

# Universal minimal flows of extensions of and by compact groups

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*Abstract.* Every topological group  $G$  has, up to isomorphism, a unique minimal  $G$ -flow that maps onto every minimal  $G$ -flow, the universal minimal flow  $M(G)$ . We show that if  $G$  has a compact normal subgroup  $K$  that acts freely on  $M(G)$  and there exists a uniformly continuous cross-section from  $G/K$  to  $G$ , then the phase space of  $M(G)$  is homeomorphic to the product of the phase space of  $M(G/K)$  with  $K$ . Moreover, if either the left and right uniformities on  $G$  coincide or  $G$  is isomorphic to a semidirect product  $G/K \rtimes K$ , we also recover the action, in the latter case extending a result of Kechris and Sokić. As an application, we show that the phase space of  $M(G)$  for any totally disconnected locally compact Polish group  $G$  with a normal open compact subgroup is homeomorphic to a finite set, the Cantor set  $2^{\mathbb{N}}$ ,  $M(\mathbb{Z})$ , or  $M(\mathbb{Z}) \times 2^{\mathbb{N}}$ .

Key words: (universal) minimal flow, greatest ambit, group extension, cross-section, totally disconnected locally compact groups

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## 1. Introduction

The structure theory of minimal flows dates back to the origins of topological dynamics. Among the most notable achievements is the structure theorem on minimal metrizable distal flows of countable discrete groups by Furstenberg [F]. Furstenberg's result was extended to non-metrizable minimal distal flows by Ellis in [E2] and to locally compact groups by Zimmer in [Z, Z2]. However, little is known about the structure of general minimal flows. A well-known theorem by Ellis states that, up to isomorphism, every topological group  $G$  admits a unique universal minimal flow  $M(G)$  (mapping onto every minimal flow preserving the respective actions). For discrete groups, it is known that the universal minimal flow is zero-dimensional [E] and extremally disconnected (attributed independently to Ellis and Balcar, first in print by van Douwen in [vDo]). Using methods from the theory of Boolean algebras, Balcar and Błaszczyk showed in [BB] that the underlying space (phase space) of  $M(G)$  of any countably infinite discrete group  $G$  is

homeomorphic to the Gleason cover  $G(2^\kappa)$  of the Cantor cube  $2^\kappa$  for some uncountable cardinal  $\kappa \leq 2^{\aleph_0}$ . This is the unique compact extremely disconnected space that has an irreducible map onto  $2^\kappa$ , that is,  $2^\kappa$  is not the image of any proper closed and open (clopen) subset of  $G(2^\kappa)$ . In other words, the algebra of clopen subsets of  $G(2^\kappa)$  is the completion of the free Boolean algebra on  $\kappa$  generators. It was proved that  $\kappa = 2^{\aleph_0}$  for  $\mathbb{Z}$  in [BB], for Abelian groups by Turek in [T], and in general by a recent paper of Glasner *et al.* [GTWZ].

**THEOREM 1.1.** (Balcar and Błaszczyk [BB], Turek [T], Glasner *et al.* [GTWZ]) *Let  $G$  be a discrete countably infinite group. Then the phase space of  $M(G)$  is homeomorphic to the Gleason cover of the Cantor cube  $2^{2^{\aleph_0}}$ .*

To date, we lack a concrete description of the universal minimal action even in the case of the discrete group of integers  $\mathbb{Z}$ .

On the other hand, there are topological groups for which the universal minimal flow (and therefore any minimal flow) is metrizable (e.g., the group of permutations of a countable set  $S_\infty$  [GW], the group of homeomorphisms of the Lelek fan [BK]), or even trivializes (e.g., the group of automorphisms of the rational linear order [P], group of unitaries of the separable Hilbert space [GM]). These results are obtained via structural Ramsey theory [GW, KPT, P] or concentration of measure phenomena [GM, P2], and provide a concrete description. If the group  $G$  can be represented as a group of automorphisms of a countable first-order structure, then the phase space of  $M(G)$  is either finite or homeomorphic to the Cantor set  $2^{\mathbb{N}}$ . However, we lack a group topological characterization of such groups.

Generalizing Theorem 1.1, Bandlow showed in [Ba] that if an  $\omega$ -bounded group  $G$  (every maximal system of pairwise disjoint translates of a neighbourhood in  $G$  is countable) has an infinite minimal flow, then the phase space of  $M(G)$  has the same Gleason cover as  $2^\kappa$  for some infinite cardinal  $\kappa$  (for a simplified proof, see [T2]). Błaszczyk, Kucharski, and Turek demonstrated in [BKT] that every infinite minimal flow of such a group maps irreducibly onto  $2^\kappa$  for some infinite  $\kappa$ .

Our original motivation was to prove an analogue of Theorem 1.1 for Polish (separable and completely metrizable) locally compact groups with a basis at the neutral element of open subgroups. They are a natural direction from discrete groups since their topology is determined by cosets of basic open (compact) subgroups and their universal minimal flow can be viewed from the Boolean algebraic perspective. This class of groups coincides with the class of locally compact groups of automorphisms of countable first-order structures, which in turn by van Dantzig’s theorem [vDa] coincides with the class of Polish totally disconnected locally compact (t.d.l.c.) groups. We succeed in the case where  $G$  possesses a compact open normal subgroup.

**THEOREM 1.2.** *Let  $G$  be a Polish t.d.l.c. group with a compact normal open subgroup  $K$ . Then  $M(G)$  is homeomorphic to a finite set,  $M(\mathbb{Z})$ ,  $2^{\mathbb{N}}$ , or  $M(\mathbb{Z}) \times 2^{\mathbb{N}}$ .*

If  $G$  admits a basis of compact open normal subgroups then we also recover the action. Among Polish groups, these are the t.d.l.c. groups admitting a two-sided invariant metric, in particular, Abelian groups. These are consequences of the main results of the present paper, which can be summarized as follows.

**THEOREM 1.3.** *Let  $G$  be a topological group with a compact normal subgroup  $K$  such that  $K$  acts freely on  $M(G)$  and the quotient mapping  $G \rightarrow G/K$  admits a uniformly continuous cross-section. Then the phase space of  $M(G)$  is homeomorphic to the product of the phase space of  $M(G/K)$  and  $K$ . If the left and right uniformities on  $G$  coincide or the cross-section is a group homomorphism, then the homeomorphism is an isomorphism of flows.*

As a corollary, we obtain a result by Kechris and Sokić.

**COROLLARY 1.4.** **[KS]** *Let  $G$  be a Polish group with a metrizable  $M(G)$  and let  $K$  be a compact metrizable group. Suppose that  $G$  acts continuously on  $K$  by automorphisms. Then  $M(G \rtimes K)$  is isomorphic to  $M(G) \times K$ .*

In §4 we verify that an analogue of Theorem 1.3 holds when  $K$  is closed (not necessarily compact), but  $G/K$  is compact, extending another result of Kechris and Sokić from **[KS]**.

The strategy is to prove statements in Theorem 1.3 for the greatest ambit of  $G$  that contains  $M(G)$  as its minimal subflow.

Let us remark that recently Basso and Zucker isolated in **[BZ]** a class of topological groups, the *CAP groups*, for which  $M(G \times H) \cong M(G) \times M(H)$ . It includes, for instance, groups with metrizable universal minimal flows. In contrast, for any two infinite discrete groups  $G, H$ ,  $M(G \times H) \not\cong M(G) \times M(H)$ . In fact, the phase spaces are not even homeomorphic, since  $M(G \times H)$  is extremally disconnected, but a product of two infinite compact spaces is never extremally disconnected (see **[K**, Proposition 11.9]).

## 2. Background

Let  $G$  be a topological group with neutral element  $e$ . The topology on  $G$  is fully determined by a basis at  $e$  of open neighbourhoods, which will be denoted by  $\mathcal{N}_e(G)$ . Without loss of generality, we can assume that  $V = V^{-1}$  for every  $V \in \mathcal{N}_e(G)$ .

A  $G$ -flow is a continuous left action  $\alpha : G \times X \rightarrow X$  of  $G$  on a compact Hausdorff space  $X$ , which we refer to as the *phase space* of  $\alpha$ . We typically write  $gx$  in place of  $\alpha(g, x)$ . The action is *free* if  $G$  acts without fixed points on  $X$ , that is, for every  $g \neq e$  and  $x \in X$ ,  $gx \neq x$ . A *homomorphism* between two  $G$ -flows  $G \times X \rightarrow X$  and  $G \times Y \rightarrow Y$  is a continuous map  $\phi : X \rightarrow Y$  such that  $\phi(gx) = g\phi(x)$ . An *isomorphism* is a bijective homomorphism (recall that a bijective continuous map between compact spaces is a homeomorphism). We will use  $\cong$  for the isomorphism relation on flows. An *ambit* is a  $G$ -flow  $X$  with a *base point*  $x_0 \in X$  that has a dense orbit, that is,  $Gx_0 = \{gx_0 : g \in G\}$  is dense in  $X$ . An *ambit homomorphism* is a homomorphism between ambits  $(X, x_0)$  and  $(Y, y_0)$  sending  $x_0$  to  $y_0$ . There is, up to isomorphism, a unique ambit  $(S(G), e)$  that homomorphically maps onto every ambit, the *greatest ambit*. Topologically,  $S(G)$  is the *Samuel compactification* of  $G$  with respect to the *right uniformity* on  $G$  generated by open covers

$$\{\{Vg : g \in G\} : V \in \mathcal{N}_e(G)\}.$$

A compact space  $X$  admits a unique compatible uniformity (generated by finite open covers). We will say that a map  $G \rightarrow X$  is *right uniformly continuous* if it is uniformly

continuous with respect to the right uniformity on  $G$  and the unique compatible uniformity on  $X$ . Similarly, we define left uniformity, right action, and the greatest ambit with respect to the right action. In what follows, ‘uniformly continuous’ will mean right uniformly continuous, unless otherwise stated.

We call a topological group *SIN* (an abbreviation for small invariant neighbourhoods) if the left and right uniformities coincide. It is easy to see that a group  $G$  is SIN if and only if it admits a basis of  $e$  consisting of conjugation invariant neighbourhoods, that is,  $V$  such that  $gVg^{-1} = V$  for every  $g \in G$ . Multiplication and inversion in SIN groups are (right, left) uniformly continuous.

The Samuel compactification is the smallest compactification of  $G$  (that is, there is an embedding of  $G$  into  $S(G)$  with dense image) such that every right uniformly continuous function from  $G$  to a compact space (uniquely) extends to  $S(G)$ . We will describe Samuel’s original construction (see [S]) of  $S(G)$ . For a discrete group  $H$ , the Samuel compactification coincides with the Čech–Stone compactification  $\beta H \cdot \beta H$  consists of ultrafilters on  $H$  with a basis for topology of clopen sets  $\hat{A} = \{u \in \beta(H), A \in u\}$  for  $A \subset H$ . We can identify  $H$  with a dense subset of  $\beta H$  via principal ultrafilters. The action  $H \times \beta H \rightarrow \beta H$  given by  $hu = \{hA : A \in u\}$  is the greatest ambit  $(\beta H, e)$ . Given a topological group  $G$ , we denote by  $G_d$  the same algebraic group with the discrete topology. Then the greatest ambit action of  $G$  remains an ambit action  $G_d \times (S(G), e) \rightarrow (S(G), e)$ . Since  $\beta G_d$  is the greatest ambit for  $G_d$ , there is an ambit homomorphism  $\pi : \beta G_d \rightarrow S(G)$ . For an ultrafilter  $u$  in  $\beta G_d$ , we let the *envelope* of  $u$  be its subfilter

$$u^* = \{\{VA : V \in \mathcal{N}_e(G), A \in u\}\} \tag{\Delta}$$

generated by  $\{VA : V \in \mathcal{N}_e(G), A \in u\}$ . Given  $u, v \in \beta G_d$ , we set  $u \sim v$  if and only if  $u^* = v^*$ . Then  $\sim$  is an equivalence relation whose equivalence classes are exactly preimages of  $\pi$ . The collection of

$$\bar{A} = \{u^* \in S(G) : u^* \supset \{VA : V \in \mathcal{N}_e(G)\}\}$$

for  $A \subset G$  forms a basis for closed sets in  $S(G)$ .

A  $G$ -flow on  $X$  is *minimal* if  $X$  contains no closed proper non-empty invariant subset, that is, no proper *subflow*. Equivalently, for every  $x \in X$ , the orbit  $Gx$  is dense in  $X$ . Up to isomorphism, there is a unique minimal flow that admits a homomorphism onto every minimal flow, called the *universal minimal flow* of  $G$  and denoted by  $M(G)$ . The universal minimal flow is isomorphic to any minimal subflow of  $S(G)$ . If  $G$  is compact, then  $M(G) \cong G$  with the left translation action; if  $G$  is locally compact, then  $G$  acts freely on  $M(G)$ , by a theorem of Veech [V], and if  $G$  is locally compact, non-compact, then  $M(G)$  is non-metrizable [KPT].

### 3. Extensions of compact groups

Given topological groups  $G, K, H$ , we say that  $G$  is an *extension* of  $K$  by  $H$  if there is a short exact sequence

$$\{e\} \rightarrow K \xrightarrow{\iota} G \xrightarrow{\pi} H \rightarrow \{e\},$$

where each arrow is a continuous group homomorphism onto its image (which, moreover, implies that  $\pi$  is open). We focus on the case where  $K$  is compact. Identifying  $K$  with the image of  $\iota$ , we can assume that  $K$  is a compact normal subgroup of  $G$  and  $H$  is isomorphic to  $G/K$ . For the rest of this section, we fix a topological group  $G$  together with a compact normal subgroup  $K$ . We investigate the relationship between  $S(G)$  and  $S(G/K) \times K$ , respectively,  $M(G)$  and  $M(G/K) \times K$ .

Let  $S(G)/K$  denote the orbit space  $\{Kx : x \in S(G)\}$  of the restricted action  $K \times S(G) \rightarrow S(G)$ . Since  $K$  is compact,  $K$ -orbits are equivalence classes of a closed equivalence relation on  $S(G)$ , and therefore  $S(G)/K$  (with the quotient topology) is a compact Hausdorff space. We have that  $G/K \times S(G)/K \rightarrow S(G)/K$ , defined by  $(Kg)Kx = Kgx$ , is a continuous ambit action with the base point  $K$ .

LEMMA 3.1.  $S(G/K) \cong S(G)/K$ .

*Proof.* We can define an ambit action  $G \times S(G/K) \rightarrow S(G/K)$  by  $gx = Kgx$ . Since  $S(G)$  is the greatest  $G$ -ambit, there is an ambit homomorphism  $\phi : S(G) \rightarrow S(G/K)$ . Clearly,  $\phi$  is constant on every  $K$ -orbit, so  $\phi$  factors through  $\psi : S(G) \rightarrow S(G)/K$  and  $\xi : S(G)/K \rightarrow S(G/K)$ . Since  $\xi$  maps  $Ke \in S(G)/K$  to  $K \in G/K \subset S(G/K)$ , it is a  $G/K$ -ambit homomorphism. But  $S(G/K)$  is the greatest  $G/K$ -ambit, therefore  $\xi$  must be an ambit isomorphism. □

*Remark 3.2.* By Lemma 3.1 and  $(\Delta)$ , we have that, for any  $u \in \beta G_d$ , the  $K$ -orbit  $Ku^* = \{ku^* : k \in K\}$  is a closed subset of  $S(G)$  corresponding to the filter

$$\{\{VKA : V \in \mathcal{N}_e(G), A \in u\}\}.$$

That is, elements of  $Ku^*$  are exactly those  $v^*$  that extend this filter.

LEMMA 3.3.  $M(G/K) \cong M(G)/K$ .

*Proof.* Since  $M(G)$  is isomorphic to a minimal  $G$ -subflow of  $S(G)$ ,  $M(G)/K$  is isomorphic to a minimal  $G/K$ -subflow of  $S(G)/K$ . By Lemma 3.1,  $S(G)/K$  is isomorphic to the greatest ambit  $S(G/K)$  and therefore its minimal subflows are isomorphic to  $M(G/K)$ .

Let us remark that we can prove this fact directly as in the proof of Lemma 3.1 from universality and uniqueness of  $M(G)$ . □

*Definition 3.1.* Let  $X$  be a topological space and let  $\pi : X \rightarrow X/E$  be a quotient map. A cross-section is a map  $\phi : X/E \rightarrow X$  such that  $\pi \circ \phi = \text{id}_{X/E}$ .

THEOREM 3.4. *Suppose that there exists a uniformly continuous cross-section  $s : G/K \rightarrow G$ . Then there is a continuous cross-section  $s' : S(G)/K \rightarrow S(G)$ .*

*Proof.* Let  $\pi : S(G) \rightarrow S(G)/K$  be the natural  $G$ -ambit homomorphism as in Lemma 3.1. Let  $s : G/K \rightarrow G$  be a uniformly continuous cross-section. Since uniformly continuous maps from  $G/K$  to compact spaces uniquely extend to  $S(G/K)$ , there is a continuous map  $\bar{s} : S(G/K) \rightarrow S(G)$  extending  $s$ . Concretely (see [B]), denoting by  $S$  the

image of  $s$ , for any  $u \in \beta G_d$ ,

$$\bar{s}(\pi(u^*)) = \{\{VKA : V \in \mathcal{N}_e(G), A \in u\} \cup \{S\}\}^*.$$

Since  $S$  intersects every coset of  $K$  and  $\{KA : A \in u\}$  is an ultrafilter in  $\beta(G/K)_d$ , we get that  $v = (\{KA : A \in u\} \cup \{S\})$  is an ultrafilter in  $\beta G_d$  such that  $\{KA : A \in u\} = \{KB : B \in v\}$ . By Remark 3.2, it follows that  $\bar{s}\pi(u^*)$  lies in the orbit  $Ku^*$ . Precomposing with  $\xi$  from Lemma 3.1, we obtain a continuous cross-section  $s' : S(G)/K \rightarrow S(G)$ . □

*Remark 3.5.* Continuity of  $s'$  means that for every open  $U \subset S(G)$  there is an open  $V \subset S(G)$  such that  $s'(KV) \subset U$  from the definition of the quotient topology on  $S(G)/K$ .

**COROLLARY 3.6.** *Suppose that  $K$  acts freely on  $S(G)$ . If there exists a uniformly continuous cross-section  $s : G/K \rightarrow G$ , then the phase space of  $S(G)$  is homeomorphic to  $S(G/K) \times K$ .*

*Proof.* Denote by  $s' : S(G)/K \rightarrow S(G)$  the continuous cross-section as in Theorem 3.4. Define a map  $\phi : S(G)/K \times K \rightarrow S(G)$  by  $\phi(Ku, k) = ks'(Ku)$ . As  $K$  acts freely,  $\phi$  is a bijection. Since  $\phi$  is multiplication of two continuous maps, it is continuous, hence a homeomorphism. The statement follows by Lemma 3.1. □

**COROLLARY 3.7.** *If there exists a uniformly continuous cross-section  $s : G/K \rightarrow G$ , then the phase space of  $M(G)$  is homeomorphic to  $M(G/K) \times K$ .*

If  $G$  is SIN, we can fully describe the greatest ambit and the universal minimal actions.

**THEOREM 3.8.** *Let  $G$  be SIN. Suppose that  $K$  acts freely on  $S(G)$  and that there is a uniformly continuous cross-section  $s : G/K \rightarrow G$ . Then we can explicitly describe an action of  $G$  on  $S(G/K) \times K$  such that  $S(G) \cong S(G/K) \times K$  as ambits.*

*Proof.* Let  $S_L(G)$  (respectively,  $S_R(G)$ ) denote the greatest ambit of  $G$  with respect to the right (respectively, left) actions of  $G$ . The anti-isomorphism  $G \rightarrow G, g \mapsto g^{-1}$  induces a homeomorphism  $S_L(G) \rightarrow S_R(G)$  by  $u^* \mapsto (u^{-1})^*$ , where  $u^{-1}$  denotes  $\{A^{-1} = \{a^{-1} : a \in A\} : A \in u\}$  and  $u^*$  is the Samuel envelope of  $u \in \beta(G_d)$ . This witnesses a correspondence between the left action of  $G$  on  $S_L(G)$  and the right action of  $G$  on  $S_R(G)$ .

Since  $G$  is SIN, we can choose  $\mathcal{N}_e(G)$  to be a neighbourhood basis of  $G$  at  $e$  consisting of  $V$  such that  $gVg^{-1} = V$  for every  $g \in G$ . Then for every  $A \subset G, VA = AV$ , therefore the construction by Samuel of the (left, right) greatest ambit via envelopes shows that  $S_L(G)$  and  $S_R(G)$  coincide, and will further be denoted by  $S(G)$ . As  $K$  acts freely on the left on  $S(G)$ , by the first paragraph  $K$  also acts freely on the right on  $S(G)$ . Since  $K$  is normal in  $G, VKA = AKV$ , and we have that the quotient maps  $S(G) \rightarrow S(G/K)$  and  $S(G) \rightarrow S(K \backslash G)$  define the same equivalence relation on  $S(G)$ . By Lemma 3.1, the orbit spaces  $S(G)/K = \{Ku : u \in S(G)\}$  and  $K \backslash S(G) = \{uK : u \in S(G)\}$  are the same. It means that for every  $k \in K, u \in S(G)$  there is  $k' \in K$  such that  $ku = uk'$ .

Suppose that there is a uniformly continuous cross-section  $s : G/K \rightarrow G$ ; by shifting we can assume that  $s(K) = e$ . By Theorem 3.4,  $s$  uniquely extends to a cross-section  $s' : S(G)/K \rightarrow S(G)$ .

We define a cocycle  $\rho : G \times S(G)/K \rightarrow K$  to ‘correct’ that  $s$  may not be a group homomorphism by the rule

$$s'(Kgu)\rho(g, Ku) = gs'(Ku).$$

Since left and right  $K$ -orbits in  $S(G)$  coincide and  $K$  acts freely on  $S(G)$  on the right,  $\rho$  is well defined.

We will prove that  $\rho$  is continuous. Fix  $g \in G$  and  $Ku \in S(G)/K$ , and denote  $k = \rho(g, Ku)$ . Let  $k \in O$  be a basic open neighbourhood of  $k$  in  $K$ . By Corollary 3.6 for right actions, we have that  $S(G)/K \times K \rightarrow S(G)$  defined by  $(Ku, l) \mapsto s'(Ku)l$  is a homeomorphism. Consequently,  $s'(S(G)/K) \times O$  is an open neighbourhood of  $gs'(Ku)$  in  $S(G)$ . Since the action of  $G$  on  $S(G)$  is continuous, there are open neighbourhoods  $V \in \mathcal{N}_e(G)$  and  $U$  of  $s'(u)$  such that  $VgU \subset s'(S(G)/K) \times O$ . Since  $s'$  is continuous, there is an open neighbourhood  $U'$  of  $u$  such that  $s'(KU') \subset U$ . Since  $U'$  is open in  $S(G)$  and  $G$  acts by homeomorphisms,  $VgU' = \bigcup_{h \in Vg} hU'$ , is open in  $S(G)$ . Therefore,  $VgU'k^{-1}$  is an open neighbourhood of  $s'(gKu)$ . By continuity of  $s'$  and of the action of  $G$  on  $G/K$ , there are neighbourhoods  $V' \in \mathcal{N}_e(G)$  and  $U''$  of  $u$  in  $S(G)$  such that  $s'(V'gKU'') \subset VgU'k^{-1}$ . Finally, we get that  $s'((V \cap V')gK(U' \cap U'')) \times O \supset (V \cap V')gs'(K(U' \cap U''))$ , so  $\rho((V \cap V')g, K(U \cap U'')) \subset O$ .

The function  $\rho$  is a cocycle in the sense that for every  $g, h \in G$  we have

$$\rho(gh, Ku) = \rho(g, Khu)\rho(h, Ku) : \tag{*}$$

$$\begin{aligned} s'(Kghu)\rho(gh, Ku) &= ghs'(Ku), \\ s'(Kghu)\rho(g, Khu) &= gs'(Khu), \\ s'(Khu)\rho(h, Ku) &= hs'(Ku), \\ s'(Kghu)\rho(gh, Ku) &= gs'(Khu)\rho(h, Ku) = s'(Kghu)\rho(g, Khu)\rho(h, Ku), \end{aligned}$$

and (\*) follows from freeness of the action by  $K$ .

We define an action  $\alpha : G \times (S(G/K) \times K) \rightarrow S(G/K) \times K$  by

$$g(Ku, k) = (Kgu, \rho(g, Ku)k).$$

By (\*),  $\alpha$  is an action and it is obviously continuous.

We define

$$\phi : S(G/K) \times K \rightarrow S(G), (Ku, k) \mapsto s'(Ku)k.$$

Then  $\phi$  is an ambit homomorphism:

- (1)  $\phi$  is continuous as it is a composition of multiplication with continuous functions;
- (2)  $\phi(K, e) = s'(K)e = ee = e$ ;
- (3)  $\phi(g(Ku, k)) = \phi((Kgu, \rho(g, Ku)k)) = s'(Kgu)\rho(g, Ku)k = gs'(Ku)k = g(\phi(Ku, k))$ , where the penultimate equality holds by the definition of  $\rho$ .

By universality of  $S(G)$ , we can conclude that  $\phi$  is an isomorphism. □

**COROLLARY 3.9.** *Let  $G$  be SIN. Then we can explicitly describe an action of  $G$  on  $M(G/K) \times K$  such that  $M(G) \cong M(G/K) \times K$  as  $G$ -flows.*

4. Extensions by compact groups

In this section we will consider short exact sequences

$$\{e\} \rightarrow N \rightarrow G \rightarrow K \rightarrow \{e\},$$

where  $K$  is compact. We verify that a result of Kechris and Sokić for Polish  $G$  in [KS] generalizes to arbitrary topological groups and works for the greatest ambit as well as the universal minimal flow. Our proof is slightly shorter, but the idea is the same.

**THEOREM 4.1.** *Let  $G$  be a topological group with a closed normal subgroup  $N$  such that  $G/N$  is compact. Suppose that there is a continuous cross-section  $s : G/N \rightarrow G$  (which is automatically uniformly continuous by compactness of  $G/N$ ). Then  $S(G) \cong S(N) \times G/N$ .*

*Proof.* By shifting, we can assume that  $s(N) = e$ .

We again define a cocycle  $\rho : G \times G/N \rightarrow N$  by

$$s(Ngh)\rho(g, Nh) = gs(Nh).$$

We have that  $\rho(g, Nh) = s(Ngh)^{-1}gs(Nh)$ , so  $\rho$  is continuous. By (\*) in the proof of Theorem 3.8,  $\rho(gg', Nh) = \rho(g, Ng'h)\rho(g', Nh)$ . Therefore, the map  $G \times (S(N) \times G/N) \rightarrow S(N) \times G/N$  defined by

$$g(u, Nh) = (\rho(g, Nh)u, Ngh)$$

is an ambit action.

Viewing  $S(G)$  as an  $N$ -flow, there is an  $N$ -ambit homomorphism  $\mu : (S(N), e) \rightarrow (S(G), e)$ . We define  $\phi : S(N) \times G/N \rightarrow S(G)$  by  $(u, Nh) \mapsto s(Nh)\mu(u)$ . Then  $\phi$  is a  $G$ -ambit homomorphism.

- (1)  $\phi$  is continuous since,  $s$ ,  $\mu$ , and the action of  $G$  on  $S(G)$  are.
- (2)  $\phi(e, N) = s(N)\mu(e) = ee = e$ .
- (3) Since  $\mu$  is a homomorphism of  $N$ -flows, we have  $\mu(\rho(g, Nh)u) = \rho(g, Nh)\mu(u)$ :

$$\begin{aligned} \phi(g(u, Nh)) &= \phi(\rho(g, Nh)u, Ngh) = s(Ngh)\mu(\rho(g, Nh)u) \\ &= s(Ngh)\rho(g, Nh)\mu(u) \quad (\mu \text{ homomorphism}) \\ &= gs(Nh)\mu(u) = g\phi(u, Nh) \quad (\rho \text{ cocycle}). \end{aligned}$$

Since  $S(G)$  is the greatest ambit,  $\phi$  is an isomorphism. □

**COROLLARY 4.2.** *Let  $G$  be a topological group with a closed normal subgroup  $N$  such that  $G/N$  is compact. Suppose that there is a continuous cross-section  $s : G/N \rightarrow G$ . Then  $M(G) \cong M(N) \times G/N$ .*

*Proof.* For every  $Nh \in G/N$ , the evaluation map  $G \rightarrow N$ ,  $g \mapsto \rho(g, Nh)$  given by the cocycle  $\rho$  defined in the proof of Theorem 4.1 is onto. Viewing  $M(N)$  as a subflow of  $S(N)$ , for any  $m \in M(N)$ ,  $\{\rho(g, Nh)m : g \in G\} = Nm$ , so  $M(N) \times G/N$  is a minimal subflow of  $S(N) \times G/N$ . □



## 5. Applications

5.1. *Totally disconnected locally compact groups.* Totally disconnected locally compact groups coincide with locally compact groups of automorphisms of first-order structures. T.d.l.c. groups admit a local basis at the neutral element  $e$  consisting of open compact subgroups. Systematic study of Polish t.d.l.c. groups was started by the book by Wesolek [W]. By van Dantzig's theorem, the underlying topological space of a Polish t.d.l.c. group is homeomorphic to either a countable set, the Cantor space  $2^{\mathbb{N}}$ , or  $\mathbb{N} \times 2^{\mathbb{N}}$ . By Veech's theorem [V], every locally compact group acts freely on its greatest ambit, and consequently on its universal minimal flow. If  $K$  is a compact open normal subgroup of  $G$ , then  $G/K$  is a discrete group. Therefore, any cross-section  $G/K \rightarrow G$  is uniformly continuous and we can apply Corollary 3.7 to derive the following theorem.

**THEOREM 5.1.** *Let  $G$  be a t.d.l.c. group with a normal compact open subgroup  $K$ . Then the phase space of  $M(G)$  is homeomorphic to  $M(G/K) \times K$ .*

In the case of Polish t.d.l.c. groups we get a complete characterization of phase spaces of universal minimal flows.

**COROLLARY 5.2.** *If  $G$  is a Polish t.d.l.c. group with a normal compact open subgroup  $K$ , then  $M(G)$  is homeomorphic to a finite set,  $M(\mathbb{Z})$ ,  $2^{\mathbb{N}}$ , or  $M(\mathbb{Z}) \times 2^{\mathbb{N}}$ .*

Moreover, if  $G$  in Theorem 5.1 is SIN, we can also define the action as in Corollary 3.9.

**THEOREM 5.3.** *If  $G$  is a SIN t.d.l.c. group and  $K$  any normal compact open subgroup, then  $M(G)$  is isomorphic to  $M(G/K) \times K$ .*

Polish SIN t.d.l.c. groups are exactly locally compact groups of automorphisms of countable first-order structures admitting a two-sided invariant metric, or equivalently, Polish groups admitting a countable basis at the neutral element consisting of compact open normal subgroups.

5.2. *Semi-direct products.* In [KS], Kechris and Sokić studied universal minimal flows of semidirect products of Polish groups with one of the factors compact. Their proof can be modified to apply to groups that are not Polish as well. We include the results for completeness and extend them to the greatest ambit.

In Theorem 3.8 we required  $G$  to be SIN, and in Theorem 4.1 we proved that  $S(G/N) = G/N$ , which allowed us to define the cocycle  $\rho$  to compensate for  $s$  not being necessarily a group homomorphism. If  $s$  is a group homomorphism, that is, the respective short exact sequence splits, then  $G$  is a semidirect product, and we do not need  $\rho$ .

**THEOREM 5.4.** *Let  $G \cong H \rtimes K$ , where  $K$  is compact. Then  $S(G) \cong S(H) \times K$  and  $M(G) \cong M(H) \times K$ .*

*Proof.* Let  $s : H \rightarrow G$  be a cross-section that is a continuous homomorphism and let  $\pi : G \rightarrow H$  be the natural projection. Define  $\alpha : G \times (S(H) \times K) \rightarrow (S(H) \times K)$  by  $g(u, k) = (\pi(g)u, gks(\pi(g))^{-1})$ . Since  $\pi$  and  $s$  are continuous group homomorphisms,  $\alpha$  is a continuous action. Also,  $G(e, e) = (He, K)$  is dense in  $S(H) \times K$ , so  $\alpha$  is an ambit action. Since  $s$  is a continuous homomorphism, we can define a continuous ambit action

$H \times S(G) \rightarrow S(G)$  by  $(h, u) \mapsto s(h)u$ . Therefore, there is an  $H$ -ambit homomorphism  $s' : S(H) \rightarrow S(G)$  extending  $s$ . Define  $\phi : S(H) \times K \rightarrow S(G)$  by  $(u, k) \mapsto ks'(u)$ . Then  $\phi$  is a  $G$ -ambit homomorphism:

$$\begin{aligned} \phi(g(u, k)) &= \phi((\pi(g)u, gk(s(\pi(g)))^{-1})) = gk(s(\pi(g)))^{-1}s'(\pi(g)u) \\ &= gk(s(\pi(g)))^{-1}s(\pi(g))s'(u) = gks'(u) = g\phi(u, k). \quad (s' \text{ homomorphism}) \end{aligned}$$

As  $S(G)$  is the greatest  $G$ -ambit, we can conclude that  $\phi$  is an isomorphism.

Because  $M(H)$  is a minimal subflow of  $S(H)$ , we have that  $M(H) \times K$  is a minimal subflow of  $S(H) \times K$ , and therefore isomorphic to  $M(G)$ . □

The following result is an immediate application of Theorem 4.1.

**THEOREM 5.5.** *Let  $G \cong K \rtimes H$ , where  $K$  is compact. Then  $S(G) \cong S(H) \times K$  and  $M(G) \cong M(H) \times K$ .*

### 6. Concluding remarks and questions

A natural question is whether we can prove a version of Theorem 3.8 with relaxed requirements.

If  $K$  is not normal, we can still prove that the Samuel compactification  $S(G/K)$  of the quotient space  $G/K$  is homeomorphic to the orbit space  $S(G)/K$ , and if there is a uniformly continuous cross-section  $s : G/K \rightarrow G$ , then  $S(G)$  is homeomorphic to  $S(G/K) \times K$ . However,  $G/K$  is not a group, so there is no notion of a  $G/K$ -flow on  $S(G/K)$ . A natural test problem is whether Corollary 5.1 holds for all Polish t.d.l.c. groups. Among the easiest examples of Polish t.d.l.c. groups that are not SIN are groups of automorphisms of graphs of finite degree. Answering the following concrete question will likely shed light on this problem.

*Question 6.1.* What is the universal minimal flow of the group of automorphisms of a countable regular  $n$ -branching tree for  $n \geq 3$ ?

Grosser and Moskowitz showed in [GrM] that a locally compact SIN group  $G$  contains a compact normal subgroup  $K$  such that  $G/K$  is a Lie SIN group. To reduce computation of  $M(G)$  to computation of  $M(G/K)$  using methods in this paper, we would need to have a uniformly continuous cross-section  $G/K \rightarrow G$ . However, continuous cross-sections may not exist, even in compact groups. Using this decomposition, they showed that connected locally compact SIN groups are extensions of compact groups by vector groups  $\mathbb{R}^n$ . More generally, they proved that every locally compact SIN group  $G$  is an extension of  $\mathbb{R}^n \times K$  with  $K$  compact by a discrete group. It is natural to ask whether it is the case that  $M(G)$  can be computed from  $M(H)$ , where  $H$  is an extension of  $\mathbb{R}^n$  by a discrete group. Let us remark that  $M(\mathbb{R})$  was computed by Turek in [T3] as a quotient of  $M(\mathbb{Z}) \times [0, 1]$ , and his method has recently been generalized to  $M(\mathbb{R}^n)$  by Vishnubhotla (see [Vi]).

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