

## TRANSCENDENTAL RUBAN $p$ -ADIC CONTINUED FRACTIONS

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### Abstract

We establish explicit constructions of Mahler's  $p$ -adic  $U_m$ -numbers by using Ruban  $p$ -adic continued fraction expansions of algebraic irrational  $p$ -adic numbers of degree  $m$ .

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### 1. Mahler's and Koksma's classifications of $p$ -adic numbers

Let  $p$  be a prime number and let  $|\cdot|_p$  denote the  $p$ -adic absolute value on the field  $\mathbb{Q}$  of rational numbers, normalised such that  $|p|_p = p^{-1}$ . The completion of  $\mathbb{Q}$  with respect to  $|\cdot|_p$  is the field  $\mathbb{Q}_p$  of  $p$ -adic numbers, and the unique extension of  $|\cdot|_p$  to the field  $\mathbb{Q}_p$  is denoted by the same notation  $|\cdot|_p$ . Mahler [16] gave a classification of  $p$ -adic numbers in analogy with his classification [15] of real numbers, as follows. Let  $P(x) = a_n x^n + \cdots + a_1 x + a_0$  be a nonzero polynomial in  $x$  over the ring  $\mathbb{Z}$  of rational integers. We denote by  $\deg(P)$  the degree of  $P(x)$  with respect to  $x$ . The height  $H(P)$  of  $P(x)$  is defined by  $H(P) = \max\{|a_n|, \dots, |a_1|, |a_0|\}$ , where  $|\cdot|$  denotes the usual absolute value on the field  $\mathbb{R}$  of real numbers. Let  $\xi$  be any  $p$ -adic number and let  $n, H$  be any positive rational integers. Following Bugeaud [3], set

$$w_n(H, \xi) = \min\{|P(\xi)|_p : P(x) \in \mathbb{Z}[x], \deg(P) \leq n, H(P) \leq H \text{ and } P(\xi) \neq 0\},$$

$$w_n(\xi) = \limsup_{H \rightarrow \infty} \frac{-\log(H w_n(H, \xi))}{\log H} \quad \text{and} \quad w(\xi) = \limsup_{n \rightarrow \infty} \frac{w_n(\xi)}{n}.$$

Then  $\xi$  is called:

- a  $p$ -adic  $A$ -number if  $w(\xi) = 0$ ;
- a  $p$ -adic  $S$ -number if  $0 < w(\xi) < \infty$ ;
- a  $p$ -adic  $T$ -number if  $w(\xi) = \infty$  and  $w_n(\xi) < \infty$  for  $n = 1, 2, 3, \dots$ ; and
- a  $p$ -adic  $U$ -number if  $w(\xi) = \infty$  and  $w_n(\xi) = \infty$  from some  $n$  onward.



The set of  $p$ -adic  $A$ -numbers coincides with the set of algebraic  $p$ -adic numbers. Therefore, the transcendental  $p$ -adic numbers are separated into the three disjoint classes  $S$ ,  $T$  and  $U$ . If  $\xi$  is a  $p$ -adic  $U$ -number and  $m$  is the minimum of the positive integers  $n$  satisfying  $w_n(\xi) = \infty$ , then  $\xi$  is called a  $p$ -adic  $U_m$ -number. Almiaçık [1, Ch. III, Theorem I] gave the first explicit constructions of  $p$ -adic  $U_m$ -numbers for each positive integer  $m$ . For further constructions of  $p$ -adic  $S$ -,  $T$ - and  $U$ -numbers, see [4, 5, 9, 10].

Assume that  $\alpha$  is an algebraic  $p$ -adic number. Let  $P(x)$  be the minimal polynomial of  $\alpha$  over  $\mathbb{Z}$ . Then the degree  $\deg(\alpha)$  of  $\alpha$  and the height  $H(\alpha)$  of  $\alpha$  are defined by  $\deg(\alpha) = \deg(P)$  and  $H(\alpha) = H(P)$ . Given a  $p$ -adic number  $\xi$  and positive rational integers  $n, H$ , in analogy with Koksma’s classification [12] of real numbers and as in Bugeaud [3] and Schlickewei [21]), set

$$w_n^*(H, \xi) = \min \left\{ |\xi - \alpha|_p : \begin{array}{l} \alpha \text{ is an algebraic } p\text{-adic number,} \\ \deg(\alpha) \leq n, H(\alpha) \leq H \text{ and } \alpha \neq \xi \end{array} \right\},$$

$$w_n^*(\xi) = \limsup_{H \rightarrow \infty} \frac{-\log(Hw_n^*(H, \xi))}{\log H} \quad \text{and} \quad w^*(\xi) = \limsup_{n \rightarrow \infty} \frac{w_n^*(\xi)}{n}.$$

Then  $\xi$  is called:

- a  $p$ -adic  $A^*$ -number if  $w^*(\xi) = 0$ ;
- a  $p$ -adic  $S^*$ -number if  $0 < w^*(\xi) < \infty$ ;
- a  $p$ -adic  $T^*$ -number if  $w^*(\xi) = \infty$  and  $w_n^*(\xi) < \infty$  for  $n = 1, 2, 3, \dots$ ; and
- a  $p$ -adic  $U^*$ -number if  $w^*(\xi) = \infty$  and  $w_n^*(\xi) = \infty$  from some  $n$  onward.

The set of  $p$ -adic  $A^*$ -numbers is equal to the set of algebraic  $p$ -adic numbers. Therefore, the transcendental  $p$ -adic numbers are separated into the three disjoint classes  $S^*$ ,  $T^*$  and  $U^*$ . Let  $\xi$  be a  $p$ -adic  $U^*$ -number and let  $m$  be the minimum of the positive integers  $n$  satisfying  $w_n^*(\xi) = \infty$ . Then  $\xi$  is called a  $p$ -adic  $U_m^*$ -number. Mahler’s classification of  $p$ -adic numbers is equivalent to Koksma’s classification of  $p$ -adic numbers, that is, the classes  $A, S, T$  and  $U$  are the same as the classes  $A^*, S^*, T^*$  and  $U^*$ , respectively. Furthermore, a  $p$ -adic  $U_m^*$ -number is a  $p$ -adic  $U_m$ -number and *vice versa*. (See Bugeaud [3] for further information on Mahler’s and Koksma’s classifications of  $p$ -adic numbers.)

## 2. Ruban $p$ -adic continued fractions

Ruban [20] introduced a continued fraction algorithm in  $\mathbb{Q}_p$ . In this section, we recall the Ruban  $p$ -adic continued fraction algorithm and its basic properties following the approach of Perron [19, Sections 29 and 30, pages 101–108] (see also [14, 17, 22, 23]). Let  $\xi$  be a nonzero  $p$ -adic number with the canonical expansion

$$\xi = \sum_{j=k}^{\infty} a_j p^j,$$

where  $a_j \in \{0, 1, \dots, p - 1\}$  for  $j = k, k + 1, \dots, a_k \neq 0$  and  $k$  is the rational integer such that  $|\xi|_p = p^{-k}$ . If  $k \leq 0$ , then we write  $\xi = \{\xi\} + \lfloor \xi \rfloor$ , where

$$\{\xi\} = \sum_{j=k}^0 a_j p^j \quad \text{and} \quad \lfloor \xi \rfloor = \sum_{j=1}^{\infty} a_j p^j.$$

If  $k > 0$ , then we write  $\xi = \{\xi\} + \lfloor \xi \rfloor$ , where

$$\{\xi\} = 0 \quad \text{and} \quad \lfloor \xi \rfloor = \sum_{j=k}^{\infty} a_j p^j.$$

Further, we write  $0 = \{0\} + \lfloor 0 \rfloor$ , where  $\{0\} = \lfloor 0 \rfloor = 0$ . Then, for each *p*-adic number  $\xi$ ,  $\{\xi\}$  and  $\lfloor \xi \rfloor$  are uniquely determined. Let  $b_0, b_1, b_2, \dots$  be nonnegative rational numbers with

$$b_0 \in \{\{\xi\} : \xi \in \mathbb{Q}_p\} \quad \text{and} \quad b_v \in \{\{\xi\} : \xi \in \mathbb{Q}_p, |\xi|_p \geq p\} \quad (v = 1, 2, 3, \dots).$$

A finite Ruban *p*-adic continued fraction  $[b_0, b_1, \dots, b_n]_p$  is defined by

$$[b_0, b_1, \dots, b_n]_p = b_0 + \frac{1}{b_1 + \frac{1}{\ddots + \frac{1}{b_n}}}.$$

Then we have the following properties.

$$[b_0]_p = b_0, \quad [b_0, b_1]_p = b_0 + \frac{1}{b_1},$$

$$[b_0, b_1, \dots, b_n]_p = \left[ b_0, b_1, \dots, b_{n-2}, b_{n-1} + \frac{1}{b_n} \right]_p = [b_0, b_1, \dots, b_{m-1}, [b_m, \dots, b_n]_p]_p,$$

$$[b_0, b_1, \dots, b_n]_p = b_0 + \frac{1}{[b_1, \dots, b_n]_p}.$$

Hence,  $[b_0, b_1, \dots, b_n]_p$  is a nonnegative rational number, and the numbers  $b_v$  ( $v = 0, 1, \dots, n$ ) are called the partial quotients of the Ruban *p*-adic continued fraction  $[b_0, b_1, \dots, b_n]_p$ . Define the nonnegative rational numbers  $p_v$  and  $q_v$  by

$$\begin{cases} p_{-2} = 0, & p_{-1} = 1, & p_v = b_v p_{v-1} + p_{v-2} & (v = 0, 1, 2, \dots), \\ q_{-2} = 1, & q_{-1} = 0, & q_v = b_v q_{v-1} + q_{v-2} & (v = 0, 1, 2, \dots). \end{cases} \tag{2.1}$$

By induction,

$$[b_0, b_1, \dots, b_n]_p = \frac{p_n}{q_n} \quad (n = 0, 1, 2, \dots).$$

The nonnegative rational numbers  $p_0/q_0, p_1/q_1, \dots, p_n/q_n$  are called the convergents of the Ruban  $p$ -adic continued fraction  $[b_0, b_1, \dots, b_n]_p$ ;  $p_\nu/q_\nu$  ( $\nu = 0, 1, \dots, n$ ) is called the  $\nu$ th convergent of  $[b_0, b_1, \dots, b_n]_p$ . By induction,

$$p_\nu q_{\nu-1} - p_{\nu-1} q_\nu = (-1)^{\nu-1} \quad (\nu = -1, 0, 1, \dots). \tag{2.2}$$

From (2.1),

$|q_n|_p = |b_1|_p \cdot |b_2|_p \cdots |b_n|_p$  and  $|p_n|_p = |b_0|_p \cdot |b_1|_p \cdots |b_n|_p = |b_0|_p \cdot |q_n|_p$  (if  $b_0 \neq 0$ ) for  $n = 1, 2, 3, \dots$ . As  $|b_\nu|_p \geq p$  ( $\nu = 1, 2, 3, \dots$ ), we have  $|q_{n+1}|_p > |q_n|_p$  and  $|p_{n+1}|_p > |p_n|_p$  for  $n = 1, 2, 3, \dots$ . Therefore,

$$\lim_{n \rightarrow \infty} |q_n|_p = \infty \quad \text{and} \quad \lim_{n \rightarrow \infty} |p_n|_p = \infty.$$

By (2.2),

$$\left| \frac{p_n}{q_n} - \frac{p_{n-1}}{q_{n-1}} \right|_p = \frac{1}{|q_n|_p \cdot |q_{n-1}|_p} \quad (n = 1, 2, 3, \dots).$$

Then

$$\lim_{n \rightarrow \infty} \left| \frac{p_n}{q_n} - \frac{p_{n-1}}{q_{n-1}} \right|_p = 0.$$

Thus,  $\{p_n/q_n\}_{n=0}^\infty$  is a Cauchy sequence in  $\mathbb{Q}_p$  and has a limit in  $\mathbb{Q}_p$ . An infinite Ruban  $p$ -adic continued fraction  $[b_0, b_1, b_2, \dots]_p$  is defined as the limit of the sequence  $\{p_n/q_n\}_{n=0}^\infty$ , that is,

$$[b_0, b_1, b_2, \dots]_p := \lim_{n \rightarrow \infty} \frac{p_n}{q_n} = \lim_{n \rightarrow \infty} [b_0, b_1, \dots, b_n]_p.$$

Further, for  $\xi \in \mathbb{Q}_p \setminus \{0\}$ ,

$$[b_0, \dots, b_n, \xi]_p = \frac{p_n \cdot \xi + p_{n-1}}{q_n \cdot \xi + q_{n-1}} \quad (n = 0, 1, 2, \dots). \tag{2.3}$$

Let  $\xi_0$  be a  $p$ -adic number. If  $\xi_0 \neq \{\xi_0\}$ , then we write

$$\xi_0 = b_0 + \frac{1}{\xi_1},$$

where  $b_0 = \{\xi_0\}$ ,  $\xi_1 = 1/\{\xi_0\}$ ,  $|\xi_1|_p \geq p$  and  $\{\xi_1\} \neq 0$ . If  $\xi_1 \neq \{\xi_1\}$ , then we write

$$\xi_1 = b_1 + \frac{1}{\xi_2},$$

where  $b_1 = \{\xi_1\}$ ,  $\xi_2 = 1/\{\xi_1\}$ ,  $|\xi_2|_p \geq p$  and  $\{\xi_2\} \neq 0$ . If the process continues, then

$$\xi_\nu = b_\nu + \frac{1}{\xi_{\nu+1}} \quad (\nu \geq 0), \tag{2.4}$$

where  $b_\nu = \{\xi_\nu\}$  ( $\nu \geq 0$ ) and  $\xi_{\nu+1} = 1/\{\xi_\nu\}$  ( $\nu \geq 0$ ), and

$$|\xi_\nu|_p = |b_\nu|_p \geq p \quad (\nu \geq 1).$$

The  $p$ -adic numbers  $\xi_1, \xi_2, \dots$  are called complete quotients, and the nonnegative rational numbers  $b_0, b_1, b_2, \dots$  are called partial quotients. It follows from (2.4) that

$$\xi_0 = [b_0, \xi_1]_p = [b_0, b_1, \xi_2]_p = [b_0, b_1, \dots, b_n, \xi_{n+1}]_p \quad (2.5)$$

and

$$\xi_\nu = [b_\nu, b_{\nu+1}, \dots, b_n, \xi_{n+1}]_p \quad (\nu = 0, 1, \dots, n).$$

By (2.5), (2.3) and (2.2),

$$\xi_0 - \frac{p_n}{q_n} = \frac{p_n \xi_{n+1} + p_{n-1}}{q_n \xi_{n+1} + q_{n-1}} - \frac{p_n}{q_n} = \frac{(-1)^n}{q_n(q_n \xi_{n+1} + q_{n-1})}.$$

Then

$$\left| \xi_0 - \frac{p_n}{q_n} \right|_p = \frac{1}{|\xi_{n+1}|_p \cdot |q_n|_p^2} = \frac{1}{|b_{n+1}|_p \cdot |q_n|_p^2} = \frac{1}{|q_{n+1}|_p \cdot |q_n|_p} < \frac{1}{|q_n|_p^2}. \quad (2.6)$$

We now have two cases to consider.

*Case (i).* Some  $\xi_{n+1}$  appears with  $\xi_{n+1} = \{\xi_{n+1}\} = b_{n+1}$  and the process stops with  $\xi_{n+1} = b_{n+1}$ . Then it follows from (2.5) that

$$\xi_0 = [b_0, b_1, \dots, b_n, b_{n+1}]_p.$$

*Case (ii).*  $\xi_{n+1} \neq \{\xi_{n+1}\}$  for every  $n \geq -1$  and the process never stops. Then it follows from (2.6) that

$$\xi_0 = \lim_{n \rightarrow \infty} \frac{p_n}{q_n} = \lim_{n \rightarrow \infty} [b_0, b_1, \dots, b_n]_p = [b_0, b_1, b_2, \dots]_p.$$

The Ruban continued fraction expansion of a  $p$ -adic number is unique because the canonical expansion of a  $p$ -adic number is unique. Laohakosol [14] and Wang [22] proved that a  $p$ -adic number is rational if and only if its Ruban continued fraction expansion is finite or ultimately periodic with the period  $p - p^{-1}$ . Ooto [17] recently proved that an analogue of Lagrange's theorem does not hold for the Ruban  $p$ -adic continued fraction: that is, there are quadratic irrational  $p$ -adic numbers whose Ruban continued fraction expansions are not ultimately periodic.

### 3. Our main results

Almaçık [2, Theorem] gave a construction of real  $U_m$ -numbers by using continued fraction expansions of algebraic irrational real numbers of degree  $m$ . In the present paper, we establish the following  $p$ -adic analogue.

**THEOREM 3.1.** *Let  $\alpha$  be an algebraic irrational  $p$ -adic number with  $|\alpha|_p \geq 1$  and the Ruban  $p$ -adic continued fraction expansion*

$$\alpha = [a_0, a_1, a_2, \dots]_p. \quad (3.1)$$

Let  $(r_n)_{n=0}^\infty$  and  $(s_n)_{n=0}^\infty$  be two infinite sequences of nonnegative rational integers such that

$$0 = r_0 < s_0 < r_1 < s_1 < r_2 < s_2 < r_3 < s_3 < \dots \quad \text{and} \quad r_{n+1} - s_n \geq 2.$$

Denote by  $p_n/q_n$  ( $n = 0, 1, 2, \dots$ ) the  $n$ th convergent of the Ruban  $p$ -adic continued fraction (3.1). Assume that

$$\lim_{n \rightarrow \infty} \frac{\log |q_{s_n}|_p}{\log |q_{r_n}|_p} = \infty \tag{3.2}$$

and

$$\limsup_{n \rightarrow \infty} \frac{\log |q_{r_{n+1}}|_p}{\log |q_{s_n}|_p} < \infty. \tag{3.3}$$

Define the rational numbers  $b_j$  ( $j = 0, 1, 2, \dots$ ) by

$$b_j = \begin{cases} a_j & \text{if } r_n \leq j \leq s_n \quad (n = 0, 1, 2, \dots), \\ v_j & \text{if } s_n < j < r_{n+1} \quad (n = 0, 1, 2, \dots), \end{cases} \tag{3.4}$$

where  $v_j$  is a rational number of the form

$$v_j = c_{-d}p^{-d} + c_{-d+1}p^{-d+1} + \dots + c_{-1}p^{-1} + c_0.$$

Here,  $d \in \mathbb{Z}$ ,  $d > 0$ ,  $c_{-d} \neq 0$  and  $c_i \in \{0, 1, \dots, p - 1\}$  for  $i = -d, -d + 1, \dots, -1, 0$ . Note that  $|v_j|_p \geq p$ . Suppose that  $|v_j|_p \leq \kappa_1 |a_j|_p^{\kappa_2}$  and  $\sum_{j=s_n+1}^{r_{n+1}-1} |a_j - v_j|_p \neq 0$ , where  $\kappa_1$  and  $\kappa_2$  are fixed positive rational integers. Then the irrational  $p$ -adic number  $\xi = [b_0, b_1, b_2, \dots]_p$  is a  $p$ -adic  $U_m$ -number, where  $m$  denotes the degree of the algebraic irrational  $p$ -adic number  $\alpha$ .

**REMARK 3.2.** Let  $\mathbb{F}_q$  be the finite field with  $q$  elements and let  $\mathbb{F}_q((x^{-1}))$  be the field of formal power series over  $\mathbb{F}_q$ . In  $\mathbb{F}_q((x^{-1}))$ , Can and Kekeç [6, Theorem 1.1] recently established the formal power series analogue of Almiaçık [2, Theorem].

Recently, Kekeç [11, Theorem 1.5] modified the hypotheses in Almiaçık [2, Theorem] and gave a construction of transcendental real numbers that are not  $U$ -numbers by using continued fraction expansions of irrational algebraic real numbers. Our second main result in the present paper is the following partial  $p$ -adic analogue of Kekeç [11, Theorem 1.5].

**THEOREM 3.3.** Let  $\alpha$  be an algebraic  $p$ -adic number of degree  $m \geq 2$  with  $|\alpha|_p \geq 1$  and the Ruban  $p$ -adic continued fraction expansion

$$\alpha = [a_0, a_1, a_2, \dots]_p.$$

Let  $(r_n)_{n=0}^\infty$  and  $(s_n)_{n=0}^\infty$  be two infinite sequences of nonnegative rational integers such that

$$0 = r_0 < s_0 < r_1 < s_1 < r_2 < s_2 < r_3 < s_3 < \dots \quad \text{and} \quad r_{n+1} - s_n \geq 2.$$

Denote by  $p_n/q_n$  ( $n = 0, 1, 2, \dots$ ) the  $n$ th convergent of the Ruban *p*-adic continued fraction  $\alpha$ . Define the rational numbers  $b_j$  ( $j = 0, 1, 2, \dots$ ) by

$$b_j = \begin{cases} a_j & \text{if } r_n \leq j \leq s_n \quad (n = 0, 1, 2, \dots), \\ v_j & \text{if } s_n < j < r_{n+1} \quad (n = 0, 1, 2, \dots), \end{cases} \tag{3.5}$$

where  $v_j$  is a rational number of the form

$$v_j = c_{-d}p^{-d} + c_{-d+1}p^{-d+1} + \dots + c_{-1}p^{-1} + c_0.$$

Here  $d \in \mathbb{Z}$ ,  $d > 0$ ,  $c_{-d} \neq 0$  and  $c_i \in \{0, 1, \dots, p - 1\}$  for  $i = -d, -d + 1, \dots, -1, 0$ . Note that  $|v_j|_p \geq p$ . Suppose that  $|v_j|_p \leq \kappa_1|a_j|_p^{\kappa_2}$  and  $\sum_{j=s_n+1}^{r_{n+1}-1} |a_j - v_j|_p \neq 0$ , where  $\kappa_1$  and  $\kappa_2$  are fixed positive rational integers. Assume that

$$\liminf_{n \rightarrow \infty} \frac{\log |q_{s_n}|_p}{\log |q_{r_n}|_p} > 2 + 4m \left( m + \kappa_2 + \frac{\log \kappa_1}{\log 2} \right). \tag{3.6}$$

Then the irrational *p*-adic number  $\xi = [b_0, b_1, b_2, \dots]_p$  is transcendental.

In the next section, we cite some auxiliary results that we need to prove our results. In Section 5, we prove Theorems 3.1 and 3.3.

### 4. Auxiliary results

The following lemma is a *p*-adic analogue of Almiaçık [2, Lemma IV].

**LEMMA 4.1.** *Let  $p/q$  and  $u/v$  be two rational numbers with Ruban *p*-adic continued fraction expansions*

$$\frac{p}{q} = [a_0, a_1, \dots, a_n]_p \quad \text{and} \quad \frac{u}{v} = [b_0, b_1, \dots, b_n]_p \quad (|a_0|_p \geq 1, |b_0|_p \geq 1).$$

Assume that

$$|b_j|_p \leq \kappa_1|a_j|_p^{\kappa_2} \quad (j = 0, 1, \dots, n), \tag{4.1}$$

where  $\kappa_1$  and  $\kappa_2$  are fixed positive rational integers. Then

$$|u|_p \leq |a_0|_p^{\kappa_2} \kappa_1 |q|_p^{\kappa_2 + \log \kappa_1 / \log 2}.$$

**PROOF.** It follows from (4.1) that

$$|u|_p = |b_0|_p \cdot |b_1|_p \cdots |b_n|_p \leq \kappa_1^{n+1} \cdot (|a_0|_p \cdot |a_1|_p \cdots |a_n|_p)^{\kappa_2}.$$

As  $|q|_p = |a_1|_p \cdots |a_n|_p \geq p^n \geq 2^n$ ,

$$|u|_p \leq (2^{n+1})^{\log \kappa_1 / \log 2} |a_0|_p^{\kappa_2} |q|_p^{\kappa_2} \leq |a_0|_p^{\kappa_2} \kappa_1 |q|_p^{\kappa_2 + \log \kappa_1 / \log 2}. \quad \square$$

**THEOREM 4.2** (Içen [8, page 25] and [7, Lemma 1, page 71]). *Let  $L$  be a *p*-adic algebraic number field of degree  $m$  and let  $\alpha_1, \dots, \alpha_k$  be algebraic *p*-adic numbers in  $L$ . Let  $\eta$  be any algebraic *p*-adic number. Suppose that  $F(\eta, \alpha_1, \dots, \alpha_k) = 0$ , where*

$F(x, x_1, \dots, x_k)$  is a polynomial in  $x, x_1, \dots, x_k$  over  $\mathbb{Z}$  with degree at least one in  $x$ . Then

$$H(\eta) \leq 3^{2dm+(l_1+\dots+l_k)m} H^m H(\alpha_1)^{l_1m} \dots H(\alpha_k)^{l_k m},$$

where  $d$  is the degree of  $F(x, x_1, \dots, x_k)$  in  $x$ ,  $l_i$  is the degree of  $F(x, x_1, \dots, x_k)$  in  $x_i$  ( $i = 1, \dots, k$ ) and  $H$  is the maximum of the usual absolute values of the coefficients of  $F(x, x_1, \dots, x_k)$ .

**LEMMA 4.3** (Pejkovic [18, Lemma 2.5]). *Let  $\alpha_1$  and  $\alpha_2$  be two distinct algebraic  $p$ -adic numbers. Then*

$$|\alpha_1 - \alpha_2|_p \geq (\deg(\alpha_1) + 1)^{-\deg(\alpha_2)} (\deg(\alpha_2) + 1)^{-\deg(\alpha_1)} H(\alpha_1)^{-\deg(\alpha_2)} H(\alpha_2)^{-\deg(\alpha_1)}.$$

**LEMMA 4.4** (Ooto [17, Lemma 7 and page 1058]). *Let  $\alpha$  be a  $p$ -adic number with  $|\alpha|_p \geq 1$  and let  $p_n/q_n$  be the  $n$ th convergent of its Ruban  $p$ -adic continued fraction expansion. Then  $p_n \leq |p_n|_p$ ,  $q_n \leq |q_n|_p$  and*

$$p_n \cdot |p_n|_p \in \mathbb{Z} \quad q_n \cdot |q_n|_p \in \mathbb{Z}.$$

**THEOREM 4.5** (Lang [13, page 32]). *Let  $K$  be a  $p$ -adic algebraic number field and let  $\alpha$  be any algebraic  $p$ -adic number. Then, for each  $\varepsilon > 0$ , the inequality*

$$|\alpha - \beta|_p < \frac{1}{H(\beta)^{2+\varepsilon}}$$

has only finitely many solutions  $\beta$  in  $K$ .

### 5. Proofs of Theorems 3.1 and 3.3

**PROOF OF THEOREM 3.1.** We prove Theorem 3.1 by adapting the method of the proof of Almiaçık [2, Theorem] to the non-Archimedean  $p$ -adic case. Define the algebraic  $p$ -adic numbers

$$\alpha_{r_n} := [b_0, b_1, \dots, b_{r_n}, a_{r_n+1}, a_{r_n+2}, \dots]_p \in \mathbb{Q}(\alpha) \quad (n = 0, 1, 2, \dots)$$

and

$$\beta_{r_n} := [a_{r_n+1}, a_{r_n+2}, \dots]_p \in \mathbb{Q}(\alpha) \quad (n = 0, 1, 2, \dots).$$

Then  $\deg(\alpha_{r_n}) = \deg(\beta_{r_n}) = m$  ( $n = 0, 1, 2, \dots$ ). By (2.3),

$$\alpha = [a_0, a_1, \dots, a_{r_n}, \beta_{r_n}]_p = \frac{p_{r_n} \beta_{r_n} + p_{r_n-1}}{q_{r_n} \beta_{r_n} + q_{r_n-1}} \quad (n = 0, 1, 2, \dots)$$

and thus

$$\alpha q_{r_n} \beta_{r_n} + \alpha q_{r_n-1} - p_{r_n} \beta_{r_n} - p_{r_n-1} = 0 \quad (n = 0, 1, 2, \dots).$$

Therefore,  $F(\beta_{r_n}, \alpha) = 0$ , where, by Lemma 4.4,

$$F(x, x_1) = |p_{r_n}|_p q_{r_n} x_1 x + |p_{r_n}|_p q_{r_n-1} x_1 - |p_{r_n}|_p p_{r_n} x - |p_{r_n}|_p p_{r_n-1}$$



is a polynomial in  $x, x_1$  over  $\mathbb{Z}$ . It follows from Theorem 4.2 and Lemma 4.4 that

$$H(\beta_{r_n}) \leq c_1 |q_{r_n}|_p^{2m}, \tag{5.1}$$

where  $c_1 = 3^{3m} |a_0|_p^{2m} H(\alpha)^m$ . Set

$$\frac{p'_n}{q'_n} := [b_0, b_1, \dots, b_n]_p \quad (n = 0, 1, 2, \dots).$$

Then

$$\alpha_{r_n} = [b_0, b_1, \dots, b_{r_n}, \beta_{r_n}]_p = \frac{p'_{r_n} \beta_{r_n} + p'_{r_n-1}}{q'_{r_n} \beta_{r_n} + q'_{r_n-1}} \quad (n = 0, 1, 2, \dots)$$

and

$$\alpha_{r_n} q'_{r_n} \beta_{r_n} + \alpha_{r_n} q'_{r_n-1} - p'_{r_n} \beta_{r_n} - p'_{r_n-1} = 0 \quad (n = 0, 1, 2, \dots).$$

Thus,  $F(\alpha_{r_n}, \beta_{r_n}) = 0$ , where, by Lemma 4.4,

$$F(x, x_1) = |p'_{r_n}|_p q'_{r_n} x_1 x + |p'_{r_n}|_p q'_{r_n-1} x - |p'_{r_n}|_p p'_{r_n} x_1 - |p'_{r_n}|_p p'_{r_n-1}$$

is a polynomial in  $x, x_1$  over  $\mathbb{Z}$ . It follows from Theorem 4.2, Lemma 4.4 and (5.1) that

$$H(\alpha_{r_n}) \leq 3^{3m} |p'_{r_n}|_p^{2m} c_1^m |q_{r_n}|_p^{2m^2}. \tag{5.2}$$

From (3.4),

$$|b_j|_p \leq \kappa_1 |a_j|_p^{\kappa_2} \quad (j = 0, 1, 2, \dots).$$

By Lemma 4.1,

$$|p'_{r_n}|_p \leq |a_0|_p^{\kappa_2} \kappa_1 |q_{r_n}|_p^{\kappa_2 + \log \kappa_1 / \log 2} \quad (n = 0, 1, 2, \dots). \tag{5.3}$$

Using (5.2), (5.3) and  $\lim_{n \rightarrow \infty} |q_{r_n}|_p = \infty$ , we obtain, for sufficiently large  $n$ ,

$$H(\alpha_{r_n}) \leq |q_{r_n}|_p^{c_2}, \tag{5.4}$$

where  $c_2 = 1 + (m + \kappa_2 + \log \kappa_1 / \log 2) 2m$ .

We approximate  $\xi$  by the algebraic *p*-adic numbers  $\alpha_{r_n}$ . We infer from (2.6) and (3.4) that

$$|\xi - \alpha_{r_n}|_p \leq \max \left\{ \left| \xi - \frac{p'_{s_n}}{q'_{s_n}} \right|_p, \left| \alpha_{r_n} - \frac{p'_{s_n}}{q'_{s_n}} \right|_p \right\} < \frac{1}{|q'_{s_n}|_p^2} \quad (n = 0, 1, 2, \dots). \tag{5.5}$$

Put

$$\frac{d_{r_n}}{e_{r_n}} := [a_{r_n+1}, a_{r_n+2}, \dots, a_{s_n}]_p = [b_{r_n+1}, b_{r_n+2}, \dots, b_{s_n}]_p.$$

We have

$$\frac{p_{s_n}}{q_{s_n}} = [a_0, a_1, \dots, a_{r_n}, a_{r_n+1}, a_{r_n+2}, \dots, a_{s_n}]_p$$

and

$$\frac{p'_{s_n}}{q'_{s_n}} = [b_0, b_1, \dots, b_{r_n}, b_{r_n+1}, b_{r_n+2}, \dots, b_{s_n}]_p.$$

Then

$$|q_{s_n}|_p = |a_1 \cdots a_{r_n}|_p |a_{r_n+1} \cdots a_{s_n}|_p = |q_{r_n}|_p |a_{r_n+1}|_p |e_{r_n}|_p$$

and

$$|q'_{s_n}|_p = |b_1 \cdots b_{r_n+1}|_p |b_{r_n+2} \cdots b_{s_n}|_p > |e_{r_n}|_p.$$

Therefore,

$$|q_{s_n}|_p < |a_{r_n+1}|_p |q_{r_n}|_p |q'_{s_n}|_p \quad (n = 0, 1, 2, \dots). \tag{5.6}$$

It follows from Lemmas 4.3 and 4.4 that

$$\left| \alpha - \frac{p_{r_n}}{q_{r_n}} \right|_p \geq \frac{1}{c_3 |q_{r_n}|_p^{2m}}, \tag{5.7}$$

where  $c_3 = (m + 1)2^m H(\alpha) |a_0|_p^{2m}$ . On the other hand, by (2.6),

$$\left| \alpha - \frac{p_{r_n}}{q_{r_n}} \right|_p = \frac{1}{|a_{r_n+1}|_p |q_{r_n}|_p^2} \quad (n = 0, 1, 2, \dots). \tag{5.8}$$

Combining (5.6), (5.7) and (5.8), we get

$$|q_{s_n}|_p < c_3 |q_{r_n}|_p^{2m-1} |q'_{s_n}|_p. \tag{5.9}$$

By (3.2) and (5.9),

$$c_3 |q_{r_n}|_p^{2m-1} \leq |q'_{s_n}|_p$$

for sufficiently large  $n$ . So, for sufficiently large  $n$ ,

$$|q_{s_n}|_p < |q'_{s_n}|_p^2. \tag{5.10}$$

We see from (3.2), (5.4), (5.5) and (5.10) that

$$0 < |\xi - \alpha_{r_n}|_p < \frac{1}{|q_{s_n}|_p} \leq \frac{1}{H(\alpha_{r_n})^{\phi_n}}$$

for sufficiently large  $n$ , where

$$\phi_n = \frac{\log |q_{s_n}|_p}{c_2 \log |q_{r_n}|_p} \quad \text{and} \quad \lim_{n \rightarrow \infty} \phi_n = \infty.$$

As  $\deg(\alpha_{r_n}) = m$  ( $n = 0, 1, 2, \dots$ ), this shows that  $\xi$  is a  $p$ -adic  $U^*$ -number with

$$w_m^*(\xi) = \infty. \tag{5.11}$$

We wish to show that  $\xi$  is a  $p$ -adic  $U_m^*$ -number. We must prove that  $w_t^*(\xi) < \infty$  for  $t = 1, \dots, m - 1$ . Let  $\beta$  be any algebraic  $p$ -adic number with  $1 \leq \deg(\beta) \leq m - 1$  and with sufficiently large height  $H(\beta)$ . We deduce from Lemma 4.3 and (5.4) that

$$|\alpha_{r_n} - \beta|_p \geq \frac{1}{c_4 |q_{r_n}|_p^{c_5} H(\beta)^m} \tag{5.12}$$

for sufficiently large  $n$ , where  $c_4 = (m + 1)^{m-1} m^m$  and  $c_5 = c_2(m - 1)$ . By (3.3), there exists a real number  $T > 1$  such that

$$|q_{s_n}|_p^T \geq |q_{r_{n+1}}|_p \tag{5.13}$$

for sufficiently large  $n$ . We have

$$|\xi - \beta|_p = |(\xi - \alpha_{r_n}) + (\alpha_{r_n} - \beta)|_p. \tag{5.14}$$

From (5.5), (5.10) and (5.13), for sufficiently large  $n$ ,

$$|\xi - \alpha_{r_n}|_p < \frac{1}{|q'_{s_n}|_p^2} < \frac{1}{|q_{s_n}|_p} \leq \frac{1}{|q_{r_{n+1}}|_p^{1/T}}. \tag{5.15}$$

Let  $i$  be the unique positive rational integer satisfying  $|q_{r_i}|_p \leq H(\beta) < |q_{r_{i+1}}|_p$ . Put  $T_1 := T(m + c_5 + 1)$ . If  $|q_{r_i}|_p \leq H(\beta) < |q_{r_{i+1}}|_p^{1/T_1}$ , then it follows from (5.12), (5.14) and (5.15) with  $n = i$  that

$$|\xi - \beta|_p \geq \frac{1}{c_4 H(\beta)^{m+c_5}}. \tag{5.16}$$

If  $|q_{r_{i+1}}|_p^{1/T_1} \leq H(\beta) < |q_{r_{i+1}}|_p$ , then it follows from (3.2), (5.12), (5.14) and (5.15) with  $n = i + 1$  that

$$|\xi - \beta|_p \geq \frac{1}{c_4 H(\beta)^{m+c_5 T_1}}. \tag{5.17}$$

We deduce from (5.16) and (5.17) that

$$|\xi - \beta|_p \geq \frac{1}{c_4 H(\beta)^{m+c_5 T_1}}$$

for all algebraic  $p$ -adic numbers  $\beta$  with  $\deg(\beta) \leq m - 1$  and with sufficiently large height  $H(\beta)$ . This gives

$$w_t^*(\xi) < \infty \quad (t = 1, \dots, m - 1). \tag{5.18}$$

We infer from (5.11) and (5.18) that  $\xi$  is a  $p$ -adic  $U_m^*$ -number. As the set of  $p$ -adic  $U_m$ -numbers is equal to the set of  $p$ -adic  $U_m^*$ -numbers,  $\xi$  is a  $p$ -adic  $U_m$ -number.  $\square$

**EXAMPLE 5.1.** This example illustrates Theorem 3.1. In Theorem 3.1, take the algebraic  $p$ -adic number  $\alpha$  as the quadratic irrational

$$\alpha = [a_0, a_1, a_2, \dots]_p = [p^{-2}, p^{-2}, p^{-2}, \dots]_p$$

and the sequences  $(r_n)_{n=0}^\infty$  and  $(s_n)_{n=0}^\infty$  as

$$r_0 = 0, r_n = 2(n + 1)! \quad (n = 1, 2, 3, \dots) \quad \text{and} \quad s_n = (n + 2)! \quad (n = 0, 1, 2, \dots).$$

Define the rational numbers  $b_j$  ( $j = 0, 1, 2, \dots$ ) by

$$b_j = \begin{cases} p^{-2} & \text{if } r_n \leq j \leq s_n \quad (n = 0, 1, 2, \dots), \\ p^{-4} & \text{if } s_n < j < r_{n+1} \quad (n = 0, 1, 2, \dots). \end{cases}$$

Take  $\kappa_1 = 1$  and  $\kappa_2 = 2$ . Then all the conditions of Theorem 3.1 are satisfied and therefore the irrational  $p$ -adic number  $\xi = [b_0, b_1, b_2, \dots]_p$  is a  $p$ -adic  $U_2$ -number.

**REMARK 5.2.** In Theorem 3.1, if we replace  $\lim_{n \rightarrow \infty} (\log |q_{s_n}|_p / \log |q_{r_n}|_p) = \infty$  by

$$\liminf_{n \rightarrow \infty} \frac{\log |q_{s_n}|_p}{\log |q_{r_n}|_p} > T(1 + m + c_5 T_1) \quad \text{and} \quad \limsup_{n \rightarrow \infty} \frac{\log |q_{s_n}|_p}{\log |q_{r_n}|_p} = \infty,$$

then we see from the proof that Theorem 3.1 still holds true.

**PROOF OF THEOREM 3.3.** We replace (3.2) by (3.6) and keep all the lines of the proof of Theorem 3.1 up to (5.10). By (3.6), there exists a positive real number  $\varepsilon$  such that

$$\frac{\log |q_{s_n}|_p}{\log |q_{r_n}|_p} > (2 + \varepsilon)c_2 \quad (5.19)$$

for sufficiently large  $n$ . We deduce from (5.4), (5.5), (5.10) and (5.19) that

$$0 < |\xi - \alpha_{r_n}|_p < \frac{1}{H(\alpha_{r_n})^{2+\varepsilon}}$$

for sufficiently large  $n$ . It follows from the definition of  $\alpha_{r_n}$  and (3.5) that the algebraic  $p$ -adic numbers  $\alpha_{r_n}$  in  $\mathbb{Q}(\alpha)$  are all distinct. Then, by Theorem 4.5, the irrational  $p$ -adic number  $\xi$  is transcendental.  $\square$

Finally, we pose the following question.

**PROBLEM 5.3.** Does an exact analogue of Kekeç [11, Theorem 1.5] hold in  $\mathbb{Q}_p$ ?

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