t) = \sum_{j=m_1}^{n_1} c_j t^j$ .

Let  $k \gg q$ . Then

$$\begin{aligned} [X_1, E_{n_1+r+1,k}^{p(t)}] &= \sum_{j=m_1}^{n_1} c_j [E_{q,t+j}^{p(t)}, E_{n_1+t+r,k}^{p(t)}] \\ &= c_{n_1} [E_{q,t+n_1}^{p(t)}, E_{n_1+t+r,k}^{p(t)}] \\ &= c_{n_1} a_r E_{qk}^{p(t)} \in N. \end{aligned}$$

So we have that  $E_{qk}^{p(t)} \in N$  for all  $k \gg q \gg 0$ .

CLAIM 2.  $E_{ij}^{p(t)} \in N$  for all  $i, j \in \mathbb{Z}$  with  $i \neq j, \dots, j+r$ .

PROOF OF THE CLAIM 2. For every  $j \in \mathbb{Z}$ , and  $i \neq j, \dots, j+r$ , choose  $k, q$  such that  $k \gg q \gg j$  and  $k \gg q \gg i$ . Then  $E_{qk}^{p(t)} \in N$ , and  $E_{iq}^{p(t)} \in \mathfrak{sl}_\infty(p(t))$ . Hence

$$[E_{iq}^{p(t)}, E_{qk}^{p(t)}] = E_{ik}^{p(t)} \in N.$$

But  $E_{kj}^{p(t)} \in \mathfrak{sl}_\infty(p(t))$ , so we have

$$[E_{ik}^{p(t)}, E_{kj}^{p(t)}] = E_{ij}^{p(t)} \in N.$$

Now it is easy to prove the Proposition. Since  $E_{i,i+1}^{p(t)} \in N$ , and  $a_1 E_{ii}^{p(t)} - E_{i+1,i}^{p(t)} \in \mathfrak{sl}_\infty(p(t))$ ,

$$[a_1 E_{ii}^{p(t)} - E_{i+1,i}^{p(t)}, E_{i,i+1}^{p(t)}] = a_1 E_{i,i+1}^{p(t)} - (E_{i+1,i+1}^{p(t)} - E_{ii}^{p(t)}) \in N.$$

So

$$E_{i+1,i+1}^{p(t)} - E_{ii}^{p(t)} \in N$$

for all  $i$ . For  $a_s E_{ii}^{p(t)} - E_{i+s,i}^{p(t)} \in \mathfrak{sl}_\infty(p(t))$ , choose  $k \gg i$ . Then

$$\begin{aligned} [E_{ii}^{p(t)} - E_{kk}^{p(t)}, a_s E_{ii}^{p(t)} - E_{i+s,i}^{p(t)}] &= -[E_{ii}^{p(t)}, E_{i+s,i}^{p(t)}] \\ &= -(a_s E_{ii}^{p(t)} - E_{i+s,i}^{p(t)}) \in N. \end{aligned}$$

So we proved that  $N = \mathfrak{sl}_\infty(p(t))$  and hence  $\mathfrak{sl}_\infty(p(t))$  is a simple Lie algebra.

$$\mathfrak{gl}_\infty(p(t)) = \mathbb{C}E_{00}^{p(t)} \ltimes \mathfrak{sl}_\infty(p(t))$$

is clear. We completed the proof. ■

**3. Representations of  $\mathfrak{gl}_\infty(p(t))$ .** In this section we discuss some representations of  $\mathfrak{gl}_\infty(p(t))$ . First let  $V = \sum_{k \in \mathbb{Z}} \mathbb{C}v_k$ .  $V$  is a representation of  $\mathfrak{gl}_\infty(p(t))$  if we define

$$E_{ij}^{p(t)} \cdot v_k = \left( \sum_{m=0}^r a_m \delta_{j+m,k} \right) v_i,$$

where  $p(t) = 1 + a_1 t + \dots + a_r t^r$ .

PROPOSITION 1.  $V$  is an irreducible representation of  $\mathfrak{gl}_\infty(p(t))$ .

PROOF. Let  $0 \neq U \subseteq V$  be a subrepresentation and  $0 \neq X = \sum_{k=m}^n c_k v_k \in U$ . Then for every  $i \in \mathbb{Z}$ ,

$$E_{i,m-r}^{p(t)} \cdot X = c_m E_{i,m-r}^{p(t)} \cdot v_m = c_m a_r v_i \in U.$$

Hence  $v_i \in U$ , and  $U = V$ . ■

Next we consider  $\mathfrak{gl}_\infty(\mathbb{C})$  as a  $\mathfrak{gl}_\infty(p(t))$ -module. Assume that  $p(t) = (1 + \alpha_1 t) \cdots (1 + \alpha_r t)$ , and  $p_i(t) = (1 + \alpha_1 t) \cdots (1 + \alpha_i t)$ , where  $i = 0, 1, \dots, r$ . then

$$\mathfrak{gl}_\infty(p(t)) = \mathfrak{gl}_\infty(p_r(t)) \subset \mathfrak{gl}_\infty(p_{r-1}(t)) \subset \cdots \subset \mathfrak{gl}_\infty(p_0(t)) = \mathfrak{gl}_\infty(\mathbb{C})$$

is a sequence of  $\mathfrak{gl}_\infty(p(t))$ -modules.

PROPOSITION 2. The map

$$\begin{aligned} \frac{\mathfrak{gl}_\infty(p_i(t))}{\mathfrak{gl}_\infty(p_{i+1}(t))} &\longrightarrow V \\ E_{k0}^{p_i(t)} + \mathfrak{gl}_\infty(p_{i+1}(t)) &\longrightarrow v_k \end{aligned}$$

is a  $\mathfrak{gl}_\infty(p(t))$ -module isomorphism,  $i = 0, 1, \dots, r - 1$ .

PROOF. Fix  $i$ . Assume that  $p(t) = p_i(t)q_i(t)$ , where  $p_i(t) = \sum_m b_m t^m$ , and  $q_i(t) = \sum_n c_n t^n$ . Then

$$\begin{aligned} \text{ad}(E_{uv}^{p(t)}) \cdot (E_{k0}^{p_i(t)} + \mathfrak{gl}_\infty(p_{i+1}(t))) &= [E_{uv}^{p(t)}, E_{k0}^{p_i(t)}] + \mathfrak{gl}_\infty(p_{i+1}(t)) \\ &= \sum_n c_n [E_{u,v+n}^{p_i(t)}, E_{k0}^{p_i(t)}] + \mathfrak{gl}_\infty(p_{i+1}(t)) \\ &= \sum_n c_n \left( \sum_m b_m \delta_{v+m+n,k} E_{u0}^{p_i(t)} - \sum_m b_m \delta_{m,u} E_{k,v+n}^{p_i(t)} \right) \\ &\quad + \mathfrak{gl}_\infty(p_{i+1}(t)) \\ &= \left( \sum_j a_j \delta_{j+v,k} \right) E_{u0}^{p_i(t)} - \left( \sum_m b_m \delta_{m,u} \right) E_{k,v}^{p_i(t)} \\ &\quad + \mathfrak{gl}_\infty(p_{i+1}(t)) \\ &= \left( \sum_j a_j \delta_{j+v,k} \right) (E_{u0}^{p_i(t)} + \mathfrak{gl}_\infty(p_{i+1}(t))). \end{aligned}$$

We complete the proof. ■

Finally, recall that  $\mathfrak{gl}_\infty(\mathbb{C})$  has the triangular decomposition:

$$\mathfrak{gl}_\infty(\mathbb{C}) = \sum_{i>j} \mathbb{C}E_{ij} + \sum_{i \in \mathbb{Z}} \mathbb{C}E_{ii} + \sum_{i<j} \mathbb{C}E_{ij},$$

where  $\sum_{i<j} \mathbb{C}E_{ij}$  and  $\sum_{i>j} \mathbb{C}E_{ij}$  are Lie subalgebras of  $\mathfrak{gl}_\infty(\mathbb{C})$ , and  $\sum_{i \in \mathbb{Z}} \mathbb{C}E_{ii}$  is an abelian Lie subalgebra of  $\mathfrak{gl}_\infty(\mathbb{C})$ .

Let  $U(\mathfrak{g})$  be the universal enveloping algebra of a Lie algebra  $\mathfrak{g}$ . Then we have

$$U(\mathfrak{gl}(\mathbb{C})) \cong U\left(\sum_{i>j} \mathbb{C}E_{ij}\right) \otimes U\left(\sum_{i \in \mathbb{Z}} \mathbb{C}E_{ii}\right) \otimes U\left(\sum_{i<j} \mathbb{C}E_{ij}\right).$$

Let  $\Lambda = \{\lambda_i \mid i \in \mathbb{Z}\}$  be a set of complex numbers.

$$J(\Lambda) := \left\langle \sum_{i<j} \mathbb{C}E_{ij}, E_{ii} - \lambda_i \right\rangle,$$

the left ideal of  $U(\mathfrak{gl}_\infty(\mathbb{C}))$  generated by  $\sum_{i>j} \mathbb{C}E_{ij}$  and  $E_{ii} - \lambda_i, i \in \mathbb{Z}$ . Then

$$M(\Lambda) := \frac{U(\mathfrak{gl}_\infty(\mathbb{C}))}{J(\Lambda)}$$

is a left  $\mathfrak{gl}_\infty(\mathbb{C})$ -module. Hence it is a  $\mathfrak{gl}_\infty(p(t))$ -module by restriction.

**PROPOSITION 3.** *Let  $N \subseteq M(\Lambda)$ . Then  $N$  is a  $\mathfrak{gl}_\infty(p(t))$ -module iff  $N$  is a  $\mathfrak{gl}_\infty(\mathbb{C})$ -module.*

**PROOF.** Suppose that  $N \neq 0$  is a  $\mathfrak{gl}_\infty(p(t))$ -module. Let  $0 \neq X \in N$ . Then  $E_{ij}^{p(t)} \cdot X \in N$ . Since  $N \subseteq M(\Lambda)$ , so for  $i \ll j, E_{ij} \cdot X = \dots = E_{i,j+r-1} \cdot X = 0 \in N$ . Then

$$E_{i,j-1} \cdot X = (E_{i,j-1} + a_1 E_{ij} + \dots + a_r E_{i,j+r-1}) \cdot X = E_{i,j-1}^{p(t)} \cdot X \in N.$$

By induction on  $j - i$ , we see that  $E_{ij} \cdot X \in N$ , for all  $i, j \in \mathbb{Z}$ . Hence  $\mathfrak{gl}_\infty(\mathbb{C}) \cdot N \subset N$ . ■

**4. Second class polynomial Lie subalgebras of  $\mathfrak{gl}_\infty(\mathbb{C})$ .** In this section we discuss the second class polynomial Lie subalgebras of  $\mathfrak{gl}_\infty(\mathbb{C})$ . We assume that  $p(t) = 1 + a_1 t + \dots + a_r t^r$ , where  $a_r \neq 0$ . We define

$$F_{ij}^{p(t)} := \sum_k a_k E_{i+k,j}^{p(t)} = \sum_{k,l} a_k a_l E_{i+k,j+l}$$

and

$$\tilde{\mathfrak{gl}}_\infty(p(t)) := \sum_{i,j \in \mathbb{Z}} \mathbb{C}F_{ij}^{p(t)}.$$

**PROPOSITION 1.**  *$\tilde{\mathfrak{gl}}_\infty(p(t))$  is a Lie subalgebra of  $\mathfrak{gl}_\infty(p(t))$ .*

**PROOF.**

$$(1) \quad [F_{ij}^{p(t)}, F_{kl}^{p(t)}] = \left( \sum_{m,n=0}^r a_m a_n \delta_{j+n,k+m} \right) F_{il}^{p(t)} - \left( \sum_{m,n=0}^r a_m a_n \delta_{l+m,i+n} \right) F_{kj}^{p(t)} \quad \blacksquare$$

It is clear that if  $p(t), q(t) \in \mathbb{C}[t]$ , then  $\tilde{\mathfrak{gl}}_\infty(p(t)) \subset \tilde{\mathfrak{gl}}_\infty(q(t))$  iff  $q(t) \mid p(t)$ . Now we define

$$\tilde{\mathfrak{sl}}_\infty(p(t)) := [\tilde{\mathfrak{gl}}_\infty(p(t)), \tilde{\mathfrak{gl}}_\infty(p(t))].$$

We prove that  $\tilde{\mathfrak{sl}}_\infty(p(t))$  is a simple Lie algebra.

First we see from (1) that if  $i < l - r$  or  $i > l + r$ , then  $\sum_{m,n=0}^r a_m a_n \delta_{l+m,i+n} = 0$ . In this case if we choose  $j = 0, k = r$ , then  $a_r F_{il}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t))$ . Hence

$$(2) \quad F_{il}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t))$$

for all  $l \in \mathbb{Z}, i < l - r$  or  $i > l + r$ .

Next let  $i = l$  and  $j = k$  in (1). Then

$$(3) \quad \sum_{m=0}^r a_m^2 (F_{ii}^{p(t)} - F_{jj}^{p(t)}) \in \widehat{\mathfrak{sl}}_\infty(p(t))$$

for all  $i, j \in \mathbb{Z}$ .

Finally let  $i = l = j$  in (1). We get

$$(4) \quad \left( \sum_{m,n=0}^r a_m a_n \delta_{i+m,k+n} \right) F_{ii}^{p(t)} - \left( \sum_{m=0}^r a_m^2 \right) F_{ki}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t))$$

for all  $i, k \in \mathbb{Z}$ .

We consider two different cases:

CASE 1.  $\sum_{m=0}^r a_m^2 \neq 0$ .

In this case  $F_{00}^{p(t)} \notin \widehat{\mathfrak{sl}}_\infty(p(t))$ . In fact,

$$F_{00}^{p(t)} = \sum_{m=0}^r a_m^2 E_{mm} + \sum_{m \neq n} a_m a_n E_{mn}.$$

If  $F_{00}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t)) \subseteq \mathfrak{sl}_\infty(\mathbb{C})$ , then  $\sum_m a_m^2 E_{mm} \in \mathfrak{sl}_\infty(\mathbb{C})$ , and hence  $\sum_m a_m^2 = 0$ . This is a contradiction. So in this case, we have the following lemma.

LEMMA 1.

$$\begin{aligned} \widehat{\mathfrak{sl}}_\infty(p(t)) = & \sum_{j \in \mathbb{Z}, i \neq j-r, \dots, j+r} \mathbb{C} F_{ij}^{p(t)} + \sum_{i \in \mathbb{Z}} \mathbb{C} (F_{ii}^{p(t)} - F_{i-1, i-1}^{p(t)}) \\ & + \sum_{i \in \mathbb{Z}, i-r \leq s \leq i+r} \mathbb{C} \left( \left( \sum_{m,n} a_m a_n \delta_{i+m, s+n} \right) F_{ii}^{p(t)} - \left( \sum_m a_m^2 \right) F_{si}^{p(t)} \right). \end{aligned}$$

PROPOSITION 2. If  $\sum_{m=0}^r a_m^2 \neq 0$ , then  $\widehat{\mathfrak{sl}}_\infty(p(t))$  is a simple Lie algebra.

PROOF. Let  $N \neq 0$  be an ideal of  $\widehat{\mathfrak{sl}}_\infty(p(t))$ , and

$$0 \neq X = \sum_{i=m, \dots, n, j=m_1, \dots, n_1} c_{ij} F_{ij}^{p(t)} \in N.$$

Let  $q \gg 0$ . Then  $F_{q, n+r}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t))$ . Hence

$$\begin{aligned} X_1 = & [F_{q, n+r}^{p(t)}, X] \\ = & \left[ F_{q, n+r}^{p(t)}, \sum_{j=m_1}^{n_1} c_{nj} F_{nj}^{p(t)} \right] \\ = & \sum_{j=m_1}^{n_1} c_{nj} a_r F_{qj}^{p(t)} \in N. \end{aligned}$$



Let  $k \gg q$ . Then  $F_{n_1+r,k}^{p(t)} \in \widetilde{\mathfrak{S}}\mathbb{I}_\infty(p(t))$ . Hence

$$\begin{aligned} [X_1, F_{n_1+r,k}^{p(t)}] &= a_r c_{n,n_1} [F_{q,n_1}^{p(t)}, F_{n_1+r,k}^{p(t)}] \\ &= a_r^2 c_{n,n_1} F_{qk}^{p(t)} \in N. \end{aligned}$$

Now for  $i \in \mathbb{Z}$ , choose  $k \gg q \gg i$ . Then  $F_{qk}^{p(t)} \in N$  and  $F_{i,q+r}^{p(t)} \in \widetilde{\mathfrak{S}}\mathbb{I}_\infty(p(t))$ . This implies that

$$[F_{i,q+r}^{p(t)}, F_{qk}^{p(t)}] = a_r F_{ik}^{p(t)} \in N.$$

For every  $j \in \mathbb{Z}$ , with  $j < i - r$  or  $j > i + r$ . Choose  $k \gg j + r$ . Then  $F_{k+r,j}^{p(t)} \in \widetilde{\mathfrak{S}}\mathbb{I}_\infty(p(t))$ . Hence

$$[F_{ik}^{p(t)}, F_{k+r,j}^{p(t)}] = a_r F_{ij}^{p(t)} \in N.$$

In summary, we proved that

(5) 
$$F_{ij}^{p(t)} \in N$$

for all  $i, j \in \mathbb{Z}$ , with  $i < j - r$  or  $i > j + r$ .

Next let  $j = i + r + s$ , where  $s \geq 1$ . Then by (5),  $F_{i,i+r+s}^{p(t)}, F_{i+r+s,i}^{p(t)} \in N$  and

$$[F_{i,i+r+s}^{p(t)}, F_{i+r+s,i}^{p(t)}] = \left(\sum_m a_m^2\right) (F_{ii}^{p(t)} - F_{i+r+s,i+r+s}^{p(t)}) \in N.$$

From this we get

(6) 
$$F_{ii}^{p(t)} - F_{i-1,i-1}^{p(t)} = (F_{ii}^{p(t)} - F_{i+r+1,i+r+1}^{p(t)}) - (F_{i-1,i-1}^{p(t)} - F_{i+r+1,i+r+1}^{p(t)}) \in N.$$

Finally, for  $i \in \mathbb{Z}$ ,  $i - r \leq s \leq i + r$ ,

$$Y_1 := \left(\sum_{m,n=0}^r a_m a_n \delta_{i+m,s+n}\right) F_{ii}^{p(t)} - \left(\sum_{m=0}^r a_m^2\right) F_{si}^{p(t)} \in \widetilde{\mathfrak{S}}\mathbb{I}_\infty(p(t)).$$

For all  $i, j \in \mathbb{Z}$ ,

$$Y_2 := \left(\sum_{m,n=0}^r a_m a_n \delta_{i+m,s+n}\right) (F_{ii}^{p(t)} - F_{jj}^{p(t)}) \in \widetilde{\mathfrak{S}}\mathbb{I}_\infty(p(t)).$$

So

$$Y_1 - Y_2 = \left(\sum_{m,n=0}^r a_m a_n \delta_{i+m,s+n}\right) F_{jj}^{p(t)} - \left(\sum_m a_m^2\right) F_{si}^{p(t)} \in \widetilde{\mathfrak{S}}\mathbb{I}_\infty(p(t))$$

for all  $i, j \in \mathbb{Z}$ , and  $i - r \leq s \leq i + r$ .

Let  $q \gg j \gg i$ . Then  $F_{qq}^{p(t)} - F_{ii}^{p(t)} \in N$ . Hence

$$\begin{aligned} [F_{qq}^{p(t)} - F_{ii}^{p(t)}, Y_1 - Y_2] &= \left(\sum_{m=0}^r a_m^2\right) [F_{ii}^{p(t)}, F_{si}^{p(t)}] \\ &= \left(\sum_m a_m^2\right) \left(\left(\sum_{m,n=0}^r a_m a_n \delta_{i+m,s+n}\right) F_{ii}^{p(t)} - \left(\sum_{m=0}^r a_m^2\right) F_{si}^{p(t)}\right) \in N. \end{aligned}$$

So

$$(7) \quad \left( \sum_{m,n=0}^r a_m a_n \delta_{i+m,s+n} \right) F_{ii}^{p(t)} - \left( \sum_{m=0}^r a_m^2 \right) F_{si}^{p(t)} \in N$$

for all  $i \in \mathbb{Z}$ , and  $i - r \leq s \leq i + r$ .

Now we see from Lemma 1 that  $N = \widehat{\mathfrak{sl}}_\infty(p(t))$  and hence complete the proof. ■

CASE 2.  $\sum_{m=0}^r a_m^2 = 0$ .

We see from (2) and (4) that in this case  $F_{ii}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t))$  and  $F_{ij}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t))$  for all  $j \in \mathbb{Z}$ ,  $i < j - r$  or  $i > j + r$ .

Let  $k = j + r$  in (1). We get

$$a_r F_{il}^{p(t)} - \left( \sum_{m,n=0}^r a_m a_n \delta_{l+m,i+n} \right) F_{kj}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t)).$$

Then

$$a_r F_{i+s,i+s}^{p(t)} - \left( \sum_{m,n=0}^r a_m a_n \delta_{l+m,i+n} \right) F_{kj}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t))$$

for all  $s \in \mathbb{Z}$  and hence

$$(8) \quad F_{il}^{p(t)} - F_{i+1,l+1}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t))$$

for all  $i, l \in \mathbb{Z}$ .

LEMMA 2. If  $\sum_{m=0}^r a_m^2 = 0$ , then

$$\begin{aligned} \widehat{\mathfrak{sl}}_\infty(p(t)) = & \sum_{j \in \mathbb{Z}, i \neq j-r, \dots, j+r} \mathbb{C} F_{ij}^{p(t)} + \sum_{i \in \mathbb{Z}, -r \leq s \leq r, \sum_m a_m a_{m+s} = 0} \mathbb{C} F_{i,i+s}^{p(t)} \\ & + \sum_{i \in \mathbb{Z}, -r \leq s \leq r, \sum_m a_m a_{m+s} \neq 0} \mathbb{C} (F_{i,i+s}^{p(t)} - F_{i+1,i+s+1}^{p(t)}). \end{aligned}$$

PROOF. We claim that  $F_{0r}^{p(t)} \notin \widehat{\mathfrak{sl}}_\infty(p(t))$ . In fact, since

$$\begin{aligned} F_{0r}^{p(t)} = & a_0^2 E_{0r} + a_0 a_1 E_{0,r+1} + \dots + a_0 a_r E_{0,2r} \\ & + a_0 a_1 E_{1r} + a_1^2 E_{1,r+1} + \dots + a_1 a_r E_{1,2r} \\ & + \dots \\ & + a_0 a_r E_{rr} + a_1 a_r E_{r,r+1} + \dots + a_r^2 E_{r,2r}, \end{aligned}$$

if

$$F_{0r}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t)) \subseteq \mathfrak{sl}_\infty(\mathbb{C}),$$

then  $a_r E_{rr} \in \mathfrak{sl}_\infty(\mathbb{C})$ . This is a contradiction. Similarly we have  $F_{0,-r}^{p(t)} \notin \widehat{\mathfrak{sl}}_\infty(p(t))$ . Now for  $-r \leq s \leq r$ ,

$$[F_{0s}^{p(t)}, F_{0r}^{p(t)}] = \left( \sum_{m,n=0}^r a_m a_n \delta_{m+s,n} \right) F_{0r}^{p(t)} - a_r F_{0s}^{p(t)} \in \widehat{\mathfrak{sl}}_\infty(p(t)).$$

But  $F_{0r}^{p(t)} \notin \tilde{\mathfrak{sl}}_\infty(p(t))$ , so  $F_{0s}^{p(t)} \in \tilde{\mathfrak{sl}}_\infty(p(t))$  iff  $\sum_{m=0}^r a_m a_{m+s} = 0$ . If  $F_{0s}^{p(t)} \in \tilde{\mathfrak{sl}}_\infty(p(t))$ , then by (8),  $F_{i,i+s}^{p(t)} \in \tilde{\mathfrak{sl}}_\infty(p(t))$  for all  $i \in \mathbb{Z}$ . ■

PROPOSITION 3. *If  $\sum_m a_m^2 = 0$ , then  $\tilde{\mathfrak{sl}}_\infty(p(t))$  is a simple Lie algebra.*

PROOF. Let  $N \neq 0$  be an ideal of  $\tilde{\mathfrak{sl}}_\infty(p(t))$ . An argument similar to the one of Proposition 2 shows that

$$F_{ij}^{p(t)} \in N$$

for all  $i, j \in \mathbb{Z}$ , with  $i < j - r$  or  $i > j + r$ .

For  $-r \leq s \leq r$ ,

$$\begin{aligned} (9) \quad [F_{ij}^{p(t)}, F_{j+s,l}^{p(t)}] &= \left( \sum_{m,n=0}^r a_m a_n \delta_{m,s+n} \right) F_{il}^{p(t)} - \left( \sum_{m,n=0}^r a_m a_n \delta_{l+m,i+n} \right) F_{j+s,j}^{p(t)} \\ &= \left( \sum_{m=0}^r a_m a_{m-s} \right) F_{il}^{p(t)} - \left( \sum_{m=0}^r a_m a_n \delta_{l+m,i+n} \right) F_{j+s,j}^{p(t)} \end{aligned}$$

Let  $i = l \gg j$  and  $s = r$  in (9). We get  $F_{ii}^{p(t)} \in N$  for all  $i \in \mathbb{Z}$ .

Assume that  $\sum_m a_m a_{m-s} = 0$ . For  $j \in \mathbb{Z}$ , choose  $i = l + r \ll j$ . Then from (9),  $-a_r F_{j+s,j}^{p(t)} \in N$ . So  $F_{i,i-s}^{p(t)} \in N$  for all  $i \in \mathbb{Z}$ .

Assume that  $\sum_{m=0}^r a_m a_{m+s} \neq 0$ . Then for  $i \gg k$ ,  $F_{i,k+s}^{p(t)}, F_{k,i+s}^{p(t)} \in N$ , and hence

$$\begin{aligned} [F_{i,k+s}^{p(t)}, F_{k,i+s}^{p(t)}] &= \left( \sum_{m,n=0}^r a_m a_n \delta_{k+s+m,k+n} \right) F_{i,i+s}^{p(t)} - \left( \sum_{m,n=0}^r a_m a_n \delta_{i+s+m,i+n} \right) F_{k,k+s}^{p(t)} \\ &= \left( \sum_{m=0}^r a_m a_{m+s} \right) (F_{i,i+s}^{p(t)} - F_{k,k+s}^{p(t)}) \in N. \end{aligned}$$

Then from

$$F_{i,i+s}^{p(t)} - F_{k,k+s}^{p(t)} \in N,$$

and

$$F_{i+1,i+1+s}^{p(t)} - F_{k,k+s}^{p(t)} \in N,$$

where  $i \gg k$ , we get

$$F_{i,i+s}^{p(t)} - F_{i+1,i+1+s}^{p(t)} \in N,$$

for all  $i \in \mathbb{Z}$ . ■

5. **Polynomial Lie subalgebras of  $\mathfrak{gl}_n(\mathbb{C})$ .**  $\mathfrak{gl}_n(\mathbb{C}) = \sum_{1 \leq i, j \leq n} \mathbb{C} E_{ij}$  is a Lie subalgebra of  $\mathfrak{gl}_\infty(\mathbb{C})$ . We define for  $p(t) = 1 + a_1 t + \dots + a_r t^r$ , where  $r < n$ , the following Lie subalgebras of  $\mathfrak{gl}_n(\mathbb{C})$ :

$$\begin{aligned} \mathfrak{gl}_n(p(t)) &:= \mathfrak{gl}_\infty(p(t)) \cap \mathfrak{gl}_n(\mathbb{C}), \\ \mathfrak{sl}_n(p(t)) &:= \mathfrak{sl}_\infty(p(t)) \cap \mathfrak{gl}_n(\mathbb{C}), \\ \tilde{\mathfrak{gl}}_n(p(t)) &:= \tilde{\mathfrak{gl}}_\infty(p(t)) \cap \mathfrak{gl}_n(\mathbb{C}), \\ \tilde{\mathfrak{sl}}_n(p(t)) &:= \tilde{\mathfrak{sl}}_\infty(p(t)) \cap \mathfrak{gl}_n(\mathbb{C}). \end{aligned}$$

We call them the polynomial Lie subalgebras of  $\mathfrak{gl}_n(\mathbb{C})$ . In this section we discuss the cases  $n = 2$  and  $n = 3$ . We will see that  $\mathfrak{sl}_n(p(t))$  and  $\widetilde{\mathfrak{sl}}_n(p(t))$  are not simple Lie algebras in general.

(a)  $n = 2, p(t) = 1 + at, (a \neq 0)$ .

$$\mathfrak{gl}_2(p(t)) = \mathbb{C}E_{11}^{p(t)} + \mathbb{C}E_{21}^{p(t)},$$

where

$$E_{11}^{p(t)} = E_{11} + aE_{12} = \begin{pmatrix} 1 & a \\ 0 & 0 \end{pmatrix},$$

$$E_{21}^{p(t)} = E_{21} + aE_{22} = \begin{pmatrix} 0 & 0 \\ 1 & a \end{pmatrix}.$$

Since

$$[E_{11}^{p(t)}, E_{21}^{p(t)}] = aE_{11}^{p(t)} - E_{21}^{p(t)},$$

if we set

$$X = E_{11}^{p(t)} - \frac{a}{2}E_{21}^{p(t)},$$

and

$$Y = E_{11}^{p(t)} - \frac{1}{a}E_{21}^{p(t)},$$

then

$$\mathfrak{gl}_2(p(t)) = \mathbb{C}X + \mathbb{C}Y,$$

where  $[X, Y] = Y$ .

(b)  $n = 3, p(t) = 1 + at + bt^2, (b \neq 0)$ .

$$\mathfrak{gl}_3(p(t)) = \mathbb{C}E_{11}^{p(t)} + \mathbb{C}E_{21}^{p(t)} + \mathbb{C}E_{31}^{p(t)},$$

where

$$E_{11}^{p(t)} = \begin{pmatrix} 1 & a & b \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

$$E_{21}^{p(t)} = \begin{pmatrix} 0 & 0 & 0 \\ 1 & a & b \\ 0 & 0 & 0 \end{pmatrix},$$

$$E_{31}^{p(t)} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & a & b \end{pmatrix}.$$

It is easy to see that

$$\mathfrak{sl}_3(p(t)) = \mathbb{C}(aE_{11}^{p(t)} - E_{21}^{p(t)}) + \mathbb{C}(bE_{11}^{p(t)} - E_{31}^{p(t)})$$

is an abelian Lie algebra and

$$\mathfrak{gl}_3(p(t)) = \mathbb{C}E_{11}^{p(t)} \ltimes \mathfrak{sl}_3(p(t)).$$

(c)  $n = 3, p(t) = 1 + at, (a \neq 0)$ .

$$\mathfrak{gl}_3(p(t)) = \sum_{i=1,2,3,j=1,2} \mathbb{C}E_{ij}^{p(t)},$$

where

$$\begin{aligned} E_{11}^{p(t)} &= \begin{pmatrix} 1 & a & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, & E_{12}^{p(t)} &= \begin{pmatrix} 0 & 1 & a \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \\ E_{21}^{p(t)} &= \begin{pmatrix} 0 & 0 & 0 \\ 1 & a & 0 \\ 0 & 0 & 0 \end{pmatrix}, & E_{22}^{p(t)} &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & a \\ 0 & 0 & 0 \end{pmatrix}, \\ E_{31}^{p(t)} &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & a & 0 \end{pmatrix}, & E_{32}^{p(t)} &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & a \end{pmatrix}. \end{aligned}$$

We see that

$$\begin{aligned} \mathfrak{sl}_3(p(t)) &= \mathbb{C}(E_{11}^{p(t)} - E_{22}^{p(t)}) + \mathbb{C}E_{12}^{p(t)} \\ &\quad + \mathbb{C}E_{21}^{p(t)} + \mathbb{C}E_{31}^{p(t)} + \mathbb{C}E_{32}^{p(t)} \end{aligned}$$

is a Lie algebra of dimension 5 which is not simple, and

$$\mathfrak{gl}_3(p(t)) = \mathbb{C}E_{11}^{p(t)} \ltimes \mathfrak{sl}_3(p(t)).$$

(d)  $n = 3, p(t) = 1 + at, (a \neq 0)$ .

$$\widetilde{\mathfrak{gl}}_3(p(t)) = \sum_{i,j=1,2} \mathbb{C}F_{ij}^{p(t)},$$

where

$$\begin{aligned} F_{11}^{p(t)} &= \begin{pmatrix} 1 & a & 0 \\ a & a^2 & 0 \\ 0 & 0 & 0 \end{pmatrix}, & F_{12}^{p(t)} &= \begin{pmatrix} 0 & 1 & a \\ 0 & a & a^2 \\ 0 & 0 & 0 \end{pmatrix}, \\ F_{21}^{p(t)} &= \begin{pmatrix} 0 & 0 & 0 \\ 1 & a & 0 \\ a & a^2 & 0 \end{pmatrix}, & F_{22}^{p(t)} &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & a \\ 0 & a & a^2 \end{pmatrix}. \end{aligned}$$

(i) If  $1 + a^2 = 0$ , then

$$\begin{aligned} \widetilde{\mathfrak{sl}}_3(p(t)) &= \mathbb{C}F_{11}^{p(t)} + \mathbb{C}(F_{12}^{p(t)} - F_{21}^{p(t)}) + \mathbb{C}F_{22}^{p(t)} \\ &\cong \mathfrak{sl}_2(\mathbb{C}) \end{aligned}$$

is a simple Lie algebra and

$$\widetilde{\mathfrak{gl}}_3(p(t)) = \mathbb{C}F_{12}^{p(t)} \ltimes \widetilde{\mathfrak{sl}}_3(p(t)).$$

(ii) If  $1 + a^2 \neq 0$ , then  $F_{11}^{p(t)} \notin \widetilde{\mathfrak{sl}}_3(p(t))$ . But

$$X := F_{12}^{p(t)} - F_{21}^{p(t)} = \begin{pmatrix} 0 & 1 & a \\ -1 & 0 & a^2 \\ -a & -a^2 & 0 \end{pmatrix} \in \widetilde{\mathfrak{sl}}_3(p(t)),$$

and

$$Y := F_{11}^{p(t)} - F_{22}^{p(t)} = \begin{pmatrix} 1 & a & 0 \\ a & a^2 - 1 & -a \\ 0 & -a & -a^2 \end{pmatrix} \in \widehat{\mathfrak{sl}}_3(p(t)).$$

So we have

$$[X, Y] = 2Z,$$

where

$$Z = \begin{pmatrix} a & -1 & -a - a^3 \\ -1 & -a - a^3 & -a^4 \\ -a - a^3 & -a^4 & a^3 \end{pmatrix} \in \widehat{\mathfrak{sl}}_3(p(t)).$$

Since  $X, Y, Z$  are linear independent,

$$\widehat{\mathfrak{sl}}_3(p(t)) = \mathbb{C}X + \mathbb{C}Y + \mathbb{C}Z.$$

Moreover,

$$\begin{aligned} [X, Z] &= -2(1 + a^2 + a^4)Y, \\ [Y, Z] &= -2(1 + a^2 + a^4)X. \end{aligned}$$

So if  $1 + a^2 + a^4 \neq 0$ , then  $\widehat{\mathfrak{sl}}_3(p(t)) \cong \widehat{\mathfrak{sl}}_2(\mathbb{C})$ . If  $1 + a^2 + a^4 = 0$ , then  $\widehat{\mathfrak{sl}}_3(p(t))$  is isomorphic to the 3-dimensional Heisenberg algebra.

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