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## $2n^2$ -inequality for $cA_1$ points and applications to birational rigidity

Igor Krylov , Takuzo Okada , Erik Paemurru  and Jihun Park 

Compositio Math. **160** (2024), 1551–1595.

[doi:10.1112/S0010437X24007164](https://doi.org/10.1112/S0010437X24007164)



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# $2n^2$ -inequality for $cA_1$ points and applications to birational rigidity

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## ABSTRACT

The  $4n^2$ -inequality for smooth points plays an important role in the proofs of birational (super)rigidity. The main aim of this paper is to generalize such an inequality to terminal singular points of type  $cA_1$ , and obtain a  $2n^2$ -inequality for  $cA_1$  points. As applications, we prove birational (super)rigidity of sextic double solids, many other prime Fano 3-fold weighted complete intersections, and del Pezzo fibrations of degree 1 over  $\mathbb{P}^1$  satisfying the  $K^2$ -condition, all of which have at most terminal  $cA_1$  singularities and terminal quotient singularities. These give first examples of birationally (super)rigid Fano 3-folds and del Pezzo fibrations admitting a  $cA_1$  point which is not an ordinary double point.

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Received 30 May 2022, accepted in final form 8 January 2024.

*2020 Mathematics Subject Classification* 14J45, 14E08 (primary).

*Keywords:* Fano varieties, birational rigidity, rationality.

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## 1. Introduction

Throughout the paper we work over the field  $\mathbb{C}$  of complex numbers.

### 1.1 $2n^2$ -inequality for $cA_1$ points

Birational (super)rigidity of a Mori fiber space is roughly an essential uniqueness of the Mori fiber space structure in its birational equivalence class (see Definition 2.3 for the precise definition). Birational non-(super)rigidity of a Mori fiber space implies the existence of a non-biregular birational map to a Mori fiber space, and this further implies the existence of a mobile linear system that is highly singular. In order to prove the birational (super)rigidity of a given Mori fiber space, one has to exclude the possibility of the existence of such a highly singular mobile linear system. The  $4n^2$ -inequality, which is stated in the following, is quite useful in this context, and it is one of the most important ingredients in the proofs of birational (super)rigidity of Mori fiber spaces.

**THEOREM 1.1** ( $4n^2$ -inequality [Puk13, Theorem 2.1]). *Let  $\mathfrak{p} \in X$  be the germ of a smooth 3-fold. Let  $\mathcal{M}$  be a mobile linear system on  $X$  and let  $n$  be a positive rational number. If  $\mathfrak{p}$  is a center of non-canonical singularities of the pair  $(X, (1/n)\mathcal{M})$ , then for general members  $D_1, D_2$  in  $\mathcal{M}$  we have*

$$\text{mult}_{\mathfrak{p}} D_1 \cdot D_2 > 4n^2.$$

To the best of the authors' knowledge, there is no known example of a birationally (super)rigid 3-dimensional Mori fiber space admitting a singularity other than quotient singularities and ordinary double points. This is mainly because of the lack of a local inequality for singular points that is similar to  $4n^2$ -inequality.

In this paper we consider a 3-fold terminal singularity  $\mathfrak{p} \in X$  of type  $cA_k$  which is, by definition, an isolated hypersurface singularity whose general hyperplane section is the Du Val singularity of type  $A_k$ . Our first goal is to obtain a similar inequality for singular points of type  $cA_1$ .

**THEOREM 1.2** ( $2n^2$ -inequality for  $cA_1$  points). *Let  $\mathfrak{p} \in X$  be the germ of a  $cA_1$  singularity. Let  $\mathcal{M}$  be a mobile linear system on  $X$  and let  $n$  be a positive rational number. If  $\mathfrak{p}$  is a center of non-canonical singularities of the pair  $(X, (1/n)\mathcal{M})$ , then for general members  $D_1, D_2$  in  $\mathcal{M}$  we have*

$$\text{mult}_{\mathfrak{p}}(D_1 \cdot D_2) > 2n^2.$$

As applications, we give first examples of birationally (super)rigid Fano 3-folds and del Pezzo fibrations admitting  $cA_1$  points.

### 1.2 Applications to birational rigidity

We explain applications of the 2n<sup>2</sup>-inequality for cA<sub>1</sub> points to birational rigidity of some prime Fano 3-folds and del Pezzo fibrations.

A *Fano 3-fold* is a normal projective  $\mathbb{Q}$ -factorial variety of dimension 3 with only terminal singularities whose anticanonical divisor is ample. A Fano 3-fold  $X$  is *prime* if its class group  $\text{Cl}(X)$  is isomorphic to  $\mathbb{Z}$  and is generated by  $-K_X$ .

1.2.1 *Sextic double solids.* A *sextic double solid* is a normal projective variety which is a double cover of  $\mathbb{P}^3$  branched along a sextic surface. Birational superrigidity of smooth sextic double solids was proved by Iskovskikh [Isk98], and later on, birational superrigidity of  $\mathbb{Q}$ -factorial sextic double solids with only ordinary double points was proved by Cheltsov and Park [CP10].

In [Pac21], birational geometry of sextic double solids with cA<sub>k</sub> points are investigated, and it is in particular proved that a general sextic double solid with a cA<sub>k</sub> singular point, where  $k \geq 4$ , is not birationally rigid. Moreover, the following expectation (which we pose as a conjecture) is made.

CONJECTURE 1.3. A  $\mathbb{Q}$ -factorial sextic double solid with only terminal cA<sub>1</sub> and cA<sub>2</sub> singularities is birationally superrigid, and a  $\mathbb{Q}$ -factorial sextic double solid with only terminal cA<sub>1</sub>, cA<sub>2</sub> and cA<sub>3</sub> singularities is birationally rigid.

We generalize the result of Cheltsov and Park [CP10], and prove the following.

THEOREM 1.4 (= Theorem 4.1). *Let  $X$  be a  $\mathbb{Q}$ -factorial sextic double solid with only terminal singularities of type cA<sub>1</sub>. Then  $X$  is birationally superrigid.*

We also consider sextic double solids with a cA<sub>3</sub> point in §4.4 and construct a Sarkisov self-link under a generality assumption.

In Theorem 1.4 we are assuming that sextic double solids with cA<sub>1</sub> singularities are  $\mathbb{Q}$ -factorial, which is a crucial condition for them to be birationally superrigid. However, it is not a simple problem to determine whether a given singular variety is  $\mathbb{Q}$ -factorial or not. We provide a criterion for  $\mathbb{Q}$ -factoriality of sextic double solids with cA<sub>1</sub> singularities in §4.5.

1.2.2 *Prime Fano 3-fold weighted complete intersections.* Quasi-smooth prime Fano 3-fold weighted complete intersections are classified under some extra conditions and they consist of 95 families of weighted hypersurfaces, 85 families of weighted complete intersections of codimension 2, and the family of complete intersections of 3 quadrics in  $\mathbb{P}^6$  (see [Ian00, 16.6, 16.7] and [CCC11, Theorems 1.3, 6.1 and 7.4]). The study of birational (super)rigidity of these objects is almost completed under the assumption of quasi-smoothness.

- It is proved by [CPR00] and [CP17] that every quasi-smooth prime Fano 3-fold weighted hypersurface is birationally rigid.
- It is proved by [Oka14] and [AZ16] that a quasi-smooth prime Fano 3-fold weighted complete intersection of codimension 2 other than a complete intersection of a quadric and a cubic in  $\mathbb{P}^5$  is birationally rigid if and only if it belongs to one of the specific 18 families.
- It is proved by [IP96] that a general smooth complete intersection of a quadric and a cubic in  $\mathbb{P}^5$  is birationally rigid.

It is known that a quasi-smooth weighted complete intersection has only cyclic quotient singularities [Dol82, Theorem 3.1.6]. Thus, a quasi-smooth prime Fano 3-fold weighted complete intersection has only terminal cyclic quotient singularities. We consider 78 families of prime Fano

3-fold weighted hypersurfaces and 18 families of prime Fano 3-fold weighted complete intersections of codimension 2, and prove birational (super)rigidity of their special members admitting  $cA_1$  points.

**THEOREM 1.5** (= Theorem 5.2). *Let  $X$  be a prime Fano 3-fold weighted complete intersection which belongs to one of the families listed in Tables 2 and 3. Suppose that  $X$  is quasi-smooth along the singular locus of the ambient weighted projective space, and  $X$  has only  $cA_1$  singularities in addition to terminal quotient singular points. Then  $X$  is birationally rigid.*

1.2.3 *Del Pezzo fibrations of degree 1.* Let  $\pi: X \rightarrow \mathbb{P}^1$  be a del Pezzo fibration of degree 1, that is, it is a Mori fiber space and its general fiber is a smooth del Pezzo surface of degree 1. We say that  $X/\mathbb{P}^1$  satisfies the  $K^2$ -condition if the 1-cycle  $(-K_X)^2$  is not contained in the interior of the cone  $\overline{NE}(X)$  of effective curves on  $X$ , i.e.

$$(-K_X)^2 \notin \text{Int}(\overline{NE}(X)). \tag{1.1}$$

We refer the reader to [BCZ04] for more details on  $K^2$ -condition and its related condition.

Pukhlikov [Puk98] proved birational superrigidity of nonsingular del Pezzo fibrations satisfying the  $K^2$ -condition. As an application of  $2n^2$ -inequality for  $cA_1$  points, we generalize the Pukhlikov’s result and obtain the following.

**THEOREM 1.6.** *Let  $\pi: X \rightarrow \mathbb{P}^1$  be a del Pezzo fibration of degree 1 with only  $cA_1$  singularities. If  $X/\mathbb{P}^1$  satisfies the  $K^2$ -condition, then  $X$  is birationally superrigid.*

## 2. Preliminaries

### 2.1 Birational (super)rigidity

**DEFINITION 2.1.** Let  $\pi: X \rightarrow S$  be a morphism between normal projective varieties. We say that  $\pi: X \rightarrow S$  (or simply  $X/S$  if  $\pi$  is understood) is a *Mori fiber space* if:

- $\dim S < \dim X$  and  $\pi$  has connected fibers;
- $X$  is  $\mathbb{Q}$ -factorial and has only terminal singularities;
- $-K_X$  is  $\pi$ -ample and the relative Picard rank is 1.

We call  $X/S$  a *del Pezzo fibration* if  $\dim X - \dim S = 2$ .

Note that Mori fiber spaces over a point are exactly Fano varieties of Picard rank 1.

**DEFINITION 2.2.** Let  $\pi_X: X \rightarrow S$  and  $\pi_Y: Y \rightarrow T$  be Mori fiber spaces. A birational map  $\chi: X \dashrightarrow Y$  is called *square* if it fits into a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{\chi} & Y \\ \pi_X \downarrow & & \downarrow \pi_Y \\ S & \xrightarrow{\xi} & T \end{array}$$

where  $\xi$  is birational and in addition the induced map on the generic fibers  $\chi_\eta: X_\eta \dashrightarrow Y_\eta$  is an isomorphism. In this case we say that  $X/S$  and  $Y/T$  are *square birational*.

**DEFINITION 2.3.** We say that a Mori fiber space  $\pi: X \rightarrow S$  is *birationally rigid* if, for any Mori fiber space  $Y/T$ , the variety  $Y$  is birational to  $X$  if and only if  $X/S$  and  $Y/T$  are square birational.

We say that  $X/S$  is *birationally superrigid* if, for any Mori fiber space  $Y/T$ , any birational map  $X \dashrightarrow Y$  (if it exists) is square.

Note that a Fano variety  $X$  of Picard rank 1 is birationally rigid if the only Mori fiber space it is birational to is itself, for example it is not birational to other Fano varieties. Birationally rigid Fano variety is birationally superrigid if and only if any birational self-map is square and, hence, is an isomorphism.

**2.2 Maximal singularity**

For a normal variety  $V$ , a prime divisor  $E$  on a normal variety  $W$  admitting a projective birational morphism  $\varphi: W \rightarrow V$  is called a *prime divisor over  $V$* . A prime divisor over  $V$  is *exceptional* if its center on  $V$  is a subvariety of codimension greater than 1.

In the following, let  $\pi: X \rightarrow S$  be a Mori fiber space. For a mobile linear system  $\mathcal{M}$  on  $X$ , the rational number  $n \geq 0$  such that  $\mathcal{M} \sim_{\mathbb{Q}} -nK_X + \pi^*A$  for some  $\mathbb{Q}$ -divisor  $A$  on  $S$  is called the *quasi-effective threshold* of  $\mathcal{M}$ .

DEFINITION 2.4. A prime exceptional divisor  $E$  over  $X$  is a *maximal singularity* if there exists a mobile linear system  $\mathcal{M}$  on  $X$  such that

$$\text{ord}_E \varphi^* \mathcal{M} > na_E(K_X),$$

where  $n > 0$  is the quasi-effective threshold of  $\mathcal{M}$ ,  $\varphi: Y \rightarrow X$  is a projective birational morphism such that  $E \subset Y$  and  $a_E(K_X)$  denotes the discrepancy of  $K_X$  along  $E$ . The center  $\Gamma \subset X$  of a maximal singularity is called a *maximal center*. An extremal divisorial contraction  $\varphi: Y \rightarrow X$  is called a *maximal extraction* if its exceptional divisor is a maximal singularity.

We have the following characterization of birational superrigidity for Fano 3-folds of Picard rank 1.

THEOREM 2.5 ([CS08, Theorem 1.26] and [Cor95, (2.10) Proposition-definition]). *Let  $X$  be a Fano 3-fold of Picard rank 1. Then the following are equivalent:*

- (1)  $X$  is birationally superrigid;
- (2)  $X$  does not admit a maximal singularity;
- (3)  $X$  does not admit a maximal extraction.

Let  $X$  be a Fano variety of Picard rank 1, then we say that an a non-biregular birational map  $\sigma: X \dashrightarrow X'$  to a Fano variety of Picard number 1 is an *elementary link of type II* if it sits in the commutative diagram

$$\begin{array}{ccc} Y & \xrightarrow{\tau} & Y' \\ \varphi \downarrow & & \downarrow \varphi' \\ X & \xrightarrow{\sigma} & X' \end{array}$$

where  $\varphi$  and  $\varphi'$  are extremal divisorial contractions and  $\tau$  is a birational map which is an isomorphism in codimension 1. An elementary link of type II which is a birational self-map is called an *elementary self-link of type II*.

LEMMA 2.6 [Oka18, Lemmas 2.34 and 2.22]. *Let  $X$  be a Fano 3-fold of Picard number 1. If for any maximal extraction  $\varphi: Y \rightarrow X$  there exists a Sarkisov self-link of type II initiated by  $\varphi$ , then  $X$  is birationally rigid.*

**2.3 Exclusion methods**

We explain several methods which are used to exclude maximal centers.

2.3.1 *Methods for curves.*

LEMMA 2.7 ([CPR00, Proof of Theorem 5.1.1] and [Oka18, Lemma 2.9]). *Let  $X$  be a Fano 3-fold of Picard rank 1 and let  $\Gamma \subset X$  be an irreducible and reduced curve. If  $(-K_X \cdot \Gamma) \geq (-K_X)^3$ , then  $\Gamma$  is not a maximal center.*

The following is a simplified version of [Oka18, Lemma 2.11], which is enough for the purpose of this paper.

LEMMA 2.8 (cf. [Oka18, Lemma 2.11]). *Let  $X$  be a Fano 3-fold of Picard rank 1, and let  $\Gamma \subset X$  be an irreducible and reduced curve. Assume that there is a pencil  $\mathcal{P}$  of divisors on  $X$  satisfying the following properties:*

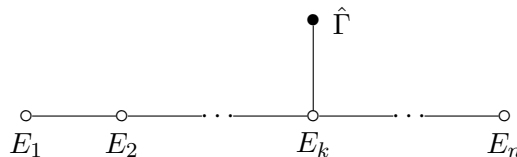
- (1)  $\mathcal{P} \sim_{\mathbb{Q}} -mK_X$  for some rational number  $m \geq 1$ ;
- (2) a general member of  $\mathcal{P}$  is a normal surface;
- (3) for distinct general members  $S, T \in \mathcal{P}$ , we have  $T|_S = \Gamma + \Delta$ , where  $\Delta$  is an irreducible and reduced curve such that  $\Delta \neq \Gamma$ , and we have  $(\Gamma \cdot \Delta)_S \geq (-K_X \cdot \Delta)$ .

Then  $\Gamma$  is not a maximal center.

When we apply Lemma 2.8, we need to compute  $(\Gamma \cdot \Delta)_S$ , which will follow from the computation of  $(\Gamma^2)_S$ . In this paper we need to consider the case where  $\Gamma \cong \mathbb{P}^1$  and  $S$  has Du Val singular points of type  $A$  along  $\Gamma$ , and the computation of  $(\Gamma^2)_S$  will be done by the following method.

DEFINITION 2.9. Let  $\mathfrak{p} \in S$  be the germ of a normal surface and  $\Gamma$  an irreducible and reduced curve on  $S$ . Let  $\hat{S} \rightarrow S$  be the minimal resolution of  $\mathfrak{p} \in S$  and denote by  $E_1, \dots, E_m$  the prime exceptional divisors. We define  $G(S, \mathfrak{p}, \Gamma)$  to be the dual graph of  $E_1, \dots, E_m$  together with the proper transform  $\hat{\Gamma}$  of  $\Gamma$  on  $\hat{S}$ : vertices of  $G(S, \mathfrak{p}, \Gamma)$  corresponds to  $E_1, \dots, E_m$  and  $\hat{\Gamma}$ , and two vertices corresponding to  $E_i$  and  $E_j$  (respectively,  $E_i$  and  $\hat{\Gamma}$ ) are joined by  $(E_i \cdot E_j)$ -ple edge (respectively,  $(E_i \cdot \hat{\Gamma})$ -ple edge). We call  $G(S, \mathfrak{p}, \Gamma)$  the *extended dual graph* of  $(S, \mathfrak{p}, \Gamma)$ .

DEFINITION 2.10. We say that  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{n,k}$  if it is of the following form.



Here,  $\circ$  means that the corresponding exceptional divisor is a  $(-2)$ -curve. In other words,  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{n,k}$  if  $(S, \mathfrak{p})$  is of type  $A_n$ ,  $(\hat{\Gamma} \cdot E_i) = 0$  for  $i \neq k$  and  $(\hat{\Gamma} \cdot E_k) = 1$ .

LEMMA 2.11. *Let  $S$  be a normal projective surface, and let  $\Gamma$  be a smooth rational curve on  $S$ . Let  $\mathfrak{p}_1, \dots, \mathfrak{p}_m$  be points on  $\Gamma$ . Suppose that  $S$  is smooth along  $\Gamma \setminus \{\mathfrak{p}_1, \dots, \mathfrak{p}_m\}$  and that each extended dual graph  $G(S, \mathfrak{p}_i, \Gamma)$  is of type  $A_{n_i, k_i}$  for some positive integers  $n_i, k_i$ . Then,*

$$(\Gamma^2) = -2 - (K_S \cdot \Gamma) + \sum_{i=1}^m \frac{k_i(n_i - k_i + 1)}{n_i + 1}.$$

*Proof.* This follows from [Oka20b, Lemma 10.7]. □

### 3. Local inequalities for $cA$ points

Let  $V$  be an  $n$ -dimensional variety. For  $i = 0, \dots, n$ , we denote by  $Z_i(V)$  the group of  $i$ -cycles on  $V$ , and by  $A_i(V) = Z_i(V) / \sim_{\text{rat}}$  the Chow group of  $i$ -cycles on  $V$ , where  $\sim_{\text{rat}}$  is the

rational equivalence. For Cartier divisors  $D_1, \dots, D_k$  on  $V$  that intersect properly, that is, the irreducible components of  $\text{Supp}(D_1) \cap \dots \cap \text{Supp}(D_k)$  all have codimension equal to  $k$  in  $V$ , there is a uniquely defined intersection cycle

$$D_1 \cdot D_2 \cdots D_k \in Z_{n-k}(\text{Supp}(D_1) \cap \dots \cap \text{Supp}(D_k)).$$

See [Ful98, Definition 2.4.2].

**3.1 Blow-ups and degrees of cycles**

Let  $X$  be a normal 3-fold, and let  $B \subset X$  be an irreducible subvariety of codimension at least 2. Let  $\varphi: \tilde{X} \rightarrow X$  be the blow-up of  $X$  along  $B$ . For a cycle  $\Gamma \in Z_i(X)$  on  $X$  such that  $\text{Supp } \Gamma \not\subset B$ , we denote by  $\varphi_*^{-1}\Gamma$  the proper transform of  $\Gamma$  on  $\tilde{X}$ .

DEFINITION 3.1. Under the above setting, suppose that  $B$  is not contained in the singular locus of  $X$ , and let  $E \subset \tilde{X}$  be the unique exceptional divisor dominating  $B$ . Let  $Z = \sum_i m_i Z_i$  be a 1-cycle supported on the exceptional set  $\varphi^{-1}(B)$ , where  $Z_i \subset \sigma^{-1}(B)$  is an irreducible curve. We define the *degree* of  $Z$  with respect to  $E$  by

$$\text{deg}_E Z := \sum_i m_i \text{deg}(Z_i \cap \varphi^{-1}(b)),$$

where  $\varphi^{-1}(b) \cong \mathbb{P}^{2-\dim B}$  is the fiber over a general point  $b \in B$ .

LEMMA 3.2 [Puk13, Lemma 2.2]. *Let  $X$  be a normal 3-fold,  $B \subset X$  be an irreducible subvariety of codimension at least 2 such that  $B \not\subset \text{Sing } X$ , and let  $D_1, D_2$  be Cartier divisors on  $X$  which share no common component.*

- (1) *Assume that  $B$  is a point. Then, for the 1-cycle*

$$Z := \varphi_*^{-1} D_1 \cdot \varphi_*^{-1} D_2 - \varphi_*^{-1}(D_1 \cdot D_2),$$

*which is supported on  $\varphi^{-1}(B)$ , we have*

$$\text{deg}_E Z = \text{mult}_B(D_1 \cdot D_2) - \text{mult}_B D_1 \text{mult}_B D_2.$$

- (2) *Assume that  $B$  is a curve. Then, for the decomposition*

$$\varphi_*^{-1} D_1 \cdot \varphi_*^{-1} D_2 = Z + \Gamma,$$

*where  $\text{Supp } Z \subset \varphi^{-1}(B)$  and  $\text{Supp } \varphi_*\Gamma$  does not contain  $B$ , we have*

$$D_1 \cdot D_2 = (\text{mult}_B D_1 \text{mult}_B D_2 + \text{deg}_E Z)B + \varphi_*\Gamma.$$

**3.2 Blow-up of a  $cA_1$  point**

By [Rei83], a 3-dimensional singularity is a terminal singularity if and only if it is the quotient of an isolated  $cDV$  singularity by a suitable action of a cyclic group (of order  $\geq 1$ ). Note that a  $cDV$  singularity is a hypersurface singularity whose general hyperplane section is a Du Val singularity. Note also that a  $cDV$  singular point is Gorenstein since it is a hypersurface singularity and, hence, 3-dimensional terminal Gorenstein singularities are exactly isolated  $cDV$  singularities (see also [Rei83, Theorem 1.1]). We consider  $cA_k$  singularities which are particular types of  $cDV$  points.

DEFINITION 3.3. Let  $\mathfrak{p} \in X$  be the germ of a 3-fold singularity. We say that  $\mathfrak{p} \in X$  is a *singularity of type  $cA_k$*  (or simply a  $cA_k$  *point*), if the germ is analytically equivalent to the germ of a hypersurface singularity whose general hyperplane section is the Du Val singular point of type  $A_k$ .



An example of an isolated  $cA_k$  is a point  $o \in (f = 0) \subset \mathbb{A}^4$ , where

$$f = xy + z^{k+1} + w^M,$$

for some  $M \geq k + 1$ . It is well known that any isolated  $cA_1$ -point is analytically equivalent to the above example with  $k = 1$ .

LEMMA 3.4. *Let  $\mathfrak{p} \in X$  be an isolated  $cA_1$ -point, then it is analytically equivalent to*

$$o \in (f = 0) \subset \mathbb{A}^4,$$

where

$$f = xy + z^2 + w^M,$$

for some  $M \geq 2$ .

*Proof.* By definition,  $\mathfrak{p} \in X$  is a hypersurface singularity, therefore without loss of generality we assume that  $X$  is given by  $g = 0$  in  $\mathbb{A}^4$  and  $\mathfrak{p} = (0, 0, 0, 0)$ . Since general hyperplane section of  $X$  has the  $A_1$ -singularity the general form of  $g$  is

$$x^2 + y^2 + z^2 + th(x, y, z, t) = 0.$$

By the splitting lemma [GLS07, Theorem I.2.47] we may change  $g$  into

$$x^2 + y^2 + z^2 + t^k = 0. \quad \square$$

In the following we identify  $\mathfrak{p} \in X$  with the above hypersurface germ, that is, we assume that  $\mathfrak{p} \in X$  is the hypersurface germ defined by the vanishing of  $f$  from Lemma 3.4. We set  $W = \mathbb{A}^4$ . Let  $\varphi_W: \tilde{W} \rightarrow W$  be the blow-up of  $W$  at the origin  $\mathfrak{p}$ ,  $\tilde{X}$  the proper transform of  $X$  in  $\tilde{W}$ , and  $\varphi = \varphi_W|_{\tilde{X}}: \tilde{X} \rightarrow X$  the restriction. We denote by  $E_W \cong \mathbb{P}^3$  the exceptional divisor of  $\varphi_W$  and we set  $E = E_W|_{\tilde{X}}$ . The equation of  $E$  in  $E_W$  is given by

$$xy + z^2 = 0,$$

where  $x, y, z, w$  are the coordinates inherited from  $W$ . Thus, we can see that  $E$  is a quadratic cone.

For a cycle  $\Gamma$  on  $X$ , we denote by  $\text{mult}_{\mathfrak{p}} \Gamma$  the multiplicity of  $\Gamma$ , viewed as a cycle on  $W$ , at the smooth point  $\mathfrak{p} \in W$ .

DEFINITION 3.5. Under the above setting, let  $Z$  be a 1-cycle supported on  $E_W$ . By the *degree* of  $Z$  which is denoted by  $\text{deg}_{E_W} Z$ , we mean the degree of  $Z$  viewed as a 1-cycle on  $E_W \cong \mathbb{P}^3$ . By a slight abuse of notation, we use the notation  $\text{deg}_E Z = \text{deg}_{E_W} Z$  when  $Z$  is supported on  $E$ .

LEMMA 3.6. *Let  $\mathfrak{p} \in X$  be a germ of an isolated  $cA_1$ -singularity. Let  $\mathcal{M}$  be a mobile linear system of Cartier divisors on  $X$ , let  $D_1, D_2$  be general members of  $\mathcal{M}$ , and use the notation  $\nu(\mathcal{M}) = \text{ord}_E \varphi^* \mathcal{M}$ . Let  $\Gamma = D_1 \cdot D_2$  and use the notation  $m = \text{mult}_{\mathfrak{p}} \Gamma$ . Then, for the 1-cycle*

$$Z := \varphi_*^{-1} D_1 \cdot \varphi_*^{-1} D_2 - \varphi_*^{-1} \Gamma,$$

which is supported on  $E$ , we have

$$\text{deg}_E Z = m - 2\nu(\mathcal{M})^2.$$

*Proof.* Let  $i: X \rightarrow W$  and  $\tilde{i}: \tilde{X} \rightarrow \tilde{W}$  be the embeddings agreeing with the maps  $\varphi$  and  $\varphi_W$ . Let  $e \in A_1(E_W)$  be the class of a line. Then in  $A_1(\tilde{W})$ , we have

$$\varphi_W^* i_* \Gamma \equiv \varphi_*^{-1} i_* \Gamma + me. \tag{3.1}$$

Since  $D_i$  is a Cartier divisor on  $X$ , it is defined by a single element in the residue ring  $\mathcal{O}_{X,\mathfrak{p}} = \mathcal{O}_{W,\mathfrak{p}}/(xy + z^2 + w^M)$ , hence we can take a Cartier divisor  $G_i$  on  $W$  such that  $G_i|_X = D_i$ . It follows that

$$\begin{aligned} \varphi_W^* i_* \Gamma &= \varphi_W^* i_* (D_1 \cdot D_2) \\ &\equiv \varphi_W^* (G_1 \cdot G_2 \cdot X) \\ &\equiv \varphi_W^* G_1 \cdot \varphi_W^* G_2 \cdot \varphi_W^* X \\ &\equiv \varphi_W^* G_1 \cdot \varphi_W^* G_2 \cdot \tilde{X} \\ &\equiv \tilde{i}_* (\varphi^* D_1 \cdot \varphi^* D_2), \end{aligned}$$

and thus

$$\begin{aligned} \tilde{i}_* (\varphi_*^{-1} D_1 \cdot \varphi_*^{-1} D_2) &\equiv \tilde{i}_* ((\varphi^* D_1 - \nu(\mathcal{M})E) \cdot (\varphi^* D_2 - \nu(\mathcal{M})E)) \\ &\equiv \tilde{i}_* (\varphi^* D_1 \cdot \varphi^* D_2) + \tilde{i}_* (\nu(\mathcal{M})^2 E^2) \\ &\equiv \varphi_W^* i_* \Gamma - 2\nu(\mathcal{M})^2 e, \end{aligned} \tag{3.2}$$

where the last equivalence follows from (3.1). By (3.2), the 1-cycle  $Z$  is rationally equivalent to  $(m - 2\nu(\mathcal{M})^2)e$  and the claim follows.  $\square$

### 3.3 Tower of blow-ups associated to a divisorial valuation

We set up notation for proving  $2n^2$ -type inequalities.

Let  $\mathfrak{p} \in X$  be the germ of a terminal singular point of type  $cA_1$ . Let  $\nu$  be a divisorial valuation on  $\mathbb{C}(X)$  realized by a prime divisor  $E_\infty$  over  $\mathfrak{p} \in X$ . Consider the tower of blow-ups realizing  $\nu$ :

$$X_N \xrightarrow{\varphi_N} \dots \xrightarrow{\varphi_2} X_1 \xrightarrow{\varphi_1} X_0 = X, \tag{3.3}$$

where  $\varphi_i: X_i \rightarrow X_{i-1}$  is the blow-up of  $X_{i-1}$  along the center  $B_{i-1} \subset E_{i-1}$  of  $\nu$  on  $X_{i-1}$ ,  $E_i \subset X_i$  is the  $\varphi_i$ -exceptional divisor dominating  $B_{i-1}$ , and  $E_N$  realizes the valuation  $\nu$ , i.e.  $\nu = \nu_{E_N}$ . For  $j > i$ , the composite  $X_j \rightarrow X_{j-1} \rightarrow \dots \rightarrow X_i$  is denoted by  $\varphi_{j,i} = \varphi_{i+1} \circ \dots \circ \varphi_j$ .

For a cycle  $\Gamma$  on  $X_i$  and  $j > i$ , we denote by  $\Gamma^{(j)}$  its proper transform on  $X_j$  via  $\varphi_{j,i}: X_j \rightarrow X_i$  if no component of  $\Gamma$  is supported on  $B_i$ . More generally for any object  $(\cdot)$  (cycle, divisor, linear system) on  $X_i$  and any  $j > i$  we denote by  $(\cdot)^{(j)}$  the proper transform of  $(\cdot)$  on  $X_j$ .

We introduce an oriented graph structure as follows: the vertices  $E_j$  and  $E_i$  are joined by an oriented edge, which is denoted by  $j \rightarrow i$ , if  $j > i$  and  $B_{j-1} \subset E_i^{(j-1)}$ . For  $1 \leq i < j \leq N - 1$ , we define  $P_{j,i}$  to be the number of paths from  $E_j$  to  $E_i$  in the oriented graph, we set  $P_{i,i} = 1$  and we set  $P_{j,i} = 0$  for  $j < i$  we define  $p_i = P_{N,i}$ . We define

$$\begin{aligned} K &= \max\{i \mid B_{i-1} \text{ is a } cA_1 \text{ point}\}, \\ L &= \max\{i \mid B_{i-1} \text{ is a point}\}, \\ \Sigma_0 &= \sum_{i=1}^K p_i, \quad \Sigma_1 = \sum_{i=K+1}^L p_i, \quad \Sigma_2 = \sum_{i=L+1}^N p_i. \end{aligned}$$

Note that  $B_0 = \mathfrak{p}$  and we have  $1 \leq K \leq L \leq N$ . The numbers  $P_{i,j}, p_i, K, L$  are useful for computing discrepancies and tracking multiplicities for the tower of blow-ups.

LEMMA 3.7. *The discrepancies of  $E_i$  are given by the following*

$$a(X, E_i) = \sum_{j=1}^{\min\{i,K\}} P_{i,j} + 2 \sum_{j=K+1}^{\min\{i,L\}} P_{i,j} + \sum_{j=L+1}^i P_{i,j},$$

in particular,

$$a(X, E_N) = \sum_{j=1}^K p_j + 2 \sum_{j=K+1}^L p_j + \sum_{j=L+1}^N p_j = \Sigma_0 + 2\Sigma_1 + \Sigma_2.$$

Let  $D$  be a divisor on  $X$  and set  $K_D = \max\{i \mid B_{i-1} \subset D^{(i-1)}\}$ , then

$$\nu_{E_i}(D) = \sum_{j=1}^{\min\{K_D, i\}} P_{i,j} \nu_{E_j}(D^{j-1}),$$

in particular,

$$\nu(D) = \sum_{j=1}^{K_D} p_j \nu_{E_j}(D^{j-1}).$$

*Proof.* We prove the claims by induction. The statements clearly hold for  $i = 1$ , now suppose the statements hold for all  $k < i$ .

First, we define values  $a_i = a(E_i, X_{i-1})$  for all  $i$ , clearly

$$a_i = \begin{cases} 1, & i \leq K, \\ 2, & K < i \leq L, \\ 1, & L \leq N. \end{cases}$$

By assumption of induction we have

$$K_{X^{(i-1)}} \sim \varphi_{i-1,0}^*(K_X) + \sum_{k=1}^{i-1} \left( \sum_{j=1}^k a_j P_{k,j} \right) E_k^{(i-1)}.$$

Next we compute  $K_{X^{(i)}}$ :

$$\begin{aligned} K_{X^{(i)}} &\sim \varphi_i^*(K_{X^{(i-1)}}) + a_i E_i \sim \varphi_{i,0}^*(K_X) + \sum_{k=1}^{i-1} \left( \sum_{j=1}^k a_j P_{k,j} \right) \varphi_i^* E_k^{(i-1)} + a_i E_i \\ &\sim \varphi_{i,0}^*(K_X) + \sum_{k=1}^{i-1} \left( \sum_{j=1}^k a_j P_{k,j} \right) E_k^{(i)} + \left( a_i P_{i,i} + \sum_{k|i \rightarrow k} \left( \sum_{j=1}^k a_j P_{k,j} \right) \right) E_i. \end{aligned}$$

It remains to compute the coefficient at  $E_i$ ,

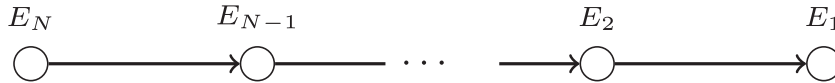
$$\begin{aligned} a(E_i, X) &= a_i P_{i,i} + \sum_{k|i \rightarrow k} \sum_{j=1}^k a_j P_{k,j} = a_i P_{i,i} + \sum_{k|i \rightarrow k} \sum_{j=1}^{i-1} a_j P_{k,j} \\ &= a_i P_{i,i} + \sum_{j=1}^{i-1} a_j \sum_{k|i \rightarrow k} P_{k,j} = \sum_{j=1}^i a_j P_{i,j}, \end{aligned}$$

where the second equality follows from  $P_{k,j} = 0$  for  $j > k$  and the last equality follows from

$$P_{i,j} = \sum_{k|i \rightarrow k} P_{k,j}.$$

This proves the first assertion and its special case. The proof of the second assertion is analogous. □

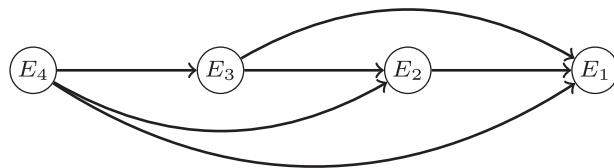
*Example 3.8.* Suppose in 3.3 we have  $B_i \not\subset E_k^{(i)}$  for all  $k < i$ . Then the oriented graph is the following simple chain.



Clearly, in this case we have  $p_1 = p_2 = \dots = p_N = 1$  and

$$a(X, E_N) = K + 2(L - K) + (N - L) = N + L - K.$$

*Example 3.9.* Suppose  $N = 4$ ,  $B_2, B_3$  are points,  $B_2 \in E_1^{(2)} \cap E_2$ , and  $B_3 = E_1^{(3)} \cap E_2^{(3)} \cap E_3$ , then the graph is as follows.



Thus, we can see that  $p_1 = p_2 = 1$ ,  $p_3 = 2$ , and  $p_4 = 4$ .

Let  $\mathcal{M}$  be a mobile linear system of Cartier divisors on  $X = X_0$ . Let  $Z_0 = D_1 \cdot D_2$  for general members  $D_1, D_2 \in \mathcal{M}$ . Recall that we want to prove a lower bound for  $\text{mult}_{B_0} Z_0$ .

*Example 3.10.* Suppose  $N = 1$ , that is  $\nu$  is realized by a single blow-up. Consider the intersection of the proper transforms  $D_1^{(1)}, D_2^{(2)}$  on  $X_1$ . By Lemma 3.6 it takes the form

$$D_1^{(1)} \cdot D_2^{(1)} = Z_0^{(1)} + Z_1,$$

where

$$\text{deg}_{E_1} Z_1 = \text{mult}_{B_0} Z_0 - 2\nu(\mathcal{M})^2 \geq 0.$$

Note that  $a(E_1, X, (1/n)\mathcal{M}) = (1 - (\nu/n)) < 0$  if and only if  $\nu(\mathcal{M}) > n$ . Thus, non-canonicity at divisor  $E_1$  implies

$$\text{mult}_{B_0} Z_0 > 2n^2.$$

*Example 3.11.* Suppose  $N = 2$  and suppose that  $B_1$  is a point such that  $X_1$  is smooth at  $B_1$ . As before, by Lemma 3.6 intersection on  $X_1$  takes the form

$$D_1^{(1)} \cdot D_2^{(1)} = Z_0^{(1)} + Z_1,$$

where

$$\text{deg}_{E_1} Z_1 = \text{mult}_{B_0} Z_0 - 2\nu_{E_1}(\mathcal{M})^2.$$

Using Lemma 3.2 we compute similar intersection on  $X_2$ :

$$D_1^{(2)} \cdot D_2^{(2)} = (D_1^{(1)} \cdot D_2^{(1)})^{(2)} + Z_2 = Z_0^{(2)} + Z_1^{(2)} + Z_2,$$

where

$$\deg_{E_2} Z_2 = \text{mult}_{B_1}(Z_0^{(1)} + Z_1) - 4\nu_{E_2}(\mathcal{M}^{(1)})^2.$$

Since  $\deg_{E_2} Z_2$  is non-negative we have

$$\begin{aligned} 0 \leq \text{mult}_{B_1}(Z_0^{(1)} + Z_1) - 4\nu_{E_2}(\mathcal{M}^{(1)})^2 &\leq \text{mult}_{B_0} Z_0 + \deg_{E_1} Z_1 - 4\nu_{E_2}(\mathcal{M}^{(1)})^2 \\ &= 2 \text{mult}_{B_0} Z_0 - 2\nu_{E_1}(\mathcal{M})^2 - 4\nu_{E_2}(\mathcal{M}^{(1)})^2, \end{aligned}$$

or

$$2 \text{mult}_{B_0} Z_0 \geq 2\nu_{E_1}(\mathcal{M})^2 + 4\nu_{E_2}(\mathcal{M}^{(1)})^2.$$

It remains to use non-canonicity to find the lower bound on the right-hand side of this inequality.

In general, we proceed similarly to the examples. We define  $\nu_i = \nu_{E_i}(\mathcal{M}^{(i-1)})$ . For general members  $D_1, D_2 \in \mathcal{M}$ , we define a sequence of 1-cycles  $Z_i$  on  $X_i$  by

$$\begin{aligned} D_1 \cdot D_2 &= Z_0, \\ D_1^{(1)} \cdot D_2^{(1)} &= Z_0^{(1)} + Z_1, \\ &\dots, \\ D_1^{(i)} \cdot D_2^{(i)} &= (D_1^{(i-1)} \cdot D_2^{(i-1)})^{(i)} + Z_i, \\ &\dots, \\ D_1^{(L)} \cdot D_2^{(L)} &= (D_1^{(L-1)} \cdot D_2^{(L-1)})^{(L)} + Z_L. \end{aligned} \tag{3.4}$$

Note that  $\text{Supp } Z_i \subset E_i$  and for any  $i \leq L$  we get

$$D_1^{(i)} \cdot D_2^{(i)} = Z_0^{(i)} + Z_1^{(i)} + \dots + Z_{i-1}^{(i)} + Z_i.$$

For any  $i, j$  with  $L \geq j > i$ , we set

$$m_{i,j} = \text{mult}_{B_{j-1}}(Z_i^{(j-1)}).$$

Then there is a lower bound on multiplicities of  $Z = Z_0$  at  $B_i$  in terms of  $\nu_i$ .

**PROPOSITION 3.12.** *Suppose  $\mathfrak{p} \in X$  is a terminal singularity of type  $cA_1$ , and let  $\nu$  be a divisorial valuation of  $\mathbb{C}(X)$  centered at  $\mathfrak{p}$ . Let  $\mathcal{M}$  be a mobile linear system of Cartier divisors on  $X$  and let  $Z_0 = D_1 \cdot D_2$  be the intersection 1-cycle of general members  $D_1, D_2$  in  $\mathcal{M}$ . Then, for  $p_i, \nu_i$  and  $m_{i,j}$  defined as above, the following inequality holds:*

$$2 \sum_{i=1}^K p_i \nu_i^2 + \sum_{i=K+1}^N p_i \nu_i^2 \leq \sum_{i=1}^L p_i m_{0,i}. \tag{3.5}$$

*Proof.* Set  $d_i = \text{deg}_{E_i} Z_i$ . By Lemmas 3.2 and 3.6, we obtain a system of equalities corresponding to the sequence of cycles (3.4):

$$\begin{aligned}
 2\nu_1^2 + d_1 &= m_{0,1}, \\
 2\nu_2^2 + d_2 &= m_{0,2} + m_{1,2}, \\
 &\dots, \\
 2\nu_K^2 + d_K &= m_{0,K} + \dots + m_{K-1,K}, \\
 \nu_{K+1}^2 + d_{K+1} &= m_{0,K+1} + \dots + m_{K,K+1}, \\
 &\dots, \\
 \nu_L^2 + d_L &= m_{0,L} + \dots + m_{L-1,L}.
 \end{aligned}
 \tag{3.6}$$

We multiply the  $i$ th equality in (3.6) by  $p_i$  and sum them up:

$$2 \sum_{i=1}^K p_i \nu_i^2 + \sum_{i=K+1}^L p_i \nu_i^2 + \sum_{i=1}^L p_i d_i = \sum_{j=1}^L p_j m_{0,j} + \sum_{i=1}^{L-1} \sum_{j=i+1}^L p_j m_{i,j}.
 \tag{3.7}$$

For each  $i$  with  $0 \leq i \leq L - 1$ , we consider the expression

$$\sum_{j=i+1}^L p_j m_{i,j}$$

which appears in the right-hand side of the summation (3.7). We have  $m_{i,j} \leq d_i$  for any  $i, j$  with  $L \geq j > i \geq 1$  by [Puk13, Lemma 2.4].<sup>1</sup> Note that, for  $i \geq 1$ , we have  $p_i \geq \sum_{j \rightarrow i} p_j$ , and if  $m_{i,j} \neq 0$ , then  $j \rightarrow i$ . It follows that, for  $i = 1, \dots, L - 1$ , we have

$$\sum_{j=i+1}^L p_j m_{i,j} = \sum_{\substack{i+1 \leq j \leq L \\ m_{i,j} \neq 0}} p_j m_{i,j} \leq d_i \sum_{\substack{i+1 \leq j \leq L \\ j \rightarrow i}} p_j \leq p_i d_i.$$

Thus, for  $i = 1, \dots, L - 1$ , we can remove all  $m_{i,*}$  and  $p_i d_i$  from the summation (3.7) if we replace  $=$  with  $\leq$ , and obtain

$$2 \sum_{i=1}^K p_i \nu_i^2 + \sum_{i=K+1}^L p_i \nu_i^2 + p_L d_L \leq \sum_{i=1}^L p_i m_{0,i}.
 \tag{3.8}$$

It remains to give a lower bound for  $d_L$  in terms of  $\nu_i$ . First, we decompose

$$Z_L = \Gamma_L + \alpha_L B_L,$$

where  $\text{Supp } \Gamma_L$  does not contain  $B_L$  and  $\alpha_L \geq 0$ . Thus, we may decompose

$$D_1^{(L+1)} \cdot D_2^{(L+1)} = Z_0^{(L+1)} + \dots + Z_{L-1}^{(L+1)} + \Gamma_L^{(L+1)} + Z_{L+1},$$

where  $\text{Supp } Z_{L+1} \subset E_{L+1}$ . We apply Lemma 3.2(2) to  $\varphi_{L+1}$ :

$$D_1^{(L)} \cdot D_2^{(L)} = (\varphi_{L+1})_*(Z_1^{(N)} + \dots + Z_{L-1} + \Gamma_L^{(L+1)}) + (\nu_{L+1}^2 + \text{deg}_{E_{L+1}} Z_{L+1}) B_L.$$

Thus, we have  $\alpha_L = \nu_{L+1}^2 + \text{deg}_{E_{L+1}} Z_{L+1}$  and

$$d_L = \text{deg}_{E_L} (\Gamma_L + (\nu_{L+1}^2 + \text{deg}_{E_{L+1}} Z_{L+1}) B_L) \geq (\nu_{L+1}^2 + \text{deg}_{E_{L+1}} Z_{L+1}) \text{deg}_{E_L} B_L.$$

---

<sup>1</sup> This is valid for  $j \leq L$  by applying the lemma for the ambient fourfold.

Iterating the application of Lemma 3.2(2) for  $\varphi_i$  with  $i = L + 2, \dots, N$ , we obtain

$$d_L \geq \sum_{i=L+1}^N \nu_i^2 \deg_{E_L}(\varphi_{i-1,L})_* B_{i-1} \geq \sum_{i=L+1}^N \nu_i^2.$$

Combining this inequality with (3.8), we obtain the inequality (3.5). □

**COROLLARY 3.13.** *Let the notation and assumption be as in Proposition 3.12. Then the following inequality holds:*

$$\sum_{i=1}^L p_i m_{0,i} \geq \frac{2\nu(\mathcal{M})^2}{\sum_{i=1}^K p_i + 2 \sum_{i=K+1}^N p_i}.$$

*Proof.* The minimum of the left-hand side of (3.5), viewed as a quadratic form in  $\nu_i$ , under the constraint

$$\sum_{i=1}^N p_i \nu_i = \nu(\mathcal{M}),$$

is attained at

$$2\nu_1 = \dots = 2\nu_K = \nu_{K+1} = \dots = \nu_N = \frac{2\nu(\mathcal{M})}{\sum_{i=1}^K p_i + 2 \sum_{i=K+1}^L p_i}.$$

Calculating the minimum, we get the required inequality. □

### 3.4 $2n^2$ -inequalities for $cA_1$ points

We keep the notation as in § 3.3. Recall that

$$\Sigma_0 = \sum_{i=1}^K p_i, \quad \Sigma_1 = \sum_{i=K+1}^L p_i, \quad \Sigma_2 = \sum_{i=L+1}^N p_i. \tag{3.9}$$

**THEOREM 3.14** ( $2n^2$ -inequality for  $cA_1$  points). *Let  $\mathfrak{p} \in X$  be a terminal singularity of type  $cA_1$ ,  $\mathcal{M}$  be a mobile linear system of Cartier divisors on  $X$ , and let  $n$  be a positive rational number. If  $\mathfrak{p}$  is a center of non-canonical singularities of the pair  $(X, (1/n)\mathcal{M})$ , then for general members  $D_1, D_2$  in  $\mathcal{M}$  we have*

$$\text{mult}_{\mathfrak{p}}(D_1 \cdot D_2) > 2n^2.$$

*Proof.* By the assumption, there exists a divisorial valuation  $\nu$  of  $\mathbb{C}(X)$  centered at  $\mathfrak{p}$  such that  $(X, (1/n)\mathcal{M})$  is not canonical at  $\nu$ , that is, the inequality  $\nu(\mathcal{M}) > na(\nu, X)$  holds for  $\nu$ . Thus, by Lemma 3.7 we have

$$\nu(\mathcal{M}) > n(\Sigma_0 + 2\Sigma_1 + \Sigma_2). \tag{3.10}$$

Note that

$$\text{mult}_{\mathfrak{p}}(D_1 \cdot D_2) = m_{0,i} \geq m_{0,i} \tag{3.11}$$

for any  $1 \leq i \leq L$ . By (3.10), (3.11) and Corollary 3.13, we obtain

$$\text{mult}_{\mathfrak{p}}(D_1 \cdot D_2) > 2n^2 \frac{(\Sigma_0 + 2\Sigma_1 + \Sigma_2)^2}{(\Sigma_0 + \Sigma_1)(\Sigma_0 + 2\Sigma_1 + 2\Sigma_2)}. \tag{3.12}$$

It is then easy to get the required inequality. □

THEOREM 3.15 (2n<sup>2</sup>-type inequality for Kawakita blow-ups). *Let p ∈ X be a terminal singularity of type cA<sub>1</sub>, analytically equivalent to o ∈ (f = 0) ⊂ A<sup>4</sup>, where*

$$f = xy + z^2 + w^M$$

for some M ≥ 2. Let n be a positive rational number, and M be a mobile linear system of Cartier divisors on X. Let E be the exceptional divisor of (s, 2t − s, t, 1)-blow-up of p ∈ X, where s, t are coprime integers such that 0 < s ≤ t ≤ M/2. If the pair (X, (1/n)M) is not canonical at E, then, for general members D<sub>1</sub>, D<sub>2</sub> in M, we have

$$\text{mult}_p(D_1 \cdot D_2) > \frac{2t^2}{s(2t - s)}n^2.$$

*Proof.* Consider a fan corresponding to A<sup>4</sup> with the following description

$$\begin{aligned} v_x &= (1, 0, 0, 0), \\ v_y &= (0, 1, 0, 0), \\ v_z &= (0, 0, 1, 0), \\ v_w &= (0, 0, 0, 1). \end{aligned}$$

Then the toric morphism corresponding to adding the ray ρ = (s, 2t − s, t, 1) to the fan is the (s, 2t − s, t, 1)-weighted blow-up of A<sup>4</sup>. We can also add the ray ρ by performing a sequence of regular blow-ups at points, curves, and surfaces corresponding to adding the following rays to the fan:

$$\begin{aligned} u_1 &= (1, 1, 1, 1), \\ u_2 &= (2, 2, 2, 1), \\ &\dots \\ u_s &= (s, s, s, 1), \\ u_{s+1} &= (s, s + 1, s + 1, 1), \\ &\dots \\ u_t &= (s, t, t, 1), \\ u_{t+1} &= (s, t + 1, t, 1), \\ &\dots \\ u_{2t-s} &= (s, 2t - s, t, 1). \end{aligned}$$

From this description we can see that the first s morphisms are the blow-ups at a points, first at (x = y = z = w = 0), then at (x = y = z = u<sub>i</sub> = 0), the next t − s morphisms are blow-ups at curves (y = z = u<sub>i</sub> = 0), and the last t − s morphisms are blow-ups at surface (y = u<sub>i</sub> = 0). The restriction of the morphisms to the proper transforms X<sub>i</sub> of X gives us the s blow-ups at singular points of X followed by t − s blow-ups at a curve and t − s blow-ups at a divisor as can be seen from the local equations of X<sub>i</sub>:

$$\begin{aligned} X_0 : \quad &xy + z^2 + w^M = 0, \\ X_1 : \quad &xy + z^2 + u_1^{M-2}w^M = 0, \\ &\dots \end{aligned}$$



$$\begin{aligned}
 X_s &: xy + z^2 + u_s^{M-2s}w^M = 0, \\
 X_{s+1} &: xy + u_{s+1}z^2 + u_{s+1}^{M-2s-1}w^M = 0, \\
 &\dots \\
 X_t &: xy + u_t^{t-s}z^2 + u_t^{M-s-t}w^M = 0, \\
 X_{t+1} &: xy + u_{t+1}^{t-s-1}z^2 + u_{t+1}^{M-s-t-1}w^M = 2, \\
 &\dots \\
 X_{2t-s} &: xy + z^2 + u_{2t-s}^{M-2t}w^M = 0.
 \end{aligned}$$

We can see from the toric description that for every blow-up we have  $B_i \subset E_i \setminus E_{i-1}^{(i)}$ , so we can drop  $u_j$  in the local equation of  $X_i$  for  $j < i$ . This fact also implies that the graph corresponding to the tower of blow-ups is a simple chain and we have  $p_i = 1$  for all  $i$ . Thus, we have  $N = t$ ,  $\Sigma_0 = K = L = s$ ,  $\Sigma_1 = 0$ , and  $\Sigma_2 = t - s$ . The required inequality is obtained by substituting these values into the inequality (3.12).  $\square$

*Remark 3.16.* In Theorem 3.14, compared with the  $4n^2$ -inequality (Theorem 1.1), we keep the dimension three but we make the germ of a point worse by introducing singularities. As a result, we weaken the original inequality.

On the other hand, one can increase the dimension and see what kind of singularities still satisfy the  $4n^2$ -inequality; see, for example, [Puk17]. This approach has been applied to prove birational rigidity of many families of high-dimensional varieties: hypersurfaces, cyclic covers, and complete intersections [Puk19a, Puk19b, EP18, EP19].

### 3.5 Corti inequality for $cA_1$ points

We keep the notation as in §3.3 and let  $\Sigma_0, \Sigma_1, \Sigma_2$  be as in (3.9). The following is a version of Corti inequality [Cor00, Theorem 3.12] for  $cA_1$  points.

**THEOREM 3.17** (Corti inequality for  $cA_1$  points). *Let  $\mathfrak{p} \in X$  be a terminal singularity of type  $cA_1$ , and let  $\mathcal{M}$  be a mobile linear system of Cartier divisors on  $X$ . Let  $F_1, \dots, F_l \subset X$  be irreducible surfaces containing  $\mathfrak{p}$ . For the intersection 1-cycle  $Z = D_1 \cdot D_2$  of general members  $D_1, D_2$  in  $\mathcal{M}$ , we write*

$$Z = Z_h + \sum_{j=1}^l Z_j,$$

where  $\text{Supp } Z_j \subset F_j$ , and  $Z_h$  intersects  $\sum_{i=1}^l F_i$  properly. Let  $n$  and  $\gamma_j$  be positive rational numbers such that  $\mathfrak{p}$  is a center of non-canonical singularities of the pair

$$\left(X, \frac{1}{n}\mathcal{M} - \sum_{j=1}^l \gamma_j F_j\right). \tag{3.13}$$

Then there are rational numbers  $0 < t_j \leq 1$  such that

$$\text{mult}_{\mathfrak{p}} Z_h + \sum_{j=1}^l t_j \text{mult}_{\mathfrak{p}} Z_j > 2n^2 + 4n^2 \sum_{j=1}^l \gamma_j t_j.$$

*Proof.* We first note that by Lemma 3.7

$$a(E_\infty, X) = \Sigma_0 + 2\Sigma_1 + \Sigma_2,$$

where we recall that  $E_\infty = E_N$  is the prime exceptional divisor realizing  $\nu$ . By the assumption that the pair (3.13) is not canonical at  $\nu$ , we have

$$\nu(\mathcal{M}) > n \left( \Sigma_0 + 2\Sigma_1 + \Sigma_2 + \sum_{j=1}^k \gamma_j \nu(F_j) \right). \tag{3.14}$$

For  $j = 1, \dots, l$ , we set

$$K_j = \begin{cases} 0, & \text{if } \mathfrak{p} \in F_j, \\ \max\{i \leq L \mid B_{i-1} \notin F_j^{(i-1)}\}, & \text{otherwise,} \end{cases}$$

and then set

$$\Xi_j = \sum_{i=1}^{K_j} p_i.$$

Then by Lemma 3.7 we have

$$\nu(F_j) = \sum_{i=1}^{K_j} p_i \operatorname{mult}_{B_{i-1}} F_j^{(i-1)} \geq \sum_{i=1}^{K_j} p_i = \Xi_j.$$

Observe that

$$m_{i,0} = \operatorname{mult}_{B_{i-1}} Z_0^{(i-1)} = \operatorname{mult}_{B_{i-1}} Z_h^{(i-1)} + \sum_{j=1}^l \operatorname{mult}_{B_{i-1}} Z_j^{(i-1)},$$

and that if  $i > K_j$ , then  $\operatorname{mult}_{B_{i-1}} Z_j^{(i-1)} = 0$ . This decomposition implies that

$$\begin{aligned} \sum_{i=1}^L p_i m_{0,i} &= \sum_{i=1}^L p_i \operatorname{mult}_{B_{i-1}} (Z_h^{(i-1)}) + \sum_{j=1}^l \sum_{i=1}^{K_j} p_i \operatorname{mult}_{B_{i-1}} (Z_j^{(i-1)}) \\ &\leq (\Sigma_0 + \Sigma_1) \operatorname{mult}_{\mathfrak{p}} Z_h + \sum_{j=1}^l \Xi_j \operatorname{mult}_{\mathfrak{p}} Z_j, \end{aligned} \tag{3.15}$$

since  $\operatorname{mult}_{B_{i-1}} (Z_h^{(i-1)}) \leq \operatorname{mult}_{\mathfrak{p}} Z_h$  and  $\operatorname{mult}_{B_{i-1}} (Z_j^{(i-1)}) \leq \operatorname{mult}_{\mathfrak{p}} Z_j$  for every  $1 \leq i \leq L$ .

By the inequality in Corollary 3.13 combined with two inequalities (3.14) and (3.15), we get the inequality

$$\begin{aligned} &(\Sigma_0 + \Sigma_1) \operatorname{mult}_{\mathfrak{p}} Z_h + \sum_{j=1}^l \Xi_j \operatorname{mult}_{\mathfrak{p}} Z_j \\ &\geq \sum_{i=1}^L p_i m_{0,i} \end{aligned}$$

$$\begin{aligned}
 &> 2n^2\Sigma_0 + 4n^2\Sigma_1 + 4n^2 \sum_{j=1}^l \gamma_j \nu(F_j) + 2n^2 \frac{(\Sigma_2 - \sum_{j=1}^l \gamma_j \nu(F_j))^2}{\Sigma_0 + 2\Sigma_1 + 2\Sigma_2} \\
 &\geq 2n^2(\Sigma_0 + \Sigma_1) + 4n^2 \sum_{j=1}^l \gamma_j \Xi_j,
 \end{aligned} \tag{3.16}$$

where the last inequality follows from the inequality  $\nu(F_j) \geq \Xi_j$ .

Dividing (3.16) by  $\Sigma_0 + \Sigma_1$  and setting

$$t_j = \frac{\Xi_j}{\Sigma_0 + \Sigma_1},$$

we get the required inequality. □

#### 4. Sextic double solids

Recall that a sextic double solid is a normal projective 3-fold  $X$  which is a double cover of  $\mathbb{P}^3$  branched along a sextic surface. A sextic double solid can be expressed as a weighted hypersurface in  $\mathbb{P}(1, 1, 1, 1, 3)$  with homogeneous coordinates  $x, y, z, t, w$  of weights 1, 1, 1, 1, 3, respectively, defined by an equation of the form

$$w^2 + g_6(x, y, z, t) = 0, \tag{4.1}$$

where  $g_6 \in \mathbb{C}[x, y, z, t]$  is a homogeneous polynomial of degree 6.

The aim of this section is to prove the following.

**THEOREM 4.1.** *Let  $X$  be a factorial sextic double solid with at worst terminal singularities of type  $cA_1$ . Then  $X$  is birationally superrigid.*

In the rest of this section,  $X$  is a sextic double solid and we assume that  $X$  is factorial and has only terminal Gorenstein singularities. We do not impose any other condition on the singularities unless otherwise specified. We denote by  $\pi: X \rightarrow \mathbb{P}^3$  the double cover and by  $B \subset \mathbb{P}^3$  the branch divisor which is a sextic surface.

*Remark 4.2.* Under the assumption that a sextic double solid  $X$  has only Gorenstein terminal singularities,  $\mathbb{Q}$ -factoriality of  $X$  is equivalent to factoriality of  $X$ , that is,  $\text{Pic}(X) = \text{Cl}(X) = \mathbb{Z}[-K_X]$  (see [Kaw88, Lemma 5.1]).

##### 4.1 Exclusion of smooth points

The exclusion of smooth points as a maximal center is done as follows.

**PROPOSITION 4.3** [CP10, Lemma 3.1]. *No smooth point of  $X$  is a maximal center.*

##### 4.2 Exclusion of curves

**LEMMA 4.4.** *A curve on  $X$  is not a maximal center except possibly for a curve of degree 1 which passes through a singular point of  $X$  and whose image via  $\pi$  is not contained in the sextic surface  $B$ .*

*Proof.* Let  $\Gamma$  be a curve on  $X$ . Suppose that  $\Gamma$  is a maximal center. Then  $(-K_X \cdot \Gamma) < (-K_X)^3 = 2$  by Lemma 2.7. It follows that  $\Gamma$  is a curve of degree 1 on  $X$ . By the assumption, there exists a movable linear system  $\mathcal{M} \sim -nK_X$  on  $X$  such that the pair  $(X, (1/n)\mathcal{M})$  is not canonical along  $\Gamma$ . In particular, we have  $\text{mult}_\Gamma \mathcal{M} > n$ .

In the following, we merely repeat the arguments in the proof of [CP10, Lemma 3.8] for readers' convenience. Suppose that  $\pi(\Gamma)$  is contained in the sextic surface  $B \subset \mathbb{P}^3$ . We choose and fix a smooth point  $\mathfrak{p} \in \Gamma$  which is contained in the smooth locus of  $X$  and which is not contained in any curve in  $\text{Bs } \mathcal{M}$  other than  $\Gamma$ . Let  $L \subset \mathbb{P}^3$  be a general line tangent to  $B$  at  $\pi(\mathfrak{p})$  and let  $\Delta$  be the inverse image of  $L$  by  $\pi$ . We see that  $\Delta$  is a curve of degree 2 which is singular at  $\mathfrak{p}$ . Moreover, by our choice of  $\mathfrak{p}$ ,  $\Delta$  is not contained in the base locus of  $\mathcal{M}$ . Then we obtain

$$2n = (\mathcal{M} \cdot \Delta) \geq \text{mult}_{\mathfrak{p}} \mathcal{M} \text{mult}_{\mathfrak{p}} \Delta \geq \text{mult}_{\Gamma} \mathcal{M} \text{mult}_{\mathfrak{p}} \Delta > 2n.$$

This is a contradiction. □

In the following, let  $\Gamma$  be a curve of degree 1 such that  $\Gamma \cap \text{Sing } X \neq \emptyset$  and  $\pi(\Gamma) \not\subset B$ . The image  $\pi(\Gamma) \subset \mathbb{P}^3$  is a line and we can write

$$\Gamma = (\ell_1 = \ell_2 = w - g_3 = 0),$$

where  $\ell_1, \ell_2 \in \mathbb{C}[x, y, z, t]$  are linear forms and  $g_3 \in \mathbb{C}[x, y, z, t]$  is a cubic form. We define  $\mathcal{P}$  to be the linear subsystem of  $|-K_X|$  consisting of members containing  $\Gamma$ , which is the pencil generated by  $\ell_1$  and  $\ell_2$ , and let  $S, T$  be distinct general members of  $\mathcal{P}$ . We have  $T|_S = \Gamma + \Delta$ , where

$$\Delta = (\ell_1 = \ell_2 = w + g_3 = 0).$$

By the assumption that  $\pi(\Gamma) \not\subset B$ , we have  $g_3 \neq 0$ , in other words,  $\Delta \neq \Gamma$ . Consider the scheme-theoretic intersection

$$\Gamma \cap \Delta = (\ell_1 = \ell_2 = w = g_3 = 0) \subset \mathbb{P}(1, 1, 1, 1, 3), \tag{4.2}$$

which consists of 3 points counting with multiplicity.

*Remark 4.5.* We have  $\Gamma \cap \Delta = \pi^{-1}(\pi(\Gamma) \cap B)$  set-theoretically. A singular point  $\mathfrak{p} \in X$  necessarily satisfies  $\pi(\mathfrak{p}) \in B$ , so that a point  $\mathfrak{p} \in \Gamma \cap \text{Sing } X$  is contained in  $\Gamma \cap \Delta$ .

We choose and fix a point  $\mathfrak{p} \in \Gamma$  which is a  $cA_k$  point of  $X$ , where  $k = 1, 2$ . We choose homogeneous coordinates  $x, y, z, t, w$  of  $\mathbb{P}(1, 1, 1, 1, 3)$  so that  $X$  is defined by

$$-w^2 + x^4 f_2 + x^3 f_3 + x^2 f_4 + x f_5 + f_6 = 0, \tag{4.3}$$

where  $f_i = f_i(y, z, t)$  is a homogeneous polynomial of degree  $i$ , and  $\mathfrak{p} = (1 : 0 : 0 : 0 : 0)$ . This choice of coordinates is possible by [Pac21, Theorem A]. Replacing coordinates  $y, z, t$  (while fixing the point  $\mathfrak{p} = (1 : 0 : 0 : 0 : 0)$ ), we may assume  $\pi(\Gamma) = (z = t = 0) \subset \mathbb{P}^3$ , that is,  $\ell_1 = z$  and  $\ell_2 = t$ . Then we can write

$$\Gamma = (z = t = w - (\lambda x^2 y + \mu x y^2 + \nu y^3) = 0) \subset \mathbb{P}(1, 1, 1, 1, 3), \tag{4.4}$$

for some  $\lambda, \mu, \nu \in \mathbb{C}$ . Note that at least one of  $\lambda, \mu, \nu$  is non-zero since  $\pi(\Gamma) \not\subset B$ . Note also that

$$x^4 f_2(y, 0, 0) + x^3 f_3(y, 0, 0) + \dots + f_6(y, 0, 0) = (\lambda x^2 y + \mu x y^2 + \nu y^3)^2 \tag{4.5}$$

since  $\Gamma \subset X$ . With this choice of coordinates, the pencil  $\mathcal{P}$  is generated by  $z$  and  $t$ . The point  $\mathfrak{p}$  is a multiplicity 1 (respectively, 2, respectively, 3) point of  $\Gamma \cap \Delta$  if and only if  $\lambda \neq 0$  (respectively,  $\lambda = 0$  and  $\mu \neq 0$ , respectively,  $\lambda = \mu = 0$  and  $\nu \neq 0$ ). Let  $S$  be a general member of  $\mathcal{P}$ . We analyze the type of  $G(S, \mathfrak{p}, \Gamma)$ .

**LEMMA 4.6.** *Under the notation and assumption as above, let  $\mathfrak{p} \in \Gamma$  be a  $cA_1$  point of  $X$ . Then the following assertions hold.*

- (1) *If  $\text{mult}_{\mathfrak{p}} \Gamma \cap \Delta = 1$ , then  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{1,1}$ .*
- (2) *If  $\text{mult}_{\mathfrak{p}} \Gamma \cap \Delta = 2$ , then  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{1,1}$  or  $A_{3,2}$ .*
- (3) *If  $\text{mult}_{\mathfrak{p}} \Gamma \cap \Delta = 3$ , then  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{1,1}$ ,  $A_{3,2}$  or  $A_{5,3}$ .*

*Proof.* We choose homogeneous coordinates of  $\mathbb{P} := \mathbb{P}(1, 1, 1, 1, 3)$  as above, that is,  $\mathfrak{p} = (1 : 0 : 0 : 0 : 0)$ ,  $X$  is defined by the (4.3), and  $\Gamma$  is as given in (4.4). In the following, we assume that a general member  $S \in \mathcal{P}$  is cut out by the equation  $t = \theta z$  for a general  $\theta \in \mathbb{C}$ . We set  $U_x := \mathbb{P}(1, 1, 1, 1, 3) \setminus (x = 0)$  and, by a slight abuse of notation, we identify  $U_x$  with the affine space  $\mathbb{A}^4$  with affine coordinates  $y, z, t, w$ .

We first prove assertion (1). Suppose  $\text{mult}_{\mathfrak{p}} \Gamma \cap \Delta = 1$ , that is,  $\lambda \neq 0$ . In view of the (4.5), we can write

$$f_2 = \lambda^2 y^2 + y\ell(z, t) + q(z, t),$$

where  $\ell(z, t)$  and  $q(z, t)$  are linear and quadratic forms, respectively. Note that at least one of  $\ell(z, t)$  and  $q(z, t)$  is non-zero since  $\mathfrak{p} \in X$  is of type  $cA_1$ . By eliminating the variable  $t = \theta z$ ,  $S$  is defined in  $\mathbb{P}(1, 1, 1, 1, 3)$  by the equation

$$-w^2 + x^4(\lambda^2 y^2 + \zeta yz + \eta z^2) + x^3 f_3(y, z, \theta z) + \dots = 0,$$

where  $\zeta, \eta \in \mathbb{C}$  satisfy  $(\zeta, \eta) \neq (0, 0)$ . By setting  $x = 1$ ,  $S \cap U_x$  is isomorphic to the hypersurface in  $\mathbb{A}_{y,z,w}^3$  defined by

$$-w^2 + \lambda^2 y^2 + \zeta yz + \eta z^2 + h_{\geq 3}(y, z) = 0,$$

where  $h_{\geq 3}(y, z)$  is a polynomial of order at least 3. The point  $\mathfrak{p} = (1 : 0 : 0 : 0 : 0)$  corresponds to the origin and the curve  $\Gamma$  is defined in  $\mathbb{A}^3$  by the equations

$$z = w - \lambda y - \mu y^2 - \nu y^3 = 0. \tag{4.6}$$

It is easy to see that  $\mathfrak{p} \in S$  is of type  $A_1$  and the proper transform via the blow-up of  $\mathfrak{p} \in S$  of  $\Gamma$  intersects the exceptional divisor transversally at one point. It follows that  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{1,1}$ .

We prove assertion (2). Suppose  $\text{mult}_{\mathfrak{p}} \Gamma \cap \Delta = 2$ , that is,  $\lambda = 0$  and  $\mu \neq 0$ . We can write

$$f_2 = y\ell(z, t) + q(z, t),$$

where  $\ell(z, t)$  and  $q(z, t)$  are linear and quadratic forms, respectively. Let  $\zeta, \eta \in \mathbb{C}$  be such that  $\ell(z, \theta z) = \zeta z$  and  $q(z, \theta z) = \eta z^2$ . Then, by eliminating  $t$  and setting  $x = 1$ ,  $S \cap U_x$  is isomorphic to the hypersurface in  $\mathbb{A}_{y,z,w}^3$  defined by the equation

$$-w^2 + \zeta yz + \eta z^2 + z(h_2(y, z) + h_3(y, z)) + \mu^2 y^4 + h_{\geq 5}(y, z) = 0, \tag{4.7}$$

where  $h_2, h_3 \in \mathbb{C}[y, z]$  are homogeneous polynomials of degree 2, 3, respectively, and  $h_{\geq 5} \in \mathbb{C}[y, z]$  is a polynomial of order at least 5. The curve  $\Gamma$  is defined in  $\mathbb{A}^3$  by the equations

$$z = w - \mu y^2 - \nu y^3 = 0. \tag{4.8}$$

Suppose that  $\ell(z, t) \neq 0$ . Then  $\zeta \neq 0$ , and it is easy to see that  $\mathfrak{p} \in S$  is of type  $A_1$  and  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{1,1}$ .

Suppose that  $\ell(z, t) = 0$ . Then  $\zeta = 0$  and  $\eta \neq 0$ . The exceptional divisor  $E$  of the blow-up  $\tilde{S} \rightarrow S$  of  $S$  at  $\mathfrak{p}$  is isomorphic to the hypersurface

$$(-w^2 + \eta z^2 = 0) \subset \tilde{\mathbb{P}}^2 := \mathbb{P}_{y,z,w}^2,$$

where the polynomial  $-w^2 + \eta z^2$  is the least degree part of (4.7). It follows that  $E = E_+ + E_-$ , where  $E_{\pm} = (w \pm \sqrt{\eta}z = 0)$  are smooth rational curves which meet only at  $\mathfrak{q} := (1 : 0 : 0) \in \tilde{\mathbb{P}}^2$ . By (4.8), the proper transform  $\tilde{\Gamma} \subset \tilde{S}$  of  $\Gamma$  intersect  $E$  only at  $\mathfrak{q}$ . The point  $\tilde{\mathfrak{p}}$  is in the  $y$ -chart,

denoted by  $\tilde{S}_y$ , of the blow-up  $\tilde{S} \rightarrow S$ , and  $\tilde{S}_y$  is the hypersurface in  $\tilde{\mathbb{A}}^3 := \mathbb{A}_{y,z,w}^3$  defined by

$$-w^2 + \eta z^2 + zy(h_2(1, z) + yh_3(1, z)) + \mu^2 y^2 + h_{\geq 5}(y, y, z)/y^2 = 0.$$

Note that  $\tilde{\mathfrak{p}}$  corresponds to the origin, and it is an ordinary double point of  $\tilde{S}$ . Moreover, on  $\tilde{S}_y$ , we have

$$\begin{aligned} \tilde{\Gamma} &= (z = w - \mu y - \nu y^2 = 0) \subset \tilde{\mathbb{A}}^3, \\ E_{\pm} &= (y = w - \sqrt{\eta}z = 0) \subset \tilde{\mathbb{A}}^3. \end{aligned}$$

Let  $\hat{S} \rightarrow \tilde{S}$  be the blow-up of  $\tilde{S}$  at  $\tilde{\mathfrak{p}}$  with exceptional curve  $F$ . Then  $\hat{S}$  is smooth along  $F$ ,

$$F \cong (-w^2 + \eta z^2 + \mu^2 y^2 = 0) \subset \hat{\mathbb{P}}^2 = \mathbb{P}_{y,z,w}^2$$

is a smooth rational curve, and  $\hat{\Gamma} \cap F = \{(1 : 0 : \mu)\}$ ,  $F \cap \hat{E}_{\pm} = \{(0 : 1 : \pm\sqrt{\eta})\}$ ,  $\hat{\Gamma} \cap \hat{E}_{\pm} = \emptyset$ . This shows that  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{3,2}$ .

We prove assertion (3). Suppose  $\text{mult}_{\mathfrak{p}} \Gamma \cap \Delta = 3$ , that is,  $\lambda = \mu = 0$  and  $\nu \neq 0$ . We can write

$$f_2 = y\ell(z, t) + q(z, t)$$

as before. If  $\ell(z, t) \neq 0$ , then  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{1,1}$  as in the previous case. We assume  $\ell(z, t) = 0$ . Then  $q(z, t) \neq 0$ , and by eliminating  $t$  and setting  $x = 1$ ,  $S \cap U_x$  is isomorphic to the hypersurface in  $\mathbb{A}_{y,z,w}^3$  defined by the equation

$$-w^2 + \eta z^2 + zh(y, z) + \nu^2 y^6 = 0,$$

where  $\eta \in \mathbb{C}$  is non-zero and  $h(y, z)$  is a polynomial consisting of monomials of degree 2, 3, 4, 5. The curve  $\Gamma$  is defined in  $\mathbb{A}^3$  by the equations

$$z = w - \nu y^3 = 0. \tag{4.9}$$

We write

$$h(y, 0) = \alpha_2 y^2 + \alpha_3 y^3 + \alpha_4 y^4 + \alpha_5 y^5,$$

where  $\alpha_i \in \mathbb{C}$ . Let  $\tilde{S} \rightarrow S$  be the blow-up of  $S$  at  $\mathfrak{p}$  with exceptional divisor  $E$ . We see that  $E = E_+ + E_-$ , where

$$E_{\pm} := (w \pm \sqrt{\eta}z = 0) \subset \tilde{\mathbb{P}}^2 = \mathbb{P}_{y,z,w}^2,$$

are smooth rational curves which meet only at  $\tilde{\mathfrak{p}} := (1 : 0 : 0) \in \tilde{\mathbb{P}}^2$ . Moreover the proper transform  $\tilde{\Gamma} \subset \tilde{S}$  of  $\Gamma$  meet  $E_{\pm}$  only at  $\tilde{\mathfrak{p}}$ . The  $y$ -chart  $\tilde{S}_y$  of the blow-up  $\tilde{S} \rightarrow S$  is the hypersurface in  $\tilde{\mathbb{A}}^3 := \mathbb{A}_{y,z,w}^3$  defined by

$$-w^2 + \eta z^2 + zy(\alpha_2 + \alpha_3 y + \alpha_4 y^2 + \alpha_5 y^3 + \dots) + \nu^2 y^4 = 0,$$

where the omitted terms consist of monomials divisible by  $z$ . The point  $\tilde{\mathfrak{p}}$  corresponds to the origin of  $\tilde{\mathbb{A}}^3$ . On this chart  $\tilde{S}_y$ , we have

$$\begin{aligned} \tilde{\Gamma} &= (z = w - \nu y^2 = 0) \subset \tilde{\mathbb{A}}^3, \\ E_{\pm} &= (y = w \pm \sqrt{\eta}z = 0) \subset \tilde{\mathbb{A}}^3. \end{aligned}$$

Now let  $\hat{S} \rightarrow \tilde{S}$  be the blow-up of  $\tilde{S}$  at  $\tilde{\mathfrak{p}}$  with exceptional divisor

$$F \cong (-w^2 + \eta z^2 + \alpha_2 zy = 0) \subset \hat{\mathbb{P}}^2 := \mathbb{P}_{y,z,w}^2.$$

The proper transform  $\hat{\Gamma} \subset \hat{S}$  of  $\Gamma$  intersects  $F$  only at the point  $\hat{\mathfrak{p}} := (1 : 0 : 0) \in \hat{\mathbb{P}}^2$ . We denote by  $\hat{S}_y$  the  $y$ -chart of the blow-up  $\hat{S} \rightarrow \tilde{S}$  at  $\tilde{\mathfrak{p}}$ , which is the hypersurface in  $\hat{\mathbb{A}}^3$  defined by

$$-w^2 + \eta z^2 + z(\alpha_2 + \alpha_3 y + \alpha_4 y^2 + \alpha_5 y^3 + \dots) + \nu^2 y^2 = 0.$$

The point  $\hat{p}$  corresponds to the origin of  $\hat{\mathbb{A}}^3$ , and  $\hat{S}$  has an ordinary double point at  $\hat{p}$ . Note that the proper transforms  $\hat{E}_\pm \subset \hat{S}$  of  $E_\pm$  are both disjoint from the  $y$ -chart  $\hat{S}_y$ .

Suppose that  $\alpha_2 \neq 0$ . In this case  $\tilde{S}$  has an ordinary double point at  $\tilde{p}$ . We see that  $\tilde{\Gamma}$  intersects  $F$  transversally at  $\tilde{p}$ ,  $F \cap \hat{E}_\pm = \{(0 : 1 : \pm\sqrt{\eta})\}$ , and  $\hat{E}_\pm \cap \hat{\Gamma} = \emptyset$ . This shows that  $G(S, p, \Gamma)$  is of type  $A_{3,2}$ .

Suppose that  $\alpha_2 = 0$ . In this case we have  $F = F_+ + F_-$ , where

$$F_\pm \cong (w \pm \sqrt{\eta}z = 0) \subset \hat{\mathbb{P}}^2,$$

and we have  $F_+ \cap F_- \cap \hat{\Gamma} = \{\hat{p}\}$ . On the  $y$ -chart  $\hat{S}_y$ , we have

$$\begin{aligned} \hat{\Gamma} &= (z = w - \nu y = 0) \subset \hat{\mathbb{A}}^3, \\ F_\pm &= (y = w \pm \sqrt{\eta}z = 0) \subset \hat{\mathbb{A}}^3. \end{aligned}$$

Let  $\check{S} \rightarrow \hat{S}$  be the blow-up of  $\hat{S}$  at  $\hat{p}$  with exceptional divisor  $G$ . We have an isomorphism

$$G \cong (-w^2 + \eta z^2 + \alpha_3 zy + \nu^2 y^2 = 0) \subset \check{\mathbb{P}}^2_{y,z,w},$$

and, hence,  $G$  is a smooth rational curve. Let  $\check{\Gamma}$ ,  $\check{E}_\pm$ , and  $\check{F}_\pm$  be proper transforms of  $\Gamma$ ,  $E_\pm$ , and  $F_\pm$  on  $\check{S}$ , respectively. We have  $G \cap \check{\Gamma} = \{(1 : 0 : \nu)\}$  and  $G \cap \check{F}_\pm = \{(0 : 1 : \pm\sqrt{\eta})\}$ . This shows that  $G(S, p, \Gamma)$  is of type  $A_{5,3}$ . This completes the proof.  $\square$

**LEMMA 4.7.** *Under the notation and assumption as above, let  $p \in \Gamma$  be a  $cA_2$ -point of  $X$ . Then the following assertions hold.*

- (1) *If  $\text{mult}_p \Gamma \cap \Delta = 1$ , then  $G(S, p, \Gamma)$  is of type  $A_{2,1}$ .*
- (2) *If  $\text{mult}_p \Gamma \cap \Delta = 2$ , then  $G(S, p, \Gamma)$  is of type  $A_{3,2}$ .*
- (3) *If  $\text{mult}_p \Gamma \cap \Delta = 3$ , then  $G(S, p, \Gamma)$  is of type  $A_{3,2}$  or  $A_{5,3}$ .*

*Proof.* We use the same notation as in the previous proof.

We prove assertion (1). Suppose  $\text{mult}_p \Gamma \cap \Delta = 1$ , that is,  $\lambda \neq 0$ . Since  $p \in X$  is of type  $cA_2$ , we have  $f_2 = \lambda^2 y^2$  and  $f_3(0, z, t) \neq 0$  as a polynomial. Then, by eliminating  $t$  and setting  $x = 1$ ,  $S \cap U_x$  is isomorphic to the hypersurface in  $\mathbb{A}^3_{y,z,w}$  defined by the equation

$$-w^2 + \lambda^2 y^2 + \zeta z^3 + \alpha y z^2 + \beta y^2 z + h_{\geq 4}(y, z) = 0,$$

where  $\alpha, \beta, \zeta \in \mathbb{C}$  with  $\zeta \neq 0$  and  $h_{\geq 4}(y, z)$  is a polynomial of order at least 4. The point  $p = (1 : 0 : 0 : 0)$  corresponds to the origin and the curve  $\Gamma$  is defined in  $\mathbb{A}^3$  by the (4.6). Let  $\tilde{S} \rightarrow S$  be the blow-up of  $S$  at  $p$  with exceptional divisor  $E$ . Then  $E = E_+ + E_-$ , where

$$E_\pm \cong (w \pm \lambda y = 0) \subset \tilde{\mathbb{P}}^2 := \mathbb{P}^2_{y,z,w}.$$

The surface  $\tilde{S}$  is smooth outside the singular locus of  $E$ . The singular locus of  $E$  is the intersection point  $q$  of  $E_+$  and  $E_-$ , where  $q = (0 : 1 : 0) \in \tilde{\mathbb{P}}^2$ . The point  $q$  corresponds to the origin of the  $z$ -chart  $\tilde{S}_z$  which is the hypersurface in  $\hat{\mathbb{A}}^3 := \mathbb{A}^3_{y,z,w}$  defined by

$$-w^2 + \lambda^2 y^2 + \zeta z + \alpha y + \beta y^2 z + h_{\geq 4}(yz, z)/z^2 = 0.$$

It follows that  $\tilde{S}$  is smooth at  $\tilde{p}$ . Then, since  $\Gamma$  is defined by the (4.6), we have  $\tilde{\Gamma} \cap E = \{q'\}$ , where  $q' := (1 : 0 : \lambda) \in \tilde{\mathbb{P}}^2$ . We have  $q' \in E_-$  and  $q' \notin E_+$ . This shows that  $G(S, p, \Gamma)$  is of type  $A_{2,1}$ .

We prove assertion (2). Suppose  $\text{mult}_p \Gamma \cap \Delta = 2$ , that is,  $\lambda = 0$  and  $\nu \neq 0$ . We see that  $f_2$  is a square of a linear form in  $z$  and  $t$ . We may assume  $f_2 = z^2$  by replacing  $z$  and  $t$ . By eliminating

TABLE 1. Types of  $G(S, \mathfrak{p}, \Gamma)$ .

$\mathfrak{p} \in X$	$\text{mult}_{\mathfrak{p}} \Gamma \cap \Delta$	$G(S, \mathfrak{p}, \Gamma)$	Correction term
$cA_1$	1	$A_{1,1}$	$\frac{1}{2}$
$cA_1$	2	$A_{1,1}$ or $A_{3,2}$	$\frac{1}{2}$ or 1
$cA_1$	3	$A_{1,1}$ or $A_{3,2}$ or $A_{5,3}$	$\frac{1}{2}$ or 1 or $\frac{3}{2}$
$cA_2$	1	$A_{2,1}$	$\frac{2}{3}$
$cA_2$	2	$A_{3,2}$	1
$cA_2$	3	$A_{3,2}$ or $A_{5,3}$	1 or $\frac{3}{2}$

$t$  and setting  $x = 1$ ,  $S \cap U_x$  is isomorphic to the hypersurface in  $\mathbb{A}_{y,z,w}^3$  defined by the equation

$$-w^2 + z^2 + zh_2(y, z) + \mu^2y^4 + h_{\geq 5}(y, z) = 0,$$

where  $h_2(y, z)$  is a homogeneous polynomial of degree 2 and  $h_{\geq 5}(y, z)$  is a polynomial of order at least 5. The curve  $\Gamma$  is defined in  $\mathbb{A}^3$  by the (4.8). By repeating similar computations as in assertion (2) of Lemma 4.6, we see that  $\mathfrak{p} \in S$  is of type  $A_3$  and  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{3,2}$ .

We prove assertion (3). Suppose  $\text{mult}_{\mathfrak{p}} \Gamma \cap \Delta = 3$ , that is,  $\lambda = \mu = 0$  and  $\nu \neq 0$ . As in previous arguments,  $S \cap U_x$  is isomorphic to the hypersurface in  $\mathbb{A}_{y,z,w}^3$  defined by the equation

$$-w^2 + z^2 + zh(y, z) + \nu^2y^6 = 0,$$

where  $h(y, z)$  is a polynomial consisting of monomials of degree 2, 3, 4, 5. The curve  $\Gamma$  is defined in  $\mathbb{A}^3$  by the (4.9). By the same argument as in the proof of Lemma 4.6(3), we conclude that  $G(S, \mathfrak{p}, \Gamma)$  is of type  $A_{3,2}$  or  $A_{5,3}$ . □

The results obtained by Lemmas 4.6 and 4.7 are summarized in Table 1, where the correction term given in the right-most column is the number  $k(n - k + 1)/(n + 1)$  (corresponding to the extended graph of type  $A_{n,k}$ ) appearing in Lemma 2.11.

PROPOSITION 4.8. *Let  $X$  be a factorial sextic double solid with at worst terminal singularities of type  $cA_1$  and  $cA_2$ . Then no curve on  $X$  is a maximal center.*

*Proof.* By Lemma 4.4, it remains to consider a curve  $\Gamma$  of degree 1 such that  $\Gamma \cap \text{Sing } X \neq \emptyset$  and  $\pi(\Gamma) \not\subset B$ .

Let  $\mathcal{P} \subset |-K_X|$  be the pencil as above, and let  $S, T \in \mathcal{P}$  be general members. By [Oka20b, Lemma 10.4],  $S$  and  $T$  are smooth outside  $\Gamma \cap \text{Sing } X$ . We have  $T|_S = \Gamma + \Delta$ , where  $\Delta \neq \Gamma$  is also a curve of degree 1. By (4.2), we see that  $\Gamma \cap \Delta$  consists of 3 points counting with multiplicity.

We compute the self-intersection number  $(\Gamma^2)_S$ . Let  $\mathfrak{p}_1, \dots, \mathfrak{p}_l$  be the points in  $\Gamma \cap \text{Sing}(X)$ . By Remark 4.5, these points are contained in  $\Gamma \cap \Delta$ . We set  $m_i = \text{mult}_{\mathfrak{p}_i} \Gamma \cap \Delta$ . Then  $l \leq \sum m_i \leq 3$ . Let  $A_{n_i, k_i}$  be the type of  $G(S, \mathfrak{p}_i, \Gamma)$  which is described in Lemmas 4.6 and 4.7 (see also Table 1). Since  $K_S = 0$  and  $\Gamma \cong \mathbb{P}^1$ , we have

$$(\Gamma^2)_S = -2 + \sum_{i=1}^l \frac{k_i(n_i - k_i + 1)}{n_i + 1}$$

by Lemma 2.11. By considering all the possible combinations of singular points  $\mathfrak{p}_1, \dots, \mathfrak{p}_l$  satisfying  $\sum m_i \leq 3$ , we have the upper bound

$$(\Gamma^2)_S \leq -2 + \left(\frac{2}{3} + \frac{2}{3} + \frac{2}{3}\right) = 0,$$



where this maximum (of the correction term corresponding to singular points) is attained when  $\Gamma \cap \Delta$  consists of 3 multiplicity 1 points of  $\Gamma \cap \Delta$  which are  $cA_2$  singular points of  $X$ . Since  $T|_S = \Gamma + \Delta$ , we have

$$(\Gamma \cdot \Delta)_S = (T|_S \cdot \Gamma)_S - (\Gamma^2)_S \geq 1 = (-K_X \cdot \Delta).$$

Thus, by Lemma 2.8, the curve  $\Gamma$  is not a maximal center. □

### 4.3 Exclusion of $cA_1$ points and proof of Theorem 4.1

PROPOSITION 4.9. *Let  $X$  be a factorial sextic double solid with at worst terminal singularities of type  $cA_1$ . Then no singular point on  $X$  is a maximal center.*

*Proof.* Let  $\mathfrak{p} \in X$  be a  $cA_1$  point. Suppose that  $\mathfrak{p}$  is a maximal center. Then, by Theorem 3.14, there exists a mobile linear system  $\mathcal{M} \subset |\mathcal{O}_X(n)|$  on  $X$  such that

$$\text{mult}_{\mathfrak{p}}(D_1 \cdot D_2) > 2n^2,$$

where  $D_1, D_2$  are general members in  $\mathcal{M}$ . Let  $\mathcal{H}$  be the linear subsystem of  $|\mathcal{O}_X(1)|$  consisting of divisors vanishing at  $\mathfrak{p}$ . Then the base locus of  $\mathcal{H}$  consists of at most 2 points (including  $\mathfrak{p}$ ) and, hence, we can take a divisor  $S$  in  $\mathcal{H}$  which does not contain any component of the effective 1-cycle  $D_1 \cdot D_2$ . Then, we have

$$2n^2 = (S \cdot D_1 \cdot D_2) > 2n^2.$$

This is a contradiction and  $\mathfrak{p}$  is not a maximal center. □

*Proof of Theorem 4.1.* This is a consequence of Propositions 4.3, 4.8, and 4.9. □

### 4.4 Sarkisov links centered at $cA_3$ singular points

Let  $X$  be a factorial sextic double solid with only terminal Gorenstein singularities and suppose that  $X$  admits a  $cA_3$  point  $\mathfrak{p} \in X$ . Then  $X$  is a hypersurface of degree 6 in  $\mathbb{P}(1, 1, 1, 1, 3)$  with homogeneous coordinates  $x, y, z, t, w$  of weights 1, 1, 1, 1, 3, respectively, and by [Pae21, Theorem A] the defining polynomial can be written as

$$f = -w^2 + x^4t^2 + x^3tf_2 + x^2f_4 + xf_5 + f_6, \tag{4.10}$$

where  $f_i \in \mathbb{C}[y, z, t]$  is a homogeneous polynomial of degree  $i$ . Moreover, the  $cA_3$  point  $\mathfrak{p}$  is  $(1 : 0 : 0 : 0 : 0) \in X$ . We set  $g_4 = f_4 - \frac{1}{4}f_2^2$  and then

$$f_{\pm} = -w(w \pm (2x^2t + xf_2)) + x^2g_4 + xf_5 + f_6. \tag{4.11}$$

Let  $X_{\pm} \subset \mathbb{P}(1, 1, 1, 1, 3)$  be the hypersurface defined by  $f_{\pm} = 0$ . We see that  $X$  is isomorphic to  $X_{\pm}$  by the coordinate change  $w \mapsto w \pm (x^2t + \frac{1}{2}xf_2)$ .

We set  $U = X \setminus (x = 0) \cap X$  and  $U_{\pm} = X_{\pm} \setminus (x = 0) \cap X_{\pm}$  which are affine open subsets of  $X$  and  $X_{\pm}$ , respectively, and we have

$$\begin{aligned} U &= (-w^2 + t^2 + tf_2 + f_4 + f_5 + f_6 = 0) \subset \mathbb{A}_{y,z,t,w}^4, \\ U_{\pm} &= (-w(w \pm (t + f_2)) + g_4 + f_5 + f_6 = 0) \subset \mathbb{A}_{y,z,t,w}^4. \end{aligned}$$

Let  $\varphi: Y \rightarrow X$  and  $\varphi_{\pm}: Y_{\pm} \rightarrow X_{\pm}$  be the birational morphisms obtained as the weighted blow-up of  $U$  and  $U_{\pm}$  at the origin with weights  $\text{wt}(y, z, t, w) = (1, 1, 2, 2)$  and  $\text{wt}(y, z, t, w) = (1, 1, 1, 3)$ , respectively. According to the classification of divisorial contractions to  $cA$  points [Kaw03, Theorem 1.13],  $\varphi, \varphi_{\pm}$  are all the divisorial contractions of  $\mathfrak{p} \in X$  with discrepancy 1. We sometimes identify  $\varphi_{\pm}$  with the composite  $Y_{\pm} \xrightarrow{\varphi_{\pm}} X_{\pm} \cong X$ . We call  $\varphi_+, \varphi$  and  $\varphi_-$  the  $(3, 1, 1, 1)$ -,  $(2, 2, 1, 1)$ - and  $(1, 3, 1, 1)$ -Kawakita blow-ups of  $\mathfrak{p} \in X$ , respectively.

4.4.1 (3, 1, 1, 1)- and (1, 3, 1, 1)-Kawakita blow-ups. We consider the (3, 1, 1, 1)- and (1, 3, 1, 1)-Kawakita blow-ups  $\varphi_{\pm}$  of  $\mathfrak{p} \in X$ , and show that there is a Sarkisov self-link initiated by  $\varphi_{\pm}$  if  $\varphi_{\pm}$  is a maximal extraction.

Let  $\pi_{X_{\pm}} : X_{\pm} \dashrightarrow \mathbb{P}(1, 1, 1, 3)$  be the projection to the coordinates  $y, z, t, w$ , which is defined outside the point  $\mathfrak{p} = (1 : 0 : 0 : 0) \in X_{\pm}$ . The sections  $y, z, t \in H^0(X_{\pm}, -K_{X_{\pm}})$  lift to sections  $\tilde{y}, \tilde{z}, \tilde{t}$  in  $H^0(Y_{\pm}, -K_{Y_{\pm}})$ , and  $w \in H^0(X_{\pm}, -3K_{X_{\pm}})$  lifts to a section  $\tilde{w}$  in  $H^0(Y_{\pm}, -3K_{Y_{\pm}})$ . These sections  $\tilde{y}, \tilde{z}, \tilde{t}$  and  $\tilde{w}$  define the composite  $\pi_{Y_{\pm}} := \pi_{X_{\pm}} \circ \varphi_{\pm} : Y_{\pm} \dashrightarrow \mathbb{P}(1, 1, 1, 3)$ , and their common zero locus (on  $Y_{\pm}$ ) is empty. This is verified in the following way. For the  $\varphi_{\pm}$ -exceptional divisor  $E_{\pm}$ , we have the natural isomorphism

$$E_{\pm} \cong (-w(\pm t + f_2) + g_4 = 0) \subset \mathbb{P}(1, 1, 1, 3) = \text{Proj } \mathbb{C}[y, z, t, w],$$

and the restriction of the sections  $\tilde{y}, \tilde{z}, \tilde{t}, \tilde{w}$  on  $E_{\pm}$  are  $y, z, t, w$ , respectively. Thus, their common zero loci on  $E_{\pm}$  and, hence, on  $Y_{\pm}$ , are empty. It follows that  $\pi_{Y_{\pm}} : Y_{\pm} \dashrightarrow \mathbb{P}(1, 1, 1, 3)$  is a morphism. The defining polynomial  $f_{\pm}$  given in (4.11) is quadratic with respect to  $x$ :

$$f_{\pm} = x^2(g_4 \mp wt) + x(f_5 \mp wf_2) + f_6 - w^2.$$

We set

$$D_4 := (wt + f_4 = 0)_{X_{\pm}}, \quad D_5 := (wf_2 + f_5 = 0)_{X_{\pm}}, \quad D_6 := (w^2 + f_6 = 0)_{X_{\pm}},$$

and denote by  $\tilde{D}_i$  the proper transform of  $D_i$  on  $Y_{\pm}$ . We see that  $\pi_{Y_{\pm}}$  is a generically finite morphism of degree 2 which contracts the subset  $\Xi := \tilde{D}_4 \cap \tilde{D}_5 \cap \tilde{D}_6$  onto its image

$$\pi_{Y_{\pm}}(\Xi) = (g_4 \mp wt = f_5 \mp wf_2 = f_6 - w^2 = 0) \subset \mathbb{P}(1, 1, 1, 3).$$

Let  $Y_{\pm} \xrightarrow{\psi_{\pm}} Z_{\pm} \xrightarrow{\pi_{Z_{\pm}}} \mathbb{P}(1, 1, 1, 3)$  be the Stein factorization of  $\pi_{Y_{\pm}}$ . Then  $\psi_{\pm}$  is the birational morphism defined by  $|-rK_{Y_{\pm}}|$  for sufficiently large  $r > 0$  and  $\pi_{Z_{\pm}}$  is a double cover. Note that  $-K_{Y_{\pm}}$  is nef and big but not ample. Let  $\iota_{\pm} : Z_{\pm} \rightarrow Z_{\pm}$  be the biregular involution interchanging two points in fibers of the double cover  $\pi_{Z_{\pm}}$ , and consider the diagram

$$\begin{array}{ccccc}
 & & Y_{\pm} & \overset{\tau_{\pm}}{\dashrightarrow} & Y_{\pm} & & \\
 & \swarrow \varphi_{\pm} & & \searrow \psi_{\pm} & & \swarrow \psi_{\pm} & \\
 & X_{\pm} & & Z_{\pm} & \xrightarrow{\iota_{\pm}} & Z_{\pm} & \\
 & & & & & & \searrow \varphi_{\pm} \\
 & & & & & & X_{\pm}
 \end{array} \tag{4.12}$$

where  $\tau_{\pm} := \psi_{\pm}^{-1} \circ \iota_{\pm} \circ \psi_{\pm}$ .

PROPOSITION 4.10. Let  $X$  be a factorial sextic double solid  $X$  with only terminal Gorenstein singularities and let  $\mathfrak{p} \in X$  be a  $cA_3$  point. Let  $\varphi_{\pm} : Y_{\pm} \rightarrow X$  be the (3, 1, 1, 1)- and (1, 3, 1, 1)-Kawakita blow-ups with center  $\mathfrak{p}$ . Then one of the following holds.

- (1) We have  $\psi_{\pm}$  is divisorial. In this case  $\varphi_{\pm}$  is not a maximal extraction.
- (2) We have  $\psi_{\pm}$  is small. In this case  $\tau_{\pm}$  is the flop of  $\psi_{\pm}$  and the diagram (4.12) gives a Sarkisov self-link  $\sigma_{\pm} : X \dashrightarrow X$  initiated by  $\varphi_{\pm}$ .

Proof. This follows from the above arguments and [Oka18, Lemma 3.2]. □

4.4.2 (2, 2, 1, 1)-Kawakita blow-up. We consider the (2, 2, 1, 1)-Kawakita blow-up  $\varphi : Y \rightarrow X$  of  $\mathfrak{p} \in X$ , and show that  $\varphi$  is not a maximal extraction.

PROPOSITION 4.11. *Let  $X$  be a factorial sextic double solid  $X$  with only terminal Gorenstein singularities and let  $\mathfrak{p} \in X$  be a  $cA_3$  point. Let  $\varphi: Y \rightarrow X$  be the  $(2, 2, 1, 1)$ -Kawakita blow-up. Then  $\varphi$  is not a maximal extraction.*

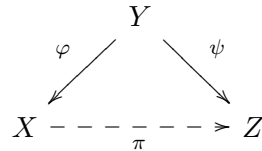
*Proof.* Let  $\pi: X \dashrightarrow \mathbb{P}(1, 1, 1, 2, 4)$  be the map defined by

$$(x : y : z : t : w) \mapsto (y : z : t : xt : wt).$$

Let  $y, z, t, \alpha, \beta$  be the homogeneous coordinates of  $\mathbb{P}(1, 1, 1, 2, 4)$  of weights  $1, 1, 1, 2, 4$ , respectively. Then the image of  $\pi$ , denoted by  $Z$ , is the hypersurface in  $\mathbb{P}(1, 1, 1, 2, 4)$  defined by

$$h := -\beta^2 + \alpha^4 + \alpha^3 f_2 + \alpha^2 f_4 + \alpha t f_5 + t^2 f_6 = 0,$$

where  $h$  is obtained by replacing  $xt$  by  $\alpha$  and  $wt$  by  $\beta$  in  $t^2 f$ . The sections  $y, z, t, xt, wt$  lift to pluri-anticanonical sections on  $Y$  and their common zero locus (on  $Y$ ) is empty. It follows that the induced map  $\psi = \pi \circ \varphi: Y \dashrightarrow Z$  is a morphism, and we have the following commutative diagram.



Note that  $\psi$  is a birational morphism and it contracts the proper transform of the divisor  $(t = 0)_X$  to the curve

$$\mathbb{P}^1 \cong (t = \alpha = \beta = 0) \subset \mathbb{P}(1, 1, 1, 2, 4).$$

Moreover,  $\psi$  is defined by  $|-rK_Y|$  for a sufficiently large  $r > 0$  and, thus, any curve contracted by  $\psi$  is  $K_Y$ -trivial. It follows that there are infinitely many curves on  $Y$  which intersect  $-K_Y$  non-positively and the  $\psi$ -exceptional divisor  $E$  positively. By [Oka18, Lemma 2.20],  $\varphi$  is not a maximal extraction. □

*Remark 4.12.* Let  $X$  be a factorial sextic double solid with only terminal  $cA_1, cA_2, cA_3$  points. We can exclude smooth points and  $cA_1$  points as a maximal center by Propositions 4.3 and 4.9. Moreover, by the argument of Proposition 4.8, we can exclude curves on  $X$  except possibly for curves of degree 1 passing through a  $cA_3$  point. Therefore, for the proof of birational rigidity of  $X$ , it remains to show the following.

- Any curve of degree 1 passing through a  $cA_3$  point is not a maximal center.
- Any  $cA_2$  point is not a maximal center.
- Any divisorial contraction to a  $cA_3$  point with discrepancy at least 2 (if it exists) is not a maximal extraction.

#### 4.5 Factorial sextic double solids

In this section, we provide a criterion for  $\mathbb{Q}$ -factoriality of sextic double solids with terminal  $cA_1$  singularities. If a 3-fold  $X$  with only terminal  $cA_1$  singularities is a Fano, a hypersurface of  $\mathbb{P}^4$ , or a cyclic cover of  $\mathbb{P}^3$ , the Picard group is isomorphic to the second integral cohomology because  $H^1(X, \mathcal{O}_X) = H^2(X, \mathcal{O}_X) = 0$ . In this case,  $\mathbb{Q}$ -factoriality of  $X$  can be determined by the following global topological property.

PROPOSITION 4.13. *Let  $X$  be a 3-fold with only terminal  $cA_1$  singularities. Suppose that  $H^1(X, \mathcal{O}_X) = H^2(X, \mathcal{O}_X) = 0$ . Then  $X$  is  $\mathbb{Q}$ -factorial if and only if*

$$\dim_{\mathbb{Q}}(H^2(X, \mathbb{Q})) = \dim_{\mathbb{Q}}(H_4(X, \mathbb{Q})). \tag{4.13}$$

*Proof.* It is enough to show that (4.13) holds if and only if

$$\text{Cl}(X) \otimes \mathbb{Q} \cong \text{Pic}(X) \otimes \mathbb{Q},$$

where Cl denotes the divisor class group and Pic denotes the Picard group. It follows from  $H^1(X, \mathcal{O}_X) = H^2(X, \mathcal{O}_X) = 0$  that  $H^2(X, \mathbb{Q}) \cong \text{Pic}(X) \otimes \mathbb{Q}$ . Therefore, it is enough to show that  $H_4(X, \mathbb{Q}) \cong \text{Cl}(X) \otimes \mathbb{Q}$ .

Let  $\pi : \tilde{X} \rightarrow X$  be a resolution of singularities and let  $E_i, i = 1, \dots, r$ , be its exceptional prime divisors. We denote by  $E$  the exceptional locus of  $\pi$ , i.e.  $E = \bigcup E_i$ . Then, we can obtain the commutative diagram

$$\begin{CD} \text{Cl}(\tilde{X}) \otimes \mathbb{Q} @>>> H_4(\tilde{X}, \mathbb{Q}) \\ @V \pi_*^C VV @VV \pi_*^H V \\ \text{Cl}(X) \otimes \mathbb{Q} @>>> H_4(X, \mathbb{Q}) \end{CD} \tag{4.14}$$

from the natural transformation in [Ful75, § 5]. Note that

$$\text{Cl}(\tilde{X}) \otimes \mathbb{Q} \cong (\text{Cl}(X) \otimes \mathbb{Q}) \bigoplus (\bigoplus_{i=1}^r \mathbb{Q}E_i)$$

and the homomorphism  $\pi_*^C : \text{Cl}(\tilde{X}) \otimes \mathbb{Q} \rightarrow \text{Cl}(X) \otimes \mathbb{Q}$  given by the resolution morphism is surjective with the kernel generated by the exceptional divisors. Since  $\tilde{X}$  is a smooth projective 3-fold,

$$\text{Cl}(\tilde{X}) \otimes \mathbb{Q} \cong \text{Pic}(\tilde{X}) \otimes \mathbb{Q} \cong H^2(\tilde{X}, \mathbb{Q}) \cong H_4(\tilde{X}, \mathbb{Q}),$$

where the last isomorphism follows from the Poincaré duality. There is an exact sequence

$$\dots \rightarrow H_4(E, \mathbb{Q}) \rightarrow H_4(\tilde{X}, \mathbb{Q}) \rightarrow H_4(\tilde{X}/E, \mathbb{Q}) \rightarrow H_3(E, \mathbb{Q}) \rightarrow \dots,$$

where  $\tilde{X}/E$  is the quotient topological space of  $\tilde{X}$  by the subspace  $E$  and it is topologically equivalent to  $X$ . The exact sequence shows that the kernel of the homomorphism  $\pi_*^H : H_4(\tilde{X}, \mathbb{Q}) \rightarrow H_4(X, \mathbb{Q})$  is  $\bigoplus_{i=1}^r \mathbb{Q}E_i$ . This implies that the bottom horizontal homomorphism in (4.14) is injective.

Since the singularities of  $X$  are only terminal  $cA_1$ , we may assume that each  $E_i$  is a smooth rational surface. This implies that  $H_3(E_i, \mathbb{Q}) = H^1(E_i, \mathbb{Q}) = 0$ . It then follows from a Mayer–Vietoris sequence that  $H_3(E, \mathbb{Q}) = 0$ . This vanishing shows that the homomorphism  $\pi_*^H$  is surjective. Consequently, the bottom horizontal homomorphism in (4.14) is surjective and, hence, it is an isomorphism. □

The duality (4.13) fails on singular varieties in general (for instance, see Examples 4.18 and 4.21). The failure of the duality can be measured by the difference of their dimensions, so called, the *defect* of  $X$

$$\delta_X := \dim_{\mathbb{Q}}(H_4(X, \mathbb{Q})) - \dim_{\mathbb{Q}}(H^2(X, \mathbb{Q})).$$

With this notion, one may say that  $X$  is  $\mathbb{Q}$ -factorial if and only if  $\delta_X = 0$ .

We consider a double cover  $X$  of  $\mathbb{P}^3$  branched along a surface  $B \subset \mathbb{P}^3$  of degree  $2r$  with only Du Val singularities. We can regard  $X$  as a hypersurface of degree  $2r$  in the weighted projective space  $\mathbb{P} = \mathbb{P}(1, 1, 1, 1, r)$ . In general, the singular points on  $X$  may have an effect on the integral (co)homology groups of  $X$  (see [Cle83, Cyn02, Dim90]). However, it follows from a Lefschetz-type theorem [Mav99, Proposition 1.4] that  $\text{Pic}(X) \cong \text{Pic}(\mathbb{P})$ , in particular,  $\text{rank}(\text{Pic}(X)) = 1$ .

Meanwhile, as mentioned previously, it follows from [Kaw88, Lemma 5.1] that on Gorenstein terminal 3-folds, factoriality is equivalent to  $\mathbb{Q}$ -factoriality. Therefore, in our case, the double solid  $X$  is  $\mathbb{Q}$ -factorial if and only if it is factorial.

It is not simple to compute the defect. Fortunately, a method to compute some Hodge numbers for resolutions of double solids branched along surfaces with only Du Val singularities has been introduced by Rams [Ram08] which has evolved from the paper of Clemens [Cle83]. Rams [Ram08] formulated the difference between the Hodge numbers of the big resolution  $Y$  and the Hodge numbers of  $\mathbb{P}$ . Here the *big resolution*  $\rho: Y \rightarrow X$  is defined by the composition of blow-ups:

$$\rho = \rho_k \circ \dots \circ \rho_1: Y \rightarrow Y_0 = X,$$

where  $\rho_i: Y_i \rightarrow Y_{i-1}$  for  $i = 1, \dots, k$ , is the blow-up with center  $\text{Sing}(Y_{i-1}) \neq \emptyset$  and  $Y_k = Y$  is smooth. Note that all singularities and infinitely near singularities of  $X$  are isolated double points, in particular, each  $\rho_i$  is a blow-up centered at closed points. The Hodge numbers of  $\mathbb{P}$  are considered with the Zariski sheaf of germs of 1-forms  $\overline{\Omega}_{\mathbb{P}}^1 := \iota_*(\Omega_{\text{reg}(\mathbb{P})}^1)$ , where  $\iota: \text{reg}(\mathbb{P}) \rightarrow \mathbb{P}$  is the inclusion.

The defect of  $X$  appears in a Hodge number of the big resolution  $Y$  of  $X$ , i.e.

$$h^{1,1}(Y) = h^1(\mathbb{P}, \overline{\Omega}_{\mathbb{P}}^1) + \mu_X + \delta_X,$$

where  $\mu_X$  is the number of components of the exceptional locus of the big resolution  $\rho$  (see [Ram08, Theorem 4.1]). Note that  $h^1(\mathbb{P}, \overline{\Omega}_{\mathbb{P}}^1) = \text{rank}(\text{Pic}(\mathbb{P})) = 1$ .

If the double solid  $X$  has only terminal  $cA_1$  singularities, then the surface  $B$  has only  $A_n$  singularities. Conversely, the double solid branched along a surface with only  $A_n$  singularities has only terminal  $cA_1$  singularities. Meanwhile, a non-cyclic Du Val singular point of  $B$  yields a terminal  $cA_2$  singular point on  $X$ .

From now on, for our purpose we suppose that the double solid  $X$  has only terminal  $cA_1$  singularities unless otherwise mentioned.

We adopt the method given by [Ram08, Theorem 4.1] to compute the defect  $\delta_X$ . To do so, we put

$$\mathcal{H}_X = \{H \in H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4)) \mid H \text{ fulfills the condition } (\mathbf{A})\},$$

where the condition  $(\mathbf{A})$  is as follows.

For each singular point  $p \in \text{Sing}(B)$ , suppose the surface  $B$  is given by

$$x_{1,p}^{m+1} + x_{2,p}^2 + x_{3,p}^2 + f(x_{1,p}, x_{2,p}, x_{3,p}) = 0$$

for some positive integer  $m$  locally around  $p$ , where the  $x_{i,p}$  are analytic coordinates centered at the point  $p$  and  $f(x_{1,p}, x_{2,p}, x_{3,p})$  is a polynomial of order strictly greater than 1 with respect to the weights  $\text{wt}(x_{1,p}) = 1/(m + 1)$ ,  $\text{wt}(x_{2,p}) = \text{wt}(x_{3,p}) = \frac{1}{2}$ . For the singular point  $p$ , impose the following conditions:

$$\begin{aligned} (\mathbf{C}_{p,0}) : & \quad H(p) = 0; \\ (\mathbf{C}_{p,j}) : & \quad \frac{\partial^j H}{\partial x_{1,p}^j}(p) = 0 \text{ for } 1 \leq j \leq \left\lceil \frac{m}{2} \right\rceil - 1. \end{aligned}$$

**THEOREM 4.14.** *The defect of the double solid  $X$  with only terminal  $cA_1$  singularities is given by*

$$\delta_X = \dim(\mathcal{H}_X) - (h^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4)) - \mu_X).$$

*Proof.* The following have been verified in [Dol82, Theorem in § 1.4 and Theorem in § 2.3]:

$$\begin{aligned} H^i(\mathbb{P}, \mathcal{O}_{\mathbb{P}}(-2r)) &= 0, & \text{for } i \leq 3; \\ H^i(\mathbb{P}, \mathcal{O}_{\mathbb{P}}(-4r)) &= 0, & \text{for } i \leq 3; \\ H^2(\mathbb{P}, \overline{\Omega}_{\mathbb{P}}^1) &= 0; \\ H^i(\mathbb{P}, \overline{\Omega}_{\mathbb{P}}^1 \otimes \mathcal{O}_{\mathbb{P}}(-2r)) &= 0, & \text{for } i = 1, 2, 3. \end{aligned}$$

Then [Ram08, Theorem 4.1] yields

$$\dim_{\mathbb{Q}}(H^2(Y, \mathbb{Q})) = h^{1,1}(Y) = 1 + \dim(\mathcal{H}_X) - h^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4)) + 2\mu_X.$$

As shown in the proof of Proposition 4.13,  $\dim_{\mathbb{Q}}(H_4(X, \mathbb{Q})) = \text{rank}(\text{Cl}(X))$ . Moreover,  $\dim_{\mathbb{Q}}(H^2(X, \mathbb{Q})) = \text{rank}(\text{Pic}(X)) = 1$ . Therefore,

$$\begin{aligned} \delta_X &= \dim_{\mathbb{Q}}(H_4(X, \mathbb{Q})) - \dim_{\mathbb{Q}}(H^2(X, \mathbb{Q})) \\ &= \text{rank}(\text{Cl}(X)) - 1 \\ &= \text{rank}(\text{Cl}(Y)) - \mu_X - 1 \\ &= \text{rank}(\text{Pic}(Y)) - \mu_X - 1 \\ &= \dim_{\mathbb{Q}}(H^2(Y, \mathbb{Q})) - \mu_X - 1 \\ &= \dim(\mathcal{H}_X) - (h^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4)) - \mu_X). \end{aligned} \quad \square$$

Theorem 4.14 reduces the topological problem to a relatively simpler problem concerning the linear system  $|\mathcal{O}_{\mathbb{P}^3}(3r - 4)|$  and 0-dimensional subschemes in  $\mathbb{P}^3$ .

**COROLLARY 4.15.** *The double solid  $X$  is factorial if and only if the condition (A) imposes linearly independent  $\mu_X$  conditions on  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4))$ .*

**COROLLARY 4.16.** *Suppose that the surface  $B$  has singularities*

$$a_1A_1 + a_2A_2 + \cdots + a_mA_m,$$

where  $a_i$  is the number of singular points of type  $A_i$  on  $B$ . If the double solid  $X$  is  $\mathbb{Q}$ -factorial, then

$$\sum_{n=1}^m a_n \left\lfloor \frac{n}{2} \right\rfloor \leq h^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4)) = \frac{1}{2}(3r - 1)(3r - 2)(r - 1).$$

*Proof.* A singular point  $p$  of type  $A_n$  yields  $\lfloor n/2 \rfloor$  conditions  $(C_{p,j})$ ,  $0 \leq j \leq \lfloor m/2 \rfloor - 1$ , on  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4))$ . Therefore, the statement follows from Corollary 4.15.  $\square$

Following the argument based on a Lefschetz-type theorem as in [CP10, Example 1.5], we can construct an example of a non- $\mathbb{Q}$ -factorial double solid  $X$  branched along a surface  $B$  with only terminal  $cA_k$  singularities.

*Example 4.17.* Let  $B$  be the surface of degree  $2r$ , where  $r \geq 2$ , defined by

$$x f_{2r-1}(x, y, z) + t^{2r-3}x(x^2 + y^2) + t^{2r-2}z^2 = 0 \subset \mathbb{P}^3,$$

where  $f_{2r-1} \in \mathbb{C}[x, y, z]$  is a general homogeneous polynomial of degree  $2r - 1$ . Then the surface  $B$  has  $2r - 3$  singular points of type  $A_1$ ,  $2r - 1$  singular points of type  $A_{2r-3}$ , and one singular point of type  $D_4$ . The double solid  $X$  branched along the surface  $B$  has  $4r - 4$  terminal singular points of type  $cA_1$  and one terminal singular point of type  $cA_2$ . Furthermore, it can be defined

by the weighted homogeneous equation

$$w^2 = xf_{2r-1}(x, y, z) + t^{2r-3}x(x^2 + y^2) + t^{2r-2}z^2 \subset \mathbb{P}(1, 1, 1, 1, r).$$

The hyperplane section defined by  $x = 0$  splits into two divisors given by the equation

$$(w + zt^{r-1})(w - zt^{r-1}) = 0,$$

each of which is a non- $\mathbb{Q}$ -Cartier divisor.

We can construct the following non- $\mathbb{Q}$ -factorial double solid with only terminal  $cA_1$  singularities based on the fact that a variety with a small resolution is not  $\mathbb{Q}$ -factorial. In this example, Theorem 4.14 confirms that its defect is positive, with the aid of the Cayley–Bacharach theorem.

*Example 4.18.* Let  $V$  be the smooth 3-fold of bidegree  $(2, r)$ ,  $r \geq 2$ , in  $\mathbb{P}^1 \times \mathbb{P}^3$  defined by the bihomogeneous equation

$$f_r(x, y, z)u^2 + 2t^r uv + h_r(x, y, z)v^2 = 0,$$

where  $f_r$  and  $h_r$  are general homogeneous polynomials of degree  $r$  in  $\mathbb{C}[x, y, z]$ . In addition, we denote the natural projection of  $V$  to  $\mathbb{P}^3$  by  $\pi: V \rightarrow \mathbb{P}^3$ . The system of equations

$$f_r(x, y, z) = t = h_r(x, y, z) = 0$$

defines exactly  $r^2$  points in  $\mathbb{P}^3$ . The 3-fold  $V$  then has exactly  $r^2$  curves  $C_i$ ,  $i = 1, \dots, r^2$ , such that  $H \cdot C_i = 0$ , where  $H$  is a divisor cut by a hypersurface of bidegree  $(0, 1)$  in  $\mathbb{P}^1 \times \mathbb{P}^3$ . The projection  $\pi$  has degree 2 outside the points  $\pi(C_i)$ . The model

$$\text{Proj} \left( \bigoplus_{n \geq 0} H^0(V, \mathcal{O}_V(nH)) \right)$$

of  $V$  is the double cover  $X$  of  $\mathbb{P}^3$  branched along the surface  $B$  defined by

$$t^{2r} - f_r(x, y, z)h_r(x, y, z) = 0.$$

It has  $r^2$  terminal singular points of type  $cA_1$  each of which comes from each curve  $C_i$ . The morphism  $\phi_{|mH|}: V \rightarrow X$  given by the complete linear system of bidegree  $(0, m)$  on  $V$  with sufficiently large  $m$  contracts these  $r^2$  curves to  $cA_1$  points of  $X$ . Therefore, it is a small morphism of  $V$  onto  $X$  and, hence, the double cover  $X$  cannot be  $\mathbb{Q}$ -factorial.

Meanwhile, we can also verify that  $X$  cannot be  $\mathbb{Q}$ -factorial from the view point of Theorem 4.14. The surface  $B$  has  $r^2$  singular points of type  $A_{2r-1}$  on the plane  $\Pi$  defined by  $t = 0$ . These singular points  $p_i$ ,  $i = 1, \dots, r^2$ , on  $B$  impose conditions  $(C_{p_i, r-1})$

$$\frac{\partial^{r-1} H}{\partial t^{r-1}}(p_i) = 0$$

on  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4))$ . These conditions can also be regarded as vanishing conditions at  $p_i$  for  $H^0(\Pi, \mathcal{O}_{\Pi}(2r - 3))$  since

$$H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4)) = \bigoplus_{k=0}^{3r-4} t^{3r-4-k} \mathbb{C}[x, y, z]_k,$$

where  $\mathbb{C}[x, y, z]_k$  is the space of homogenous polynomials of degree  $k$  in variables  $x, y, z$ . The points  $p_i$ 's are the intersection points of the two plane curves of degree  $r$  defined by  $f_r(x, y, z) = 0$  and  $g_r(x, y, z) = 0$  on  $\Pi$ . It therefore follows from the Cayley-Bacharach theorem ([EGH96, Theorem CB4]) that the vanishing conditions at  $p_i$ 's on  $H^0(\Pi, \mathcal{O}_{\Pi}(2r - 3))$  are linearly

dependent. Therefore, the conditions

$$\{(C_{p_i,r-1}) \mid i = 1, \dots, r^2\}$$

are linearly dependent on  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4))$ . It then follows from Corollary 4.15 that  $X$  is not  $\mathbb{Q}$ -factorial, i.e.  $\delta_X > 0$ .

*Example 4.19.* Let  $B$  be the surface of degree  $2r$ , where  $r \geq 2$ , defined by

$$L_1(x, y, z)L_2(x, y, z) \cdots L_{2r}(x, y, z) + t^{2r-1}z = 0 \subset \mathbb{P}^3,$$

where  $L_1(x, y, z), \dots, L_{2r}(x, y, z)$  are general linear forms.

Then the surface  $B$  has exactly  $r(2r - 1)$  singular points and they are  $A_{2r-2}$  singularities. These singular points correspond to the intersection points  $p_{nm}$  of the lines  $L_n(x, y, z)$  and  $L_m(x, y, z)$  on the plane  $t = 0$ ,  $1 \leq n < m \leq 2r$ . The double solid  $X$  branched along the surface  $B$  also has  $r(2r - 1)$  terminal singular points of type  $cA_1$ .

Let  $F_l(x, y, z)$  be a general form of degree  $l$  in  $x, y, z$ . For  $0 \leq j \leq r - 2$  and  $1 \leq n < m \leq 2r$ , put

$$H_{n,m,j}(x, y, z) = t^j F_{r-2-j}(x, y, z) \frac{L_1(x, y, z)L_2(x, y, z) \cdots L_{2r}(x, y, z)}{L_n(x, y, z)L_m(x, y, z)}.$$

It is a form of degree  $3r - 4$ . Observe that for fixed  $n, m, j$ ,

$$\begin{aligned} \frac{\partial^j H_{n,m,j}}{\partial t^j}(p_{nm}) &\neq 0; \\ \frac{\partial^k H_{n,m,j}}{\partial t^k}(p_{nm}) &= 0, \quad \text{if } k \neq j; \\ \frac{\partial^k H_{n,m,j}}{\partial t^k}(p_{n'm'}) &= 0, \quad \text{if } (n', m') \neq (n, m). \end{aligned}$$

This implies that the  $r(2r - 1)(r - 1)$  conditions  $\{(C_{p_{nm},j})\}$  impose linearly independent conditions on  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3r - 4))$ . Therefore, Corollary 4.15 implies that the double solid  $X$  is factorial.

The  $\mathbb{Q}$ -factoriality of nodal double solids has been studied extensively in [CP10, Che09, HP07, Klo22]. Their results are mainly based on the result of [Cle83]. As mentioned previously, in this section, we use the result of [Ram08] that is applicable to the cases with wider range of singularities. Before we proceed, let us mention that some results in [CP10, Che09, HP07, Klo22] remain true if we allow only singularities slightly worse than nodes.

**THEOREM 4.20.** *Let  $X$  be the double solid branched along a surface  $B$  of degree  $2r$ . Suppose that  $B$  has at worst  $A_1$  and  $A_2$  singularities.*

- (1) *If the number of singular points of  $X$  is less than  $r(2r - 1)$ , then  $X$  is factorial.*
- (2) *If the number of singular points of  $X$  is  $r(2r - 1)$  and  $X$  is not  $\mathbb{Q}$ -factorial, then the surface  $B$  is defined by an equation of the form*

$$f_r(x, y, z, t)^2 + f_1(x, y, z, t)f_{2r-1}(x, y, z, t) = 0,$$

where  $f_i(x, y, z, t) \in \mathbb{C}[x, y, z, t]$  is a homogeneous polynomial of degree  $i$ .

*Proof.* The statements have been verified under the condition that  $B$  has only  $A_1$  singularities in [CP10, Theorem B], [Che09, Theorem 3], [HP07, Theorems 4.3 and 5.3], and [Klo22, Theorem 4.6]. However, as  $A_1$  singularities, singular points of type  $A_2$  on  $B$  produce only one condition  $(C_{p,0})$  in the condition **(A)**. From the view point of factoriality, we do not have to distinguish between  $A_1$  and  $A_2$ . Therefore, the proofs of [Che09, Theorem 3] and [Klo22, Theorem 4.6] work verbatim for the case when  $B$  allows  $A_2$  singularities also. □



*Example 4.21.* Let  $B$  be the surface of degree  $2r$ , where  $r \geq 2$ , defined by

$$t^{2r} + xh_{2r-1}(x, y, z) = 0 \subset \mathbb{P}^3,$$

where  $h_{2r-1}$  is a general homogeneous polynomial of degree  $2r - 1$ . Then the surface  $B$  has exactly  $2r - 1$  singular points which are of type  $A_{2r-1}$ . The double solid  $X$  branched along the surface  $B$  also has  $2r - 1$  terminal singular points of type  $cA_1$ . As before, this can be defined by the weighted homogeneous equation

$$w^2 = t^{2r} + xh_{2r-1}(x, y, z) \subset \mathbb{P}(1, 1, 1, 1, r).$$

The hyperplane section defined by  $x = 0$  splits into two divisors given by the equation

$$(w + t^r)(w - t^r) = 0,$$

each of which is a non- $\mathbb{Q}$ -Cartier divisor.

*Example 4.21* leads to the following conjecture.

**CONJECTURE 4.22.** Let  $X$  be the double solid branched along a sextic surface with at worst  $A_m$  singularities. If the number of singular points on  $X$  is at most four, then  $X$  is factorial.

However, due to our limited understanding of Du Val singularities of sextic surfaces, we can currently confirm it only under a specific additional condition.

**THEOREM 4.23.** *Conjecture 4.22 holds good under the assumption that  $B$  allows at most four and at worst  $A_4$  singularities.*

*Proof.* We assume that  $B$  has four singular points denoted by  $p_1, \dots, p_4$ . The proof for the case of a smaller number of singular points is nearly identical.

For each index  $i$ , the singular point  $p_i$  is of type  $A_{m_i}$  with  $m_i \leq 4$ . Each point imposes linear conditions given by

$$\begin{aligned} (C_{i,0}) : & \quad H(p_i) = 0; \\ (C_{i,1}) : & \quad \frac{\partial H}{\partial x_{1,p_i}}(p_i) = 0 \text{ if } m_i = 3 \text{ or } 4 \end{aligned}$$

on the linear system of quintic hypersurfaces  $H$  in  $\mathbb{P}^3$ . To establish our claim, it suffices to demonstrate that for any given condition  $(C_{i,j})$ , there exists a quintic hypersurface  $H$  that violates the condition  $(C_{i,j})$  while simultaneously satisfying the remaining conditions.

We employ homogeneous coordinates  $(x : y : z : t)$  for  $\mathbb{P}^3$ . Consider a fixed condition  $(C_{i,j})$ . Without loss of generality, we assume that  $p_i = (1 : 0 : 0 : 0)$  for convenience, and set  $i = 4$ .

In the case when  $m_4 \geq 2$ , assume that the Zariski tangent planes at  $p_4$  are defined by  $y = 0$  and  $z = 0$ , respectively. We may regard  $x_{1,p_4}$  as  $t$ .

*Case 1: the four singular points are not collinear.* Select a line passing through  $p_4$  that includes the maximum number of singular points  $p_1, p_2, p_3$ . Such a line accommodates at least two and at most three singular points since the four singular points are not collinear. We may therefore assume that  $p_3$  lies outside the line. For  $k = 1, 2$ , let  $H_{k3}$  be a general hyperplane that contains  $p_k$  and  $p_3$ . Note that  $H_{k3}$  does not vanish at  $p_4$ .

Set

$$P_1 = H_{13}^2 H_{23}^3, \quad P_2 = t H_{13}^2 H_{23}^2.$$

Then,

$$\det \begin{pmatrix} P_1 & P_2 \\ \frac{\partial P_1}{\partial t} & \frac{\partial P_2}{\partial t} \end{pmatrix} (p_4) = (H_{13}^4 H_{23}^5)(p_4) \neq 0.$$

Therefore, for the given condition (C<sub>4,j</sub>), there exists a point (μ<sub>1</sub> : μ<sub>2</sub>) ∈ ℙ<sup>1</sup> such that between two values

$$\begin{aligned} (0) \quad & (\mu_1 P_1 + \mu_2 P_2)(p_4), \\ (1) \quad & \frac{\partial}{\partial t}(\mu_1 P_1 + \mu_2 P_2)(p_4), \end{aligned}$$

the value (j) is non-zero whereas the other is zero. Then the quintic

$$\mu_1 P_1 + \mu_2 P_2$$

violates the condition (C<sub>4,j</sub>) but satisfies all the other conditions.

*Case 2: the four singular points are collinear.* In this case, if m<sub>k</sub>, m<sub>ℓ</sub> ≥ 2, then the intersection line of Zariski tangent planes at p<sub>k</sub> must be distinct from that of Zariski tangent planes at p<sub>ℓ</sub>. Otherwise, the surface B would be singular along the intersection line.

*Subcase 1-1: m<sub>4</sub> ≤ 2.* Suppose m<sub>k</sub> ≤ 2 for some k ≤ 3, say k = 3. Take a general hyperplane H<sub>i</sub> passing through p<sub>i</sub>. Then the quintic H<sub>1</sub><sup>2</sup>H<sub>2</sub><sup>2</sup>H<sub>3</sub> violates the condition (C<sub>4,0</sub>) but satisfies all other conditions. Therefore, we may assume that m<sub>1</sub>, m<sub>2</sub>, m<sub>3</sub> ≥ 3. Given that the intersection lines of Zariski tangent planes at p<sub>1</sub>, p<sub>2</sub>, p<sub>3</sub> are all different, there exists a hyperplane H<sub>k</sub> for some k ∈ {1, 2, 3} such that H<sub>k</sub>(p<sub>4</sub>) ≠ 0, H<sub>k</sub>(p<sub>k</sub>) = 0, and (∂H<sub>k</sub>/∂x<sub>1,p<sub>k</sub></sub>)(p<sub>k</sub>) = 0. Assume, for instance, that k = 3. Then the quintic H<sub>1</sub><sup>2</sup>H<sub>2</sub><sup>2</sup>H<sub>3</sub> fails the condition (C<sub>4,0</sub>) but satisfies all other conditions.

*Subcase 1-2: m<sub>4</sub> ≥ 3.* Among the three points p<sub>1</sub>, p<sub>2</sub>, and p<sub>3</sub>, we either have m<sub>k</sub>, m<sub>ℓ</sub> ≤ 2 or m<sub>k</sub>, m<sub>ℓ</sub> ≥ 3. For both cases, we may assume that k = 2 and ℓ = 3.

Let H<sub>1</sub> be a general hyperplane passing through p<sub>1</sub>.

If m<sub>2</sub>, m<sub>3</sub> ≤ 2, then for each i = 2, 3, we consider a general hyperplane H<sub>i</sub> passing through p<sub>i</sub>.

If m<sub>2</sub>, m<sub>3</sub> ≥ 3, then there exists a hyperplane H<sub>i</sub> for i = 2, 3, such that H<sub>i</sub>(p<sub>4</sub>) ≠ 0, H<sub>i</sub>(p<sub>i</sub>) = 0, and (∂H<sub>k</sub>/∂x<sub>1,p<sub>i</sub></sub>)(p<sub>i</sub>) = 0. We select such a general hyperplane H<sub>i</sub>.

We set

$$P_1 = H_1^2 H_2^2 H_3, \quad P_2 = t H_1^2 H_2 H_3.$$

Then,

$$\det \begin{pmatrix} P_1 & P_2 \\ \frac{\partial P_1}{\partial t} & \frac{\partial P_2}{\partial t} \end{pmatrix} (p_4) = (H_1^4 H_2^3 H_3^2)(p_4) \neq 0.$$

As in Case 1, for the given condition (C<sub>4,j</sub>), there is a point (μ<sub>1</sub> : μ<sub>2</sub>) ∈ ℙ<sup>1</sup> such that the quintic

$$\mu_1 P_1 + \mu_2 P_2$$

violates the condition (C<sub>4,j</sub>) but satisfies all the other conditions. □

### 5. Prime Fano 3-fold weighted complete intersections

We consider some prime Fano 3-fold weighted complete intersections with only cA<sub>1</sub> points together with terminal quotient singular points, and prove their birational rigidity. We make the setting precise.

TABLE 2. Fano 3-fold weighted hypersurfaces of index 1.

No.	$X_d \subset \mathbb{P}(1, a_1, \dots, a_4)$	$-K_X^3$	Case	No.	$X_d \subset \mathbb{P}(1, a_1, \dots, a_4)$	$-K_X^3$	Case
6	$X_8 \subset \mathbb{P}(1, 1, 1, 2, 4)$	1	◇	57	$X_{24} \subset \mathbb{P}(1, 3, 4, 5, 12)$	1/30	♡
10	$X_{10} \subset \mathbb{P}(1, 1, 1, 3, 5)$	2/3	◇	58	$X_{24} \subset \mathbb{P}(1, 3, 4, 7, 10)$	1/35	♡
11	$X_{10} \subset \mathbb{P}(1, 1, 2, 2, 5)$	1/2	◇	59	$X_{24} \subset \mathbb{P}(1, 3, 6, 7, 8)$	1/42	♡
14	$X_{12} \subset \mathbb{P}(1, 1, 1, 4, 6)$	1/2	◇	60	$X_{24} \subset \mathbb{P}(1, 4, 5, 6, 9)$	1/45	♡
15	$X_{12} \subset \mathbb{P}(1, 1, 2, 3, 6)$	1/3	♡	61	$X_{25} \subset \mathbb{P}(1, 4, 5, 7, 9)$	5/252	♡
16	$X_{12} \subset \mathbb{P}(1, 1, 2, 4, 5)$	3/10		62	$X_{26} \subset \mathbb{P}(1, 1, 5, 7, 13)$	2/35	◇
17	$X_{12} \subset \mathbb{P}(1, 1, 3, 4, 4)$	1/4		63	$X_{26} \subset \mathbb{P}(1, 2, 3, 8, 13)$	1/24	◇
18	$X_{12} \subset \mathbb{P}(1, 2, 2, 3, 5)$	1/5	♣	64	$X_{26} \subset \mathbb{P}(1, 2, 5, 6, 13)$	1/30	◇
19	$X_{12} \subset \mathbb{P}(1, 2, 3, 3, 4)$	1/6	♡	65	$X_{27} \subset \mathbb{P}(1, 2, 5, 9, 11)$	3/110	♣
21	$X_{14} \subset \mathbb{P}(1, 1, 2, 4, 7)$	1/4	◇	66	$X_{27} \subset \mathbb{P}(1, 5, 6, 7, 9)$	1/70	♡
22	$X_{14} \subset \mathbb{P}(1, 2, 2, 3, 7)$	1/6	◇	67	$X_{28} \subset \mathbb{P}(1, 1, 4, 9, 14)$	1/18	◇
25	$X_{15} \subset \mathbb{P}(1, 1, 3, 4, 7)$	5/28		68	$X_{28} \subset \mathbb{P}(1, 3, 4, 7, 14)$	1/42	♡
26	$X_{15} \subset \mathbb{P}(1, 1, 3, 5, 6)$	1/6	♣	69	$X_{28} \subset \mathbb{P}(1, 4, 6, 7, 11)$	1/66	♡
27	$X_{15} \subset \mathbb{P}(1, 2, 3, 5, 5)$	1/10	♡	70	$X_{30} \subset \mathbb{P}(1, 1, 4, 10, 15)$	1/20	◇
28	$X_{15} \subset \mathbb{P}(1, 3, 3, 4, 5)$	1/12	♡	71	$X_{30} \subset \mathbb{P}(1, 1, 6, 8, 15)$	1/24	◇
29	$X_{16} \subset \mathbb{P}(1, 1, 2, 5, 8)$	1/5	◇	72	$X_{30} \subset \mathbb{P}(1, 2, 3, 10, 15)$	1/30	♡
30	$X_{16} \subset \mathbb{P}(1, 1, 3, 4, 8)$	1/6	◇	73	$X_{30} \subset \mathbb{P}(1, 2, 6, 7, 15)$	1/42	◇
31	$X_{16} \subset \mathbb{P}(1, 1, 4, 5, 6)$	2/15		74	$X_{30} \subset \mathbb{P}(1, 3, 4, 10, 13)$	1/52	♣
32	$X_{16} \subset \mathbb{P}(1, 2, 3, 4, 7)$	2/21	♣	75	$X_{30} \subset \mathbb{P}(1, 4, 5, 6, 15)$	1/60	♡
34	$X_{18} \subset \mathbb{P}(1, 1, 2, 6, 9)$	1/6	◇	76	$X_{30} \subset \mathbb{P}(1, 5, 6, 8, 11)$	1/88	♡
35	$X_{18} \subset \mathbb{P}(1, 1, 3, 5, 9)$	2/15	◇	77	$X_{32} \subset \mathbb{P}(1, 2, 5, 9, 16)$	1/45	◇
36	$X_{18} \subset \mathbb{P}(1, 1, 4, 6, 7)$	3/28		78	$X_{32} \subset \mathbb{P}(1, 4, 5, 7, 16)$	1/70	♡
37	$X_{18} \subset \mathbb{P}(1, 2, 3, 4, 9)$	1/12	◇	79	$X_{33} \subset \mathbb{P}(1, 3, 5, 11, 14)$	1/70	♣
38	$X_{18} \subset \mathbb{P}(1, 2, 3, 5, 8)$	3/40		80	$X_{34} \subset \mathbb{P}(1, 3, 4, 10, 17)$	1/60	◇
41	$X_{20} \subset \mathbb{P}(1, 1, 4, 5, 10)$	1/10	♡	81	$X_{34} \subset \mathbb{P}(1, 4, 6, 7, 17)$	1/84	♡
42	$X_{20} \subset \mathbb{P}(1, 2, 3, 5, 10)$	1/15	♡	82	$X_{36} \subset \mathbb{P}(1, 1, 5, 12, 18)$	1/30	◇
43	$X_{20} \subset \mathbb{P}(1, 2, 4, 5, 9)$	1/18	♣	83	$X_{36} \subset \mathbb{P}(1, 3, 4, 11, 18)$	1/66	◇
44	$X_{20} \subset \mathbb{P}(1, 2, 5, 6, 7)$	1/21	♡	84	$X_{36} \subset \mathbb{P}(1, 7, 8, 9, 12)$	1/168	♡
45	$X_{20} \subset \mathbb{P}(1, 3, 4, 5, 8)$	1/24	♡	85	$X_{38} \subset \mathbb{P}(1, 3, 5, 11, 19)$	2/165	◇
46	$X_{21} \subset \mathbb{P}(1, 1, 3, 7, 10)$	1/10		86	$X_{38} \subset \mathbb{P}(1, 5, 6, 8, 19)$	1/120	♡
47	$X_{21} \subset \mathbb{P}(1, 1, 5, 7, 8)$	3/40		87	$X_{40} \subset \mathbb{P}(1, 5, 7, 8, 20)$	1/140	♡
48	$X_{21} \subset \mathbb{P}(1, 2, 3, 7, 9)$	1/18	♣	88	$X_{42} \subset \mathbb{P}(1, 1, 6, 14, 21)$	1/42	♡
49	$X_{21} \subset \mathbb{P}(1, 3, 5, 6, 7)$	1/30	♡	89	$X_{42} \subset \mathbb{P}(1, 2, 5, 14, 21)$	1/70	♡
51	$X_{22} \subset \mathbb{P}(1, 1, 4, 6, 11)$	1/12	◇	90	$X_{42} \subset \mathbb{P}(1, 3, 4, 14, 21)$	1/84	♡
52	$X_{22} \subset \mathbb{P}(1, 2, 4, 5, 11)$	1/20	◇	91	$X_{44} \subset \mathbb{P}(1, 4, 5, 13, 22)$	1/130	◇
53	$X_{24} \subset \mathbb{P}(1, 1, 3, 8, 12)$	1/12	♡	92	$X_{48} \subset \mathbb{P}(1, 3, 5, 16, 24)$	1/120	♡
54	$X_{24} \subset \mathbb{P}(1, 1, 6, 8, 9)$	1/18	♣	93	$X_{50} \subset \mathbb{P}(1, 7, 8, 10, 25)$	1/280	♡
55	$X_{24} \subset \mathbb{P}(1, 2, 3, 7, 12)$	1/21	◇	94	$X_{54} \subset \mathbb{P}(1, 4, 5, 18, 27)$	1/180	♡
56	$X_{24} \subset \mathbb{P}(1, 2, 3, 8, 11)$	1/22	♣	95	$X_{66} \subset \mathbb{P}(1, 5, 6, 22, 33)$	1/330	♡

*Setting 5.1.* Let  $X$  be a prime Fano 3-fold which is either a weighted hypersurface of index 1 in one of the families listed in Table 2, or a weighted complete intersection of codimension 2 and index 1 in one of the families listed in Table 3. Let  $\mathbb{P}$  be the ambient weighted projective space of  $X$ . We assume that  $X$  is quasi-smooth along the singular locus  $\text{Sing}(\mathbb{P})$  of  $\mathbb{P}$ .

**THEOREM 5.2.** *Let  $X$  be as in Setting 5.1 and suppose that  $X$  has at worst  $cA_1$  points in addition to terminal quotient singular points. Then  $X$  is birationally rigid.*

TABLE 3. Fano 3-fold weighted complete intersections of codimension 2 and index 1.

No.	$X_{d_1,d_2} \subset \mathbb{P}(a_0, \dots, a_5)$	$-K_X^3$	No.	$X_{d_1,d_2} \subset \mathbb{P}(a_0, \dots, a_5)$	$-K_X^3$
8	$X_{4,6} \subset \mathbb{P}(1, 1, 2, 2, 2, 3)$	1	59	$X_{12,14} \subset \mathbb{P}(1, 4, 4, 5, 6, 7)$	1/20
14	$X_{6,6} \subset \mathbb{P}(1, 2, 2, 2, 3, 3)$	1/2	60	$X_{12,14} \subset \mathbb{P}(2, 3, 4, 5, 6, 7)$	1/30
20	$X_{6,8} \subset \mathbb{P}(1, 2, 2, 3, 3, 4)$	1/3	64	$X_{12,16} \subset \mathbb{P}(1, 2, 5, 6, 7, 8)$	2/35
24	$X_{6,10} \subset \mathbb{P}(1, 2, 2, 3, 4, 5)$	1/4	71	$X_{14,16} \subset \mathbb{P}(1, 4, 5, 6, 7, 8)$	1/30
31	$X_{8,10} \subset \mathbb{P}(1, 2, 3, 4, 4, 5)$	1/6	75	$X_{14,18} \subset \mathbb{P}(1, 2, 6, 7, 8, 9)$	1/24
37	$X_{8,12} \subset \mathbb{P}(1, 2, 3, 4, 5, 6)$	2/15	76	$X_{12,20} \subset \mathbb{P}(1, 4, 5, 6, 7, 10)$	1/35
45	$X_{10,12} \subset \mathbb{P}(1, 2, 4, 5, 5, 6)$	1/10	78	$X_{16,18} \subset \mathbb{P}(1, 4, 6, 7, 8, 9)$	1/42
47	$X_{10,12} \subset \mathbb{P}(1, 3, 4, 4, 5, 6)$	1/12	84	$X_{18,30} \subset \mathbb{P}(1, 6, 8, 9, 10, 15)$	1/120
51	$X_{10,14} \subset \mathbb{P}(1, 2, 4, 5, 6, 7)$	1/12	85	$X_{24,30} \subset \mathbb{P}(1, 8, 9, 10, 12, 15)$	1/180

Remark 5.3. Let  $X \subset \mathbb{P}$  be as in Setting 5.1. Then the subset  $X \cap \text{Sing}(\mathbb{P})$  consists of the terminal cyclic quotient singular points of  $X$  coming from the ambient space since  $X$  is quasi-smooth along  $\text{Sing}(\mathbb{P})$ . Any other singular point of  $X$ , if it exists, is contained in a smooth locus of  $\mathbb{P}$  so that it is a hypersurface, hence Gorenstein, singular point of  $X$ . In Theorem 5.2, these hypersurface singular points are assumed to be  $cA_1$  points.

We fix some notation. Let  $\mathbb{P} = \mathbb{P}(a_0, \dots, a_n)$  be a weighted projective space with homogeneous coordinates  $x_0, \dots, x_n$  of weights  $a_0, \dots, a_n$ , respectively. For homogeneous polynomials  $g_1, \dots, g_N$  in variables  $x_0, \dots, x_n$ , we denote by

$$(g_0 = \dots = g_N = 0) \subset \mathbb{P}(a_0, \dots, a_n)$$

the closed subscheme defined by the homogeneous ideal  $(g_1, \dots, g_N)$ .

DEFINITION 5.4. Under the above notation, let  $V = (f_1 = \dots = f_m = 0) \subset \mathbb{P}$  be a closed subscheme, where  $f_1, \dots, f_m$  are homogeneous polynomials in variables  $x_0, \dots, x_n$ . The *quasi-smooth locus*  $\text{Qsm}(V)$  of  $V$  is defined to be the image of the smooth locus of  $C_V^* := C_V \setminus \{o\}$  under the natural map  $\mathbb{A}^{n+1} \setminus \{o\} \rightarrow \mathbb{P}$ , where

$$C_V := \text{Spec } \mathbb{C}[x_0, \dots, x_n]/(f_1, \dots, f_m)$$

is the *affine cone* of  $V$  and  $o$  is the origin of  $\mathbb{A}^{n+1}$ . For a subset  $S \subset V$ , we say that  $V$  is *quasi-smooth along*  $S$  if  $S \subset \text{Qsm}(V)$ . We say that  $V$  is *quasi-smooth* if it is quasi-smooth along  $V$ , that is,  $V = \text{Qsm}(V)$ .

For  $X \subset \mathbb{P}$  as in Setting 5.1 and  $s \in \{x, y, z, t, w\}$ , we set

$$U_s = (s \neq 0) \cap X := X \setminus ((s = 0) \cap X).$$

### 5.1 Exclusion of curves

PROPOSITION 5.5. *Let  $X$  be as in Setting 5.1. Then no curve on  $X$  is a maximal center.*

*Proof.* Suppose that there is a curve  $\Gamma \subset X$  which is a maximal center. By [Kaw96], there exists no divisorial contraction centered along a curve through a terminal quotient singular point. Hence,  $\Gamma$  does not pass through a terminal quotient singular point. In particular, we have  $(-K_X \cdot \Gamma) \geq 1$  since  $-K_X$  is a Cartier divisor on an open subset containing  $\Gamma$  and, thus,  $(-K_X \cdot \Gamma)$  is a positive integer. On the other hand we have  $(-K_X)^3 \leq 1$ . By Lemma 2.7,  $\Gamma$  cannot be a maximal center. This is a contradiction and the proof is complete.  $\square$

**5.2 Exclusion of smooth points**

The aim of this section is to show that no smooth point on  $X$  is a maximal center for  $X$  as in Setting 5.1. It may be possible to say that this follows from the same arguments as in [CP17, §2.1] and [Oka14, §7]. However, we reproduce the proofs in a general setting mainly because these arguments will also be useful in the exclusion of  $cA_1$  points as a maximal center (see §5.3).

DEFINITION 5.6. Let  $V$  be a closed subscheme of a weighted projective space  $\mathbb{P}(a_0, \dots, a_n)$  with homogeneous coordinates  $x_0, \dots, x_n$  of weights  $a_0, \dots, a_n$ , respectively, and let  $\mathfrak{p} \in V$  be a point. We say that homogeneous polynomials  $g_1, \dots, g_N$  in variables  $x_0, \dots, x_n$  (respectively, effective Weil divisors  $D_1, \dots, D_N$  on  $V$ ) *isolate*  $\mathfrak{p}$  or they are  *$\mathfrak{p}$ -isolating polynomials* (respectively,  *$\mathfrak{p}$ -isolating divisors*) if the set

$$(g_1 = \dots = g_N = 0) \cap V \quad (\text{resp. } \text{Supp } D_1 \cap \dots \cap \text{Supp } D_N)$$

is a finite set of points including  $\mathfrak{p}$ . For a positive integer  $e$ , we also say that  $g_1, \dots, g_N$  (respectively,  $D_1, \dots, D_N$ ) are  *$\mathfrak{p}$ -isolating polynomials of degree at most  $e$*  (respectively,  *$\mathfrak{p}$ -isolating divisors of degree at most  $e$* ) if  $\max\{\deg g_1, \dots, \deg g_N\} \leq e$  (respectively,  $D_i \in |\mathcal{O}_V(e_i)|$  for  $i = 1, \dots, N$  and  $\max\{e_1, \dots, e_N\} \leq e$ ).

Remark 5.7. Let  $V$  be as in Definition 5.6 and let  $\mathfrak{p} \in V$  be a point. Suppose that there are  $\mathfrak{p}$ -isolating divisors of degree at most  $e$ . Let  $\Gamma \subset V$  be a closed subset such that  $\mathfrak{p} \in \Gamma$  and any component of  $\Gamma$  is of positive dimension. Then we can take a divisor  $D \in |\mathcal{O}_V(e')|$  for some  $e' \leq e$  such that  $\mathfrak{p} \in \text{Supp } D$  and no component of  $\Gamma$  is contained in  $\text{Supp } D$ .

LEMMA 5.8. Let  $V$  be a complete intersection of codimension  $c \in \{1, 2\}$  in a weighted projective space  $\mathbb{P}(a_0, \dots, a_n)$  with homogeneous coordinates  $x_0, \dots, x_n$  of weights  $a_0, \dots, a_n$ , respectively.

(1) Let  $\mathfrak{p} \in V$  be a point.

(a) There exist  $\mathfrak{p}$ -isolating divisors of degree at most

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, \dots, n\}\}.$$

(b) If there is  $k$  such that  $\mathfrak{p} \notin \bigcap_{j \neq k} (x_j = 0) \cap V$ , then there exist  $\mathfrak{p}$ -isolating divisors of degree at most

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, \dots, n\} \setminus \{k\}\}.$$

(c) If  $c = 2$  and there are  $k_1, k_2$  such that  $\mathfrak{p} \notin \bigcap_{j \neq k_1, k_2} (x_j = 0)$ , then there exist  $\mathfrak{p}$ -isolating divisors of degree at most

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, \dots, n\} \setminus \{k_1, k_2\}\}.$$

(2) Let  $\mathfrak{p} \in U_{x_i} = (x_i \neq 0) \cap V$  be a point.

(a) There exist  $\mathfrak{p}$ -isolating divisors of degree at most

$$\max\{\text{lcm}(a_i, a_j) \mid j \in \{0, 1, \dots, n\}\}.$$

(b) If there is  $k \neq i$  such that  $\mathfrak{p} \notin \bigcap_{j \neq k} (x_j = 0) \cap V$ , then there exists  $\mathfrak{p}$ -isolating divisors of degree at most

$$\max\{\text{lcm}(a_i, a_j) \mid j \in \{0, 1, \dots, n\} \setminus \{k\}\}.$$

(c) If  $c = 2$  and there are  $k_1, k_2 \neq i$  such that  $\mathfrak{p} \notin \bigcap_{j \neq k_1, k_2} (x_j = 0) \cap V$ , then there exists  $\mathfrak{p}$ -isolating divisors of degree at most

$$\max\{\text{lcm}(a_i, a_j) \mid j \in \{0, 1, \dots, n\} \setminus \{k_1, k_2\}\}.$$

*Proof.* We set  $U_{x_j} = (x_j \neq 0) \cap V$ . Part (1) follows from part (2) since  $V$  is covered by the  $U_{x_j}$ .

We prove part (2). We can write  $\mathfrak{p} = (\alpha_0 : \dots : \alpha_n)$ , with  $\alpha_i \neq 0$ . We set  $m_j = \text{lcm}(a_i, a_j)$ . Then the polynomials in the set

$$\{\alpha_i^{m_j/a_i} x_j^{m_j/a_j} - \alpha_j^{m_j/a_j} x_i^{m_j/a_i} \mid j \in \{0, 1, \dots, n\} \setminus \{i\}\}$$

isolate  $\mathfrak{p}$ , and the first assertion follows by considering the divisors  $(g_j = 0) \cap V$ .

Suppose that  $\mathfrak{p} \notin \cap_{j \neq k} (x_j = 0) \cap V$ , where  $k \neq i$ . Then the natural projection

$$V \hookrightarrow \mathbb{P}(a_0, \dots, a_n) \dashrightarrow \mathbb{P}(a_0, \dots, \hat{a}_k, \dots, a_n) =: \mathbb{P}'$$

is a finite morphism in a neighborhood of  $\mathfrak{p} \in V$ . The polynomials in the set

$$\{\alpha_i^{m_j/a_i} x_j^{m_j/a_j} - \alpha_j^{m_j/a_j} x_i^{m_j/a_i} \mid j \in \{0, 1, \dots, n\} \setminus \{i, k\}\}$$

isolate  $\tau(\mathfrak{p}) \in \mathbb{P}'$ , that is, the common zero locus of the polynomials in the above set is a finite set of points on  $\mathbb{P}'$ . It follows that the common zero locus of the polynomials in the same set, considered as a subset of  $\mathbb{P}(a_0, \dots, a_n)$ , is again a finite set of points since  $\tau$  is a finite morphism. This shows that the above set isolates  $\mathfrak{p}$  and the second assertion is proved.

Suppose that  $c = 2$  and  $\mathfrak{p} \notin \cap_{j \neq k_1, k_2} (x_j = 0) \cap V$ , where  $k_1, k_2 \neq i$ . Then the natural projection

$$V \hookrightarrow \mathbb{P}(a_0, \dots, a_n) \dashrightarrow \mathbb{P}(a_0, \dots, \hat{a}_{k_1}, \dots, \hat{a}_{k_2}, \dots, a_n) =: \mathbb{P}''$$

is a finite morphism in a neighborhood of  $\mathfrak{p} \in V$ . By the similar argument as above considering the set

$$\{\alpha_i^{m_j/a_i} x_j^{m_j/a_j} - \alpha_j^{m_j/a_j} x_i^{m_j/a_i} \mid j \in \{0, 1, \dots, n\} \setminus \{i, k_1, k_2\}\},$$

we obtain the third assertion. □

**LEMMA 5.9.** *Let  $X$  be a prime Fano 3-fold weighted hypersurface of index 1 which belongs to one of the families listed in Table 2. Let  $\mathfrak{p} \in X$  be a point contained in the smooth locus of the ambient weighted projective space. Then the following assertions hold.*

- (1) *There exist  $\mathfrak{p}$ -isolating divisors of degree at most  $4/(-K_X)^3$  except when the family number of  $X$  is 25 and  $\mathfrak{p} \in (x = y = z = 0) \cap X$ .*
- (2) *If  $X$  belongs to a family which is given one of  $\heartsuit, \diamondsuit, \clubsuit$  in the ‘Case’ column of Table 2, then there exist  $\mathfrak{p}$ -isolating divisors of degree at most  $2/(-K_X^3)$ .*

*Proof.* Let  $X = X_d \subset \mathbb{P}(a_0, a_1, \dots, a_4) =: \mathbb{P}$  with  $1 = a_0 \leq a_1 \leq \dots \leq a_4$ , where  $d = a_1 + \dots + a_4$ . Let  $x, y, z, t, w$  be homogeneous coordinates of weights  $a_0, a_1, a_2, a_3, a_4$ , respectively.

We prove assertion (2). We consider  $X$  belonging to a family which is given one of  $\heartsuit, \diamondsuit, \clubsuit$  in the ‘Case’ column of Table 2. Then the assertions follow from the following observations.

- Case:  $X$  belongs to a family with  $\heartsuit$ . We have

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, 2, 3, 4\}\} \leq 2/(-K_X)^3,$$

and the assertion follows from Lemma 5.8(1)(a).

- Case:  $X$  belongs to a family with  $\diamondsuit$ . We have  $a_3 < a_4$ ,  $a_4 \mid d$ , and

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, 2, 3\}\} \leq 2/(-K_X)^3.$$

It follows from  $a_3 < a_4$  and  $a_4 \mid d$  that the monomial  $w^{d/a_4}$  appears in the defining polynomial of  $X$  with non-zero coefficient and, thus,  $(x = y = z = t = 0) \cap X = \emptyset$ . The assertion now follows from Lemma 5.8(1)(b).

- Case:  $X$  belongs to a family with ♣. We have  $a_2 < a_3 < a_4$ ,  $a_3 \mid d$  and

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, 2, 4\}\} \leq 2/(-K_X)^3.$$

It follows from  $a_2 < a_3 < a_4$  and  $a_3 \mid d$  that the monomial  $t^{d/a_3}$  appears in the defining polynomial of  $X$  with non-zero coefficient and, thus,  $(x = y = z = w = 0) \cap X = \emptyset$ . The assertion now follows from Lemma 5.8(1)(b).

We prove assertion (1). It is enough to consider  $X$  whose family number  $i$  belongs to

$$\{16, 17, 25, 31, 36, 38, 46, 47\}.$$

- Case:  $i \in \{17, 31, 38\}$ . We have

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, 2, 3, 4\}\} \leq 4/(-K_X)^3,$$

and the assertion follows from Lemma 5.8(1)(a).

- Case:  $i \in \{16, 36, 46, 47\}$ . In this case  $a_3 \mid d$  and  $w^{d/a_3}$  appears in the defining polynomial of  $X$ . Hence  $(x = y = z = w = 0) \cap X = \emptyset$ . We have

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, 2, 4\}\} \leq 4/(-K_X)^3,$$

and the assertion follows from Lemma 5.8(1)(b).

- Case:  $i = 25$ . By the assumption, we have  $\mathfrak{p} \in U_x \cup U_y \cup U_z$ . For  $i = 0, 1, 2$ , it is straightforward to check

$$\max\{\text{lcm}(a_i, a_j) \mid j \in \{0, 1, 2, 3, 4\}\} \leq 4/(-K_X)^3.$$

Thus, the assertion follows from Lemma 5.8(2)(a).

The proof is now complete. □

LEMMA 5.10. *Let  $X$  be a prime Fano 3-fold weighted complete intersection of codimension 2 and index 1 which belongs to one of the families listed in Table 3. Let  $\mathfrak{p} \in X$  be a point which is contained in the smooth locus of the ambient weighted projective space. Then there exist  $\mathfrak{p}$ -isolating divisors of degree at most  $2/(-K_X)^3$ .*

*Proof.* Let  $X = X_{d_1, d_2} \subset \mathbb{P}(a_0, \dots, a_5)$  with  $a_0 \leq \dots \leq a_5$  and  $d_1 < d_2$ . Let  $x, y, z, t, v, w$  be homogeneous coordinates of weights  $a_0, a_1, a_2, a_3, a_4, a_5$ , respectively, and let  $f_1, f_2 \in \mathbb{C}[x, y, z, t, v, w]$  be the defining polynomials of  $X$  of degree  $d_1, d_2$ , respectively. We denote by  $i$  the family number of  $X$ .

We consider the case  $i = 60, 71, 76, 78, 84, 85$ . We have

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, \dots, 5\}\} \leq 2/(-K_X)^3,$$

and, thus, the assertion follows from Lemma 5.8(1)(a).

Case:  $i = 8, 20, 31, 45, 47, 59$ . In this case  $a_4 < a_5$ ,  $a_5 \mid d_2$  and

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, 2, 3, 4\}\} \leq 2/(-K_X)^3.$$

Then  $w^{d_2/a_5} \in f_2$  since  $a_4 < a_5$  and  $a_5 \mid d_2$  and, thus,  $(x = y = z = t = v = 0) \cap X = \emptyset$ . The assertion follows from Lemma 5.8(1)(b).

We consider the case  $i = 24, 37, 51, 64, 75$ . In this case  $a_2 < a_3 < a_4 < a_5$ ,  $d_1 < a_3 + a_5$ ,  $a_3 \mid d_1, a_5 \mid d_2$ , and

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, 2, 4\}\} \leq 2/(-K_X)^3.$$

Then

$$f_1(0, 0, 0, t, 0, w) = \alpha t^{d_1/a_3}, \quad f_2(0, 0, 0, t, 0, w) = \beta w^{d_2/a_4}$$

for some non-zero  $\alpha, \beta \in \mathbb{C}$ . It follows that  $(x = y = z = v = 0) \cap X = \emptyset$  and, thus, the assertion follows from Lemma 5.8(1)(c).

We consider the case  $i = 14$ . In this case, we have  $(x = yz = t = 0) \cap X = \emptyset$  and

$$\max\{\text{lcm}(a_i, a_j) \mid i, j \in \{0, 1, 2, 3\}\} = 2 < 2/(-K_X)^3 = 4.$$

Thus, the assertion follows from Lemma 5.8(1)(c), and the proof is complete. □

*Remark 5.11.* In Lemmas 5.9 and 5.10, we do not assume that  $\mathfrak{p}$  is a smooth point of  $X$ , and the case where  $\mathfrak{p}$  is a Gorenstein singular point of  $X$  is covered. This is utilized in the proof of Lemma 5.13.

**PROPOSITION 5.12.** *Let  $X$  be as in Setting 5.1. Then no smooth point on  $X$  is a maximal center.*

*Proof.* Let  $\mathfrak{p} \in X$  be a smooth point. If  $X$  is a weighted hypersurface and its family number is 25, and  $\mathfrak{p}$  is contained in  $(x = y = z = 0) \cap X$ , then we can repeat the argument of the proof of [CP17, Lemma 2.1.5] without any change and conclude that  $\mathfrak{p}$  is not a maximal center. In what follows, we assume that  $\mathfrak{p} \notin (x = y = z = 0) \cap X$  when  $X$  is a weighted hypersurface and its family number is 25.

Suppose that  $X$  admits a smooth point  $\mathfrak{p} \in X$  which is a maximal center. Then there exists a movable linear system  $\mathcal{M} \subset |-nK_X|$  such that  $\mathfrak{p}$  is a center of non-canonical singularities of  $(X, (1/n)\mathcal{M})$ . Let  $D_1, D_2 \in \mathcal{M}$  be general members of  $\mathcal{M}$ . By Lemmas 5.9 and 5.10, we can take a divisor  $T \in |-lK_X|$  for some positive integer  $l \leq 4/(-K_X^3)$  such that  $\text{Supp } T$  passes through  $\mathfrak{p}$  and does not contain any component of  $D_1 \cap D_2$ . Thus, we have

$$4n^2 \geq ln^2(-K_X^3) = (T \cdot D_1 \cdot D_2) > 4n^2,$$

where the last inequality follows from the  $4n^2$ -inequality for smooth points (see Theorem 1.1). This is a contradiction. □

### 5.3 Exclusion of $cA_1$ points

The aim of this section is to exclude singularities of type  $cA_1$  on  $X$  as a maximal singularity for  $X$  as in Setting 5.1.

**LEMMA 5.13.** *Let  $X$  be as in Setting 5.1 and let  $\mathfrak{p} \in X$  be a singular point contained in the smooth locus of the ambient weighted projective space. Then there exist  $\mathfrak{p}$ -isolating divisors of degree at most  $2/(-K_X^3)$ .*

*Proof.* By Lemmas 5.9 and 5.10, it remains to consider the case where  $X$  is a weighted hypersurface whose family number  $i$  belongs to

$$\{16, 17, 25, 31, 36, 38, 46, 47\}.$$

We consider the case  $i = 38$ . In this case  $X = X_{18} \subset \mathbb{P}(1, 2, 3, 5, 8)$ . We claim that the set  $\Delta := (x = y = z = 0) \cap X$  is contained in  $\text{Qsm}(X)$ . Let  $f = f(x, y, z, t, w)$  be the defining polynomial of  $X$ . We have  $f = \alpha t^2 w + \beta y w^2 + \gamma z t^3 + G$ , where  $\alpha, \beta, \gamma \in \mathbb{C}$  and  $G = G(x, y, z, t, w) \in (x, y, z)^2$ . We have  $(\alpha, \gamma) \neq (0, 0)$  and  $\beta \neq 0$ . If  $\alpha \neq 0$ , then  $\Delta$  consists of 2 points which are the



TABLE 4. Descriptions of  $f(0, 0, z, t, w)$ .

No.	$f(0, 0, z, t, w)$	Conditions	$2/(-K_X)^3$
16	$\alpha w^2 z + \beta t^3 + \gamma t^2 z^2 + \delta t z^4 + \varepsilon z^6$	$\alpha \neq 0, \beta \neq 0$	20/3
17	$\alpha z^4 + \beta t^3 + \gamma t^2 w + \delta w^3$	$\alpha \neq 0$	8
25	$\alpha w t^2 + \beta t^3 z + \gamma z^5$	$(\alpha, \beta) \neq (0, 0), \gamma \neq 0$	56/5
31	$\alpha w^2 z + \beta w t^2 + \gamma z^4$	$\alpha \neq 0, \gamma \neq 0$	15
36	$\alpha w^2 z + \beta t^3 + \gamma t z^3$	$\alpha \neq 0, \beta \neq 0, \gamma \neq 0$	56/3
46	$\alpha t^3 + \beta z^7$	$\alpha \neq 0, \beta \neq 0$	20
47	$\alpha w^2 z + \beta t^3$	$\alpha \neq 0, \beta \neq 0$	80/3

$\frac{1}{5}(1, 2, 3)$  point and the  $\frac{1}{8}(1, 3, 5)$  point and, hence,  $X$  is quasi-smooth along  $\Delta$ . Suppose that  $\alpha = 0$ . In this case  $\Gamma = (x = y = z = 0)$  is a curve and it is straightforward to check that  $X$  is quasi-smooth along  $\Gamma$  since  $\beta \neq 0$  and  $\gamma \neq 0$ . The claim is proved. It follows that  $\mathfrak{p} \in U_x \cup U_y \cup U_z$  and there exist  $\mathfrak{p}$ -isolating divisors of degree at most  $3a_4$  by Lemma 5.8(2)(a), and we have  $3a_4 = 24 \leq 2/(-K_X)^3 = 80/3$ .

Finally, we consider the case  $i \in \{16, 17, 25, 31, 36, 46, 47\}$ . In this case  $X = X_d \subset \mathbb{P}(1, 1, a_2, a_3, a_4)$  with  $d = 1 + a_2 + a_3 + a_4$  and  $a_2 \geq 2$ . We claim that  $\mathfrak{p} \in U_x \cup U_y$ . Assume to the contrary that  $\mathfrak{p} \in (x = y = 0) \cap X$ . Recall that  $\mathfrak{p}$  is a singular point of  $X$ , hence it is either a hypersurface singular point or a cyclic quotient terminal singular point (see Remark 5.3). In the latter case  $\mathfrak{p}$  is contained in the singular locus of the ambient weighted projective space. Hence,  $\mathfrak{p} \in X$  is a hypersurface singularity and, in particular,  $X$  is not quasi-smooth at  $\mathfrak{p}$ . Thus, we have

$$\frac{\partial f(0, 0, z, t, w)}{\partial z}(\mathfrak{p}) = \frac{\partial f(0, 0, z, t, w)}{\partial t}(\mathfrak{p}) = \frac{\partial f(0, 0, z, t, w)}{\partial w}(\mathfrak{p}) = 0. \tag{5.1}$$

An explicit description of  $f(0, 0, z, t, w)$  is given in the second column of Table 4, where the coefficients  $\alpha, \beta, \dots$  satisfy the conditions given in the third column. It is then straightforward to see that either the equations in (5.1) have only trivial solution or they imply that  $\mathfrak{p} \in \{\mathfrak{p}_z, \mathfrak{p}_t, \mathfrak{p}_w\}$ . We have a contradiction in the former case, and the latter case is impossible since any point in  $\{\mathfrak{p}_z, \mathfrak{p}_t, \mathfrak{p}_w\} \cap X$  is a quotient singular point of  $X$ . Thus, the claim is proved, and we have  $\mathfrak{p} \in U_x \cup U_y$ . By Lemma 5.8 (2-a), there exist  $\mathfrak{p}$ -isolating divisors of degree most  $a_4$ . It is easy to check  $a_4 \leq 2/(-K_X)^3$  (see the fourth column of Table 4) and the proof is complete.  $\square$

PROPOSITION 5.14. *Let  $X$  be as in Setting 5.1, and let  $\mathfrak{p} \in X$  be a singular point of type  $cA_1$ . Then  $\mathfrak{p}$  is not a maximal center.*

*Proof.* Suppose that  $\mathfrak{p} \in X$  is a maximal center. Then there exists a movable linear system  $\mathcal{M} \sim -nK_X$  such that  $\mathfrak{p}$  is the center of a non-canonical singularities of the pair  $(X, (1/n)\mathcal{M})$ . Let  $D_1, D_2 \in \mathcal{M}$  be general members. Then by Lemma 5.13, we can take a divisor  $T \in |-lK_X|$  for some positive integer  $l \leq 2/(-K_X)^3$  such that  $\text{Supp } T$  passes through  $\mathfrak{p}$  and does not contain any component of  $D_1 \cap D_2$ . Thus, we have

$$2n^2 \geq ln^2(-K_X^3) = (T \cdot D_1 \cdot D_2) > 2n^2,$$

where the last inequality follows from Theorem 3.14. This is a contradiction.  $\square$

**5.4 Links centered at quotient points and proof of Theorem 5.2**

PROPOSITION 5.15. *Let  $X$  be as in Setting 5.1 and let  $\mathfrak{p} \in X$  be a terminal quotient singular point. If the point  $\mathfrak{p}$  is a maximal center, then there exists an elementary self-link  $X \dashrightarrow X$  of type II initiated by the Kawamata blow-up at  $\mathfrak{p}$ .*

*Proof.* Suppose that  $X$  is a weighted hypersurface. Then the same arguments as in [CP17, Chapter 5] prove the assertion. To be more precise, although  $X$  is assumed to be quasi-smooth in [CP17], the arguments in [CP17, Chapter 5] in fact relies only on the quasi-smoothness of  $X$  along  $X \cap \text{Sing}(\mathbb{P})$ , where  $\mathbb{P}$  is the ambient weighted projective 4-space, in addition to the basic assumption that  $X$  is a prime Fano 3-fold.

Similarly, for weighted complete intersections of codimension 2, the same arguments as in [Oka14, § 7] and [AZ16, § 4] prove the assertion. □

*Remark 5.16.* It should be mentioned that, for some weighted hypersurface  $X$  of index 1 which is not listed Table 2, the arguments in [CP17, Chapter 5] do rely on the entire quasi-smoothness of  $X$ . For example, the singular point of type  $\frac{1}{3}(1, 1, 2)$  on a quasi-smooth member  $X_{17} \subset \mathbb{P}(1, 2, 3, 5, 7) =: \mathbb{P}$  of family No. 33 is excluded as a maximal center in [CP17, Chapter 5] and the proof of this fact does rely on the quasi-smoothness of  $X$  (not only on the quasi-smoothness along  $X \cap \text{Sing} \mathbb{P}$ ).

*Proof of Theorem 5.2.* This is a consequence of Lemma 2.6 and Propositions 5.5, 5.12, 5.14, and 5.15. □

### 6. Del Pezzo fibrations of degree 1

The aim of this section is to prove the following by the method of maximal singularities developed in [Puk98] and [Oka20a] combined with Corti’s inequality for smooth points and  $cA_1$  points.

**THEOREM 6.1.** *Let  $\pi: X \rightarrow \mathbb{P}^1$  be a del Pezzo fibration of degree 1 with only  $cA_1$  points. If  $X/\mathbb{P}^1$  satisfies the  $K^2$ -condition (1.1), then it is birationally superrigid.*

The rest of this section is devoted to the proof of Theorem 6.1. In what follows, let  $\pi: X \rightarrow \mathbb{P}^1$  be a del Pezzo fibration of degree 1 with only  $cA_1$  singularities and assume that  $X$  satisfies the  $K^2$ -condition (1.1). We denote by  $F \in \text{Pic}(X)$  the fiber class.

We recall that by [Puk98, p. 117] the variety  $X$  can be embedded in a toric  $\mathbb{P}(1, 1, 2, 3)$ -bundle  $P \rightarrow \mathbb{P}^1$  in such a way that the morphism  $\pi$  coincides with the restriction of  $P \rightarrow \mathbb{P}^1$ . A fiber of  $\pi$  is a Gorenstein del Pezzo surface (which can be non-normal), and it is an irreducible and reduced hypersurface of degree 6 in  $\mathbb{P}(1, 1, 2, 3)$ .

**PROPOSITION 6.2** [Puk98, § 3]. *Under the above setting, no curve on  $X$  is a center of non-canonical singularities of the pair  $(X, (1/n)\mathcal{M})$  for any movable linear system  $\mathcal{M} \subset |-nK_X + mF|$  on  $X$ .*

*Proof.* In [Puk98, § 3] this statement is proven under the assumption that  $X$  is smooth, but the same proof works in Gorenstein case. □

We say that an irreducible curve  $C \subset X$  is *horizontal* if  $\pi(C) = \mathbb{P}^1$  and that it is *vertical* if  $\pi(C)$  is a point. A 1-cycle  $C$  is *horizontal* (respectively, *vertical*) if every irreducible curve in  $\text{Supp } C$  is horizontal (respectively, vertical).

*Proof of Theorem 6.1.* Suppose that  $X/\mathbb{P}^1$  is not birationally superrigid. Then there exists a Mori fiber space  $Y/T$  and a birational map  $\sigma: X \dashrightarrow Y$  which is not square. Let  $\mathcal{M} \subset |-nK_X + mF|$  be the proper transform on  $X$  of a very ample complete linear system on  $Y$ . The  $K^2$ -condition (1.1) implies that  $-K_X$  is not in the movable cone of  $X$  and, thus,  $m \geq 0$ . By Proposition 6.2 and [Oka20a, Proposition 2.7], there are points  $p_1, \dots, p_k \in X$  in distinct

$\pi$ -fibers and positive rational numbers  $\lambda_1, \dots, \lambda_k$  such that  $\mathfrak{p}_1, \dots, \mathfrak{p}_k$  are centers of non-canonical singularities of the pair  $(X, (1/n)\mathcal{M} - \sum \lambda_i F_i)$  and  $\sum \lambda_i > m/n$ , where  $F_i$  is the  $\pi$ -fiber containing  $\mathfrak{p}_i$ .

Let  $D_1, D_2$  be general members of  $\mathcal{M}$  and let  $Z := D_1 \cdot D_2$ . We decompose  $Z$  into the vertical and the horizontal components,  $Z = Z^v + Z^h$ , and write

$$Z^v = \sum Z_i^v,$$

where the support of  $Z_i^v$  is in a  $\pi$ -fiber and  $Z^h$  is horizontal. By Corti's inequality Theorem 3.17, there are numbers  $t_i, i = 1, \dots, k$ , with  $0 < t_i \leq 1$  such that

$$\text{mult}_{\mathfrak{p}_i} Z^h + t_i \text{mult}_{\mathfrak{p}_i} Z_i^v > 2n^2 + 4\lambda_i t_i n^2.$$

We have

$$\text{mult}_{\mathfrak{p}_i} Z^h \leq (F_i \cdot Z^h) = (F \cdot Z) = (F \cdot D_1 \cdot D_2) = n^2,$$

and, thus,

$$\text{mult}_{\mathfrak{p}_i} Z_i^v > \frac{1}{t_i} n^2 + 4\lambda_i n^2 \geq n^2 + 4\lambda_i n^2.$$

Note that  $F_i$  is isomorphic to an irreducible and reduced weighted hypersurface of degree 6 in  $\mathbb{P}(1, 1, 2, 3)$ . By [Oka20a, Lemma 2.10], we can take a curve  $C_i \in |\mathcal{O}_{F_i}(2)|$  which passes through  $\mathfrak{p}_i$  and which does not contain any component of  $\text{Supp}(Z_i^v)$ . Then we can take a divisor  $H_i \in |-2K_X + r_i F|$ , where  $r_i$  is a sufficiently large integer, such that  $H_i|_{F_i} = C_i$ . We have

$$(-K_X \cdot Z_i^v) = \frac{1}{2}(H_i \cdot Z_i^v) \geq \frac{1}{2} \text{mult}_{\mathfrak{p}_i} Z_i^v > \frac{1}{2} n^2 + 2\lambda_i n^2,$$

and, by the inequality  $\sum \lambda_i > m/n$ , we have

$$(-K_X \cdot Z^v) = \sum_{i=1}^k (-K_X \cdot Z_i^v) > \frac{k}{2} n^2 + 2n^2 \sum_{i=1}^k \lambda_i > \frac{1}{2} n^2 + 2mn. \tag{6.1}$$

We define  $\ell := -K_X \cdot F \in \overline{\text{NE}}(X)$  so that  $\mathbb{R}_{>0} \cdot \ell \subset \overline{\text{NE}}(X)$  is the extremal ray corresponding to  $\pi$ . Denote by  $[Z^h] \in \overline{\text{NE}}(X)$  the class of  $Z^h$ . We can write  $[Z^h] = \alpha(-K_X)^2 + \beta\ell$  for some numbers  $\alpha, \beta$ . We have  $\alpha = (F \cdot Z^h) = n^2$ , and the  $K^2$ -condition (1.1) implies that  $\beta \geq 0$ . It follows that

$$(-K_X \cdot Z^h) = (-K_X \cdot \alpha(-K_X)^2 + \beta\ell) \geq n^2(-K_X)^3.$$

Combining this with

$$(-K_X \cdot Z) = (-K_X) \cdot (-nK_X + mF)^2 = n^2(-K_X)^3 + 2mn,$$

we obtain the inequality

$$(-K_X \cdot Z^v) = (-K_X \cdot Z) - (-K_X \cdot Z^h) \leq 2mn. \tag{6.2}$$

Two inequalities (6.1) and (6.2) are impossible, and this shows that  $X/\mathbb{P}^1$  is birationally superrigid.  $\square$

ACKNOWLEDGEMENTS

The authors would like to express their gratitude to Byung Hee An for his assistance with Proposition 4.13. The authors would also like to thank the referee for the careful reading and suggestions. The first author was supported by KIAS Individual Grant no. MG069802 and IBS-R003-D1. The second author is partially supported by JSPS KAKENHI Grant Numbers

JP18K03216 and JP22H01118. The third author was supported by the Simons Investigator Award HMS, National Science Fund of Bulgaria, National Scientific Program ‘Excellent Research and People for the Development of European Science’ (VIHREN), Project No. KP-06-DV-7. The fourth author has been supported by IBS-R003-D1, Institute for Basic Science in Korea.

## CONFLICTS OF INTEREST

None.

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*Compositio Mathematica* is owned by the Foundation Compositio Mathematica and published by the London Mathematical Society in partnership with Cambridge University Press. All surplus income from the publication of *Compositio Mathematica* is returned to mathematics and higher education through the charitable activities of the Foundation, the London Mathematical Society and Cambridge University Press.