**Teaching and Preparation** 

Section 1

Chapter

# Improving Patient Care through Continuing Professional Development

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## Introduction

Medicine is a rapidly developing field. Much of what many of us learned in medical school is now obsolete, and an expanding knowledge base has led to increasingly specialized services. If you add to this the fact that many doctors – by choice or as the result of service changes – change their areas of clinical practice, the need to continue learning and developing after completion of formal training is undeniable.

We learn on a day-to-day basis in our clinical practice. As well as taking the relatively obvious forms of reading a literature review or asking the advice of a colleague, learning will also be through continuous feedback, for example from patients about a particular approach we take or a good clinical outcome. Being open to everyday feedback and thoughtfully working in teams is therefore an important part of remaining a safe and effective practitioner.

Given this essential and unavoidable day-to-day learning, it is reasonable to ask what the additional benefit guidance from the Royal College of Psychiatrists and Academy of Medical Royal Colleges alongside requirements set out by the General Medical Council (GMC) add.

# What Is Continuing Professional Development?

The General Medical Council (GMC) has defined continuing professional development (CPD) as follows:

CPD is any learning outside of undergraduate education or postgraduate training that helps you maintain and improve your performance. It covers the development of your knowledge, skills, attitudes and behaviours across all areas of your professional practice. It includes both formal and informal learning activities (GMC 2012).

This definition acknowledges the continuous learning within clinical practice described above and the importance of this in a doctor's development. It emphasizes that CPD should consider the full scope of a doctor's practice and that, while the majority of psychiatrists are primarily clinicians, other roles such as teaching or research, must not be forgotten.

The College's CPD programme supports a structured and objective approach to learning and seeks to make CPD more effective for the individual. It does not aim to encourage the doctor to record and explicitly consider all learning that they undertake. This would be unrealistic and would not reflect the way in which thoughtful practitioners develop. Key to the College's programme is the development and completion of a personal development plan (PDP) within a CPD peer group that can support the doctor in reflecting on current practice (and therefore areas for development) and the implications of new learning for their practice.

If CPD is intended to help 'maintain and improve your performance', it is reasonable to assume that the outcome of good CPD is improved patient care. This makes intuitive sense but, although it is possible to show evidence of improved outcomes individually, demonstrating that CPD as a whole improves care is far more challenging (Mathers 2012).

# What Are the Requirements for CPD?

There are three bodies that provide guidance or requirements for psychiatrists' CPD. This can lead to confusion if their roles are not understood.

## 1. The General Medical Council

The role of the GMC is set out in the Medical Act 1983. It maintains a register of doctors ensuring appropriate qualifications, sets standards for a 'good' doctor, including undergraduate and postgraduate education, through revalidation ensures that doctors keep up to date with knowledge and skills and, if needed, investigates concerns raised about doctors. Therefore, though it seeks to improve practice, the GMC is there to ensure a minimum and safe standard. In keeping with this, there is a requirement for annual appraisal to ensure that doctors are keeping up to date and in line with the standards set out in Good Medical Practice. There is no minimum amount of CPD required and demonstration that you have met the standard is through annual appraisal and ultimately revalidation.

# The Academy of Medical Royal Colleges

The Academy of Medical Royal Colleges seeks to ensure standards are consistent across the many specialties in medicine. This is similar to the role of the GMC in that it is essential that the public has equal confidence in a general practitioner as a psychiatrist or a surgeon. The Academy of Medical Royal Colleges is essentially the product of its constituent colleges and so its guidance applies to all colleges but focuses on areas that are universally applicable. As such it has defined seven core principles of CPD:

- (1) **Individual responsibility**. In line with the GMC, whatever support is in place (e.g., appraisal, CPD peer groups), doctors, themselves, are ultimately responsible for maintain and improving their practice.
- (2) **The importance of reflection**. Learning has no value unless considered against current practice and the opportunity for improvement.
- (3) **Scope of work**. All areas of a doctor's role need to be considered. Realistically this cannot (and might not be desired) be completed every year but needs to be covered within the five-year cycle.
- (4) **CPD and annual appraisal**. CPD undertaken within the year should be considered within the annual appraisal but identifying development needs should not be limited to this annual event.
- (5) **Balance of CPD**. Different learning approaches offer different benefits. It is important to have opportunities for learning outside organizations to broaden experience and learn with colleagues as well as alone.
- (6) **Documenting CPD**. It is essential that doctors are able to evidence learning undertaken and the consequences of this.
- (7) **Employers' responsibilities**. Doctors need access to funding and time to keep up to date and develop their practice.

# 3. The Royal College of Psychiatrists

The College is the professional body responsible for supporting psychiatrists throughout their careers and setting and raising standards. It thus promotes our development.

Guidance from the College therefore focuses on improvement and specifically relates to psychiatrists' needs. Our guidance differs somewhat from the Academy of Medical Royal Colleges while adhering to the shared principles. For example, while the Royal College of Psychiatrists has set a minimum of 50 hours of CPD each year approved by the CPD peer group, not all colleges (as with the GMC) do so there is no quantity required by the Academy of Medical Royal Colleges. The range of specialties and different needs across the profession means that it is the CPD peer group rather than educational providers that determines whether any learning has been effective for an individual. As a result, to be in good standing with the College for CPD requires active engagement with the CPD peer group.

Because the College's requirements for being in good standing for CPD differ from those set out by the GMC, being in good standing is not necessary for annual appraisal and thus revalidation.

# How to Link CPD to Improved Patient Care

Good CPD can have many potential outcomes – for example, improved confidence, greater job satisfaction, innovation, networking and sharing with peers – but the ultimate outcome should be improved care for patients. How can this be achieved?

# Developing a Focused PDP

As doctors, we receive feedback from many sources. Some sources are formal, such as complaints, incident reviews or structured multi-source feedback; others are less formal, such as individual patient outcomes, peer discussion and our own reflections on our practice. Being able to consider this feedback honestly and thoughtfully is essential to understanding our learning needs. When developing a PDP, it is natural to be drawn to our areas of interest or expertise. Of course, to stay up to date, ongoing learning in such areas is necessary but we must also pay attention to areas of our practice that we are less enthusiastic about and have perhaps not focused on previously.

It is the individual doctor's responsibility to identify their needs and consider how they will address them (GMC 2012). However, psychiatrists are helped to do this in two ways: by their CPD peer group and by the appraisal process.

- The peer group will use an informal process to help the doctor to develop a PDP that reflects their needs (rather than interests or wishes).
- At appraisal, information about the full scope of the doctor's practice and performance (e.g., outcome measures, complaints, incident reviews, activity levels) is formally discussed. Using this information as a foundation, the appraiser and doctor will create a PDP. The full scope of practice – both clinical and non-clinical aspects – should be considered.

This can mean that the doctor ends up with two PDPs: one from the peer group and one from appraisal. The PDP developed in the peer group will inform the one developed in appraisal. If the processes resulting in each have been robust, the PDPs should not be too dissimilar. A significant difference in the PDPs should raise concerns that there has not been

fair or honest discussion about the psychiatrist's work and needs in one, or both, of the processes.

It is important that CPD reflects the doctor's practice (or intended practice for the future), rather than their own personal interests. Although all doctors, whatever their experience, need to stay up to date with relevant therapeutic developments, it is inevitable that their needs will change over their career. Developing skills that are not going to be used in practice will not benefit patients.

# Successfully Addressing Development Needs

To evaluate how successful learning has been, it is necessary to be clear about what is to be achieved. The PDP should be specific and the outcomes must be, to some extent, measurable (Box 1.1).

How to best meet development needs will depend on a variety of factors. We all have preferred ways of learning; some may prefer individual reading, others group discussion or learning through experience. In addition, different objectives will be best achieved in different ways; attending a lecture might help to meet an objective of understanding the evidence base of pharmacological treatments, but would be unlikely to offer a doctor much if their objective was to improve their communication skills, in which case observation and practice with a respected colleague are more likely to be effective. The Academy of Medical Royal Colleges (2012) has created a useful template to help doctors structure their reflections when considering how new information relates to current practice and whether further actions are required (Fig. 1.1). Use of this template is encouraged by the Royal College of Psychiatrists (2015). There are many alternative approaches that can be adjusted as needed, but all tend to cover the same areas: what the activity was, what the learning was and how it will change practice. See Box 1.2 for examples (taken from Borton 1970). Such notes can be used in peergroup discussions, to demonstrate value and justify the allocation of CPD credits, and within the appraisal process, to demonstrate ongoing personal development and quality improvement.

## Box 1.1 How to develop a good PDP

- Gather information about the full scope of your practice (e.g., multi-source feedback, clinical activity, complaints and compliments, incident reports)
- Make time to reflect on what the information says about your practice what should you aim to improve?
- Discuss it in a supportive and formative environment with others (e.g., a CPD peer group)
- 4. Agree specific objectives SMART objectives are good:
  - Specific
  - Measurable (or demonstrable)
  - Achievable (personally and within the service)
  - · Relevant to current or proposed practice
  - · Time-bound

#### Title and description of activity

18/09/14: CPD Online Module - The Pharmacological Treatment of Resistant Depression: An Overview

## What was the learning need or objective that was addressed?

- CPD peer group agreed on an objective to better understand the evidence base behind different pharmacological interventions for treatment-resistant depression, as I had reflected that I had less confidence in poly-pharmacological interventions in this field than other peers.
- The modules set out a series of steps to take to assess and then consider options at various stages of 'treatment resistance'. Some evidence was presented in the form of meta-analysis. It was useful to be reminded of the importance of thoroughly assessing past treatments, response, other symptoms (e.g. psychotic or bipolar spectrum) before making any decisions about treatment options.
- Potential adverse interactions were usefully summarised. The potential benefits of drugs I rarely use (e.g. MAOIs) were discussed and I need to consider my confidence in prescribing these. Although other interventions (e.g. ECT and CBT) were briefly discussed I would need to consider how, in practice, these would be used alongside changes to medication.
- In terms of involving the patient in the decision making process more understanding of potential side effects would be needed.

#### What was the outcome of the activity?

## Key learning points.

- 1. The importance of key areas to remember to include in the initial assessment bipolar, psychotic symptoms was emphasised.
- 2. I have learned a useful stepped model to consider and manage resistant depression
- 3. I have a good overview of the evidence base for augmentation therapies.

#### Changes to practice:

- In future my assessment will include specific areas for exploration.

#### Practice reinforced:

- Augmentation of antidepressant medication with other antidepressants or atypical antipsychotics is safe and effective.
- Caution when using antidepressants for people with bipolar spectrum disorders and consider non-antidepressant options.

#### Further learning needs

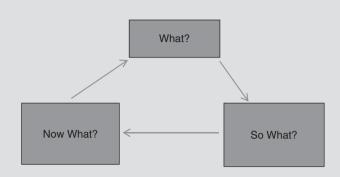
- 1. Understand the effectiveness of ECT, particularly earlier on in the process of treatment:
- 2. Revise prescribing and side effect of MAOIs. How will this activity improve patient care or safety?

## **Number of CPD hours claimed**

1 h (agreed by peer group)

Fig. 1.1 Example of a reflective note using the Academy of Medical Royal Colleges (2012) template.

## Box 1.2 Borton's model of reflection



## What?

Describe the event. Consider:

- What happened?
- What was the response?
- · Who was involved?

## So what?

Think about the impact and meaning of the event. Reflect on the event. Consider:

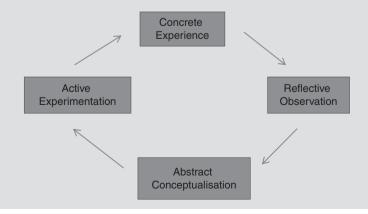
- What happened as a result?
- · How did this affect me?
- Why is this important?

## Now what?

What are the consequences for you and others? What are you going to do as a result? Consider:

- · What would you want to do differently?
- · What needs to change?
- How are you going to achieve change?

## Klob's learning cycle



## Concrete experience

- Describe what actually happened.
- · Who was involved and what was the outcome.

#### Reflective observation

- · What was good, what could have been better?
- Why did the event occur? Why did it happen in that way?

## Abstract conceptualization

- How could it have been better?
- · What needs to change for a better outcome?
- · How could you do better in future?

## Active experimentation

- Make the change
- · Assess the impact of any changes
- Is further development required?

However, completing the learning event will not in itself improve practice. The know-ledge and skills acquired need to be put into practice in the psychiatrist's work.

## Modifying Practice after Acquiring New Skills or Knowledge

CPD is only important inasmuch as it improves a doctor's practice; it is not an end in itself. The College's guidance emphasizes this and places the responsibility for ensuring that learning relates to practice on the peer group. The College supports psychiatrists to achieve this through CPD peer groups. The peer group will help you reflect on how the learning has changed or reinforced your practice and from this reflection award CPD credits which will count towards being in good standing with the College for CPD. In a relationship between peers, this requirement might not feel comfortable but it is a professional responsibility.

Collating evidence of improvement can be difficult in some circumstances and will be impossible if the initial objective is not specific. Organizations are now collecting more clinically relevant outcome measures (although this is not yet universal) and clinical audit is a useful tool for measuring performance against predetermined standards. In its guidance on revalidation, the College acknowledges the benefit of clinical audit in both identifying the need for improvement and demonstrating that it has taken place (Royal College of Psychiatrists 2014). Showing that learning has been incorporated into practice need not and should not be onerous. Considering learning in relation to clinical practice through, for example, a case-based discussion, is a useful way to gain feedback where outcome measures are lacking. If honestly and openly approached, such a process could identify further, previously unconsidered learning as needed. The result, therefore, is one of continued learning, reflection and change (Fig. 1.2).

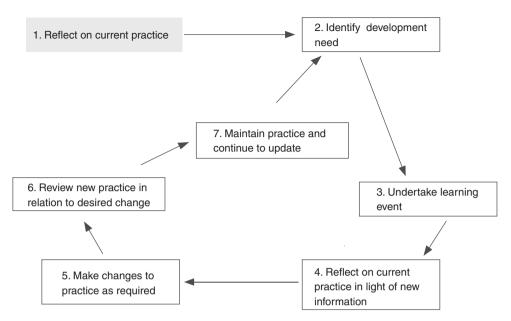


Fig. 1.2 The learning cycle.

## Reflection as Part of Effective CPD

In CPD, reflection is the process of thinking about our actions, responses or practice in a conscious, open and considered way in order to learn from them. It encourages us to ask why we do things or make particular decisions and thus whether there are other, better options.

There are two times at which reflection is particularly important in ensuring that CPD improves patient care:

- when identifying development needs often in response to feedback, we must review our practice and why we came to a particular decision or work in a certain way;
- after a learning event, we should consider the new information in relation to our own practice, and decide whether we need to make changes or whether it supports our current approach.

# Reflecting on Current Practice to Identify Development Needs

We need to consider not just what we are doing but why. This often happens after significant events such as a serious incident or a complaint and this is, of course, necessary and good practice. However, if reflection is restricted to such times, consideration of our practice will be limited and probably unfairly critical. We need to evaluate our practice on an ongoing basis, and to do that we need mechanisms to support us.

- Reflective practice groups allow teams to consider how they respond in (often) challenging situations and the feelings that patients and situations can evoke.
- CPD peer groups help the psychiatrist to explore their practice and, from formal or informal feedback, consider which areas could improve through the CPD process.

- Appraisal considers the whole of a doctor's practice and uses both hard and soft data to support discussion about the care they provide and the relationships they form with patients and colleagues.
- Case-based discussion and clinical supervision with peers will explore a relatively small
  area of practice in detail. Although it is not required by the GMC, the College
  recommends that psychiatrists undertake at least two case-based discussions each year.
- Review processes should allow doctors and clinical teams to learn from incidents and consider their development needs.
- The cycle of clinical audit requires considering the findings (comparing practice with established or agreed standards) to develop an action plan for improvement.

Alongside these are many less formal discussions that clinicians have with colleagues during day-to-day practice.

If they are committed to improvement and learning, any of the above mechanisms will provide the psychiatrist with a rich view of their practice and therefore suggest what their development needs are.

## Reflecting on New Learning to Change Practice

Even if new knowledge has been acquired, without changes to practice patients will not experience any benefit. Reflection is the process that bridges the gap between theory and practice. However, changing practice is not necessarily simple. Most psychiatrists work within teams and interact closely with others. Changing an individual's practice might, therefore, not be possible without changing a wider system. It is thus essential, when setting objectives, that not only the needs of the doctor but the needs of the teams and services in which they work are considered.

As when setting development objectives, considering how new learning will affect practice requires space and honest consideration of our own behaviour. Through regular meetings the peer group will allow space for the doctor to consider both their needs and later, through reflection and appropriate challenge, whether they have met their learning objectives.

Some reflection will need to take place in other arenas (such as incident reviews or clinical audit meetings) or by the doctor alone. Achieving meaningful reflection might require practice. As shown in Fig. 1.1, the Academy of Royal Colleges' template provides a useful framework.

## What Is Effective CPD?

Put simply, effective CPD is learning that meets the doctor's developmental needs and leads to improved patient care. Better care does not solely come from improved clinical skills. Teachers and trainers who enhance their educator's skills through CPD indirectly improve the care of all the patients their students go on to treat. Similarly, those who use CPD to develop their leadership skills improve patient care provided by the clinicians working in their service.

There are several factors related to learning that make CPD more likely to improve patient care.

- Learning objectives should be set after honest consideration of the doctor's range of practice and feedback from various sources.
- Learning that relates to the doctor's work is best suited to improving their practice.

## Box 1.3 Principles of effective CPD

- · The doctor feels able to honestly and openly discuss their development needs
- Information about their practice is accurate and readily available
- There is a supportive environment in which needs can be considered
- There is space for new learning to be considered alongside current practice
- Needs and improvements in the doctor's practice are not considered in isolation from the team/service in which they work
- · Changes to practice are evaluated and further learning identified
- Various forms of education should be considered to acquire the learning. This is an
  important point, as it is always tempting to look for a taught course rather than
  considering other forms of learning, such as working alongside a colleague.
- New knowledge should be considered alongside current practice to identify any changes that are needed.
- Time must be available to implement any necessary changes.
- Any change should be evaluated after implementation has the desired improvement actually been achieved?

Box 1.3 summarizes the principles of effective CPD.

## Who and What Is Needed to Make CPD Effective?

## The Doctor

The GMC (2012) simply states that 'you are responsible for identifying your CPD needs, planning how these needs should be addressed and undertaking CPD that will support your professional development and practice'. To identify relevant CPD, the doctor needs to consider the available information about their practice and reflect on areas for improvement. This should cover the entirety of practice, both clinical and non-clinical. Without this open and honest reflection, the effect of any subsequent learning will be limited. The doctor is also responsible for reflecting how new learning should be considered alongside current practice and, as far as they are able, for changing practice as appropriate. In both these areas, although the individual doctor is responsible, they should be supported to do this effectively.

To be in good standing with the College for CPD, all clinically active psychiatrists are required to undertake, on average, 30 hours of clinical CPD and thus ensure a high standard of care is experienced uniformly by patients.

# The CPD Peer Group

A doctor's peers are a useful asset in identifying development needs and how and when these needs should be met. The College relies on the effective functioning of a peer group when considering whether someone is in good standing for CPD (Box 1.4). The peer group should 'support the individual in developing and completing a relevant PDP that leads to an improvement in that person's skills or competence and therefore an improvement in care

## Box 1.4 Responsibilities of the CPD peer group

The College relies on the CPD peer group to ensure that learning is relevant and incorporated into practice. Peers should choose their peer group; it should not be allocated by employers. The peer group should:

- help the psychiatrist to honestly reflect on their practice and identify areas for improvement
- help convert development needs into achievable goals for a PDP
- · help identify how these goals can be met
- allow the psychiatrist to reflect on how new learning relates to their current practice
- consider whether the learning has been relevant to the goal and whether appropriate reflection in relation to practice has been achieved (are there further learning needs?)
- allocate CPD credits to the learning if it has been relevant to practice and followed by appropriate reflection

provided to patients' (Royal College of Psychiatrists 2015: p. 4). In addition, the peer group is responsible for accrediting learning as CPD activity. A learning activity can only be allocated CPD credits if:

- it is relevant to the psychiatrist's scope of practice (or intended practice);
- it is of sufficient quality;
- learning has been understood and, through reflection, considered alongside current practice.

The peer group is not fulfilling its duty to the psychiatrist if it does not robustly consider these points.

# Appraisal

The appraisal process is at the core of revalidation and thus the assurance we give to the public that doctors are safe practitioners. It examines the full scope of the doctor's practice and encourages reflection. CPD is one way in which a doctor can demonstrate that they are up to date in their practice and developing the skills required in their work.

During appraisal, information about the doctor's practice is considered and the doctor is required to reflect on it, with a view to developing a PDP. A good appraiser will support reflection in a way that promotes learning and a formative approach. They will also seek to understand how quality or improvement has been demonstrated.

The following information should be used to develop a PDP within the appraisal:

- information from and reflection on complaints and other forms of patient feedback;
- information from and reflection on incidents relating to the doctor or the service in which they work;
- multi-source feedback;
- clinical activity information;
- clinical outcome information;
- reflections on incidents or feedback relevant to the doctor's practice.

the PDP as agreed with the CPD peer group.

Good appraisal, therefore, will start the cycle by developing a PDP that reflects the doctor's scope of practice and development needs within it and challenges the doctor to reflect on their development and demonstrate ongoing improvement in practice.

# **Employers**

Employers have a responsibility to ensure that their workforce is up to date and working to appropriate standards. CPD is one mechanism for achieving this. The health service is facing unprecedented financial challenges, but effective CPD does not come without sufficient resources. This is not simply an adequate study leave budget (learning is not necessarily met through taught courses), but also allows doctors the time to reflect on their development needs and the meaning of new knowledge in relation to their own practice, and also to evaluate the impact of any change.

As already mentioned, psychiatrists rarely work in isolation. If the ambition of CPD is to improve patient care, learning in teams is an important option, at least for some people. Therefore, it will be necessary for a whole team, not just a single doctor, to find time for reflection.

Finally, honest and open reflection has been described as a key element to effective CPD. This includes being able to reflect on our actions when things go wrong. Learning from serious incidents is essential to developing safe doctors and safe services and is understandably what the public demands of us. Employers have a major role in providing an environment that is supportive of this approach.

## **Conclusion**

CPD should not be seen as an optional 'add-on' to our everyday work and cannot live in conference halls and lecture theatres. It needs to be an integrated part of our everyday practice, with the aim of continually improving the care we provide to patients and their families. It requires us to reflect on our work and behaviour so that we can identify what improvements to make and then consider whether we have achieved them.

Being in good standing with the Royal College of Psychiatrists for CPD is one way in which we can assure the public that we are maintaining our skills and continuing to develop. It requires the psychiatrist to reflect on their current practice, undertake relevant and effective learning, put that learning into practice and evaluate the outcome. The College relies on the CPD peer group to ensure that learning is relevant and that it is incorporated into practice. To do this requires a constructive, enquiring relationship between peers that can only exist if they are comfortable being open and honest with each other.

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