

# The Transfer in the Invariant Theory of Modular Permutation Representations II

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*Abstract.* In this note we show that the image of the transfer for permutation representations of finite groups is generated by the transfers of special monomials. This leads to a description of the image of the transfer of the alternating groups. We also determine the height of these ideals.

Let  $\mathbb{F}$  be a finite field of  $\text{char}(\mathbb{F}) = p$ . Let  $\rho: G \hookrightarrow \text{GL}(n, \mathbb{F})$  be a faithful representation of a finite group  $G$ . The group  $G$  acts via  $\rho$  on the  $n$ -dimensional vector space  $V = \mathbb{F}^n$ . This induces an action of  $G$  on the ring of polynomial functions  $\mathbb{F}[x_1, \dots, x_n] = \mathbb{F}[V]$ , where  $x_1, \dots, x_n$  is the standard dual basis of  $V^*$ , via

$$gf(v) := f(\rho(g)^{-1}v) \quad \forall g \in G, f \in \mathbb{F}[x_1, \dots, x_n], v \in V.$$

Denote by  $\mathbb{F}[V]^G$  the ring of polynomials invariant under the  $G$ -action, see [10] or [12] for an introduction to invariant theory of finite groups. The transfer

$$\text{Tr}^G: \mathbb{F}[V] \rightarrow \mathbb{F}[V]^G; \quad f \mapsto \sum_{g \in G} gf$$

is an  $\mathbb{F}[V]^G$ -module homomorphism. It is surjective if and only if the characteristic of the ground field  $\mathbb{F}$  does not divide the group order, *i.e.*, in the non-modular case, where it provides a tool for constructing the ring of invariants  $\mathbb{F}[V]^G$ , see Section 2.4 in [12]. On the other hand, in the modular case, where  $p \mid |G|$ , the transfer is never zero nor surjective, see Section 11.5 in [12]. This makes the transfer, resp., its image, an interesting object of study and inspired quite a number of (recent) research, *e.g.*, [2], [3], [6], [7], [8] and [11]. In this note we pursue the investigation of the image of the transfer of modular permutation representations started in [8].

## 1 A Generating Set

In this section we show that the image of the transfer for a permutation representation is generated by the transfers of special monomials—a result inspired by [4], as it is reworked by [5].

Let  $\rho: G \hookrightarrow \text{GL}(n, \mathbb{F})$  be a modular permutation representation of a finite group  $G$  permuting a basis  $x_1, \dots, x_n$  for the dual vector space  $V^*$ . Denote by

$$x^E = x_1^{E_1} \cdots x_n^{E_n} \in \mathbb{F}[x_1, \dots, x_n]$$

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a monomial with multi-index  $E = (E_1, \dots, E_n)$ . We associate to  $E$  a partition

$$\lambda(E) = (\lambda_1(E), \dots, \lambda_n(E))$$

where for every  $i = 1, \dots, n$  there exists a  $j \in \{1, \dots, n\}$  such that

$$\lambda_i(E) = E_{\sigma(j)},$$

for some  $\sigma \in \Sigma_n$ , i.e., the partition  $\lambda(E)$  is obtained from the exponent sequence  $E$  by reordering so that it is weakly decreasing. Call a monomial *special* if

$$\lambda_n(E) = 0 \quad \text{and} \quad \lambda_i(E) - \lambda_{i+1}(E) \leq 1 \quad \forall i = 1, \dots, n - 1.$$

**Theorem 1.1** *Let  $\rho: G \hookrightarrow \text{GL}(n, \mathbb{F})$  be a permutation representation of a finite group  $G$ . Then the image of the transfer is generated by*

$$\text{Tr}^G(x^E) \quad \text{for special monomials } x^E \in \mathbb{F}[V].$$

**Proof** Denote by  $I \subseteq \mathbb{F}[V]^G$  the ideal generated by the transfers of special monomials. Let  $x^E \in \mathbb{F}[V]$  be a non-special monomial. We have to show that

$$\text{Tr}^G(x^E) \in I.$$

We assume that  $\text{Tr}^G(x^E) \neq 0$ , for otherwise there is nothing to show. Denote the associated partition by  $\lambda(E) = (\lambda_1(E), \dots, \lambda_n(E))$ . If  $\lambda_n(E) \neq 0$ , then

$$x^E = x^{E'} e_n,$$

where  $e_i$  denotes the  $i$ -th elementary symmetric function,  $i = 1, \dots, n$ , and,  $E'_i = E_i - 1$ . We have

$$\text{Tr}^G(x^E) = \text{Tr}^G(x^{E'}) e_n.$$

Since the elementary symmetric functions are present in any ring of permutation invariants it is enough to show that

$$\text{Tr}^G(x^{E'}) \in I.$$

So, without loss of generality, we assume that  $\lambda_n(E) = 0$ . We want to proceed by induction and choose for that the *dominance order* on monomials, i.e.,

$$x^E \leq_{\text{dom}} x^F \iff \begin{cases} \lambda_1(E) \leq \lambda_1(F) & \text{and} \\ \lambda_1(E) + \lambda_2(E) \leq \lambda_1(F) + \lambda_2(F) & \text{and} \\ \vdots \\ \lambda_1(E) + \dots + \lambda_n(E) \leq \lambda_1(F) + \dots + \lambda_n(F). \end{cases}$$

Assume to the contrary that  $I \subsetneq \text{Im}(\text{Tr}^G)$ , and let  $x^E$  be minimal with respect to the dominance ordering such that

$$\text{Tr}^G(x^E) \notin I.$$

Since  $x^E$  is non-special, somewhere in the associated partition  $\lambda(E)$  is a gap. Let  $t$  be the index of the first occurrence of a gap

$$t = \min\{i \mid \lambda_i(E) - \lambda_{i+1}(E) > 1\},$$

and define the *reduced monomial*  $x^{\tilde{E}}$  to be the one where  $\tilde{E}$  is obtained from  $E$  by lowering the largest  $t$  of the exponents  $E_i$  by 1. The reduced monomial  $x^{\tilde{E}}$  is, by construction, strictly smaller in dominance order

$$x^{\tilde{E}} <_{\text{dom}} x^E.$$

Write

$$\text{Tr}^G(x^E) = \text{Tr}^G(x^{\tilde{E}})e_t - R,$$

for some polynomial  $R$ . Note that

$$R = \text{Tr}^G(x^{\tilde{E}})e_t - \text{Tr}^G(x^E) \in \text{Im}(\text{Tr}^G)$$

shows that  $R$  is in the image of the transfer of  $G$ . By minimality of  $x^E$  we have that

$$\text{Tr}^G(x^{\tilde{E}}) \in I.$$

The monomials occurring in  $R$  are strictly less in the dominance ordering than  $x^E$ . This is shown in the lemma below. Hence  $R$  is, by induction, also contained in  $I$ . Therefore

$$\text{Tr}^G(x^E) = \text{Tr}^G(x^{\tilde{E}})e_t - R \in I,$$

what contradicts our assumption and we are done. ■

The following lemma is a revised version of Lemma 10 in [5].

**Lemma 1.2** *Every monomial  $x^F$  occurring in*

$$\text{Tr}^G(x^{\tilde{E}})e_t (= \text{Tr}^G(x^E) + R)$$

*is smaller with respect to the dominance ordering than  $x^E$ , and equal if and only if  $x^F$  is a term in  $\text{Tr}^G(x^E)$ .*

**Proof** Write

$$x^F = x^{\tilde{F}} x_{j_1} \cdots x_{j_t}$$

where

$$\lambda(\tilde{E}) = \lambda(\tilde{F}) \quad \text{and} \quad J := \{j_1, \dots, j_t\} \subseteq \{1, \dots, n\}.$$

We have

$$\lambda(\tilde{E}) = (\lambda_1(E) - 1, \dots, \lambda_t(E) - 1, \lambda_{t+1}(E), \dots, \lambda_n(E)).$$

The partition  $\lambda(F)$  associated to  $F$  is obtained from  $\lambda(\tilde{E})$  by adding one to some exponents according to the elements of  $J$  (and possibly reordering)

$$\lambda_i(F) = \begin{cases} \lambda_{\sigma(i)}(E) - 1 + \mathbf{1}_J(i) & \text{for } i = 1, \dots, t \\ \lambda_{\sigma(i)}(E) + \mathbf{1}_J(i) & \text{for } i = t + 1, \dots, n, \end{cases}$$

where  $\mathbf{1}_J$  is the function taking value one on  $J$  and zero elsewhere, and  $\sigma$  is a certain permutation corresponding to the possible reordering. Note that this element  $\sigma \in \Sigma_n$  permutes the  $\lambda_i(F)$  before and after  $t$  separately. We need to show that

$$\sum_{i=1}^j \lambda_i(F) \leq \sum_{i=1}^j \lambda_i(E)$$

for  $j = 1, \dots, n$ . For  $j \leq t$  we get

$$\begin{aligned} \sum_{i=1}^j \lambda_i(F) &= \sum_{i=1}^j (\lambda_{\sigma(i)}(E) - 1 + \mathbf{1}_J(i)) \\ &\leq \left( \sum_{i=1}^j \lambda_i(E) \right) - j + |J \cap \{1, \dots, j\}| \leq \sum_{i=1}^j \lambda_i(E), \end{aligned}$$

where the penultimate inequality follows because reordering lowers the sum of the  $\lambda_i$ .

Secondly, if  $j > t$  then

$$\begin{aligned} \sum_{i=1}^j \lambda_i(F) &= \sum_{i=1}^t (\lambda_{\sigma(i)}(E) - 1 + \mathbf{1}_J(i)) + \sum_{i=t+1}^j (\lambda_{\sigma(i)}(E) + \mathbf{1}_J(i)) \\ &= \sum_{i=1}^t \lambda_i(E) + \sum_{i=t+1}^j \lambda_{\sigma(i)}(E) - t + |J \cap \{1, \dots, j\}| \leq \sum_{i=1}^j \lambda_i(E). \end{aligned}$$

Finally,<sup>1</sup>

$$x^F =_{\text{dom}} x^E \iff \lambda(F) = \lambda(E).$$

If  $x^F$  is a term in the transfer of  $x^E$ , then  $x^F$  and  $x^E$  differ only by a permutation. Therefore  $\lambda(F) = \lambda(E)$ .

Conversely, assume that  $\lambda(F) = \lambda(E)$ . Note that

$$e_i x^{\tilde{E}} = x^E + f,$$

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<sup>1</sup><sub>=dom</sub> means, of course, that both inequalities hold.

for some polynomial  $f$ . Without loss of generality we assume that

$$x_1 \cdots x_t x^{\bar{E}} = x^{\bar{E}}.$$

The only term in  $e_t x^{\bar{E}}$ , whose exponent sequence has the same partition  $\lambda(E)$  as  $x^{\bar{E}}$  is  $x_1 \cdots x_t x^{\bar{E}}$  ( $= x^{\bar{E}}$ ), because all other terms have a gap at a different index. Moreover, by construction,  $x^{\bar{F}}$  is a term in the transfer of  $x^{\bar{E}}$ , *i.e.*, there exists an element  $g \in G$  such that

$$g x^{\bar{E}} = x^{\bar{F}}.$$

Hence

$$g(x^{\bar{E}}) + g(f) = g(e_t x^{\bar{E}}) = e_t x^{\bar{F}} = x^{\bar{F}} + \text{other terms}.$$

Since  $\lambda(F) = \lambda(E)$  and the partitions of the exponent sequences of all other terms involved (*i.e.*, the terms of  $g(f)$  and the terms occurring in *other terms*) are different from these, it follows that

$$x^{\bar{F}} = g(x^{\bar{E}})$$

as claimed. ■

**Remark** We could derive the preceding result also in the following way: By [2] the ring of polynomials  $\mathbb{F}[V]$  is generated by special monomials as a module over  $\mathbb{F}[V]^{\Sigma_n}$ , and, *a fortiori*, as a module over  $\mathbb{F}[V]^G$  for any permutation group  $G$ . Hence in the modular situation the image of the transfer is given by applying the transfer to these module generators.

Since special monomials have at most degree  $\frac{n(n-1)}{2}$ , we have proved the following.

**Corollary 1.2** *The image of the transfer of a permutation representation is generated by the polynomials of degree at most  $\frac{n(n-1)}{2}$ .*

It is worthwhile noting, that the statement of this corollary can be obtained independently of the preceding theorem, [13]:

**Proof** The ring generated by the elementary symmetric functions is present in every invariant ring of a permutation group  $G$ , *i.e.*,

$$\mathbb{F}[V]^{\Sigma_n} = \mathbb{F}[e_1, \dots, e_n] \hookrightarrow \mathbb{F}[V]^G \hookrightarrow \mathbb{F}[V],$$

where  $\Sigma_n$  denotes the symmetric group in  $n$  letters. The elementary symmetric functions form a homogeneous system of parameters for  $\mathbb{F}[V]$ . Since the ring of polynomials  $\mathbb{F}[V]$  is Cohen-Macaulay, it is free finitely generated over  $\mathbb{F}[e_1, \dots, e_n]$ . Hence the maximal degree of a module generator of  $\mathbb{F}[V]$  over  $\mathbb{F}[e_1, \dots, e_n]$  can be obtained by dividing the respective Poincaré series. The degree  $\binom{n}{2}$  of this polynomial

$$\frac{\mathcal{P}(\mathbb{F}[V], t)}{\mathcal{P}(\mathbb{F}[V]^{\Sigma_n}, t)} = \frac{\prod_{i=1}^n (1-t^i)}{(1-t)^n} = \prod_{i=1}^{n-1} (1+t+\dots+t^i)$$

is the maximal degree of a module generator. Since the transfer  $\text{Tr}^G: \mathbb{F}[V] \rightarrow \mathbb{F}[V]^G$  is an  $\mathbb{F}[V]^G$ -module homomorphism, it is, *a fortiori*, an  $\mathbb{F}[V]^{\Sigma_n}$ -module homomorphism. It follows that  $\text{Im}(\text{Tr}^G)$  is generated as an  $\mathbb{F}[V]^G$ -module, *i.e.*, as an ideal, by polynomials of degree at most  $\binom{n}{2}$ . ■

Finally we calculate the height of the image of the transfer.

**Theorem 1.4** *Let  $\rho: G \hookrightarrow \text{GL}(n, \mathbb{F})$  be a permutation representation of a finite group  $G$ . Let the characteristic  $p$  of the groundfield  $\mathbb{F}$  divide the group order of  $G$ . Then the height  $\text{ht}(\text{Im}(\text{Tr}^G))$  is divisible by  $p - 1$ . Moreover, we have the following (in-)equalities:*

$$\begin{aligned} \frac{n}{p}(p - 1) &\geq \text{ht}(\text{Im}(\text{Tr}^G)) \\ &= \min\{k(p - 1) \mid g \in G, |g| = p, g \text{ is a product of } k \text{ } p\text{-cycles}\} \geq p - 1. \end{aligned}$$

**Proof** By M. Feshbach’s transfer theorem, [10] Theorem 6.4.7, the transfer variety

$$\sqrt{\text{Im}(\text{Tr}^G)} = \left( \bigcap_{|g|=p, g \in G} I_g \right) \cap \mathbb{F}[V]^G,$$

where  $I_g \subseteq \mathbb{F}[V]$  is the ideal generated by the image of

$$1 - g: V^* \rightarrow V^*,$$

$g \in G$  of order  $p$ . The ideals  $I_g$  are generated by linear forms, and therefore prime. By the Krull relations, the height is preserved when contracting an ideal  $I_g$  to  $I_g \cap \mathbb{F}[V]^G$ . Hence

$$\begin{aligned} \text{ht}(\text{Im}(\text{Tr}^G)) &= \min\{\text{ht}(I_g) \mid g \in G, |g| = p\} \\ &= \min\{n - \dim(V^g) \mid g \in G, |g| = p\}. \end{aligned}$$

An element  $g \in G$  is a product of  $p$ -cycles, and hence

$$\dim(V^g) = k + (n - kp),$$

where  $k$  denotes the number of  $p$ -cycles. Since we assume that  $p \mid |G|$ , we have that  $k \geq 1$ . Therefore,

$$\begin{aligned} \frac{n}{p}(p - 1) &\geq \text{ht}(\text{Im}(\text{Tr}^G)) \\ &= \min\{k(p - 1) \mid g \in G, |g| = p, g \text{ is a product of } k \text{ } p\text{-cycles}\} \\ &\geq p - 1. \end{aligned} \quad \blacksquare$$

## 2 The Alternating Group

We apply our results to find the image of the transfer of the alternating groups  $A_n$ . First recall from [1] and Section 1.3 [12], or Section 14.2 in [9] that

$$\mathbb{F}[V]^{A_n} = \mathbb{F}[e_1, \dots, e_n, \nabla_n]/(r),$$

where

$$\nabla_n = \begin{cases} \Delta_n = \prod_{i < j} (x_i - x_j) & \text{for } p \text{ odd (i.e., the discriminant),} \\ \text{Tr}^{A_n}(x_1 x_2^2 \cdots x_{n-1}^{n-1}) & \text{for } p = 2, \end{cases}$$

and  $r$  is quadratic in  $\nabla_n$ .

**Case  $p \neq 2$**  If the characteristic  $p$  of  $\mathbb{F}$  is not 2, then the index of  $A_n$  in  $\Sigma_n$  is prime to  $p$ , so Theorem 5.1 in [11] gives

$$\text{Im}(\text{Tr}^{A_n}) \cap \mathbb{F}[V]^{\Sigma_n} = \text{Im}(\text{Tr}^{\Sigma_n}),$$

and hence we have

$$(\text{Im}(\text{Tr}^{\Sigma_n}))^e \subseteq \text{Im}(\text{Tr}^{A_n}) \subset \mathbb{F}[V]^{A_n},$$

where  $(-)^e$  denotes the extended ideal. The discriminant  $\Delta_n$  is a sum of orbit sums of monomials  $x^E$  with partition

$$\lambda(E) = \{0, \dots, n-1\}.$$

Any such monomial has trivial isotropy group, *i.e.*, the orbit of any such monomial has maximal length. This in turn means that

$$\Delta_n = \text{Tr}^{A_n}(x_1 x_2^2 \cdots x_{n-1}^{n-1}) - \text{Tr}^{A_n}(x_2 x_1^2 x_3^3 \cdots x_{n-1}^{n-1}) \in \text{Im}(\text{Tr}^{A_n}),$$

is the image of the transfer of a sum of special monomials of highest degree. So we have

$$\left( (\text{Im}(\text{Tr}^{\Sigma_n}))^e, \Delta_n \right) \subseteq \text{Im}(\text{Tr}^{A_n}).$$

We claim that these two ideals are equal. To this end take a polynomial  $f \in \mathbb{F}[V]$  such that  $\text{Tr}^{A_n}(f) \neq 0$ . Then

$$\text{Tr}^{A_n}(f) = f_1 \Delta_n + f_0$$

for suitable polynomials  $f_0, f_1 \in \mathbb{F}[V]^{\Sigma_n}$ , because  $\mathbb{F}[V]^{A_n}$  is, as a module over  $\mathbb{F}[V]^{\Sigma_n}$ , generated by 1 and  $\Delta_n$ . So,

$$\text{Tr}^{\Sigma_n}(f) = \text{Tr}_{A_n}^{\Sigma_n} \text{Tr}^{A_n}(f) = f_1 \text{Tr}_{A_n}^{\Sigma_n}(\Delta_n) + 2f_0.$$

Hence

$$f_0 \in \text{Im}(\text{Tr}^{\Sigma_n}),$$

and therefore

$$\text{Tr}^{A_n}(f) = f_1 \Delta_n + f_0 \in \left( (\text{Im}(\text{Tr}^{\Sigma_n}))^e, \Delta_n \right).$$

Finally note that the alternating group  $A_n$  contains a  $p$ -cycle, whenever  $p \mid |A_n|$ ,  $p \geq 3$ . Therefore by applying Theorem 1.4 we find that

$$\text{ht}(\text{Im}(\text{Tr}^{A_n})) = \min\{\text{ht}(I_g) \mid g \in A_n, |g| = p\} = p - 1.$$

**Remark** Note that this description is valid in both, the non-modular and the modular situation (except, of course, the calculation of the height: this is always maximal in the nonmodular case).

**Remark** Consider the modular case, *i.e.*,  $p \leq n$ . Then the image of the transfer of the full symmetric group can be found in Theorem 9.18 of [2]: Denote by  $x^E \in \mathbb{F}[V]$  a monomial with  $E_1 \geq \dots \geq E_n$ . Rewrite this monomial as

$$x^E = x_1^{E_1} \dots x_n^{E_n} = (x_1 \dots x_{\epsilon_k})^k (x_{\epsilon_k+1} \dots x_{\epsilon_k+\epsilon_{k-1}})^{k-1} \dots (x_{\epsilon_k+\dots+\epsilon_1+1} \dots x_n)^0,$$

and  $\epsilon_0 = n - (\epsilon_1 + \dots + \epsilon_k)$ . Then  $\text{Im}(\text{Tr}^{\Sigma_n})$  is generated by  $\text{Tr}^{\Sigma_n}(x^E)$ , where  $x^E$  satisfies

- (1)  $\epsilon_i < p$  for  $i = 0, \dots, k$ , and
- (2)  $\epsilon_i + \epsilon_{i+1} \geq p$  for  $i = 0, \dots, k - 1$ .

Note that this is a set of special monomials.

**Case  $p = 2$**  Let  $x^E = x_1^{E_1} \dots x_n^{E_n}$  be a special monomial. Denote, similarly as above, by  $\epsilon_i$  the number of exponents equal to  $i$ . This means we can rewrite

$$x^E = x_1^{E_1} \dots x_n^{E_n} = (x_{j_1} \dots x_{j_{\epsilon_k}})^k (x_{j_{\epsilon_k+1}} \dots x_{j_{\epsilon_k+\epsilon_{k-1}}})^{k-1} \dots (x_{j_{\epsilon_k+\dots+\epsilon_1+1}} \dots x_{j_n})^0,$$

where  $\{j_1, \dots, j_n\} = \{1, \dots, n\}$ . Note that  $\epsilon_0 + \dots + \epsilon_k = n$ . We have that the image of the transfer of a monomial  $x^E$  is trivial if and only if

$$|\text{Iso}_{A_n}(x^E)| \equiv 0 \pmod{2},$$

where  $\text{Iso}_{A_n}(x^E) \subseteq A_n$  denotes the isotropy subgroup of  $x^E$  in  $A_n$ . Therefore, in order to conclude that  $\text{Tr}^{A_n}(x^E) = 0$ , it is enough to find an element of order 2 in  $\text{Iso}_{A_n}(x^E)$ :

If one of the  $\epsilon_i$ 's, say  $\epsilon_k$ , is greater than 3, then

$$(j_1 j_2)(j_3 j_4) \in \text{Iso}_{A_n}(x^E)$$

is an element of order 2 in the isotropy group of  $x^E$ , and  $\text{Tr}^{A_n}(x^E) = 0$ . This leaves to consider monomials  $x^E$  such that  $\epsilon_0, \dots, \epsilon_k \leq 3$ . If two (or more) of the  $\epsilon_i$ 's, say  $\epsilon_k$  and  $\epsilon_{k-1}$ , are greater than one, then we find

$$(j_1 j_2)(j_{\epsilon_k+1} j_{\epsilon_k+2}) \in \text{Iso}_{A_n}(x^E).$$



Again, we can conclude that  $\text{Tr}^{A_n}(x^E) = 0$ . Hence, the image of the transfer of the alternating group  $A_n$  is generated by the images  $\text{Tr}^{A_n}(x^E)$  of special monomials  $x^E$  such that

$$\{E_1, \dots, E_n\} = \begin{cases} \{0, \dots, n-1\} & \text{or} \\ \{0, \dots, n-2\} & \text{or} \\ \{0, \dots, n-3\} \text{ and } \epsilon_i = 3 & \text{for some } i = 0, \dots, k. \end{cases}$$

Note that we find precisely two monomials of the first type with different orbits, namely

$$\nabla_n = \text{Tr}^{A_n}(x_1 x_2^2 \cdots x_{n-1}^{n-1}),$$

and

$$\nabla'_n = \text{Tr}^{A_n}(x_2 x_1^2 x_3^3 \cdots x_{n-1}^{n-1}) = \left( \prod_{i < j} (x_i + x_j) \right) + \nabla_n = \Delta_n + \nabla_n,$$

where the discriminant

$$\Delta_n = \prod_{i < j} (x_i + x_j) = \text{Tr}^{\Sigma_n}(x_1 x_2^2 \cdots x_{n-1}^{n-1})$$

generates the image of the transfer of  $\Sigma_n$ , see [6].

Observe that for  $n = 3$  (i.e., the non-modular situation) the above given set of monomials gives

$$\begin{aligned} \text{Tr}^{A_3}(x_1^2 x_2) &= \nabla_3, & \text{Tr}^{A_3}(x_1^2 x_3) &= \nabla'_3 = e_1 e_2 + e_3 + \nabla_3, \\ \text{Tr}^{A_3}(x_1 x_2) &= e_2, & \text{and } \text{Tr}^{A_3}(x_1) &= e_1, \end{aligned}$$

and we once more see that the transfer is surjective.

Finally, we can apply, as in the case of odd characteristic, Theorem 1.4 and find that the height  $\text{ht}(\text{Im}(\text{Tr}^{A_n})) = 2$  for  $n \geq 4$ , i.e., for the modular situation. However, we could also prove this, without making use of M. Feshbach's transfer theorem, by direct calculation: Consider the subgroup

$$\mathbb{Z}/2 < A_n,$$

generated by  $(12)(34) \in A_n$ . By Lemma 1.3 of [7] we have

$$(\text{Im}(\text{Tr}^{A_n}))^e \subseteq \text{Im}(\text{Tr}^{\mathbb{Z}/2}) \subseteq \mathbb{F}[V]^{\mathbb{Z}/2}.$$

Hence, we have, by going-up and down, that

$$\text{ht}(\text{Im}(\text{Tr}^{A_n})) \leq \text{ht}(\text{Im}(\text{Tr}^{\mathbb{Z}/2})).$$

By Theorem 2.4 in [8]

$$\text{ht}(\text{Im}(\text{Tr}^{\mathbb{Z}/2})) \leq 2.$$

Therefore, also the image of the transfer of  $A_n$  has height at most 2. We claim that its height is precisely 2. Since the image of the transfer is non-trivial, its height is positive, and we assume to the contrary, that

$$\text{ht}(\text{Im}(\text{Tr}^{A_n})) = 1.$$

Then also the ideals

$$\text{Im}(\text{Tr}^{A_n}) \cap \mathbb{F}[V]^{\Sigma_n} \supseteq \text{Im}(\text{Tr}^{\Sigma_n})$$

have height 1. Recall that the image of the transfer of the symmetric group  $\Sigma_n$  is a prime ideal generated by the discriminant, see [6]. This implies

$$\text{Im}(\text{Tr}^{A_n}) \cap \mathbb{F}[V]^{\Sigma_n} = \text{Im}(\text{Tr}^{\Sigma_n}).$$

However, take

$$\nabla_n, \nabla'_n \in \text{Im}(\text{Tr}^{A_n}).$$

Then the orbit of, say,  $\nabla_n$  under  $\Sigma_n$  is  $\{\nabla_n, \nabla'_n\}$ , so

$$\Delta_n = \nabla_n + \nabla'_n, \nabla_n \cdot \nabla'_n \in \mathbb{F}[V]^{\Sigma_n}.$$

The second polynomial is not in the image of the transfer of  $\Sigma_n$

$$\nabla_n \cdot \nabla'_n \notin (\nabla_n + \nabla'_n) = (\Delta_n) = \text{Im}(\text{Tr}^{\Sigma_n}) = \mathbb{F}[V]^{\Sigma_n},$$

because  $\nabla_n \cdot \nabla'_n \in \mathbb{F}[V]^{\Sigma_n}$  is irreducible. Since

$$\nabla_n \cdot \nabla'_n \in \text{Im}(\text{Tr}^{A_n}) \cap \mathbb{F}[V]^{\Sigma_n},$$

this is a contradiction. So,  $\text{ht}(\text{Im}(\text{Tr}^{A_n})) = 2$  for  $n \geq 4$ .

We summarize the results of this section in a theorem.

**Theorem 2.1** *We assume that the characteristic divides the order of the alternating group, for otherwise the transfer is surjective. Set*

$$x^E = x_1^{E_1} \cdots x_n^{E_n} = (x_{j_1} \cdots x_{j_{\epsilon_k}})^k (x_{j_{\epsilon_k+1}} \cdots x_{j_{\epsilon_k+\epsilon_{k-1}}})^{k-1} \cdots (x_{j_{\epsilon_k+\cdots+\epsilon_1+1}} \cdots x_{j_n})^0$$

and

$$\epsilon_0 = n - (\epsilon_1 + \cdots + \epsilon_k) \quad \text{and} \quad \{j_1, \dots, j_n\} = \{1, \dots, n\}.$$

If the characteristic  $p$  is odd then the image of the transfer of the alternating group  $A_n$  is generated by the discriminant  $\Delta_n$  and  $\text{Tr}^{A_n}(x^E)$ , where

- (2)  $\epsilon_i < p$  for  $i = 0, \dots, k$ , and
- (3)  $\epsilon_i + \epsilon_{i+1} \geq p$  for  $i = 0, \dots, k - 1$ .

Moreover its height is precisely  $p - 1$ .

If the characteristic is even, then the image of the transfer is generated by  $\text{Tr}^{A_n}(x^E)$ , where

$$\{E_1, \dots, E_n\} = \begin{cases} \{0, \dots, n - 1\} & \text{or} \\ \{0, \dots, n - 2\} & \text{or} \\ \{0, \dots, n - 3\} & \text{and } \epsilon_i = 3 \text{ for some } i = 0, \dots, k. \end{cases}$$

Moreover, this ideal has height precisely 2.

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