

## SEPARATING POINTS AND COLORING PRINCIPLES

BY

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**ABSTRACT.** In the mid 1970's, Shelah formulated a weak version of  $\diamond$ . This axiom  $\Phi$  is a prediction principle for colorings of the binary tree of height  $\omega_1$ . Shelah and Devlin showed that  $\Phi$  is equivalent to  $2^{\aleph_0} < 2^{\aleph_1}$ .

In this paper, we formulate  $\Phi_p$ , a “ $\Phi$  for partial colorings”, show that both  $\diamond^*$  and Fleissner's “ $\diamond$  for stationary systems” imply  $\Phi_p$ , that  $\diamond$  does not imply  $\Phi_p$  and that  $\Phi_p$  does not imply  $CH$ .

We show that  $\Phi_p$  implies that, in a normal first countable space, a discrete family of points of cardinality  $\aleph_1$  is separated.

In the mid 1970's, Shelah [1] formulated a weak version of  $\diamond$ . This axiom  $\Phi$  is a prediction principle for colorings of the binary tree of height  $\omega_1$ . This tree may be identified with  $\Omega$ , the set of functions from a countable ordinal into 2.

$$(\Phi): \forall F: \Omega \rightarrow 2 \exists g: \omega_1 \rightarrow 2: \forall f: \omega_1 \rightarrow 2 \exists \text{ stationary set } S: \forall \alpha \in S \ F(f \upharpoonright \alpha) = g(\alpha)$$

The axiom states that however we color the nodes of the binary tree of height  $\omega_1$  with two colors, there is a coloring of  $\omega_1$  with two colors which coincides with the coloring of each branch in the tree on a stationary set. Shelah and Devlin [1] showed that  $\Phi$  is equivalent to  $2^{\aleph_0} < 2^{\aleph_1}$ .

In this paper, we formulate  $\Phi_p$ , a “ $\Phi$  for partial colorings”, show that both  $\diamond^*$  and Fleissner's “ $\diamond$  for stationary systems” imply  $\Phi_p$  and that  $\diamond$  does not imply  $\Phi_p$ . Fleissner [2] formulated “ $\diamond$  for stationary systems” in 1972 in order to show that, in a normal first countable space, a discrete family of points of cardinality  $\aleph_1$  is separated and asked whether this axiom was implied by  $\diamond^+$ . Shelah [4] showed in 1976 that  $\diamond^+$  does not imply Fleissner's axiom but that  $\diamond^+$ , nevertheless, implies that, in a normal first countable space, a discrete family of points of cardinality  $\aleph_1$  is separated. We show that  $\Phi_p$  implies that, in

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a normal first countable space, a discrete family of points of cardinality  $\aleph_1$  is separated.

To formulate  $\Phi_p$ , we observe that a good partial coloring  $F$  (a coloring to which  $\Phi_p$  can apply) must be such that, whenever  $f: \omega_1 \rightarrow 2$ ,  $\{\alpha: F(f \upharpoonright \alpha) \text{ is not defined}\}$  does not contain a closed unbounded set. We require a little more: a *good* partial coloring  $F$  is a partial coloring which is such that, for any  $\{f_n: \omega_1 \rightarrow 2 \ (n \in \omega)\}$ ,  $\bigcup_{n \in \omega} \{\alpha: F(f_n \upharpoonright \alpha) \text{ is not defined}\}$  does not contain a closed unbounded set.

$$(\Phi_p): \forall F: \Omega \xrightarrow{\text{good}} 2 \exists g: \omega_1 \rightarrow 2: \forall f: \omega_1 \rightarrow 2 \exists \text{ stationary set } S: \forall \alpha \in S \ F(f \upharpoonright \alpha) = g(\alpha).$$

$\Phi_p$  is a strengthening of  $\Phi$  and therefore implies  $2^{\aleph_0} < 2^{\aleph_1}$ .

We need an equivalent formulation of  $\diamond^*$ .

To motivate this formulation, we can say that  $\diamond$ -principles predict subsets  $A$  of  $\omega_1$  and that a  $\diamond$ -sequence consists of guessing  $A \cap \alpha$  for each  $\alpha \in \omega_1$ .  $\diamond$  states that there is a  $\diamond$ -sequence such that, for each  $A \subset \omega_1$ , there is a stationary set  $S$  such that, for each  $\alpha \in S$ , the  $\diamond$ -sequence guesses  $A \cap \alpha$  correctly. ZFC implies that there is no  $\diamond$ -sequence such that, for each  $A \subset \omega_1$ , there is a closed unbounded set  $C$  such that, for each  $\alpha \in C$ , the  $\diamond$ -sequence guesses  $A \cap \alpha$  correctly but  $\diamond^*$  states that there *are* countably many  $\diamond$ -sequences such that, for each  $A \subset \omega_1$ , there is a closed unbounded set  $C$  such that, for each  $\alpha \in C$ , at least *one* of the  $\diamond$ -sequences guesses  $A \cap \alpha$  correctly.

We need a principle which predicts countable sequences  $(A_n: n \in \omega)$  of subsets of  $\omega_1$ . the equivalent formulation of  $\diamond^*$  states that there is a  $\diamond$ -sequence for each  $n \in \omega$  (the  $n$ th  $\diamond$ -sequence consists of guessing  $A_n \cap \alpha$  for each  $\alpha \in \omega_1$ ) such that, for each sequence  $\{A_n: n \in \omega\}$  of subsets of  $\omega_1$ , there is a closed unbounded set  $C$  such that, for each  $\alpha \in C$ , some  $A_n \cap \alpha$  is guessed correctly by *its*  $\diamond$ -sequence. The difference between  $\diamond^*$  and its equivalent formulation is that, in the former we know which set we're guessing correctly but we don't know which  $\diamond$ -sequence is guessing it, whereas in the latter we don't know which set we're guessing correctly but we do know which  $\diamond$ -sequence is guessing it.

LEMMA 1.  $\diamond^*$  if and only if  $\exists \{S_\alpha^n: n \in \omega, \alpha \in \omega_1\}: \forall \{S^n: n \in \omega, S^n \subset \omega_1\} \exists \text{ closed unbounded set } C: \forall \alpha \in C \exists n \in \omega: S^n \cap \alpha = S_\alpha^n$ .

**Proof of Lemma 1.**  $\diamond^*$  states that  $\exists \{S_\alpha^n: n \in \omega, \alpha \in \omega_1\}: \forall S \subset \omega_1 \exists \text{ closed unbounded set } C: \forall \alpha \in C \exists n \in \omega: S \cap \alpha = S^n$ . By a standard coding argument ( $\omega_1^2$  may be coded by  $\omega_1$ ),  $\diamond^*$  implies that  $\exists \{S_\alpha^{n,m}: m, n \in \omega, \alpha \in \omega_1\}: \forall \{S^n: n \in \omega\} \exists \text{ closed unbounded set } C: \forall \alpha \in C \exists m \in \omega: \forall n \in \omega \ S_\alpha^{n,m} = S^n \cap \alpha$ . Letting  $S_\alpha^m = S_\alpha^{m,m}$  for each  $m \in \omega$ , we get that  $\diamond^*$  implies that  $\exists \{S_\alpha^n: n \in \omega, \alpha \in$

$\omega_1\}$ :  $\forall \{S^n : n \in \omega\} \exists$  closed unbounded set  $C : \forall \alpha \in C \exists m \in \omega : S^m = S^n \cap \alpha$  as required. The other direction is obtained by letting  $S^n = S$  for each  $n \in \omega$ .

**THEOREM 1.**  $\diamond^*$  implies  $\Phi_p$ .

We need a preliminary lemma.

**LEMMA 2.**  $\diamond^*$  implies  $\forall F : \Omega \rightarrow 2 \exists \{g_n : n \in \omega\} \subset {}^{\omega_1}2 : \forall \{f_n : n \in \omega\} \subset {}^{\omega_1}2 \exists$  closed unbounded set  $C : \forall \alpha \in C \exists n \in \omega : g_n(\alpha) = F(f_n \upharpoonright \alpha)$ .

**Proof of Lemma 2.** We can state the equivalent formulation of  $\diamond^*$  in terms of functions in  ${}^{\omega_1}2$  by identifying subsets of  $\omega_1$  with their characteristic functions.  $\diamond^*$  implies  $\exists \{f_\alpha^n : n \in \omega, \alpha \in \omega_1\} : \forall \{f_n : n \in \omega\} \subset {}^{\omega_1}2 \exists$  closed unbounded set  $C : \forall \alpha \in C \exists n \in \omega : f^n \upharpoonright \alpha = f_\alpha^n$ . Letting  $g_n \in {}^{\omega_1}2$  be defined, for each  $n \in \omega$ , by  $g_n(\alpha) = F(f_\alpha^n)$  and applying  $F$  to the equation  $f_n \upharpoonright \alpha = f_\alpha^n$ , we get that  $\forall \{f^n : n \in \omega\} \subset {}^{\omega_1}2 \exists$  closed unbounded set  $C : \forall \alpha \in C \exists n \in \omega : F(f^n \upharpoonright \alpha) = g_n(\alpha)$  as required.

**Proof of Theorem 1.** Let  $F : \Omega \xrightarrow{\text{good}} 2$ . Extend  $f$  to  $F' : \Omega \rightarrow 2$  arbitrarily. By Lemma 2,  $\diamond^*$  implies that  $\exists \{g_n : n \in \omega\} \subset {}^{\omega_1}2 : \forall \{f_n : n \in \omega\} \subset {}^{\omega_1}2 \exists$  closed unbounded set  $C : \forall \alpha \in C \exists n \in \omega : g_n(\alpha) = F'(f_n \upharpoonright \alpha)$ . If  $\Phi_p$  fails, then, for each  $n \in \omega$ , there is  $f_n \in {}^{\omega_1}2$  and a closed unbounded set  $C_n$  such that  $\forall \alpha \in C_n$   $g_n(\alpha) \neq F(f_n \upharpoonright \alpha)$  or  $F(f_n \upharpoonright \alpha)$  is not defined.  $F$  is good implies that  $D = \bigcup \{\{\alpha : F(f_n \upharpoonright \alpha) \text{ is not defined}\} : n \in \omega\}$  does not contain a closed unbounded set and so  $E = (\omega_1 - D) \cap C \cap \bigcap \{C_n : n \in \omega\}$  is nonempty. Let  $\alpha \in E$ .  $\alpha \in C$  implies that, for some  $n \in \omega$ ,  $g_n(\alpha) = F'(f_n \upharpoonright \alpha)$ ,  $\alpha \in \omega_1 - D$  implies that  $F(f_n \upharpoonright \alpha)$  is defined and  $\alpha \in C_n$  implies  $g_n(\alpha) \neq F(f_n \upharpoonright \alpha) = F'(f_n \upharpoonright \alpha)$ .

**THEOREM 2.**  $\diamond$  for stationary systems implies  $\Phi_p$ .

**Proof.**  $\diamond$  for stationary systems states that, whenever  $\{S_f : f \in {}^{\omega_1}2\}$  is a stationary system (that is, whenever  $\{S_f : f \in {}^{\omega_1}2\}$  is such that each  $S_f$  is a stationary set and such that, whenever  $\alpha \in \omega_1$ ,  $f \upharpoonright \alpha = g \upharpoonright \alpha$  implies  $S_f \cap (\alpha + 1) = S_g \cap (\alpha + 1)$ ),  $\exists \{f_\alpha : \alpha \in \omega_1\} : \forall f : \omega_1 \rightarrow 2 \exists$  stationary set  $S \subset S_f : \forall \alpha \in S f \upharpoonright \alpha = f_\alpha$ . Let  $F : \Omega \rightarrow 2$ . For each  $f \in {}^{\omega_1}2$ , let  $S_f = \{\alpha : F(f \upharpoonright \alpha) \text{ is defined}\}$ .  $F$  is good implies that  $\{S_f : f \in {}^{\omega_1}2\}$  is a stationary system.  $\diamond$  for stationary systems implies that  $\exists \{f_\alpha : \alpha \in \omega_1\} : \forall f : \omega_1 \rightarrow 2 \exists$  stationary set  $S : \forall \alpha \in S f \upharpoonright \alpha = f_\alpha$  and  $F(f \upharpoonright \alpha)$  is defined. Define  $g : \omega_1 \rightarrow 2$  so that  $g(\alpha) = F(f_\alpha)$  whenever  $F(f_\alpha)$  is defined. Whenever  $f : \omega_1 \rightarrow 2$ , there is a stationary set  $S$  such that, for each  $\alpha \in S$ ,  $g(\alpha) = F(f \upharpoonright \alpha)$ .

**THEOREM 3.**  $\Phi_p$  implies that, in a normal first countable space, discrete families of points of cardinality  $\aleph_1$  are separated.

To prove Theorem 3, we need an equivalent formulation of  $\Phi_p$ .

**LEMMA 3.**  $\Phi_p$  if and only if  $\forall F: \omega_1 c \rightarrow 2 \exists g: \omega_1 \rightarrow 2: \forall f: \omega_1 \rightarrow c \exists$  stationary set  $S: \forall \alpha \in S F(f \upharpoonright \alpha) = g(\alpha)$ .

**Proof.** A standard device is to code each function  $f: \omega_1 \rightarrow 2^\omega$  by a function  $f^*: \omega_1 \rightarrow 2$  by letting  $f(\alpha)(n) = f^*(\alpha \cdot \omega + n)$  for each  $\alpha \in \omega_1$ . Let  $F: \omega_1 c \rightarrow 2$ . Let  $F^*: \omega_1 2 \rightarrow 2$  be defined so that  $F^*(f^* \upharpoonright \alpha) = F(f \upharpoonright \alpha)$  when  $\alpha$  is a limit ordinal ( $F^*$  is well-defined since, if  $\alpha$  is a limit ordinal  $f^* \upharpoonright \alpha = g^* \upharpoonright \alpha$  implies  $f \upharpoonright \alpha = g \upharpoonright \alpha$  and  $F^*$  is good since  $\{\alpha: F^*(f^* \upharpoonright \alpha) \text{ is defined}\} = \{\alpha: F(f \upharpoonright \alpha) \text{ is defined and } \alpha \text{ is a limit ordinal}\}$ ). By  $\Phi_p$ , there is a  $g: \omega_1 \rightarrow 2$  such that, for each  $f^*: \omega_1 \rightarrow 2$ ,  $\exists$  stationary set  $S: \forall \alpha \in S F^*(f^* \upharpoonright \alpha) = g(\alpha)$ . For each  $f: \omega_1 \rightarrow c$ , there is a stationary set  $S$  of limit ordinals  $: \forall \alpha \in S F(f \upharpoonright \alpha) = F^*(f^* \upharpoonright \alpha) = g(\alpha)$ .

**Proof of Theorem 3.** Let  $X$  be a first countable normal space ( $X$  need only have character  $C$ ) with a discrete unseparated family  $D$  of points of cardinality  $\aleph_1$ . We use a result of Taylor [6]:

*Claim:* There is a discrete family of points  $\{X_\alpha : \alpha \in \omega_1\}$  such that there does not exist a closed unbounded set  $C$  such that  $\{X_\alpha : \alpha \in C\}$  is separated.

**Proof of Claim.** Suppose otherwise. Whenever  $\varphi: D \rightarrow \omega_1$  is such that each  $\varphi^{-1}(\alpha)$  is countable, there is a closed unbounded set  $C$  such that  $\varphi^{-1}(C)$  is separated (otherwise, enumerating each  $\varphi^{-1}(\alpha)$  by  $\{d_n^\alpha : n \in \omega\}$ , there are closed unbounded sets  $\{C_n : n \in \omega\}$  such that each  $\{d_n^\alpha : \varphi(\alpha) \in C_n\}$  is separated and a closed unbounded set  $C = \bigcap \{C_n : n \in \omega\}$  such that, applying  $\aleph_0$ -collectionwise normality,  $\varphi^{-1}(C)$  is separated). Define  $\varphi_n: D \rightarrow \omega_1$  such that each  $\varphi_n^{-1}(\alpha)$  is countable and closed unbounded sets  $C_n$  by induction on  $n \in \omega$ : define  $\varphi_0: D \rightarrow \omega_1$  to be a bijection and when  $\varphi_n: D \rightarrow \omega_1$  is defined, define  $C_n$  to be a closed unbounded set such that  $\varphi_n^{-1}(C_n)$  is separated and  $\varphi_{n+1}: D \rightarrow \omega_1$  by  $\varphi_{n+1}(p) = \max \{\alpha \in C_n : \alpha \leq \varphi_n(p)\}$ . Each  $\varphi_n^{-1}(C_n)$  is separated; by  $\aleph_0$ -collectionwise normality,  $\bigcup \{\varphi_n^{-1}(C_n) : n \in \omega\}$  is separated and thus there is a  $p \in D$  such that, for each  $n \in \omega$ ,  $\varphi_n(p) \notin C_n$ .  $\{\varphi_n(p) : n \in \omega\}$  is an infinite descending sequence of ordinals and the claim is established.

We use Fleissner’s proof in [2]: We shall define a partial function  $F$  mapping functions from a countable ordinal into  $\omega \times 2$  to  $2$ . For each  $\alpha \in \omega_1$ , let  $\{U_n(\alpha) : n \in \omega\}$  be a neighborhood base for  $x_\alpha$ . Whenever  $f: \alpha \rightarrow (\omega \times 2)$ ,  $f$  assigns a color and a neighborhood to each  $x_\beta$  (If  $f(\beta) = \langle n, 1 \rangle$ ,  $x_\beta$  is assigned the  $i$ th color and the  $n$ th neighborhood) and we can define  $V_i(f)$  to be  $\bigcup \{U_n(\beta) : f(\beta) = \langle n, i \rangle\}$ . For each  $f: \alpha \rightarrow (\omega \times 2)$ , let

$$F(f) = \left\{ \begin{array}{ll} \text{undefined} & \text{if } x_\alpha \notin \overline{V_0(f)} \cup V_1(f) \\ 1 & \text{if } x_\alpha \in \overline{V_0(f)} \\ 0 & \text{otherwise} \end{array} \right\}$$

We shall obtain a contradiction.

Suppose  $F$  is good. An application of Lemma 3 yields  $g : \omega_1 \rightarrow 2$  such that, for each  $f : \omega_1 \rightarrow (\omega \times 2)$ , there is an  $\alpha$  (we do not need a stationary set of  $\alpha$ ) such that  $F(f \upharpoonright \alpha) = g(\alpha)$ . The normality of  $X$  implies that there is  $n : \omega_1 \rightarrow \omega$  such that, whenever  $\alpha, \alpha' \in \omega_1$ ,  $g(\alpha) \neq g(\alpha')$  implies  $U_{n(\alpha)}(\alpha) \cap U_{n(\alpha')}(\alpha') = \emptyset$ . Let  $f : \omega_1 \rightarrow (\omega \times 2)$  be defined by  $f(\alpha) = \langle n(\alpha), g(\alpha) \rangle$ . Let  $\alpha \in \omega_1$  be such that  $F(f \upharpoonright \alpha) = g(\alpha)$ .  $g(\alpha) = 1$  implies that  $x_\alpha \in \overline{V_0(f)}$ , that  $U_{n(\alpha)}(\alpha) \cap V_0(f) \neq \emptyset$  and so that, for some  $\alpha' \in \omega_1$ ,  $U_{n(\alpha)}(\alpha) \cap U_{n(\alpha')}(\alpha') \neq \emptyset$  while  $g(\alpha) = 1$  and  $g(\alpha') = 0$ .  $g(\alpha) = 0$  implies that  $x_\alpha \in \overline{V_1(f)}$  and a similar contradiction.

Suppose  $f$  is not good. There are functions  $f_n : \omega_1 \rightarrow (\omega \times 2) (n \in \omega)$  and a closed unbounded set  $C$  such that  $\forall \alpha \in C \exists n \in \omega : F(f_n \upharpoonright \alpha)$  is undefined.  $\{x_\alpha : \alpha \in C\}$  is not separated by hypothesis. In a normal space, countable discrete families of closed sets are separated. This implies that there is  $A \subset \omega_1$  and  $n \in \omega$  such that  $\{x_\alpha : \alpha \in A\}$  is not separated and such that, for each  $\alpha \in A$ ,  $F(f_n \upharpoonright \alpha)$  is undefined. For each  $\alpha \in A$ , let  $m \in \omega$  be such that  $U_m(\alpha) \cap U_n(\beta) = \emptyset$  whenever  $\beta \in A$  and  $\beta < \alpha$ .  $\{U_m(\alpha) \cap U_n(\alpha) : \alpha \in A\}$  is a separation of  $\{x_\alpha : \alpha \in A\}$ .

COROLLARY.  $\diamond$  does not imply  $\Phi_p$ .

**Proof.** Shelah [5] has shown that the existence of a normal first countable space with a discrete unseparated family of points of cardinality  $\aleph_1$  is consistent with  $\diamond$ .

The referee has stated the surprising result

**THEOREM 4.** *If CH holds and  $\kappa < \lambda$  are regular uncountable cardinals and  $\kappa$  Cohen reals and  $\lambda$  Cohen subsets of  $\omega_1$  are added by product forcing to the universe, then  $\Phi_p$  holds in the extension.*

COROLLARY. *It is consistent with  $\neg CH$  that normal first countable spaces are  $\aleph_1$ -collectionwise Hausdorff.*

**Proof** (due in part to Juris Steprāns). Let  $V$  be a model of CH. Let  $\kappa < \lambda$  be regular uncountable cardinals. Let  $P = Fn(\kappa, 2, \omega)$  be the partial order which adds  $\kappa$  Cohen reals. Let  $Q = Fn(\lambda \times \omega_1, 2, \omega_1)$  be the partial order which adds  $\lambda$  Cohen subsets of  $\omega_1$ . Let  $V^{P \times Q} \models \text{“}F : \omega_1 \rightarrow 2 \text{ is good”}$ . Assume, without loss of generality, that  $1 \Vdash \text{“}F : \omega_1 \rightarrow 2 \text{ is good”}$ . By the  $\aleph_2$ -chain condition,  $\aleph_1 < \lambda$  and  $\kappa < \lambda$  there is  $\gamma \in \lambda$  such that  $F \in V^{P \times Q \upharpoonright \gamma \times \omega_1}$ . Let  $G$  be the generic function from  $\lambda \times \omega_1$  into 2. Let  $g : \omega_1 \rightarrow 2$  be defined in  $V^{P \times Q}$  by  $g(\alpha) = G(\gamma, \alpha)$ . We must show (1)  $V^{P \times Q} \models \text{“}\forall f : \omega_1 \rightarrow 2 \exists \text{ stationary } S : \forall \alpha \in S F(f \upharpoonright \alpha) = g(\alpha)\text{”}$ . We work in  $M = V^Q \upharpoonright (\lambda - \{\gamma\}) \times \omega_1$ .

Let  $R = Q \upharpoonright \{\gamma\} \times \omega_1$ . Note that  $F \in M^P$  and  $V^{P \times Q} = M^{P \times R}$ . If (1) is not true, then there is  $p \in P$  and  $q \in R$  such that (2)  $(p, q) \Vdash \text{“}f : \omega_1 \rightarrow 2 \text{ and } C \text{ is a closed$

unbounded set of  $\omega_1$  and  $(\forall \alpha \in C) F(f \upharpoonright \alpha) \neq g(\alpha)$ ". Without loss of generality, since  $P$  has the countable chain condition,  $C \in M^R$ . Construct a descending continuous sequence  $\{q_\alpha : \alpha \in \omega_1\} \subset R$  such that  $q_0 = q$ ;

$q_\alpha$  decides whether  $\alpha \in C$ ; there is  $\beta \geq \alpha$  such that  $(\phi, q_\alpha) \Vdash \beta \in C$  and  $(\forall \alpha \in \omega_1) \exists$  antichain  $A_\alpha \subset P : \forall p \in A_\alpha (p, q_\alpha)$  decides  $f(\alpha)$ . Let  $D = \{\alpha \in \omega_1 : (\emptyset, q_\alpha) \Vdash \alpha \in C\}$ .  $D$  is a closed unbounded set and  $D \in M$ . Let  $E \subset D$  be a closed unbounded set of limit ordinals such that (3)  $\alpha \in E$  and  $\beta < \alpha$  implies  $\text{dom } q_\beta \subset \{\gamma\} \times \alpha$ . Let  $h$  be a  $P$ -name such that

$$1 \Vdash h : \omega_1 \rightarrow 2 \quad \text{and} \quad (4) (\emptyset, q_\alpha) \Vdash h \upharpoonright \alpha = f \upharpoonright \alpha.$$

$1 \Vdash \check{E}$  is a closed unbounded set and  $F : \Omega \rightarrow 2$  is good" and  $h : \omega_1 \rightarrow 2$  implies that  $1 \Vdash (\exists \alpha \in E) F(h \upharpoonright \alpha)$  is defined". Choose  $\bar{p} \leq p$  and  $\alpha \in E$  and  $i \in 2$  such that  $(\bar{p}, \emptyset) \Vdash F(h \upharpoonright \alpha) = i$ ". This is possible since  $h \upharpoonright \alpha \in M^P$ ,  $E \in M$  and  $F \in M^P$ . By (4),  $(\bar{p}, q_\alpha) \Vdash F(f \upharpoonright \alpha) = i$ ". Let  $\bar{q} = q_\alpha \cup \{(\alpha, i)\}$ .  $\bar{q}$  is defined since  $\alpha$  is a limit ordinal,  $\{q_\alpha : \alpha \in \omega_1\}$  is continuous and (3)  $(\bar{p}, \bar{q}) \Vdash F(f \upharpoonright \alpha) = g(\alpha)$  by the definition of  $g$  and since  $\bar{q} \Vdash \bar{q} \subset G \upharpoonright \{\gamma\} \times \omega_1$ ".  $(\bar{p}, \bar{q}) \Vdash \alpha \in C$ " since  $\alpha \in D$  and  $(\emptyset, q_\alpha) \geq (\bar{p}, \bar{q})$  by the definition of  $D$ . This contradicts (2).

Note: In this model,  $\kappa > \aleph_1$  implies Ostaszewski's axiom  $\clubsuit$  is false. Moreover, whenever  $\aleph_2$ -many Cohen reals are added to a model  $M (V^{P \times Q})$  may be obtained by adding  $\aleph_2$ -many Cohen reals to  $V^{P \upharpoonright (\aleph_2 \times Q)}$ , the principle  $\exists \{S_\alpha : \alpha \in \omega_1\} \subset \mathcal{P}(\omega_1) : \forall S \subset \omega_1 \exists \alpha \in \omega_1 : S \supset S_\alpha$  is false. Otherwise, by the countable chain condition, we may assume, without loss of generality,  $\{S_\alpha : \alpha \in \omega_1\} \in M$ . Letting  $S$  be coded by the first  $\aleph_1$ -many Cohen reals provides a contradiction.

A discussion is facilitated by some definitions.

A weak  $\diamond$ -sequence is a sequence  $\{S_\alpha : \alpha \in \omega_1\}$  such that each  $S_\alpha \subset \mathcal{P}(\alpha)$  and such that, whenever  $A \subset \omega_1$ ,  $\{\alpha : A \cap \alpha \in S_\alpha\}$  is stationary.

A sequence  $\{A_\alpha : \alpha \in \omega_1\}$  refines a sequence  $\{B_\alpha : \alpha \in \omega_1\}$  iff  $A_\alpha \subset B_\alpha$  ( $\alpha \in \omega_1$ ).

A weak  $\diamond$ -sequence  $\{S_\alpha : \alpha \in \omega_1\}$  is wide iff whenever  $\{A_n : n \in \omega\}$  are subsets of  $\omega_1$ ,  $\{\alpha \in \omega_1 : n \in \omega \text{ implies } A_n \cap \alpha \in S_\alpha\}$  is stationary.

Mathias [3] has formulated  $\diamond$  for stationary systems as: each weak  $\diamond$ -sequence can be refined by a  $\diamond$ -sequence. Mathias showed that, under  $\diamond^*$ , each weak  $\diamond$ -sequence can be refined by a weak  $\diamond$ -sequence of countable sets.

Shelah [4] has shown that it is consistent with  $\diamond^+$  that there is a weak  $\diamond$ -sequence of sets of size 2 which cannot be refined by a  $\diamond$ -sequence. We have shown that, under  $\diamond^*$ , each wide weak  $\diamond$ -sequence can be refined by a wide weak  $\diamond$ -sequence of countable sets. The difference is that, under ZFC, any wide weak  $\diamond$ -sequence of countable sets can be refined by a  $\diamond$ -sequence. Let  $\Phi_P^*$  be formulated by applying  $\Phi_P$  to partial colorings  $f$  which are not necessarily good but are such that, whenever  $f : \omega_1 \rightarrow 2$ ,  $\{\alpha : F(f \upharpoonright \alpha)$  is not defined} does not contain a closed unbounded set.  $\Phi_P^*$  is not used in this paper, despite its comparative simplicity, because it is not implied by  $\diamond^*$  (or even  $\diamond^+$ ). This is

true because any weak  $\diamond$ -sequence  $\{\{S_\alpha^0, S_\alpha^1\} : \alpha \in \omega_1\}$  codes a partial coloring  $F$  defined, whenever  $A$  is a subset of  $\omega_1$  (letting  $\chi_A$  be the characteristic function of  $A$ ), by  $F(\chi_A \upharpoonright \alpha) = i$  iff  $A \cap \alpha = S_\alpha^i$  ( $i \in \alpha$ ) and because  $g : \omega_1 \rightarrow 2$  as in  $\Phi'_P$  provides the  $\diamond$ -sequence refinement  $\{S_\alpha^{g(\alpha)} : \alpha \in \omega_1\}$ .

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