## A WEIGHT THEORY FOR UNITARY REPRESENTATIONS

THOMAS SHERMAN

Over a field of characteristic 0 certain of the simple Lie algebras have a root theory, namely those called "split" in Jacobson's book (3). We shall assume some familiarity with the subject matter of this book. Then the finite-dimensional representations of these Lie algebras have a weight theory. Our purpose here is to present a kind of weight theory for the representations of these Lie algebras when their ground field is the real numbers, and when the representation comes from a unitary group representation.

To summarize our results we let $(\mathbb{5}$ be a real simple split Lie algebra and $\mathfrak{S}$ a splitting Cartan subalgebra with real dual space $\mathfrak{S}^{\prime}$. A strongly continuous unitary representation (of a Lie group) will go by the name "representation" in this paper. Let $\pi$ be a representation of $G$, a Lie group with the Lie algebra (5). Then for every $\psi \in \mathfrak{S}^{\prime}$, $i \psi$ is a "weight" of $\pi$, the "weights" have constant multiplicity (assuming that the identity representation does not occur in $\pi$ ), and the representation space may be regarded as the direct integral over $\mathfrak{S}^{\prime}$ (with respect to Lebesgue measure) of the "infinitesimal weight spaces." In other words the representation space may be regarded as all square-integrable functions on $\mathfrak{F}^{\prime}$ with values in some fixed Hilbert space. Then for $x$ in $\mathfrak{F}$, $d \pi(x)$ is multiplication by $i(\psi, x)\left(\psi \in \mathfrak{S}^{\prime}\right)$. One biproduct of this study, useful for further application, is the fact that if $e_{\phi}$ is a root vector, then $d \pi\left(e_{\phi}\right)$ annihilates no vector. (For more discussion of $d \pi\left(e_{\phi}\right)$ see $\S 3$.)

These resuits were obtained in the author's doctoral dissertation at the Massachusetts Inistitute of Technology.

1. We begin by developing the theory for three-dimensional groups with split simple Lie algebra. These are all locally isomorphic to $\operatorname{SL}(2, \mathbf{R})$. Groups of larger dimension are in a sense "pieced together" from these three-dimensional ones. We obtain the general theorem by "piecing it together" from the three-dimensional theorem.

Let ${ }^{(5)}$ denote the three-dimensional real split simple Lie algebra until further notice. Let $G$ be a fixed connected Lie group corresponding to $(5)$. (5) has a basis $\left\{e_{+}, x, e_{-}\right\}$such that $\left[e_{+}, e_{-}\right]=x$ and $\left[x, e_{ \pm}\right]= \pm e_{ \pm}$. Let $\mathfrak{S}$ denote the solvable subalgebra of $(5)$ spanned by $x$ and $e_{+}$. Let $S$ denote the connected subgroup of $G$ with Lie algebra $\subseteq$. It is known that there is (up to isomorphism) only one connected Lie group with Lie algebra $\mathfrak{\subseteq}$. It is (isomorphic to) the subgroup of $\operatorname{SL}(2, \mathbf{R})$ consisting of upper-triangular matrices

Received October 15, 1964. The author is a National Science Foundation Postdoctoral Fellow.
with positive diagonal entries. Observe that this group has trivial centre and is simply connected, and is thus unique with Lie algebra $\subseteq$. Let $E$ and $X$ be the subgroups of $S$ generated by $e_{+}$and $x$ respectively. Then $E$ is normal and $S$ is the semi-direct product of $E$ and $X$. The representation theory of $S$ is known; see ( $\mathbf{1}$ or 5, p. 132, Example I). There are two faithful irreducible representations $\sigma_{+}$and $\sigma_{-}$of $S$. All other irreducible representations of $S$ are the identity on $E$. Every representation $\sigma$ of $S$ may be written

$$
\sigma=C_{+} \sigma_{+} \oplus C_{-} \sigma_{-} \oplus \sigma_{0}
$$

where $C_{+} \sigma_{+}$(or $C_{-} \sigma_{-}$) denotes the direct sum of $\sigma_{+}$(or $\sigma_{-}$) a cardinal number $C_{+}$(or $C_{-}$) times, and $\sigma_{0}$ is the identity on the subgroup $E . \sigma_{+}$and $\sigma_{-}$act on $L_{2}(\mathbf{R})$ as follows:

$$
\begin{gather*}
\sigma_{+}(\exp (t x)) f\left(t^{\prime}\right)=\sigma_{-}(\exp (t x)) f\left(t^{\prime}\right)=f\left(t+t^{\prime}\right)  \tag{1.1}\\
\sigma_{+}\left(\exp \left(t e_{+}\right)\right) f\left(t^{\prime}\right)=\sigma_{-}\left(\exp \left(-t e_{+}\right)\right) f\left(t^{\prime}\right)=\exp \left(i t \exp \left(t^{\prime}\right)\right) f\left(t^{\prime}\right) \tag{1.2}
\end{gather*}
$$

We need some facts about the differential of a representation. So if $\pi$ is a representation of a real Lie group $L$ on a Hilbert space $H$, let $C^{\infty}(\pi)$ denote the set of vectors $v$ in $H$ such that $\pi(\cdot) v$ is a $C^{\infty}$ function on $L . C^{\infty}(\pi)$ is a linear subset of $H$. It is dense and in fact contains the analytic vectors which are dense (6). For any $y \in \Omega$, the Lie algebra of $L$, the one-parameter unitary group $\pi(\exp (\mathbf{R} y))$ is generated by a skew-adjoint operator, which we denote by $d \pi(y)$, so that

$$
\pi(\exp (t y))=\exp (t d \pi(y)), \quad t \text { in } \mathbf{R}
$$

For all $v$ in $C^{\infty}(\pi), v$ is in the domain of $d \pi(y)$ and

$$
d \pi(y) v=d \pi(\exp (t y)) v / d t \quad(\text { at } t=0)
$$

$C^{\infty}(\pi)$ is stable under $d \pi(y)$ for all $y$ in $\mathfrak{R}$ and $y \rightarrow d \pi(y) \mid C^{\infty}(\pi)$ defines a representation of $\mathbb{R} . d \pi(y)$ is essentially skew-adjoint on $C^{\infty}(\pi ;)$ ( 6 , Lemma 5.1). $d \pi$ extends to a representation, also denoted $d \pi$, of the universal enveloping algebra $U$ of $\mathbb{R}$. Also if $c$ is a central element of $U$, rixed under the antiautomorphism $u \rightarrow u^{\prime}$ of $U$, where $y^{\prime}=-y$ for $y \in \mathcal{Q}$, then $d \pi(c)$ is essentially self-adjoint, and the spectral resolution of its self-iddjoint closure commutes with $\pi(L)$ (7).

For the group $S$ and the representations $\sigma_{+}$and $\sigma_{-}$we have

$$
\begin{align*}
C^{\infty}\left(\sigma_{ \pm}\right) & =C^{\infty}(\mathbf{R}) \cap \bigcap_{n=0}^{\infty} L^{2}\left(\mathbf{R}, e^{n t} d t\right) \\
d \sigma_{+}\left(e_{+}\right) & =-d \sigma_{-}\left(e_{+}\right)=\text {multiplication by } i e^{t}  \tag{1.3}\\
d \sigma_{+}(x) & =d \sigma_{-}(x)=d / d t \tag{1.4}
\end{align*}
$$

Lemma 1. Let $\sigma$ be an arbitrary representation of $S$ on a Hilbert space H. Let

$$
H(y)=\{v \in H \mid \sigma(\exp (y)) v=v\}
$$

for any $y$ in $\subseteq$. Then for any $t \neq 0, H\left(t e_{+}\right)$reduces $\sigma$ and $\sigma(E) \mid H\left(t e_{+}\right)=I$. If $t \neq 0, H(t x)$ reduces $\sigma$ and in fact $\sigma(S) \mid H(t x)=I$.

Proof. Write $\sigma=C_{+} \sigma_{+} \oplus C_{-} \sigma_{-} \oplus \sigma_{0}$. If $t \neq 0$, the representations $\sigma_{+}$and $\sigma_{-}$leave no vector of $L^{2}(\mathbf{R})$ fixed under $\exp t e_{+}$by (1.2). Thus the same is true of $C_{+} \sigma_{+}$and $C_{-} \sigma_{-}$and their direct sum. Hence $H\left(t e_{+}\right)$is exactly the representation subspace of $\sigma_{0}$ and thus reduces $\sigma$. The same argument shows that

Thus

$$
H(t x) \subseteq \text { the representation subspace of } \sigma_{0}=H\left(t e_{+}\right)
$$

$$
\pi(S)|H(t x)=\pi(X E)| H(t x) \cap H\left(t e_{+}\right)=I
$$

Lemma 2. Let $\pi$ be a representation of $G$ and suppose that the identity representation of $G$ does not occur in $\pi$. Then for any vector $v$ in the representation space $H$, and any $t \neq 0, \pi\left(\exp t e_{+}\right) v=v$ implies $v=0$.

Proof. In the universal enveloping algebra $U$ of 55 consider the element $c=e_{+} e_{-}+e_{-} e_{+}+x^{2} . c$ is central, as a calculation easily shows. (It suffices to check that $c$ commutes with $e_{+}, x$, and $e_{-}$.) It is fixed under the antiautomorphism $u \rightarrow u^{\prime}$ of $U$, which on ${ }^{5} 5$ is $y^{\prime}=-y$. Thus by Segal's theorem (7), the closure of $d \pi(c)$ is self-adjoint and has a spectral resolution that commutes with $\pi$. The representation $\pi$ is consequently the direct integral over the spectrum of $d \pi(c)$ of representations $\pi^{r}$ for which $d \pi^{r}(c)$ is the real scalar $r$. If for some $v \neq 0$ in $H$ and some $t \neq 0$ (fixed for the rest of the proof) we have $\pi\left(\exp t e_{+}\right) v=v$, then writing $v=\int \oplus v^{r}$, we get

$$
\pi^{r}\left(\exp t e_{+}\right) v^{\tau}-v^{\tau}=0
$$

for almost all $r$. It therefore suffices to show that for any real number $r$, the lemma holds under the added assumption that $d \pi(c)=r$.

Let $\sigma$ denote the restriction of $\pi$ to $S$. Let

$$
H_{0}=\left\{v \in H \mid \pi\left(\exp \left(t e_{+}\right)\right) v=v\right\}=H\left(t e_{+}\right) .
$$

By Lemma 1, $H_{0}$ reduces $\sigma$, and $\pi(E) \mid H=I$. Thus by the spectral theorem,

$$
H_{0}=\left\{v \in H \mid d \pi\left(e_{+}\right) v=0\right\}
$$

Here $d \pi\left(e_{+}\right)$is regarded as a skew-adjoint operator. Also since $H_{0}$ reduces $\sigma$, $H_{0}$ reduces the skew-adjoint operator $d \pi(x)$. In particular, $d \pi(x)$ and $(d \pi(x))^{2}$ are densely defined in $H_{0}$.

Now for all $v \in C^{\infty}(\pi)$,

$$
d \pi\left(e_{+}\right) d \pi\left(e_{-}\right) v=d \pi\left(e_{-}\right) d \pi\left(e_{+}\right) v+d \pi(x) v .
$$

Choose $v_{0}$ in $H_{0}$ in the dense intersection of the domains of $d \pi(x)$ and $(d \pi(x))^{2}$. Then

$$
\begin{aligned}
& \left\langle d \pi\left(e_{-}\right) d \pi\left(e_{+}\right) v, v_{0}\right\rangle=\left\langle d \pi\left(e_{+}\right) d \pi\left(e_{-}\right) v, v_{0}\right\rangle-\left\langle d \pi(x) v, v_{0}\right\rangle \\
& \quad=\left\langle d \pi\left(e_{-}\right) v,-d \pi\left(e_{+}\right) v_{0}\right\rangle+\left\langle v, d \pi(x) v_{0}\right\rangle=\left\langle v, d \pi(x) v_{0}\right\rangle .
\end{aligned}
$$

On the other hand,

$$
r I=d \pi(c) \supseteq\left(2 d \pi\left(e_{-}\right) d \pi\left(e_{+}\right)+d \pi(x)+(d \pi(x))^{2}\right) \mid C^{\infty}(\pi) .
$$

This implies that

$$
2 d \pi\left(e_{-}\right) d \pi\left(e_{+}\right) v=r v-d \pi(x) v-(d \pi(x))^{2} v .
$$

Hence

$$
\begin{aligned}
\left\langle v, d \pi(x) v_{0}\right\rangle & =\frac{1}{2}\left\langle\left(r-d \pi(x)-(d \pi(x))^{2}\right) v, v_{0}\right\rangle \\
& =\frac{1}{2}\left\langle v,\left(r+d \pi(x)-(d \pi(x))^{2}\right) v_{0}\right\rangle .
\end{aligned}
$$

Therefore

$$
\left\langle v,\left(r-d \pi(x)-(d \pi(x))^{2}\right) v_{0}\right\rangle=0 .
$$

Since $v$ was arbitrary in the dense set $C^{\infty}(\pi)$, we have

$$
\left(r-d \pi(x)-(d \pi(x))^{2}\right) v_{0}=0
$$

Since $d \pi(x)$ is skew-adjoint,

$$
\left\langle d \pi(x) v_{0}, v_{0}\right\rangle=0 .
$$

Thus

$$
0=\left\langle\left(r-d \pi(x)-(d \pi(x))^{2}\right) v_{0}, v_{0}\right\rangle=\left\langle\left(r-(d \pi(x))^{2}\right) v_{0}, v_{0}\right\rangle .
$$

Since $v_{0}$ was chosen arbitrarily from a dense set in $H_{0}$, and since $r-(d \pi(x))^{2}$ is self-adjoint on $H_{0}$, it is 0 on $H_{0}$. Thus on $H_{0}$ we have

$$
0=r-(d \pi(x))^{2}-d \pi(x)=-d \pi(x)
$$

Hence $\pi(x) \mid H_{0}=I$.
Let $\mathbb{S}_{-}$denote the subalgebra of $(\mathfrak{F})$ spanned by $e_{-}$and $x$. Then $\mathbb{S}_{-}$is isomorphic to $\mathfrak{S}$ by $e_{+} \rightarrow e_{-}, x \rightarrow-x$. Let $S_{-}$denote the connected subgroup of $G$ with Lie algebra $\mathfrak{S}_{-}$. Then $S_{-}$is isomorphic to $S$ and consequently has the same representation theory. We may therefore apply Lemma 1 to the restriction of the representation $\pi$ to the group $S_{-}$. Since $\pi(\exp (X \mathbf{R})) \mid H_{0}=I$, we conclude that $\pi\left(S_{-}\right) \mid H_{0}=I$. Since the subgroups $S$ and $S_{-}$generate $G$, and since $\pi\left(S_{-}\right)\left|H_{0}=\pi(S)\right| H_{0}=I$, we have $\pi(G) \mid H_{0}=I$. This contradicts our assumption that the identity representation does not occur in $\pi$, unless $H_{0}=0$.

The significance of this lemma may be seen if we again write

$$
\pi \mid S=\sigma=C_{+} \sigma_{+} \oplus C_{-} \sigma_{-} \oplus \sigma_{0} .
$$

The lemma then states that the piece $\sigma_{0}$ does not occur, so we have simply $\sigma=C_{+} \sigma_{+} \oplus C_{-} \sigma_{-}$. In particular, $\pi \mid X$ is just ( $C_{+}+C_{-}$) copies of translation in $L^{2}(\mathbf{R})$ (see 1.1), or if one wishes, of the regular representation of $X$. Let us replace $\sigma_{+}$and $\sigma_{-}$by their conjugates under the Fourier transform on $L^{2}(\mathbf{R})$. Then for $f$ in $L^{2}(\mathbf{R}), t, t^{\prime}$ in $\mathbf{R}$, we have

$$
\begin{equation*}
\left(\sigma_{+}(\exp (t x)) f\right)\left(t^{\prime}\right)=\left(\sigma_{-}(\exp (t x)) f\right)\left(t^{\prime}\right)=\exp \left(i t t^{\prime}\right) f\left(t^{\prime}\right) \tag{1.5}
\end{equation*}
$$

( $\sigma_{ \pm}\left(\exp t e_{+}\right)$is difficult to describe explicitly and this is why we did not originally use this form.) In differential terms (1.5) reads

$$
\begin{equation*}
d \sigma_{+}(x)=d \sigma_{-}(x)=\text { multiplication by } i t . \tag{1.6}
\end{equation*}
$$

$\mathfrak{S}=\{\mathbf{R} x\}$ is a splitting Cartan subalgebra of $\mathfrak{H}$. If we identify $\mathbf{R}$ with $\mathfrak{S}^{\prime}$, the real dual of $\mathfrak{S}$, then the representation space of $\pi$ is $C_{+}+C_{-}$copies of $L^{2}\left(\mathfrak{F}^{\prime}\right)$ and for $f \in\left(C_{+}+C_{-}\right) L^{2}\left(\mathfrak{S}^{\prime}\right), \phi \in \mathfrak{Y}^{\prime}$ we have

$$
\begin{equation*}
(d \pi(x) f)(\phi)=i \phi(x) f(\phi) \tag{1.7}
\end{equation*}
$$

Here we have regarded $\left(C_{+}+C_{-}\right) L^{2}\left(\mathfrak{F}^{\prime}\right)$ as the set of all measurable functions $f$ from $\mathfrak{S}^{\prime}$ to a fixed Hilbert space $H$ • of dimension $C_{+}+C_{-}$such that the $H$. norm of $f$ as a real function on $\mathfrak{W}^{\prime}$ is square-integrable. This is a standard identification; see (4). (1.7) may be interpreted as saying that each point of $i \mathscr{S}^{\prime}$ is an infinitesimal weight of multiplicity $C_{+}+C_{-}$.

Before going on to establish these results for an arbitrary real split simple Lie algebra we need one more observation about the representation $\sigma$. Regard $\sigma_{+}$and $\sigma_{-}$as operating on $L^{2}(\mathbf{R})$ with (1.5) giving $\sigma_{+}$and $\sigma_{-}$on $X$. Let $\rho$ denote the regular representation of $\mathbf{R}$ on $L^{2}(\mathbf{R})$ :

$$
\rho(t) f\left(t^{\prime}\right)=f\left(t^{\prime}-t\right) \quad\left(f \in L^{2}(\mathbf{R}) ; t, t^{\prime} \in \mathbf{R}\right)
$$

The following three sets of operators act irreducibly on $L^{2}(\mathbf{R}): \sigma_{+}(S), \sigma_{-}(S)$, and $\sigma_{ \pm}(X) \cup \rho(\mathbf{R})$. We now have

Lemma 3. Let $\sigma=C_{+} \sigma_{+} \oplus C_{-} \sigma_{-}$be a representation of $S$ on a Hilbert space H. Let $P$ be the projection-valued measure on $\mathbf{R}$ such that

$$
\sigma\left(\exp t^{\prime} x\right)=\int_{\mathbf{R}} \exp \left(i t t^{\prime}\right) P(d t)
$$

Then there is a representation $\tau$ of $\mathbf{R}$ on $H$ such that for any real $t$, and measurable subset $M$ of $\mathbf{R}$,

$$
\tau(t) P(M) \tau(-t)=P(M+t)
$$

and $\tau$ is such that if a normal operator commutes with $\sigma$, then it commutes with $\tau$ (and, of course, with P).

Proof. Let $C=C_{+}+C_{-}$. Then $H=C L^{2}(\mathbf{R})$ consists of all squareintegrable functions from $\mathbf{R}$ to a Hilbert space $H$. of dimension $C$. In this representation $P(M)$ is just multiplication by the characteristic function $K_{M}$ of $M$, and $\tau=C \rho$. So

$$
\begin{aligned}
(\tau(t) P(M) \tau(-t) f)\left(t^{\prime}\right) & =(P(M) \tau(-t) f)\left(t^{\prime}-t\right) \\
& =K_{M}\left(t^{\prime}-t\right) f\left(t^{\prime}\right)=(P(M+t) f)\left(t^{\prime}\right) .
\end{aligned}
$$

Now suppose the operator $N$ commutes with $\sigma$. Since $C_{+} \sigma_{+}$and $C_{-} \sigma_{-}$are primary, $N$ is completely reduced by the representation subspace of $C_{+} \sigma_{+}$ (and of $C_{-} \sigma_{-}$). $C_{\rho}$ restricted to this space is $C_{+} \rho\left(C_{-} \rho\right)$. Since $\sigma_{+}$is irreducible, any operator on $L^{2}(\mathbf{R})$ may be strongly approximated by finite sums of ele-
ments in $\sigma_{+}(S)$ and, in particular, $\rho(t)$ may be so approximated. Thus if $N$ commutes with $C_{+} \sigma_{+}$, it commutes with all finite sums in $C_{+} \sigma_{+}(S)$ and hence with all strong limits of such sums including $C_{+} \rho(t)$. Similarly $N$ commutes with $C_{-} \rho(t)$, so $N$ commutes with $C_{\rho}(t)=\tau(t)$ for all $t$.
2. Now let $\mathfrak{G}$ denote any real simple split Lie algebra and $\mathfrak{5}$ a splitting Cartan subalgebra with real dual space $\mathfrak{W}^{\prime}$. Let $\Phi$ be a fundamental system of roots for $\mathfrak{S}$. Then $\Phi$ is a basis of $\mathfrak{S}^{\prime}$. For any root $\psi$ let $e_{\psi}$ be a root vector for $\psi$. Let $x_{\psi}=\left[e_{\psi}, e_{-\psi}\right]$ and assume $e_{\psi}$ and $e_{-\psi}$ to be so normalized that $\psi\left(x_{\psi}\right)=1$. The set of vectors $F=\left\{x_{\phi} \mid \phi \in \Phi\right\}$ is a basis of $\mathfrak{W}$. Let $F^{\prime}$ denote the basis of $\mathfrak{F}$ dual to $\Phi$. We shall denote the elements of $F^{\prime}$ by $x_{\phi}^{\prime}$ in such a way that $\phi_{1}\left(x_{\phi}^{\prime}\right)=1$ if and only if $\phi_{1}=\phi$. Thus for all $x$ in $\mathfrak{S}, x=\sum \phi(x) x_{\phi}^{\prime}(\phi \in \Phi)$.

Now let $G$ be any connected Lie group with Lie algebra (5). The connected subgroup corresponding to $\mathfrak{S}$ is isomorphic as a Lie group with the additive vector group $\mathfrak{W}$ by way of the exponential map. Indeed, since $\mathfrak{F}$ is abelian, exp is a locally isomorphic epimorphism. It is a monomorphism when $G$ is the adjoint group, since for each $x$ in $\mathfrak{F}$, ad $x$ is diagonalizable over $\mathbf{R}$. Since every other group $G$ covers the adjoint group, it is a monomorphism in general.

Now the character group of $\exp (\mathfrak{F})$ may be identified with $\mathfrak{S}^{\prime}$ by $(\exp x, \psi)=\exp (i \psi(x))$ for $x \in \mathfrak{W}, \psi \in \mathfrak{W}^{\prime}$. If $\eta$ is any representation of the group $\exp \mathfrak{S}$, there is a projection valued measure $\mathfrak{B}_{\boldsymbol{\eta}}$ on $\mathfrak{S}^{\prime}$ such that

$$
\eta(\exp x)=\int_{\mathfrak{W}^{\prime}} \exp (i \phi(x)) \mathscr{P}_{\eta}(\mathrm{d} \phi) \quad \text { for all } x \text { in } \mathscr{I} .
$$

The following theorem asserts that when $\eta$ is the restriction to $\exp (\mathfrak{y})$ of a representation of $G$, then $\mathfrak{F}_{\eta}$ is distributed over $\mathfrak{S}^{\prime}$ as evenly as possible.

Theorem 1. Let $\pi$ be a representation of $G$ on the Hilbert space H. Assume that the identity representation does not occur in $\pi$. Then $H$ consists of $C$ copies of $L^{2}\left(\mathfrak{S}^{\prime}\right)$ for some cardinal number $C$, and $\pi$ restricted to the subgroup $\exp (\mathfrak{5})$ consists of $C$ copies of the representation $\eta^{0}$ on $L^{2}\left(\mathfrak{S}^{\prime}\right)$ :

$$
\left(\eta^{0}(\exp x) f\right)(\phi)=\exp (i \phi(x)) f(\phi)
$$

Preliminaries to the proof. Let $\mathfrak{P}=\mathfrak{F}_{\eta}$ be the projection valued measure on $\mathfrak{S}^{\prime}$ for the representation $\eta=\pi \mid \exp (\mathfrak{S})$. We shall show that for every $\psi \in \mathfrak{F}^{\prime}$ there is a unitary operator $\tau(\psi)$ on $H$ such that if $\mathfrak{M}$ is a measurable subset of $\mathfrak{S}^{\prime}$, then

$$
\tau(\psi) \mathfrak{B}(\mathfrak{M}) \tau(\psi)^{-1}=\mathfrak{P}(\mathfrak{M}+\psi) .
$$

We shall do this by applying Lemmas 2 and 3 to the connected three-dimensional subgroups $G_{\phi}$ of $G$ which correspond to the Lie albegras $\mathbb{G}_{\phi}$ spanned by $e_{\phi}, x_{\phi}$, and $e_{-\phi}, \phi$ a root. But in order to apply Lemma 2, we must show that the restriction of $\pi$ to $G_{\phi}$ does not contain the identity representation of $G_{\phi}$.

Now a vector $v$ in $H$ is fixed under $\pi\left(G_{\phi}\right)$ if and only if it is fixed under $\pi(\exp (\mathbf{R} x))$. The necessity of this condition is clear. The sufficiency follows from Lemma 1 applied to the subgroups $S_{\phi}$ and $S_{-\phi}$ spanned by $\left\{e_{\phi}, x_{\phi}\right\}$ and
$\left\{e_{-\phi},-x_{\phi}\right\}$, showing that $v$ is fixed under $\pi\left(S_{\phi}\right)$ and $\pi\left(S_{-\phi}\right)$, which generate $\pi\left(G_{\phi}\right)$. Let $\psi$ be another root. Then either $\left[x_{\phi}, e_{\psi}\right]=0$ or $\psi\left(x_{\phi}\right) \neq 0$. In the first case, $\pi\left(\exp \left(\mathbf{R} e_{\psi}\right)\right)$ commutes with $\pi\left(\exp \left(\mathbf{R} x_{\phi}\right)\right)$. In the second case, we may apply Lemma 1 to the connected subgroup of $G$ whose Lie algebra is spanned by $\left\{x_{\phi} / \psi\left(x_{\phi}\right), e_{\psi}\right\}$. In either case we conclude that $\pi\left(\exp \left(t e_{\psi}\right)\right)$ maps the space $H_{0}$ of fixed vectors of $\pi\left(\exp \left(\mathbf{R} x_{\phi}\right)\right)$ onto itself. Since the root vectors generate (J), we have that a generating set of one-parameter subgroups of $\pi(G)$ leave $H_{0}$ fixed. So $H_{0}$ reduces $\pi$. Restrict $\pi$ to $H_{0}$. We have already observed that $\pi\left(G_{\phi}\right) \mid H_{0}=I$. Since $(5)$ is simple, it follows that $\pi(G) \mid H_{0}=I$. Since we are assuming that the identity representation does not occur in $\pi$, we have proved

Lemma 4. Let $\pi$ be a representation of $G$ in which the identity representation does not occur. Then the identity representation does not occur in the restriction of $\pi$ to $G_{\phi}$ for any root $\phi$.

Corollary 1. Let $\pi$ be as in Lemma 4. Let $e_{\phi}$ be a root vector and $t \neq 0$. Then $\pi\left(\exp \left(t e_{\phi}\right)\right)$ leaves no non-zero vector fixed.

Proof. Apply Lemma 2 to the restriction of $\pi$ to $G_{\phi}$.
Corollary 2. Let $\phi \in \Phi$ and let $\mathbb{S}_{\phi}^{\prime}$ denote the subalgebra of $\mathfrak{G H}$ spanned by $\left\{x_{\phi}^{\prime}, e_{\phi}\right\}$. Let $S_{\phi}^{\prime}$ be the corresponding connected subgroup of $G .{S^{\prime}}_{\phi}$ is isomorphic to the subgroup $S$ of $\mathrm{SL}(2, \mathbf{R})$. If $\sigma=\pi \mid S_{\phi}^{\prime}$, then $\sigma=C_{+} \sigma_{+} \oplus C_{-} \sigma_{-}$, i.e., $\sigma_{0}$ does not occur in $\sigma$.

Proof. $S_{\phi}^{\prime}$ is isomorphic to $S$ since $\mathbb{S}_{\phi}^{\prime}$ is isomorphic to $\mathbb{S}$ by $x_{\phi}^{\prime} \rightarrow x, e_{\phi} \rightarrow e_{+}$. So the representation theory of $S_{\phi}^{\prime}$ is identical with that of $S$. In particular, we may write $\sigma=C_{+} \sigma_{+} \oplus C_{-} \sigma_{-} \oplus \sigma_{0}$ for any representation $\sigma$ of $S_{\phi}^{\prime}$. If $\sigma=\pi \mid S_{\phi}^{\prime}$, however, it follows immediately from Corollary 1 that $\sigma_{0}$ does not occur.

Proof of Theorem 1. Throughout this proof $M, M_{1}$, etc. will denote Lebesgue measurable subsets of $\mathbf{R}$. So for such a set $M$ and $\phi_{1} \in \Phi$ we define ( $M, \phi_{1}$ ) to be the subset of $\mathfrak{F}^{\prime}$ :

$$
\left\{\sum_{\phi \Phi \Phi} t_{\phi} \phi \mid t_{\phi_{1}} \in M, t_{\phi} \in \mathbf{R} \text { for } \phi \neq \phi_{1}\right\}
$$

So if we were to co-ordinatize $\mathfrak{S}^{\prime}$ with the basis $\left\{\phi_{1}, \ldots\right\}=\Phi$, then

$$
\left(M, \phi_{1}\right)=M \times \mathbf{R} \times \ldots \times \mathbf{R}
$$

For the next two paragraphs fix $\phi \in \Phi$. Consider $\pi$ restricted to the subgroup $S_{\phi}^{\prime}$ of Corollary 2. By Corollary 2 and Lemma 3, there exists a projection valued measure $P$ on $\mathbf{R}$ such that

$$
\pi\left(\exp \left(t^{\prime} x_{\phi}^{\prime}\right)\right)=\int_{\mathbf{R}}\left(\exp \left(i t^{\prime} t\right) P_{\phi}(d t)\right.
$$

and a representation $\tau_{\phi}$ of $\mathbf{R}$ on $H$ such that

$$
\tau_{\phi}(t) P_{\phi}(M) \tau_{\phi}(-t)=P_{\phi}(M+t)
$$

Now on the other hand we have the projection valued measure $\mathfrak{B}$ on $\mathfrak{S}^{\prime}$ such that

$$
\pi(\exp x)=\int_{\mathfrak{F}^{\prime}} \exp (i \psi(x)) \mathfrak{P}(d \psi) .
$$

Let $P_{\phi}^{\prime}$ be defined on the measurable sets $M$ of $\mathbf{R}$ by $P_{\phi}^{\prime}(M)=\mathfrak{P}((M, \phi))$. Thus $P_{\phi}^{\prime}(d t)=\mathfrak{P}((d t, \phi))$. Then

$$
\begin{aligned}
& \int_{\mathbf{R}} \exp \left(i t^{\prime} t\right) P_{\phi}^{\prime}(d t)=\int_{\mathbf{R}} \exp \left(i \psi\left(t^{\prime} x_{\phi}^{\prime}\right)\right) \mathfrak{B}((d t, \phi)) \\
& \quad=\int_{\mathbf{R}} \exp \left(i \psi\left(t^{\prime} x_{\phi}^{\prime}\right)\right) \mathfrak{B}(d \psi)=\pi\left(\exp t^{\prime} x_{\phi}^{\prime}\right)=\int_{\mathbf{R}} \exp \left(i t^{\prime} t\right) P_{\phi}(d t),
\end{aligned}
$$

where in the second expression on the left we take $\psi=t \phi+\psi^{\sim}$, where $\psi^{\sim}\left(x_{\phi}^{\prime}\right)=0$ and otherwise $\psi^{\sim}$ is arbitrary. We conclude, by the uniqueness of the measure $P_{\phi}$, that $P_{\phi}^{\prime}=P_{\phi}$, i.e. $P_{\phi}(M)=\mathfrak{B}((M, \phi))$. So we have

$$
\tau_{\phi}(t) \mathfrak{P}((M, \phi)) \tau_{\phi}(t)^{-1}=\tau_{\phi}(t) P_{\phi}(M) \tau_{\phi}(t)^{-1}=P_{\phi}(M+t)=\mathfrak{P}((M, \phi)+t \phi) .
$$

Now pick $\psi \in \Phi, \psi \neq \phi$. Then for $x^{\prime}{ }_{\psi} \in F^{\prime}$ we have $\left[x^{\prime}{ }_{\psi}, x_{\phi}^{\prime}\right]=0$ and $\left[x^{\prime}{ }_{\psi}, e_{\phi}\right]=\phi\left(x^{\prime}{ }_{\psi}\right) e_{\phi}=0$. So $\exp \left(\mathbf{R} x^{\prime}{ }_{\psi}\right)$ commutes with $S_{\phi}^{\prime}$ and $\pi\left(\exp \left(\mathbf{R} x^{\prime}{ }_{\psi}\right)\right)$ commutes with the representation $\tau_{\phi}$ of $\mathbf{R}$ by Lemma 3 . Now, as with $\phi$, we define $P_{\psi}$ and prove that $P_{\psi}(M)=\mathfrak{B}((M, \psi))$. Then since $\tau_{\phi}$ commutes with

$$
\pi\left(\exp t^{\prime} x_{\psi}^{\prime}\right)=\int_{\mathbf{R}} \exp \left(i t^{\prime} t\right) P_{\psi}(d t)
$$

$\tau_{\phi}$ also commutes with $P_{\psi}(M)=\mathfrak{P}((M, \psi))$ for all measurable sets $M$ in $\mathbf{R}$. But since $\psi \neq \phi$, we have $(M, \psi)=(M, \psi)-t \phi$. Thus

$$
\tau_{\phi}(t) \mathfrak{P}((M, \psi)) \tau_{\phi}(t)^{-1}=\mathfrak{P}((M, \psi))=\mathfrak{P}((M, \psi)-t \phi) .
$$

Thus for all $\psi \in \Phi$, whether $\psi=\phi$ or not, we have

$$
\tau_{\phi}(t) \mathfrak{F}((M, \psi)) \tau_{\phi}(t)^{-1}=\mathfrak{P}((M, \psi)-t \phi) .
$$

The projection valued measure $\mathfrak{F}$ is known to be regular (2, $\S \S 38$ and 39) and is therefore determined by its values on the rectangles $\left(M_{1}, \phi_{1}\right) \cap \ldots \cap$ ( $M_{n}, \phi_{n}$ ), where $\phi_{1}, \ldots, \phi_{n} \in \Phi$ and $M_{1}, \ldots, M_{n}$ are measurable subsets of R. But

$$
\begin{aligned}
\tau_{\phi}(t) & \mathfrak{P} \\
& \left(\left(M_{1}, \phi_{1}\right) \cap \ldots \cap\left(M_{n}, \phi_{n}\right)\right) \tau_{\phi}(t)^{-1} \\
& =\left(\tau_{\phi}(t) \mathfrak{P}\left(\left(M_{1}, \phi_{1}\right)\right) \tau_{\phi}(t)^{-1}\right) \cdot \ldots \cdot\left(\tau_{\phi}(t) \mathfrak{B}\left(\left(M_{n}, \phi_{n}\right)\right) \tau_{\phi}(t)^{-1}\right) \\
& =\mathfrak{B}\left(\left(M_{1}, \phi_{1}\right)+t \phi\right) \cdot \ldots \cdot \mathfrak{P}\left(\left(M_{n}, \phi_{n}\right)+t \phi\right) \\
& =\mathfrak{B}\left(\left(M_{1}, \phi_{1}\right) \cap \ldots \cap\left(M_{n}, \phi_{n}\right)+t \boldsymbol{\phi}\right) .
\end{aligned}
$$

So for any measurable subset $\mathfrak{M}$ of $\mathfrak{S}^{\prime}$ and $t$ real and $\phi \in \phi$ we have

$$
\tau_{\phi}(t) \mathfrak{P}(\mathfrak{M}) \tau_{\phi}(t)^{-1}=\mathfrak{B}(\mathfrak{M}+t \phi) .
$$

Now for each $\psi$ in $\mathfrak{S}^{\prime}$ write

$$
\psi=\sum_{j=1}^{n} t_{j} \phi_{j} \quad\left(\phi_{j} \in \Phi\right)
$$

and let $\tau(\psi)=\tau_{\phi_{1}}\left(t_{1}\right) \cdot \ldots \cdot \tau_{\phi_{n}}\left(t_{n}\right) . \tau(\psi)$ is not uniquely defined and $\tau$ is not a representation of $\mathfrak{S}^{\prime}$. However, it is unitary and

$$
\begin{aligned}
\tau(\psi) & \mathfrak{P}(\mathfrak{M}) \tau(\psi)^{-1}=\tau_{\phi_{1}}\left(t_{1}\right) \cdot \ldots \cdot \tau_{\phi_{n}}\left(t_{n}\right) \mathfrak{P}(\mathfrak{M}) \tau_{\phi_{n}}\left(t_{n}\right)^{-1} \ldots \ldots \tau_{\phi_{1}}\left(t_{1}\right)^{-1} \\
& =\tau_{\phi_{1}}\left(t_{1}\right) \cdot \ldots \cdot \tau_{\phi_{n-1}}\left(t_{n-1}\right) \mathfrak{B}\left(\mathfrak{M}+t_{n} \phi_{n}\right) \tau_{\phi_{n-1}}\left(t_{n-1}\right)^{-1} \cdot \ldots \cdot \tau_{\phi_{1}}\left(t_{1}\right)^{-1} \\
& =\ldots=\mathfrak{P}\left(\mathfrak{M}+t_{1} \phi_{1}+\ldots+t_{n} \phi_{n}\right)=\mathfrak{B}(\mathfrak{M}+\psi) .
\end{aligned}
$$

We may now apply the second and third paragraphs of (4, §6). There Mackey proves exactly what we want. In his notation, $\mathfrak{S}^{\prime}$ is an abelian locally compact group $G, \psi$ is $\sigma, \mathfrak{P}$ is $P, \mathfrak{M}$ is $E, \tau(-\psi)$ is $U_{\sigma}$. For him, $U$ is a representation, but this fact is not used in the paragraphs in question or in the results invoked there. His conclusion stated in our notation is that $H$ is some cardinal number of copies of $L^{2}\left(\mathfrak{g}^{\prime}\right)$ and that $\mathfrak{P}(\mathfrak{M})$ is multiplication by the characteristic function of $\mathfrak{M}$ on each copy. Since

$$
\pi(\exp x)=\int_{\mathfrak{W}^{\prime}} \exp (i \psi(x)) \mathfrak{B}(d \psi),
$$

this completes our proof.
3. We conclude with some heuristic remarks intended to strengthen the impression that we have here a weight theory. $H$ will be a fixed Hilbert space and $\pi$ a representation of $G$ in which the identity representation does not occur. Then, by Theorem 1, we may regard $H$ as the set of all square-integrable functions from $\mathfrak{S}^{\prime}$ to some fixed Hilbert space $H^{\cdot}$, and $\pi(\exp x)$ is multiplication by the function $\left(\psi \rightarrow \exp (i \psi(x))\left(\psi \in \mathfrak{S}^{\prime}\right)\right)$. Let $\Omega$ denote the set of all functions $f$ in $H$ (from $\mathfrak{S}^{\prime}$ to $H^{\cdot}$ ) which are the restriction to $\mathfrak{S}^{\prime}$ of entire (vector-valued) functions, again denoted by $f$, on the complexification of $\mathfrak{W}^{\prime}$; assume further that the function $f_{\psi}$ defined by $f_{\psi}(\cdot)=f(\cdot+i \psi)$ is in $H$ for each $\psi \in \mathfrak{S}^{\prime}$. $\Omega$ may easily be seen to be dense in $H$. For any root $\phi$ define the operator $T_{\phi}$ on $\Omega$ by $\left(T_{\phi} f\right)(\psi)=f(\psi+i \phi)=f_{\phi}(\psi)$. Now for any $x$ in $\mathfrak{S}$ and $f$ in $\Omega$ we have

$$
\begin{aligned}
& {\left[d \pi(x), T_{\phi}\right] f(\psi)=\left(d \pi(x) T_{\phi}-T_{\phi} d \pi(x)\right) f(\psi)} \\
& \quad=i \psi(x) f(\psi+i \phi)-i(\psi+i \phi)(x) f(\psi+i \phi)=\phi(x) T_{\phi} f(\psi)
\end{aligned}
$$

or $\left[d \pi(x), T_{\phi}\right]=\phi(x) T_{\phi}$. Thus $T_{\phi}$ interacts with $d \pi(\mathfrak{L})$ on $\Omega$ in the same way $d \pi\left(e_{\phi}\right)$ does on $C^{\infty}(\pi)$. Were $\Omega$ and $C^{\infty}(\pi)$ to coincide, this would imply that $d \pi\left(e_{\phi}\right)=A T_{\phi}$, where $A$ is some unbounded operator commuting with $d \pi(\mathfrak{W})$. The actual situation is more complicated, but one can show that $d \pi\left(e_{\phi}\right)=U i T_{\phi} U^{-1}$, where the unitary operator $U$ commutes with $d \pi(\mathfrak{W})$ and may therefore be regarded as a function on $\mathfrak{S}^{\prime}$ whose values are unitary operators on $H$. For the moment, we see little use for such a result and merely wish to point out the analogy with finite-dimensional representations: The
operator $d \pi\left(e_{\phi}\right)$ shifts the weight spaces by an amount $\phi$ and then operates on the shifted space.

In much the same spirit, the operators $\pi(g)$ may be partially described, where $g$ is a coset representation of an element of the Weyl group, i.e. Ad $g(\mathfrak{Y}) \subseteq \mathfrak{F}$. Let $\omega(g)$ be defined on $H$ by

$$
\omega(g) f(\psi)=f(\psi \circ \operatorname{Ad}(g))
$$

Since $\operatorname{Ad}(g)$ is of determinant 1 on $\mathfrak{S}, \omega(g)$ is unitary. Also for any $x \in \mathfrak{S}$,

$$
\begin{aligned}
& \omega(g) \pi(\exp x) f(\psi)=\exp (i \psi(\operatorname{Ad} g(x))) f(\psi \circ \operatorname{Ad} g) \\
& \quad=\pi(\exp (\operatorname{Ad} g(x))) \omega(g) f(\psi)=\pi\left(g \exp (x) g^{-1} \omega(g) f(\psi)\right. \\
& \quad=\pi(g) \pi(\exp x) \pi\left(g^{-1}\right) \omega(g) f(\psi)
\end{aligned}
$$

So $\pi(g) \omega\left(g^{-1}\right)$ commutes with $\pi(\exp x)$ for all $x$ in $\mathfrak{F}$. Thus $\pi(g)=U_{\rho} \omega(g)$, where $U_{g}$ commutes with $\pi(\exp \mathfrak{G})$ and may thus be considered a function on $\mathfrak{F}^{\prime}$ whose values are unitary operators on $H$.

## References

1. I. Gelfand and M. Naimark, Unitary representations of the group of linear transformations of the straight line, C. R. (Doklady), Acad. Sci. U.S.S.R. (N.S.), 55 (1947), 567-570.
2. Paul Halmos, Introduction to Hilbert space and the theory of spectral multiplicity (New York, 1957).
3. Nathan Jacobson, Lie algebras (New York, 1962).
4. George W. Mackey, A theorem of Stone and von Neumann, Duke Math J., 16 (1949), 313-326.
5. -——Induced representations of locally compact groups I, Ann. of Math., 55 (1952), 101-139.
6. Edward Nelson, Analytic vectors, Ann. of Math., 70 (1959), 572-615.
7. I. E. Segal, Hypermaximality of certain operators on Lie groups, Proc. Amer. Math. Soc., 3 (1952), 13-15.

The Institute for Advanced Study, Princeton

