

LOCAL-GLOBAL PRINCIPLE FOR THE FINITENESS AND ARTINIANNES OF GENERALISED LOCAL COHOMOLOGY MODULES

ALI FATHI

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Abstract

Let \mathcal{S} be a Serre subcategory of the category of R -modules, where R is a commutative Noetherian ring. Let \mathfrak{a} and \mathfrak{b} be ideals of R and let M and N be finite R -modules. We prove that if N and $H_{\mathfrak{a}}^i(M, N)$ belong to \mathcal{S} for all $i < n$ and if $n \leq \text{f-grad}(\mathfrak{a}, \mathfrak{b}, N)$, then $\text{Hom}_R(R/\mathfrak{b}, H_{\mathfrak{a}}^n(M, N)) \in \mathcal{S}$. We deduce that if either $H_{\mathfrak{a}}^i(M, N)$ is finite or $\text{Supp } H_{\mathfrak{a}}^i(M, N)$ is finite for all $i < n$, then $\text{Ass } H_{\mathfrak{a}}^n(M, N)$ is finite. Next we give an affirmative answer, in certain cases, to the following question. If, for each prime ideal \mathfrak{p} of R , there exists an integer $n_{\mathfrak{p}}$ such that $\mathfrak{b}^{n_{\mathfrak{p}}} H_{\mathfrak{a}R_{\mathfrak{p}}}^i(M_{\mathfrak{p}}, N_{\mathfrak{p}}) = 0$ for every i less than a fixed integer t , then does there exist an integer n such that $\mathfrak{b}^n H_{\mathfrak{a}}^i(M, N) = 0$ for all $i < t$? A formulation of this question is referred to as the local-global principle for the annihilation of generalised local cohomology modules. Finally, we prove that there are local-global principles for the finiteness and Artinianness of generalised local cohomology modules.

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1. Introduction

Throughout this paper R denotes a commutative Noetherian ring with identity and $\mathfrak{a}, \mathfrak{b}, \mathfrak{c}$ are ideals of R . We denote by \mathbb{N} and \mathbb{N}_0 the set of positive and nonnegative integers, respectively. The notion of generalised local cohomology functors was introduced by Herzog, in [9], over a local ring and then continued by Suzuki in [18]. Later this concept was studied by Bijan-Zadeh, in [1], over any commutative Noetherian ring. For each integer i , the i th generalised local cohomology functor $H_{\mathfrak{a}}^i(\cdot, \cdot)$ is defined by

$$H_{\mathfrak{a}}^i(M, N) = \varinjlim_n \text{Ext}_R^i(M/\mathfrak{a}^n M, N)$$

for all R -modules M and N . Clearly, this notion is a generalisation of the usual local cohomology functor [4]. On the other hand, the concept of a filter regular sequence

has been studied in [12, 15, 17, 21] and has led to some interesting results. We denote the common length of all maximal \mathfrak{a} -filter regular M -sequences contained in \mathfrak{b} by $f\text{-grad}(\mathfrak{a}, \mathfrak{b}, M)$ and call it the \mathfrak{a} -filter grade of \mathfrak{b} on M . We briefly recall, in Section 2, the concept of a filter regular sequence and basic properties of $f\text{-grad}(\mathfrak{a}, \mathfrak{b}, M)$, but refer the reader to [8, 19] for more details. It is clear that an R -filter regular M -sequence is just a weak M -sequence [2] and $f\text{-grad}(R, \mathfrak{b}, M) = \text{grad}(\mathfrak{b}, M)$. If (R, \mathfrak{m}) is a local ring, then $f\text{-grad}(\mathfrak{m}, \mathfrak{b}, M)$ is just the well-known notion $f\text{-depth}(\mathfrak{b}, M)$; see [11] for some characterisations of $f\text{-depth}(\mathfrak{b}, M)$. Filter regular sequences were employed in [19] to establish some finiteness results on usual local cohomology modules. In this paper we use those sequences to obtain some finiteness and Artinianness results on generalised local cohomology modules.

Recall that a class \mathcal{S} of R -modules is a Serre subcategory of the category of R -modules if it is closed under taking submodules, quotients and extensions. In Theorem 2.2, for finite R -modules M and N , we prove that if N and $H_{\mathfrak{a}}^i(M, N)$ belong to \mathcal{S} for all $i < n$ and $n \leq f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N)$, then $\text{Hom}_R(R/\mathfrak{b}, H_{\mathfrak{a}}^n(M, N)) \in \mathcal{S}$. We deduce that if either $H_{\mathfrak{a}}^i(M, N)$ is finite or $\text{Supp } H_{\mathfrak{a}}^i(M, N)$ is finite for all $i < n$, then $\text{Ass } H_{\mathfrak{a}}^n(M, N)$ is finite. In a certain case, when $M = R$, this is the main result of [13]. Therefore Theorem 2.2 provides a generalisation of the main result of [13]. Notice that $\text{Ass } H_{\mathfrak{a}}^n(M, N)$ is not finite in general; see, for example, [10, 16].

Let M, N be finite R -modules. As a generalisation of the \mathfrak{b} -finiteness dimension $f_{\mathfrak{a}}^{\mathfrak{b}}(N)$ of N with respect to \mathfrak{a} , we define

$$f_{\mathfrak{a}}^{\mathfrak{b}}(M, N) = \inf\{i \in \mathbb{N}_0 \mid \mathfrak{b} \not\subseteq \sqrt{(0 :_R H_{\mathfrak{a}}^i(M, N))}\}$$

and denote $f_{\mathfrak{a}}^{\mathfrak{a}}(M, N)$ by $f_{\mathfrak{a}}(M, N)$. In fact, by Proposition 3.1,

$$f_{\mathfrak{a}}(M, N) = \inf\{i \in \mathbb{N}_0 \mid H_{\mathfrak{a}}^i(M, N) \text{ is not finite}\}.$$

In Section 3 we give some properties of $f_{\mathfrak{a}}^{\mathfrak{b}}(M, N)$. In particular, we prove that $f_{\mathfrak{a}}^{\mathfrak{b}}(N) \leq f_{\mathfrak{a}}^{\mathfrak{b}}(M, N)$. We present an example to show that the above inequality may be strict (Example 3.6). Thus the result [5, Proposition 2.10] of Chu is not correct. Moreover, Example 3.6 shows that the result [5, Lemma 2.9] is no longer true.

The local-global principle for the finiteness of local cohomology modules, investigated by Faltings in [6, 7], states that, for all nonnegative integers r , $f_{\mathfrak{a}}(N) > r$ if and only if $f_{\mathfrak{a}R_{\mathfrak{p}}}(N_{\mathfrak{p}}) > r$ for all $\mathfrak{p} \in \text{Spec}(R)$. Also we say that Faltings' local-global principle for the annihilation of local cohomology modules holds at level r if

$$f_{\mathfrak{a}}^{\mathfrak{b}}(N) > r \Leftrightarrow f_{\mathfrak{a}R_{\mathfrak{p}}}^{\mathfrak{b}R_{\mathfrak{p}}}(N_{\mathfrak{p}}) > r \quad \text{for all } \mathfrak{p} \in \text{Spec}(R)$$

is true for all finite R -modules N and all ideals $\mathfrak{a}, \mathfrak{b}$. Raghavan proved, in [14], that the local-global principle for the annihilation of local cohomology modules holds at level 1, while Brodmann *et al.* proved it is true at level 2 [3, Theorem 2.6]. As a generalisation of this, we say that Faltings' local-global principle for the annihilation of generalised local cohomology modules holds at level r if

$$f_{\mathfrak{a}}^{\mathfrak{b}}(M, N) > r \Leftrightarrow f_{\mathfrak{a}R_{\mathfrak{p}}}^{\mathfrak{b}R_{\mathfrak{p}}}(M_{\mathfrak{p}}, N_{\mathfrak{p}}) > r \quad \text{for all } \mathfrak{p} \in \text{Spec}(R) \quad (\dagger)$$

is true for all finite R -modules M, N and all ideals $\mathfrak{a}, \mathfrak{b}$. We show, in Proposition 4.2, that the local-global principle for the annihilation of generalised local cohomology modules holds at levels 0, 1, 2. Now let $\mathfrak{b} \subseteq \mathfrak{a}$. Then we prove the following statements, in Theorem 4.4.

- (i) $f_{\mathfrak{a}}(M, N) \geq \inf\{f_{\mathfrak{a}}^{\mathfrak{b}}(M, N), f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N) + 1\}$. In particular, $f_{\mathfrak{a}}(M, N) = f_{\mathfrak{a}}^{\mathfrak{b}}(M, N)$ whenever $f_{\mathfrak{a}}^{\mathfrak{b}}(M, N) \leq f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N) + 1$.
- (ii) Assume that $r \leq f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N) + 1$. Then

$$f_{\mathfrak{a}}^{\mathfrak{b}}(M, N) > r \Leftrightarrow f_{\mathfrak{a}R_{\mathfrak{p}}}^{\mathfrak{b}R_{\mathfrak{p}}}(M_{\mathfrak{p}}, N_{\mathfrak{p}}) > r \quad \text{for all } \mathfrak{p} \in \text{Spec}(R).$$

- (iii) If $\text{Supp } N/\mathfrak{b}N \subseteq V(\mathfrak{a})$, then the statement

$$f_{\mathfrak{a}}^{\mathfrak{b}}(M, N) > r \Leftrightarrow f_{\mathfrak{a}R_{\mathfrak{p}}}^{\mathfrak{b}R_{\mathfrak{p}}}(M_{\mathfrak{p}}, N_{\mathfrak{p}}) > r \quad \text{for all } \mathfrak{p} \in \text{Spec}(R)$$

holds for all r .

- (iv) Faltings' local-global principle for the finiteness of generalised local cohomology modules holds. In other words, for any positive integer r , $H_{\mathfrak{a}R_{\mathfrak{p}}}^i(M_{\mathfrak{p}}, N_{\mathfrak{p}})$ is finite for all $i \leq r$ and for all $\mathfrak{p} \in \text{Spec } R$ if and only if $H_{\mathfrak{a}}^i(M, N)$ is finite for all $i \leq r$.

Finally, in Theorem 5.3, for finite R -modules M and N and for a positive integer n , we prove that $H_{\mathfrak{a}}^i(M, N)$ is Artinian for all $i < r$ if and only if $H_{\mathfrak{a}R_{\mathfrak{p}}}^i(M_{\mathfrak{p}}, N_{\mathfrak{p}})$ is Artinian for all $i < r$ and for all $\mathfrak{p} \in \text{Spec } R$. We observe that this result improves the main result of [20].

2. Preliminary results

We first recall some basic properties of filter regular sequences. The reader is referred to [8] for more details. Assume that M and N are finite R -modules. We say that a sequence x_1, \dots, x_n of elements of R is an \mathfrak{a} -filter regular M -sequence if $x_i \notin \mathfrak{p}$ for all

$$\mathfrak{p} \in \text{Ass}(M/(x_1, \dots, x_{i-1})M) \setminus V(\mathfrak{a})$$

and for all $i = 1, \dots, n$. If, in addition, $x_1, \dots, x_n \in \mathfrak{b}$, then we say that x_1, \dots, x_n is an \mathfrak{a} -filter regular M -sequence in \mathfrak{b} . There exists an \mathfrak{a} -filter regular M -sequence in \mathfrak{b} of infinite length if and only if $\text{Supp } M/\mathfrak{b}M \subseteq V(\mathfrak{a})$. Now assume that $\text{Supp } M/\mathfrak{b}M \not\subseteq V(\mathfrak{a})$. Then we denote the common length of all maximal \mathfrak{a} -filter regular M -sequences contained in \mathfrak{b} by $f\text{-grad}(\mathfrak{a}, \mathfrak{b}, M)$ and we call it the \mathfrak{a} -filter grade of \mathfrak{b} on M . We set $f\text{-grad}(\mathfrak{a}, \mathfrak{b}, M) = \infty$ whenever $\text{Supp } M/\mathfrak{b}M \subseteq V(\mathfrak{a})$. Also, notice that

$$\begin{aligned} f\text{-grad}(\mathfrak{a}, \mathfrak{b}, M) &= \inf\{i \in \mathbb{N}_0 \mid \text{Supp Ext}_R^i(R/\mathfrak{b}, M) \not\subseteq V(\mathfrak{a})\} \\ &= \inf\{i \in \mathbb{N}_0 \mid \text{Supp } H_{\mathfrak{b}}^i(M) \not\subseteq V(\mathfrak{a})\}, \\ f\text{-grad}(\mathfrak{a}, \text{Ann } N, M) &= \inf\{i \in \mathbb{N}_0 \mid \text{Supp Ext}_R^i(N, M) \not\subseteq V(\mathfrak{a})\}, \\ f\text{-grad}(\mathfrak{a}, \mathfrak{b} + \text{Ann } N, M) &= \inf\{i \in \mathbb{N}_0 \mid \text{Supp } H_{\mathfrak{b}}^i(N, M) \not\subseteq V(\mathfrak{a})\}. \end{aligned}$$

Since $f\text{-grad}(R, \mathfrak{b}, M) = \text{grad}(\mathfrak{b}, M)$, we have the following well-known properties ([1, Proposition 5.5], [4, Theorem 6.2.7]):

$$\text{grad}(\mathfrak{b}, M) = \inf\{i \in \mathbb{N}_0 \mid \text{Ext}_R^i(R/\mathfrak{b}, M) \neq 0\} = \inf\{i \in \mathbb{N}_0 \mid H_{\mathfrak{b}}^i(M) \neq 0\}$$

and

$$\text{grad}(\mathfrak{b} + \text{Ann } N, M) = \inf\{i \in \mathbb{N}_0 \mid H_{\mathfrak{b}}^i(N, M) \neq 0\}.$$

If (R, \mathfrak{m}) is a local ring, then $f\text{-grad}(\mathfrak{m}, \mathfrak{b}, M)$ is just the well-known notion $f\text{-depth}(\mathfrak{b}, M)$; see [11] for some properties of $f\text{-depth}(\mathfrak{b}, M)$. The following lemma is of assistance in the proof of the next theorem.

LEMMA 2.1. *Let \mathcal{S} be a Serre subcategory of the category of R -modules, M be a finite R -module and $N \in \mathcal{S}$. Then $\text{Ext}_R^i(M, N) \in \mathcal{S}$ for all $i \in \mathbb{N}_0$.*

PROOF. Since $\text{Ext}_R^i(M, N)$ is a subquotient of N^α for some $\alpha \in \mathbb{N}_0$, the result is clear. \square

THEOREM 2.2. *Let \mathcal{S} be a Serre subcategory of the category of R -modules. Let $n \in \mathbb{N}_0$ and let M and N be finite R -modules such that N and $H_{\mathfrak{a}}^i(M, N)$ belong to \mathcal{S} for all $i < n$. If $f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N) \geq n$, then $\text{Hom}_R(R/\mathfrak{b}, H_{\mathfrak{a}}^n(M, N)) \in \mathcal{S}$. In particular, $\text{Hom}_R(R/\mathfrak{b}, H_{\mathfrak{a}}^n(M, N)) \in \mathcal{S}$ whenever $\text{Supp } N/\mathfrak{b}N \subseteq V(\mathfrak{a})$.*

PROOF. We prove the assertion by induction on n . Since $H_{\mathfrak{a}}^0(M, N) \cong \text{Hom}_R(M, \Gamma_{\mathfrak{a}}(N))$, the result is clear for $n = 0$ by Lemma 2.1. Assume that $n > 0$ and that the result has been proved for $n - 1$. Let $f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N) \geq n$ and suppose that $x \in \mathfrak{b}$ is an \mathfrak{a} -filter regular N -sequence. The exact sequence

$$0 \rightarrow \Gamma_{\mathfrak{a}}(N) \rightarrow N \rightarrow N/\Gamma_{\mathfrak{a}}(N) \rightarrow 0$$

induces the long exact sequence

$$\dots \rightarrow H_{\mathfrak{a}}^i(M, \Gamma_{\mathfrak{a}}(N)) \xrightarrow{f^i} H_{\mathfrak{a}}^i(M, N) \rightarrow H_{\mathfrak{a}}^i(M, N/\Gamma_{\mathfrak{a}}(N)) \rightarrow H_{\mathfrak{a}}^{i+1}(M, \Gamma_{\mathfrak{a}}(N)) \rightarrow \dots$$

Since, by [23, Lemma 1.1],

$$H_{\mathfrak{a}}^i(M, \Gamma_{\mathfrak{a}}(N)) \cong \text{Ext}_R^i(M, \Gamma_{\mathfrak{a}}(N)) \quad \text{for all } i \in \mathbb{N}_0,$$

we use Lemma 2.1 and the above long exact sequence to see that $H_{\mathfrak{a}}^i(M, N) \in \mathcal{S}$ if and only if $H_{\mathfrak{a}}^i(M, N/\Gamma_{\mathfrak{a}}(N)) \in \mathcal{S}$. Also $N/\Gamma_{\mathfrak{a}}(N) \in \mathcal{S}$ and $f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N) = f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N/\Gamma_{\mathfrak{a}}(N))$. On the other hand, since $\text{im } f^n \in \mathcal{S}$, the induced exact sequence

$$0 \rightarrow \text{Hom}_R(R/\mathfrak{b}, \text{im } f^n) \rightarrow \text{Hom}_R(R/\mathfrak{b}, H_{\mathfrak{a}}^n(M, N)) \rightarrow \text{Hom}_R(R/\mathfrak{b}, H_{\mathfrak{a}}^n(M, N/\Gamma_{\mathfrak{a}}(N)))$$

yields $\text{Hom}_R(R/\mathfrak{b}, H_{\mathfrak{a}}^n(M, N)) \in \mathcal{S}$ whenever $\text{Hom}_R(R/\mathfrak{b}, H_{\mathfrak{a}}^n(M, N/\Gamma_{\mathfrak{a}}(N))) \in \mathcal{S}$. Thus we can replace N by $N/\Gamma_{\mathfrak{a}}(N)$ and, without loss of generality, assume that $\Gamma_{\mathfrak{a}}(N) = 0$; and hence x is a nonzero divisor on N . Next, consider the exact sequence

$$0 \rightarrow N \xrightarrow{x} N \rightarrow N/xN \rightarrow 0$$

which induces the long exact sequence

$$\dots \rightarrow H_a^i(M, N) \xrightarrow{x} H_a^i(M, N) \rightarrow H_a^i(M, N/xN) \rightarrow H_a^{i+1}(M, N) \xrightarrow{x} \dots$$

Now we may use the above sequence in conjunction with the hypothesis to deduce that $H_a^i(M, N/xN) \in \mathcal{S}$ for all $i < n - 1$. Also it is easy to see that $f\text{-grad}(a, b, N/xN) = f\text{-grad}(a, b, N) - 1$. Therefore, by induction, $\text{Hom}_R(R/b, H_a^{n-1}(M, N/xN)) \in \mathcal{S}$. Next, we use the exact sequence

$$0 \rightarrow H_a^{n-1}(M, N)/xH_a^{n-1}(M, N) \rightarrow H_a^{n-1}(M, N/xN) \rightarrow 0 :_{H_a^n(M, N)} x \rightarrow 0,$$

to obtain the exact sequence

$$\begin{aligned} \text{Hom}_R(R/b, H_a^{n-1}(M, N/xN)) &\rightarrow \text{Hom}_R(R/b, H_a^n(M, N)) \\ &\rightarrow \text{Ext}_R^1(R/b, H_a^{n-1}(M, N)/xH_a^{n-1}(M, N)) \end{aligned}$$

which in turn, by Lemma 2.1, yields $\text{Hom}_R(R/b, H_a^n(M, N)) \in \mathcal{S}$. This completes the inductive step. Finally, since the hypothesis $\text{Supp } N/bN \subseteq V(a)$ implies $f\text{-grad}(a, b, N) = \infty$, the last assertion follows immediately from the first one. \square

Let M be an R -module. M is called an FSF module if there is a finite submodule N of M such that the support of the quotient module M/N is finite. If M is an FSF module, then $\text{Ass } M$ is finite and the category of FSF R -modules is a Serre subcategory of the category of R -modules [13, Proposition 2.2].

By applying the above theorem to the category of FSF R -modules we have the following corollary which recovers the main result of [13] which has been proved for ordinary local cohomology modules.

COROLLARY 2.3. *Let M, N be finite R -modules and let $n \in \mathbb{N}_0$ be such that either $H_a^i(M, N)$ is finite or $\text{Supp } H_a^i(M, N)$ is finite for all $i < n$. Then $\text{Ass } H_a^n(M, N)$ is finite.*

3. Finiteness properties of generalised local cohomology modules

Let M be a finite R -module. Following [4, Proposition 9.1.2] and [6, Lemma 3], the finiteness dimension $f_a(M)$ of M relative to a is defined as follows:

$$\begin{aligned} f_a(M) &= \inf\{i \in \mathbb{N}_0 \mid H_a^i(M) \text{ is not finite}\} \\ &= \inf\{i \in \mathbb{N}_0 \mid a \not\subseteq \sqrt{(0 :_R H_a^i(M))}\}. \end{aligned}$$

As a generalisation, the b -finiteness dimension $f_a^b(M)$ of M relative to a is defined by

$$f_a^b(M) = \inf\{i \in \mathbb{N}_0 \mid b \not\subseteq \sqrt{(0 :_R H_a^i(M))}\}.$$

We now extend this definition to generalised local cohomology modules.

PROPOSITION 3.1. *Let M, N be finite R -modules and $n \in \mathbb{N}_0$. Then the following statements are equivalent:*

- (i) $H_a^i(M, N)$ is finite for all $i < n$;
- (ii) $\mathfrak{a} \subseteq \sqrt{(0 :_R H_a^i(M, N))}$ for all $i < n$.

PROOF. (i) \Rightarrow (ii) is obvious. For (ii) \Rightarrow (i), we use induction on n . When $n = 1$, there is nothing to prove. Now let $n > 1$ and suppose that the result has been proved for smaller values of n . By the inductive assumption, $H_a^i(M, N)$ is finite for $i = 0, \dots, n - 2$. Also, by hypothesis, $\mathfrak{a}^r H_a^{n-1}(M, N) = 0$ for some $r \in \mathbb{N}$, so that, in view of Theorem 2.2, $0 :_{H_a^{n-1}(M, N)} \mathfrak{a}^r = H_a^{n-1}(M, N)$ is finite. This completes the induction. \square

DEFINITION 3.2. Let M and N be finite R -modules. We define the \mathfrak{b} -finiteness dimension $f_a^{\mathfrak{b}}(M, N)$ of M, N relative to \mathfrak{a} by

$$f_a^{\mathfrak{b}}(M, N) = \inf\{i \in \mathbb{N}_0 \mid \mathfrak{b} \not\subseteq \sqrt{(0 :_R H_a^i(M, N))}\}.$$

Notice that, by Proposition 3.1,

$$f_a^{\mathfrak{a}}(M, N) = \inf\{i \in \mathbb{N} \mid H_a^i(M, N) \text{ is not finite}\}.$$

We denote $f_a^{\mathfrak{a}}(M, N)$ by $f_a(M, N)$.

For $y \in R$, set $S = \{y^n : n \geq 0\}$. In the next lemma, for an R -module M , we denote $S^{-1}M$ by M_y . The following two lemmas are needed in the proof of the next proposition.

LEMMA 3.3. Let M, N be finite R -modules and $x \in R$. Then we have the following long exact sequence

$$\dots \rightarrow H_{\mathfrak{a}+R_x}^i(M, N) \rightarrow H_a^i(M, N) \rightarrow H_{\mathfrak{a}R_x}^i(M_x, N_x) \rightarrow H_{\mathfrak{a}+R_x}^{i+1}(M, N) \rightarrow \dots$$

PROOF. Let E^\bullet be an injective resolution of N . Then E_x^\bullet is an injective resolution of R_x -module N_x . The split exact sequence

$$0 \rightarrow \Gamma_{\mathfrak{a}+R_x}(E^\bullet) \rightarrow \Gamma_{\mathfrak{a}}(E^\bullet) \rightarrow \Gamma_{\mathfrak{a}}(E_x^\bullet) \rightarrow 0$$

of complexes [4, Lemma 8.1.1] induces the exact sequence

$$0 \rightarrow \text{Hom}_R(M, \Gamma_{\mathfrak{a}+R_x}(E^\bullet)) \rightarrow \text{Hom}_R(M, \Gamma_{\mathfrak{a}}(E^\bullet)) \rightarrow \text{Hom}_R(M, \Gamma_{\mathfrak{a}}(E_x^\bullet)) \rightarrow 0$$

of complexes. On the other hand, we have the following natural isomorphism of complexes:

$$\begin{aligned} \text{Hom}_R(M, \Gamma_{\mathfrak{a}}(E_x^\bullet)) &\cong \text{Hom}_R(M, \text{Hom}_{R_x}(R_x, \Gamma_{\mathfrak{a}R_x}(E_x^\bullet))) \\ &\cong \text{Hom}_{R_x}(M \otimes_R R_x, \Gamma_{\mathfrak{a}R_x}(E_x^\bullet)) \\ &\cong H_{\mathfrak{a}R_x}^0(M_x, E_x^\bullet). \end{aligned}$$

Hence the above exact sequence of complexes induces the following long exact sequence of homology modules:

$$\begin{aligned} \dots \rightarrow H^i(H_{\mathfrak{a}+R_x}^0(M, E^\bullet)) &\rightarrow H^i(H_a^0(M, E^\bullet)) \rightarrow H^i(H_{\mathfrak{a}R_x}^0(M_x, E_x^\bullet)) \\ &\rightarrow H^{i+1}(H_{\mathfrak{a}+R_x}^0(M, E^\bullet)) \rightarrow \dots \end{aligned}$$

This completes the proof. \square

LEMMA 3.4 (see [4, Lemma 9.1.1]). *Let $M \rightarrow N \rightarrow L$ be an exact sequence of R -modules such that $\mathfrak{a} \subseteq \sqrt{(0 :_R M)}$ and $\mathfrak{a} \subseteq \sqrt{(0 :_R L)}$. Then $\mathfrak{a} \subseteq \sqrt{(0 :_R N)}$.*

PROPOSITION 3.5. *Let M, N, L, K be finite R -modules.*

(i) *Let R' be a second commutative ring and let $f : R \rightarrow R'$ be a flat homomorphism of rings. Then*

$$f_a^b(M, N) \leq f_{aR'}^{bR'}(M \otimes_R R', N \otimes_R R').$$

In particular, if S is a multiplicatively closed subset of R , then

$$f_a^b(M, N) \leq f_{S^{-1}a}^{S^{-1}b}(S^{-1}M, S^{-1}N).$$

(ii) $f_a^b(M, N) = f_a^{\sqrt{b}}(M, N) = f_{\sqrt{a}}^b(M, N) = f_{\sqrt{a}}^{\sqrt{b}}(M, N)$.

(iii) *Let $x \in R$. Then*

$$f_a^b(M, N) = \inf\{f_{a+Rx}^b(M, N), f_{aR_x}^{bR_x}(M_x, N_x)\}.$$

(iv) *Let $\mathfrak{a} \subseteq \mathfrak{c}$. Then*

$$f_a^b(M, N) \leq f_c^b(M, N) \quad \text{and} \quad f_b^c(M, N) \leq f_b^a(M, N).$$

(v) *Let $\mathfrak{b} \subseteq \mathfrak{c}$. Then*

$$f_a^b(M, N) \cong f_a^b(M, N/\Gamma_c(N)).$$

In particular,

$$f_a^b(M, N) \cong f_a^b(M, N/\Gamma_b(N)).$$

(vi) *Let $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$ be an exact sequence. Then*

$$\begin{aligned} f_a^b(K, L) &\geq \inf\{f_a^b(K, M), f_a^b(K, N) + 1\}, \\ f_a^b(K, M) &\geq \inf\{f_a^b(K, L), f_a^b(K, N)\}, \\ f_a^b(K, N) &\geq \inf\{f_a^b(K, L) - 1, f_a^b(K, M)\} \end{aligned}$$

and

$$\begin{aligned} f_a^b(L, K) &\geq \inf\{f_a^b(M, K), f_a^b(N, K) - 1\}, \\ f_a^b(M, K) &\geq \inf\{f_a^b(L, K), f_a^b(N, K)\}, \\ f_a^b(N, K) &\geq \inf\{f_a^b(L, K) + 1, f_a^b(M, K)\}. \end{aligned}$$

(vii) *Let $\text{Supp } M \subseteq \text{Supp } N$. Then*

$$f_a^b(M, K) \geq f_a^b(N, K).$$

In particular,

$$f_a^b(M, K) = f_a^b(N, K)$$

whenever $\text{Supp } M = \text{Supp } N$.

(viii) $f_a^b(M, N) \geq f_a^b(N)$.

(ix) Let $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$ be an exact sequence. Then

$$f_a^b(M, K) = \inf\{f_a^b(L, K), f_a^b(N, K)\}.$$

(x) There exists a prime ideal \mathfrak{p} in $\text{Min Supp } M$ such that $f_a^b(M, N) = f_a^b(R/\mathfrak{p}, N)$, and hence

$$f_a^b(M, N) = \inf\{f_a^b(R/\mathfrak{p}, N) \mid \mathfrak{p} \in \text{Supp } M\}.$$

PROOF. (i) If $\mathfrak{b} \subseteq \sqrt{(0 :_R H_a^i(M, N))}$, then $\mathfrak{b}' H_a^i(M, N) = 0$ for some $r \in \mathbb{N}$. Therefore

$$\mathfrak{b}' R' H_{aR'}^i(M \otimes_R R', N \otimes_R R') \cong \mathfrak{b}' H_a^i(M, N) \otimes_R R' = 0.$$

(ii) Let E^\bullet be an injective resolution of N . Then, in view of [18, Proposition 2.1],

$$H_a^i(M, N) = H^i(\text{Hom}_R(M, \Gamma_a(E^\bullet))) = H^i(\text{Hom}_R(M, \Gamma_{\sqrt{a}}(E^\bullet))) = H_{\sqrt{a}}^i(M, N).$$

(iii) This follows from Lemmas 3.3, 3.4 and (i).

(iv) It follows from the definition that $f_b^c(M, N) \leq f_b^a(M, N)$. Also, since R is Noetherian, we can use (iii) to obtain $f_a^b(M, N) \leq f_c^b(M, N)$.

(v) Since $c^n \Gamma_c(N) = 0$ for some $n \in \mathbb{N}$, we have $c^n H_a^i(M, \Gamma_c(N)) = 0$ for all $i \in \mathbb{N}_0$. Therefore $\mathfrak{b} \subseteq \mathfrak{c} \subseteq \sqrt{(0 :_R H_a^i(M, \Gamma_c(N)))}$ for all i . Now the exact sequence

$$0 \rightarrow \Gamma_c(N) \rightarrow N \rightarrow N/\Gamma_c(N) \rightarrow 0$$

induces the long exact sequence

$$\dots \rightarrow H_a^i(M, \Gamma_c(N)) \rightarrow H_a^i(M, N) \rightarrow H_a^i(M, N/\Gamma_c(N)) \rightarrow H_a^{i+1}(M, \Gamma_c(N)) \rightarrow \dots$$

Therefore, by Lemma 3.4, $\mathfrak{b} \subseteq \sqrt{(0 :_R H_a^i(M, N))}$ if and only if

$$\mathfrak{b} \subseteq \sqrt{(0 :_R H_a^i(M, N/\Gamma_c(N)))}.$$

(vi) We may consider the long exact sequence

$$\dots \rightarrow H_a^i(N, K) \rightarrow H_a^i(M, K) \rightarrow H_a^i(L, K) \rightarrow H_a^{i+1}(N, K) \rightarrow \dots,$$

which is obtained in [5, Lemma 2.4], and the long exact sequence

$$\dots \rightarrow H_a^i(K, L) \rightarrow H_a^i(K, M) \rightarrow H_a^i(K, N) \rightarrow H_a^{i+1}(K, L) \rightarrow \dots$$

and apply Lemma 3.4 to establish the assertion.

(vii) We prove, by induction on $r \in \mathbb{N}_0$, that, for any finite R -module M , if $\text{Supp } M \subseteq \text{Supp } N$ and $r \leq f_a^b(N, K)$, then $r \leq f_a^b(M, K)$. If $r = 0$ there is nothing to prove. Now suppose that $r > 0$ and assume that the assertion holds for smaller values of r . Suppose that $\text{Supp } M \subseteq \text{Supp } N$ and $r \leq f_a^b(N, K)$. By Gruson's theorem [22, Theorem 4.1], there exists a chain

$$0 = M_0 \subseteq M_1 \subseteq \dots \subseteq M_n = M$$

of submodules of M such that M_i/M_{i-1} is a homomorphic image of a direct sum of finitely many copies of N for all $i = 1, \dots, n$. On the other hand, by (vi),

$$f_a^b(M, K) \geq \inf\{f_a^b(M_1/M_0, K), \dots, f_a^b(M_n/M_{n-1}, K)\}.$$

Therefore it is enough to prove that $r \leq f_a^b(M, K)$ in the case where $n = 1$. Now there exists an exact sequence

$$0 \rightarrow L \rightarrow N^\alpha \rightarrow M \rightarrow 0,$$

for some $\alpha \in \mathbb{N}$. Since $\text{Supp } L \subseteq \text{Supp } N$, the induction hypothesis implies that $r - 1 \leq f_a^b(L, K)$. Therefore, by (vi),

$$r \leq \inf\{f_a^b(L, K) + 1, f_a^b(N, K)\} \leq f_a^b(M, K).$$

(viii), (ix) and (x) are immediate by (vii). □

Next, we provide an example to show that the inequality in (vii) and (viii) may be strict.

EXAMPLE 3.6. Let (R, \mathfrak{m}) be a Gorenstein local ring with dimension $d > 0$ and M be a finite R -module. Then $H_{\mathfrak{m}}^i(R) = E(R/\mathfrak{m})$ if $i = d$ and 0 otherwise. Further, $H_{\mathfrak{m}}^d(R) = E(R/\mathfrak{m})$ is not finite [4, Corollary 7.3.3], so $f_{\mathfrak{m}}(R) = d$. Now let E^\bullet be a minimal injective resolution of R . Then

$$H_{\mathfrak{m}}^i(M, R) = H^i(\text{Hom}_R(M, \Gamma_{\mathfrak{m}}(E^\bullet))) = \begin{cases} \text{Hom}_R(M, E(R/\mathfrak{m})) & \text{if } i = d, \\ 0 & \text{if } i \neq d. \end{cases}$$

In particular,

$$H_{\mathfrak{m}}^i(R/\mathfrak{m}, R) = \begin{cases} R/\mathfrak{m} & \text{if } i = d, \\ 0 & \text{if } i \neq d, \end{cases}$$

and $f_{\mathfrak{m}}(R/\mathfrak{m}, R) = \infty > f_{\mathfrak{m}}(R)$. Moreover, this example shows that the following statements of Chu are not true.

- (i) [5, Lemma 2.9]. Let N be a finitely generated R -module and M a nonzero cyclic R -module. Let t be a positive integer and let I be an ideal of R . If $H_I^i(N)$ is finitely generated for all $i < t$, then $H_I^t(N)$ is finitely generated if and only if $\text{Hom}_R(M, H_I^t(N))$ is finitely generated.
- (ii) [5, Proposition 2.10]. Let the situation be as in (i). Then $H_I^t(N)$ is finitely generated for all $i < t$ if and only if $H_I^t(M, N)$ is finitely generated for all $i < t$.

4. Faltings’ local-global principle for the annihilation of generalised local cohomology modules

We say that the local-global principle for the annihilation of generalised local cohomology modules holds at level r if the statement

$$f_a^b(M, N) > r \Leftrightarrow f_{aR_p}^{bR_p}(M_p, N_p) > r \quad \text{for all } p \in \text{Spec}(R)$$

is true for every choice of ideals $\mathfrak{a}, \mathfrak{b}$ and every choice of finite R -modules M, N . Since $(H_{\mathfrak{a}}^i(M, N))_{\mathfrak{p}} \cong H_{\mathfrak{a}R_{\mathfrak{p}}}^i(M_{\mathfrak{p}}, N_{\mathfrak{p}})$ for each $\mathfrak{p} \in \text{Spec}(R)$, the above statement is equivalent to the statement

$$f_{\mathfrak{a}}^{\mathfrak{b}}(M, N) > r \iff f_{\mathfrak{a}R_{\mathfrak{p}}}^{\mathfrak{b}R_{\mathfrak{p}}}(M_{\mathfrak{p}}, N_{\mathfrak{p}}) > r \quad \text{for all } \mathfrak{p} \in \text{Spec}(R).$$

We say that the local-global principle for the annihilation of generalised local cohomology modules holds (over the ring R) if the local-global principle for the annihilation of generalised local cohomology modules holds at level r for every $r \in \mathbb{N}_0$. The following lemma is needed in the proof of the next proposition.

LEMMA 4.1 (see [3, Lemma 2.1] or [19, Lemma 3.1]). *Let M be an R -module such that the set Δ of all maximal members of $\text{Ass } M$ is finite. Suppose that there exists a positive integer n such that $(\mathfrak{a}^n M)_{\mathfrak{p}} = 0$ for all $\mathfrak{p} \in \Delta$. Then $\mathfrak{a}^n M = 0$.*

PROPOSITION 4.2. *The local-global principle for the annihilation of generalised local cohomology modules holds at levels 0, 1, 2.*

PROOF. Let $0 \leq i \leq 1$. Assume that M and N are finite R -modules and that $f_{\mathfrak{a}R_{\mathfrak{p}}}^{\mathfrak{b}R_{\mathfrak{p}}}(M_{\mathfrak{p}}, N_{\mathfrak{p}}) > i$ for all $\mathfrak{p} \in \text{Spec}(R)$. Since $H_{\mathfrak{a}}^0(M, N)$ is finite, by Corollary 2.3, we see that $\text{Ass } H_{\mathfrak{a}}^i(M, N)$ is finite. Therefore there exists $n \in \mathbb{N}$ such that $(\mathfrak{b}R_{\mathfrak{p}})^n H_{\mathfrak{a}R_{\mathfrak{p}}}^i(M_{\mathfrak{p}}, N_{\mathfrak{p}}) = 0$ for all $\mathfrak{p} \in \text{Ass } H_{\mathfrak{a}}^i(M, N)$. Hence $\mathfrak{b}^n H_{\mathfrak{a}}^i(M, N) = 0$ by Lemma 4.1; so the local-global principle for the annihilation of generalised local cohomology modules holds at levels 0, 1.

Now let $f_{\mathfrak{a}R_{\mathfrak{p}}}^{\mathfrak{b}R_{\mathfrak{p}}}(M_{\mathfrak{p}}, N_{\mathfrak{p}}) > 2$ for all $\mathfrak{p} \in \text{Spec}(R)$. The above argument shows that there exists $r \in \mathbb{N}$ such that $\mathfrak{b}^r H_{\mathfrak{a}}^i(M, N) = 0$ for $i = 0, 1$. Since $f_{\mathfrak{a}}^{\mathfrak{b}}(M, N) = f_{\mathfrak{a}}^{\mathfrak{b}}(M, N/\Gamma_{\mathfrak{b}}(N))$, we can assume without loss of generality that $\Gamma_{\mathfrak{b}}(N) = 0$; and so $f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N) \geq 1$. Therefore, by Theorem 2.2, $H_{\mathfrak{a}}^1(M, N) = \text{Hom}_R(R/\mathfrak{b}^r, H_{\mathfrak{a}}^1(M, N))$ is finite. Now, we can use Corollary 2.3 and Lemma 4.1 to obtain that $f_{\mathfrak{a}}^{\mathfrak{b}}(M, N) > 2$. \square

The next theorem is concerned with the local-global principle for the annihilation of generalised local cohomology modules. The following lemma is of assistance in the proof of that theorem.

LEMMA 4.3 [8, Theorem 3.1]. *Let M, N be finite R -modules and let x_1, \dots, x_n be an \mathfrak{a} -filter regular N -sequence in \mathfrak{a} . Then the following statements hold.*

- (i) $H_{\mathfrak{a}}^i(M, N) \cong H_{(x_1, \dots, x_n)}^i(M, N)$ for all $i < n$.
- (ii) If $\text{proj dim}_R(M) = d < \infty$ and L is projective, then

$$H_{\mathfrak{a}}^{i+n}(M \otimes_R L, N) \cong H_{\mathfrak{a}}^i(M, H_{(x_1, \dots, x_n)}^n(L, N))$$

for all $i \geq d$.

THEOREM 4.4. *Let M and N be finite R -modules and let $\mathfrak{b} \subseteq \mathfrak{a}$.*

- (i) $f_{\mathfrak{a}}(M, N) \geq \inf\{f_{\mathfrak{a}}^{\mathfrak{b}}(M, N), f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N) + 1\}$. In particular, $f_{\mathfrak{a}}(M, N) = f_{\mathfrak{a}}^{\mathfrak{b}}(M, N)$ whenever $f_{\mathfrak{a}}^{\mathfrak{b}}(M, N) \leq f\text{-grad}(\mathfrak{a}, \mathfrak{b}, N) + 1$.

(ii) Assume that $r \leq \text{f-grad}(a, b, N) + 1$. Then

$$f_a^b(M, N) > r \Leftrightarrow f_{aR_p}^{bR_p}(M_p, N_p) > r \quad \text{for all } p \in \text{Spec}(R).$$

(iii) If $\text{Supp } N/bN \subseteq V(a)$, then, for all $r \in \mathbb{N}_0$,

$$f_a^b(M, N) > r \Leftrightarrow f_{aR_p}^{bR_p}(M_p, N_p) > r \quad \text{for all } p \in \text{Spec}(R).$$

(iv) Faltings' local-global principle for the finiteness of generalised local cohomology modules holds, that is, for any positive integer r , $H_{aR_p}^i(M_p, N_p)$ is finite for all $i \leq r$ and for all $p \in \text{Spec}(R)$ if and only if $H_a^i(M, N)$ is finite for all $i \leq r$.

PROOF. (i) Set $g = \text{f-grad}(a, b, N)$. If $f_a^b(M, N) \leq g + 1$, then, for any $i < f_a^b(M, N)$, we have $H_a^i(M, N) = H_b^i(M, N)$ by Lemma 4.3(i); and hence $b \subseteq \sqrt{(0 : H_b^i(M, N))}$. Then by Proposition 3.1, $H_a^i(M, N)$ is finite for all $i < f_a^b(M, N)$ and hence, by Proposition 3.5(iv), $f_a^b(M, N) = f_a(M, N)$.

Therefore we may assume that $f_a^b(M, N) > g + 1$. Using the same argument as above, we see that $H_a^i(M, N)$ is finite for all $i < g$. Therefore, by Theorem 2.2, $\text{Hom}_R(R/b^\alpha, H_a^g(M, N))$ is finite for all $\alpha \in \mathbb{N}$. On the other hand, by hypothesis $b^\alpha H_a^g(M, N) = 0$ for some $\alpha \in \mathbb{N}$. Thus $H_a^g(M, N)$ is finite and $f_a(M, N) \geq g + 1$.

(ii) Suppose that $r \leq \text{f-grad}(a, b, N) + 1$ and $f_{aR_p}^{bR_p}(M_p, N_p) > r$ for all $p \in \text{Spec}(R)$. If $f_a^b(M, N) \leq r$, then by (i), $f_a(M, N) = f_a^b(M, N)$. So by Corollary 2.3, $\text{Ass } H_a^{f_a^b(M, N)}(M, N)$ is finite. This is a contradiction in view of Lemma 4.1. Hence $f_a^b(M, N) > r$.

(iii) Suppose that $\text{Supp } N/bN \subseteq V(a)$. Then $\text{f-grad}(a, b, N) = \infty$. Thus (iii) is an immediate consequence of (ii).

(iv) This is immediate by (iii) and Proposition 3.1. □

5. Local-global principle for the Artinianness of generalised local cohomology modules

Let M be a finite R -module. In [20], Tang proved that, for any integer n , $H_a^i(M)$ is Artinian for all $i < n$ if and only if $H_a^i(M)_p$ is Artinian for all $i < n$ and for all $p \in \text{Spec}(R)$. In Theorem 5.3, we establish the above result for generalised local cohomology modules. The corollary to the following theorem is needed in the proof of Theorem 5.3.

THEOREM 5.1 [8, Theorem 4.2]. *Let \mathcal{M} be the set of all finite subsets of $\text{max}(R)$. Then*

$$\begin{aligned} & \sup_{A \in \mathcal{M}} \text{f-grad} \left(\bigcap_{m \in A} m, a + \text{Ann } M, N \right) \\ &= \inf \{ i \in \mathbb{N}_0 \mid H_a^i(M, N) \text{ is not Artinian} \} \\ &= \inf \{ i \in \mathbb{N}_0 \mid \text{Supp } H_a^i(M, N) \not\subseteq \text{max}(R) \} \\ &= \inf \{ i \in \mathbb{N}_0 \mid \text{Supp } H_a^i(M, N) \not\subseteq A \text{ for all } A \in \mathcal{M} \}. \end{aligned}$$

COROLLARY 5.2. *Let M and N be finite R -modules. Then*

$$\inf\{i \in \mathbb{N}_0 \mid H_{\mathfrak{a}}^i(M, N) \text{ is not Artinian}\} = \inf\{i \in \mathbb{N}_0 \mid \dim \text{Ext}_R^i(M/\mathfrak{a}M, N) > 0\}.$$

PROOF. Let $n \in \mathbb{N}_0$. By Theorem 5.1, $H_{\mathfrak{a}}^i(M, N)$ is an Artinian R -module for all $i \leq n$ if and only if $n < \text{f-grad}(\mathfrak{m}_1 \cap \cdots \cap \mathfrak{m}_t, \mathfrak{a} + \text{Ann } M, N)$ for some maximal ideals $\mathfrak{m}_1, \dots, \mathfrak{m}_t$ of R . Also, by the facts mentioned at the beginning of Section 2, this is equivalent to $\text{Supp } \text{Ext}_R^i(M/\mathfrak{a}M, N) \subseteq \{\mathfrak{m}_1, \dots, \mathfrak{m}_t\}$ for some maximal ideals $\mathfrak{m}_1, \dots, \mathfrak{m}_t$ of R and for all $i \leq n$. \square

THEOREM 5.3. *Let M, N be finite R -modules and let n be a positive integer. Then the following statements are equivalent.*

- (i) $H_{\mathfrak{a}}^i(M, N)$ is Artinian for all $i < n$.
- (ii) $H_{\mathfrak{a}R_{\mathfrak{p}}}^i(M_{\mathfrak{p}}, N_{\mathfrak{p}})$ is Artinian for all $i < n$ and for all $\mathfrak{p} \in \text{Spec}(R)$.

PROOF. It is clear that $\dim \text{Ext}_R^i(M/\mathfrak{a}M, N) = 0$ for all $i < n$ if and only if $\dim \text{Ext}_R^i(M/\mathfrak{a}M, N)_{\mathfrak{p}} = 0$ for all $i < n$ and for all prime ideals \mathfrak{p} of R . Therefore the assertion follows from Corollary 5.2. \square

COROLLARY 5.4. *Let M, N be finite R -modules and let n be a positive integer. Then the following statements are equivalent.*

- (i) $H_{\mathfrak{a}}^i(M, N)$ has finite length for all $i < n$.
- (ii) $H_{\mathfrak{a}R_{\mathfrak{p}}}^i(M_{\mathfrak{p}}, N_{\mathfrak{p}})$ has finite length for all $i < n$ and for all $\mathfrak{p} \in \text{Spec}(R)$.

PROOF. This is immediate by Theorems 5.3 and 2.2. \square

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ALI FATHI, Department of Mathematics, Science and Research Branch,
Islamic Azad University, Tehran, Iran
e-mail: alif1387@gmail.com